

# Proving Termination of Heap-Manipulating Java Programs

Marc Brockschmidt

MSR Cambridge  
LuFG Informatik 2, RWTH Aachen University, Germany

November 2012

# Automated Termination Analysis

Imperative Programs:

# Automated Termination Analysis

Imperative Programs:

- Synthesis of Linear Ranking Functions

*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*

# Automated Termination Analysis

Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

# Automated Termination Analysis

Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

Declarative Programs:

# Automated Termination Analysis

## Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

## Declarative Programs:

- Logic Programming (since the 70s)

# Automated Termination Analysis

## Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

## Declarative Programs:

- Logic Programming (since the 70s)
- Term Rewriting (since the 70s)

# Automated Termination Analysis

Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

Declarative Programs:

- Logic Programming (since the 70s)
- Term Rewriting (since the 70s)

Transformation of Imperative to Declarative Programs:

# Automated Termination Analysis

## Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

## Declarative Programs:

- Logic Programming (since the 70s)
- Term Rewriting (since the 70s)

## Transformation of Imperative to Declarative Programs:

- Termination Analysis for C (via Polynomial Orders)  
KITTeL – *(Falke, Kapur, Sinz, since '11)*

# Automated Termination Analysis

## Imperative Programs:

- Synthesis of Linear Ranking Functions  
*(Colon & Sipma, '01), (Podelski & Rybalchenko, '04), ...*
- Termination Analysis for C (via Transition Invariants)  
Terminator – *(Cook, Podelski, Rybalchenko et al., since '05)*  
CProver – *(Kroening, Sharygina, Tsitovich, Wintersteiger, since '10)*

## Declarative Programs:

- Logic Programming (since the 70s)
- Term Rewriting (since the 70s)

## Transformation of Imperative to Declarative Programs:

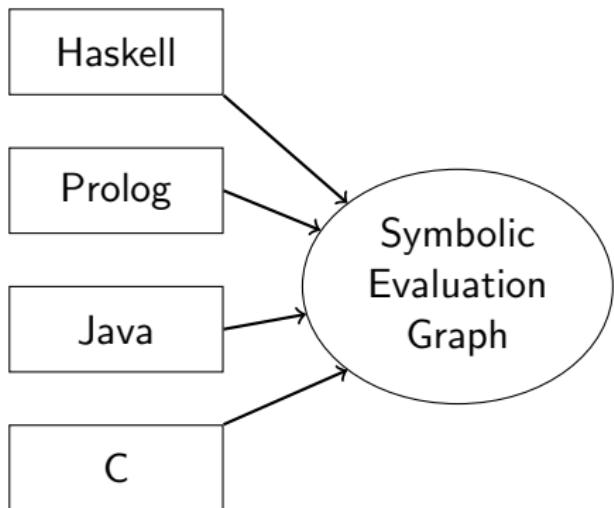
- Termination Analysis for C (via Polynomial Orders)  
KITTeL – *(Falke, Kapur, Sinz, since '11)*
- Termination Analysis for Java (via Path Length, CLP backend)  
Julia – *(Spoto, Mesnard, Payet, since '08)*  
COSTA – *(Albert, Arenas, Codish, Genaim, Puebla, Zanardini, since '08)*

## Rewriting-backed approach: Idea

- Programming languages *hard* ↘ Simpler representation needed

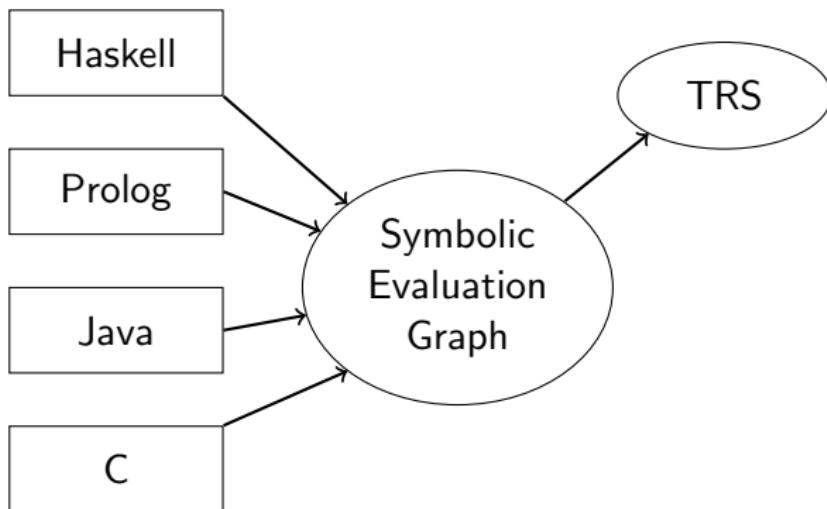
## Rewriting-backed approach: Idea

- Programming languages *hard* ↗ Simpler representation needed
- Symbolic Evaluation Graphs: Simpler, contain all information



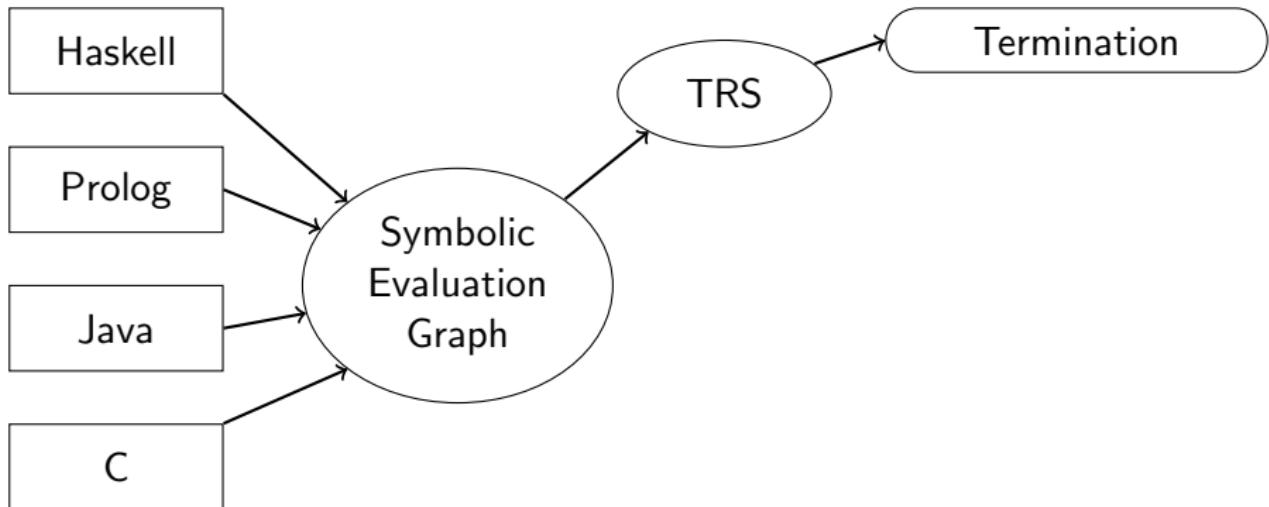
# Rewriting-backed approach: Idea

- Programming languages *hard*  $\curvearrowright$  Simpler representation needed
- Symbolic Evaluation Graphs: Simpler, contain all information
- Term Rewrite Systems (TRSs) generated from Symbolic Evaluation



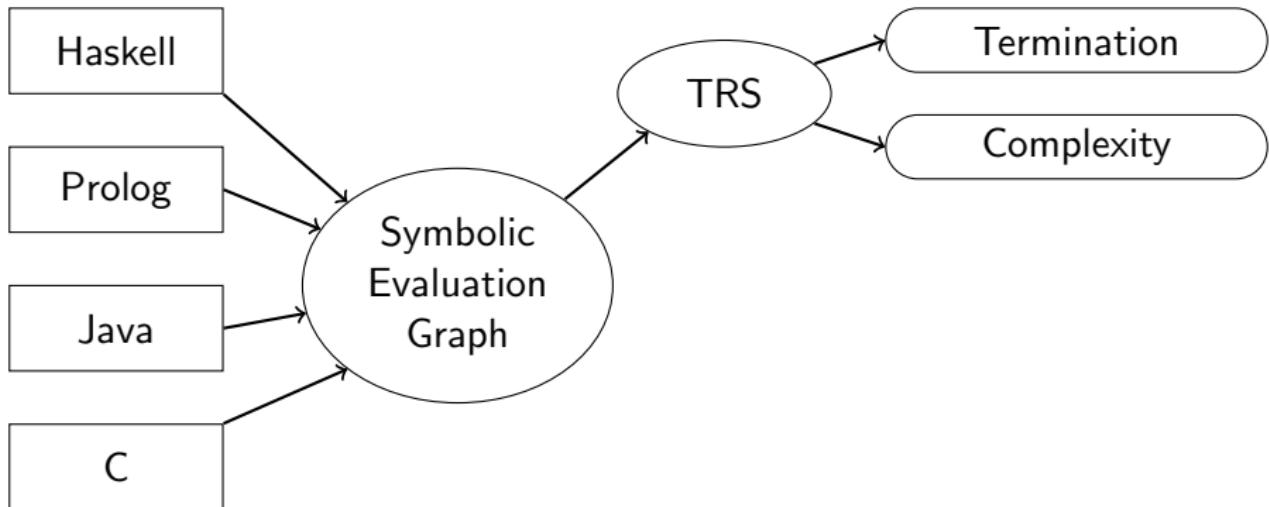
# Rewriting-backed approach: Idea

- Programming languages *hard*  $\curvearrowright$  Simpler representation needed
- Symbolic Evaluation Graphs: Simpler, contain all information
- Term Rewrite Systems (TRSs) generated from Symbolic Evaluation
- Prove TRS termination using existing provers



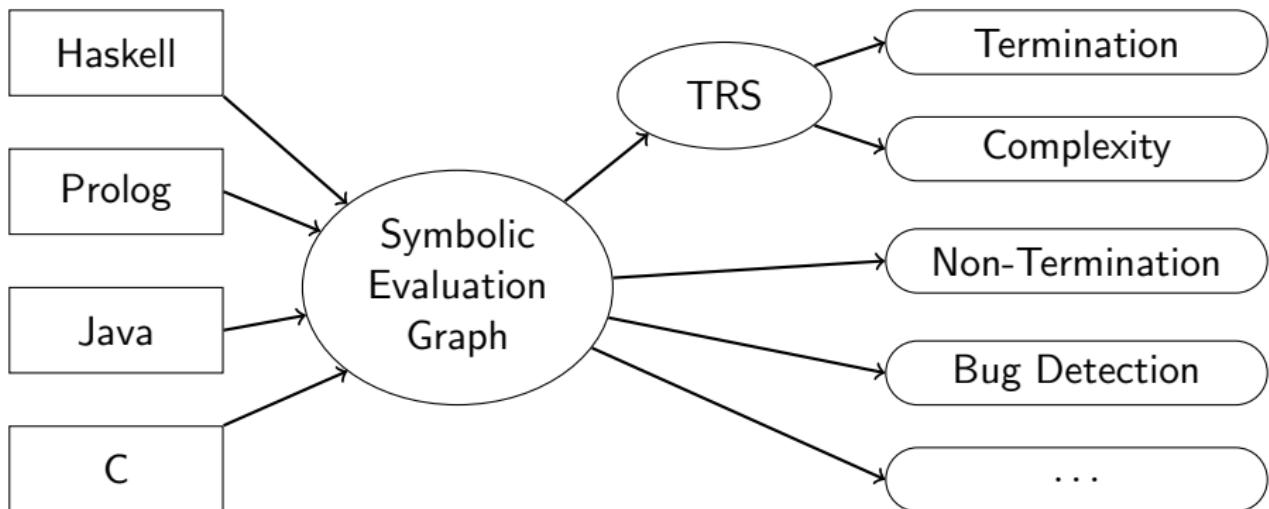
# Rewriting-backed approach: Idea

- Programming languages *hard*  $\curvearrowright$  Simpler representation needed
- Symbolic Evaluation Graphs: Simpler, contain all information
- Term Rewrite Systems (TRSs) generated from Symbolic Evaluation
- Prove TRS termination using existing provers



# Rewriting-backed approach: Idea

- Programming languages *hard*  $\curvearrowright$  Simpler representation needed
- Symbolic Evaluation Graphs: Simpler, contain all information
- Term Rewrite Systems (TRSs) generated from Symbolic Evaluation
- Prove TRS termination using existing provers



## Rewriting-backed approach: Advantages

Handling of user-defined acyclic data structures:

```
public class List {  
    int value;  
    List next;  
}
```

# Rewriting-backed approach: Advantages

Handling of user-defined acyclic data structures:

- Other techniques:  
**Fixed abstraction to number**
- List [2, 4, 6] abstracted to  
**length 3**

```
public class List {  
    int value;  
    List next;  
}
```

# Rewriting-backed approach: Advantages

Handling of user-defined acyclic data structures:

- Other techniques:  
**Fixed abstraction to number**
- List [2, 4, 6] abstracted to  
**length 3**
- Our technique:  
Abstraction to **terms**
- List [2, 4, 6] becomes  
**List(2, List(4, List(6, null)) )**

```
public class List {  
    int value;  
    List next;  
}
```

# Rewriting-backed approach: Advantages

Handling of user-defined acyclic data structures:

- Other techniques:  
Fixed abstraction to **number**
- List [2, 4, 6] abstracted to  
**length 3**
- Our technique:  
Abstraction to **terms**
- List [2, 4, 6] becomes  
**List(2, List(4, List(6, null)))**

```
public class List {  
    int value;  
    List next;  
}
```

- TRS techniques search for suitable orders automatically  
⇒ Complex orders for user-defined data structures possible

# Overview

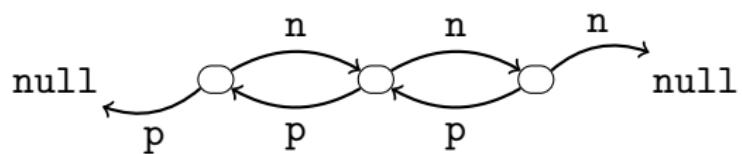
- 1 Introduction
- 2 Building Symbolic Evaluation Graphs
- 3 Generating TRSs from Symbolic Evaluation Graphs
- 4 Post-processing Symbolic Evaluation Graphs
- 5 Conclusion

## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}  
}
```

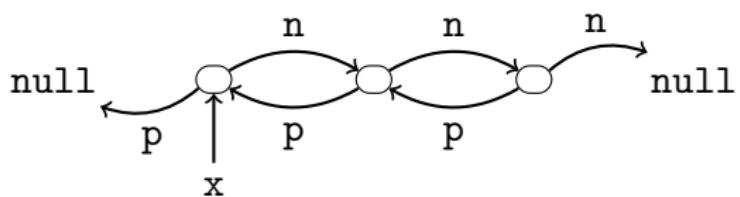
## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}}
```



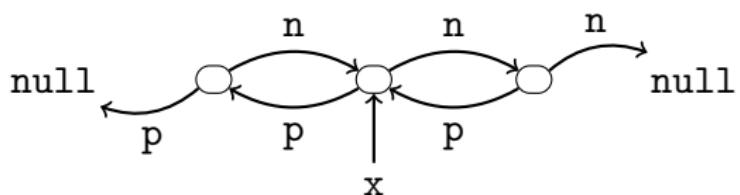
## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}}
```



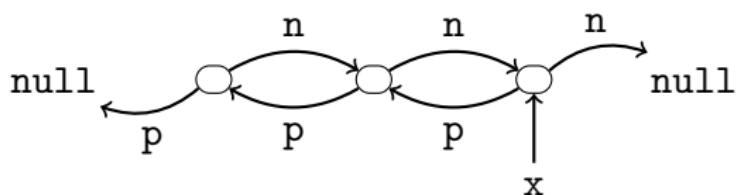
## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}}
```



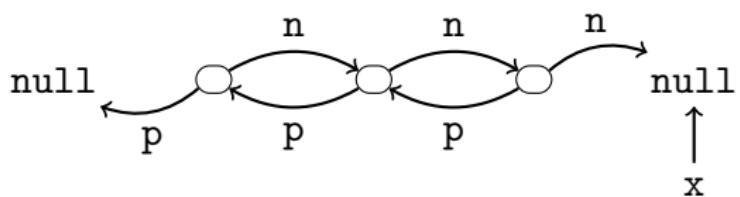
## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}}
```



## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}}
```



## length: the example

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17     #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1     #increment r  
14:  goto 2  
17:  iload_1        #load r  
18:  ireturn        #return r
```

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17     #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1     #increment r  
14:  goto 2         #  
17:  iload_1        #load r  
18:  ireturn        #return r
```

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

## Stack frame:

00	x: o <sub>1</sub>	ε
o <sub>1</sub> : L(?)	o <sub>1</sub> ⊖ {p,n}	

- Next program instruction

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17     #jump if x null  
06:  aload_0        #load x  
07:  getfield n   #get n from x  
10:  astore_0       #store to x  
11:  iinc 1, 1     #increment r  
14:  goto 2           
17:  iload_1       #load r  
18:  ireturn        #return r
```

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17      #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1      #increment r  
14:  goto 2  
17:  iload_1        #load r  
18:  ireturn         #return r
```

## Stack frame:

00	x:o <sub>1</sub>	ε
o <sub>1</sub> :L(?)	o <sub>1</sub> ⊖ {p,n}	

- Next program instruction
- Local variables
- Operand stack

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

00	x: o <sub>1</sub>	ε
o <sub>1</sub> : L(?)	o <sub>1</sub> ⊕ {p,n}	

## Stack frame:

- Next program instruction
- Local variables
- Operand stack

## Heap information:

```
00: iconst_1      #load 1  
01: istore_1      #store to r  
02: aload_0        #load x  
03: ifnull 17      #jump if x null  
06: aload_0        #load x  
07: getfield n    #get n from x  
10: astore_0       #store to x  
11: iinc 1, 1      #increment r  
14: goto 2           
17: iload_1        #load r  
18: ireturn         #return r
```

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17      #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1      #increment r  
14:  goto 2  
17:  iload_1        #load r  
18:  ireturn         #return r
```

00	x: $o_1$   $\varepsilon$
$o_1:L(?)$	$o_1 \cup \{p,n\}$

## Stack frame:

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17      #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1      #increment r  
14:  goto 2  
17:  iload_1        #load r  
18:  ireturn         #return r
```

## Stack frame:

00   x: $o_1$   $\varepsilon$
$o_1:L(?) \quad o_1 \odot_{\{p,n\}}$

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null
- Known L object:  $o_2 : L(n=o_3)$

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17      #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1      #increment r  
14:  goto 2  
17:  iload_1        #load r  
18:  ireturn         #return r
```

## Stack frame:

00   x: $o_1$   $\varepsilon$	
$o_1:L(?)$	$o_1 \odot_{\{p,n\}}$

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null
- Known L object:  $o_2 : L(n=o_3)$
- Unknown integer:  $i_1 : \mathbb{Z}$

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; }}  
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17     #jump if x null  
06:  aload_0        #load x  
07:  getfield n   #get n from x  
10:  astore_0       #store to x  
11:  iinc 1, 1     #increment r  
14:  goto 2           
17:  iload_1       #load r  
18:  ireturn        #return r
```

## Stack frame:

00   x: $o_1$   $\varepsilon$
$o_1:L(?) \quad o_1 \odot_{\{p,n\}}$

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null
- Known L object:  $o_2 : L(n=o_3)$
- Unknown integer:  $i_1 : \mathbb{Z}$

Only explicit sharing

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17     #jump if x null  
06:  aload_0        #load x  
07:  getfield n   #get n from x  
10:  astore_0       #store to x  
11:  iinc 1, 1     #increment r  
14:  goto 2           
17:  iload_1       #load r  
18:  ireturn        #return r
```

00   x: $o_1$   $\varepsilon$
$o_1:L(?)$ $o_1 \odot_{\{p,n\}}$

## Stack frame:

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null
- Known L object:  $o_2 : L(n=o_3)$
- Unknown integer:  $i_1 : \mathbb{Z}$

## Heap predicates: Only explicit sharing

- Two references may be equal:  $o_1 = ? o_2$

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17      #jump if x null  
06:  aload_0        #load x  
07:  getfield n    #get n from x  
10:  astore_0        #store to x  
11:  iinc 1, 1      #increment r  
14:  goto 2         #  
17:  iload_1        #load r  
18:  ireturn         #return r
```

00   x: $o_1$   $\varepsilon$
$o_1:L(?)$ $o_1 \odot_{\{p,n\}}$

## Stack frame:

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null
- Known L object:  $o_2 : L(n=o_3)$
- Unknown integer:  $i_1 : \mathbb{Z}$

## Heap predicates: Only explicit sharing

- Two references may be equal:  $o_1 = ? o_2$
- Two references may share:  $o_1 \backslash\!/ o_2$

# Abstract Java virtual machine states

```
class L {  
    L p, n;  
    static int length(L x) {  
        int r = 1;  
        while (x != null) {  
            x = x.n;  
            r++;  
        }  
        return r; } }
```

```
00:  iconst_1      #load 1  
01:  istore_1      #store to r  
02:  aload_0        #load x  
03:  ifnull 17     #jump if x null  
06:  aload_0        #load x  
07:  getfield n   #get n from x  
10:  astore_0       #store to x  
11:  iinc 1, 1     #increment r  
14:  goto 2           
17:  iload_1       #load r  
18:  ireturn        #return r
```

00   x: $o_1$   $\varepsilon$
$o_1:L(?)$ $o_1\odot_{\{p,n\}}$

## Stack frame:

- Next program instruction
- Local variables
- Operand stack

## Heap information:

- $o_1$  is L object or null
- Known L object:  $o_2 : L(n=o_3)$
- Unknown integer:  $i_1 : \mathbb{Z}$

## Heap predicates: Only explicit sharing

- Two references may be equal:  $o_1 = ? o_2$
- Two references may share:  $o_1 \backslash\!/ o_2$
- Reference might have cycles containing all fields  $F$ :  $o_1\odot F$

```
00:  iconst_1  
01:  istore_1  
02:  aload_0  
03:  ifnull 17  
06:  aload_0  
07:  getfield n  
10:  astore_0  
11:  iinc 1, 1  
14:  goto 2  
17:  iload_1  
18:  ireturn
```

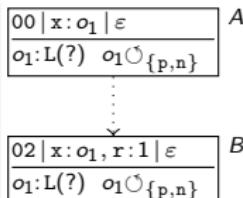
00   x: o <sub>1</sub>   ε	A
o <sub>1</sub> : L(?)	o <sub>1</sub> ○ {p, n}

### State A:

- x some list, might contain cycles using p and n

```
int length(L x) {  
    int r = 1;  
    while (x != null) {  
        x = x.n; r++;  
    }  
    return r; }
```

```
00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn
```



### State A:

- $x$  some list, might contain cycles using  $p$  and  $n$

### State B:

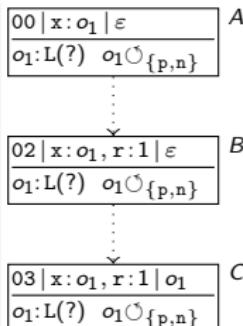
- Initialized variable  $r$  to 1

```
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}
```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



### State A:

- $x$  some list, might contain cycles using  $p$  and  $n$

### State B:

- Initialized variable  $r$  to 1

### State C:

- $x (o_1)$  null? We do not know!

```

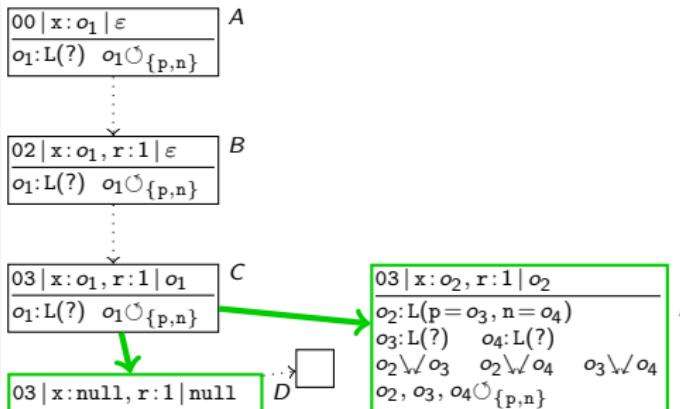
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



### State A:

- $x$  some list, might contain cycles using  $p$  and  $n$

### State B:

- Initialized variable  $r$  to 1

### States C, D, E:

- $x (o_1)$  null? We do not know!

### ⇒ Refinement

- In D:  $o_1$  is null ( $\rightsquigarrow$  program ends)
- In E:  $o_1$  replaced by  $o_2$ , which exists and has fields:
  - Field values can share ( $\rightsquigarrow$  add  $\swarrow$ )
  - Field values can be cyclic again ( $\rightsquigarrow$  add  $\odot$ )

```

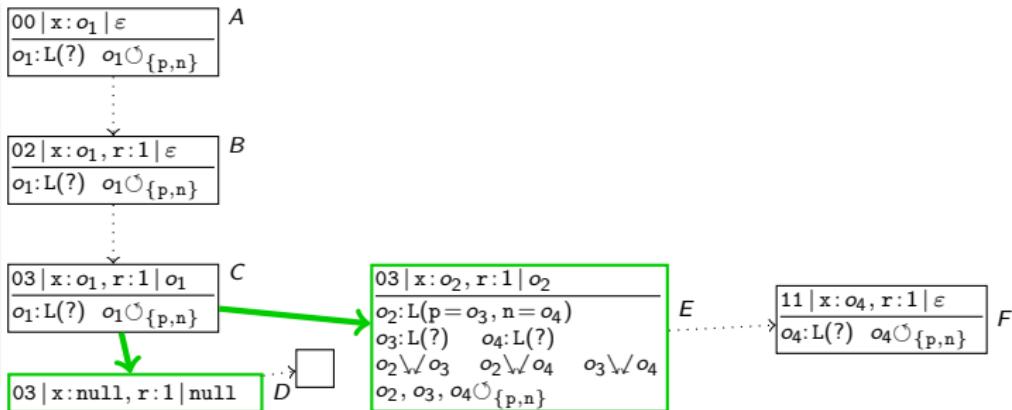
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



### State F:

- Stored x.n to x (allowing for GC)

```

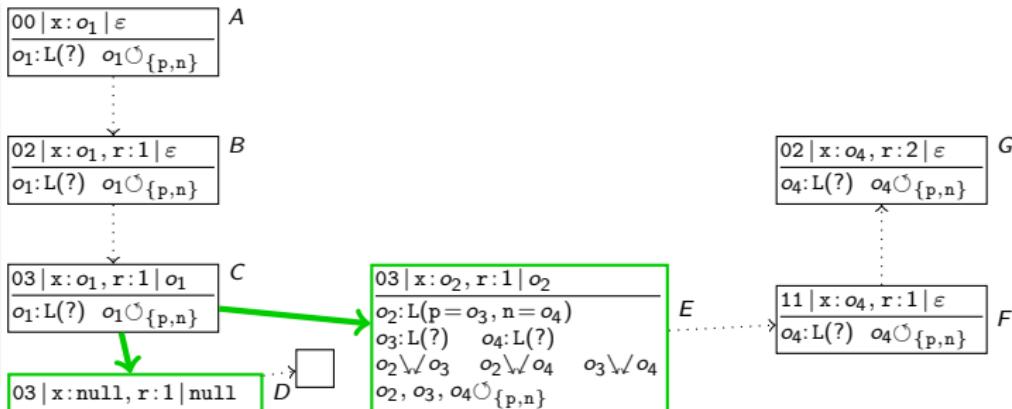
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



### State F:

- Stored  $x.n$  to  $x$  (allowing for GC)

### State G:

- Incremented  $r$ , back to position 02 (as B)

```

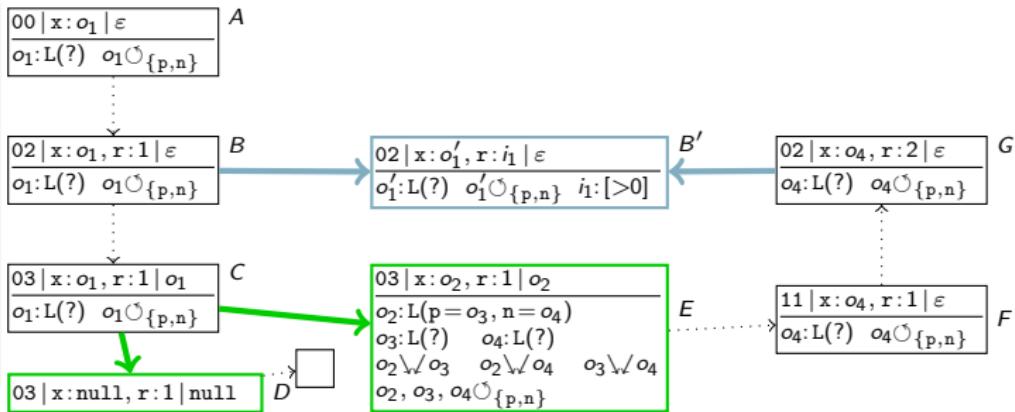
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



### State F:

- Stored x.n to x (allowing for GC)

### States G, B':

- Incremented r, back to position 02 (as B)

$\Rightarrow$  Generalization: “Merge” states B, G

```

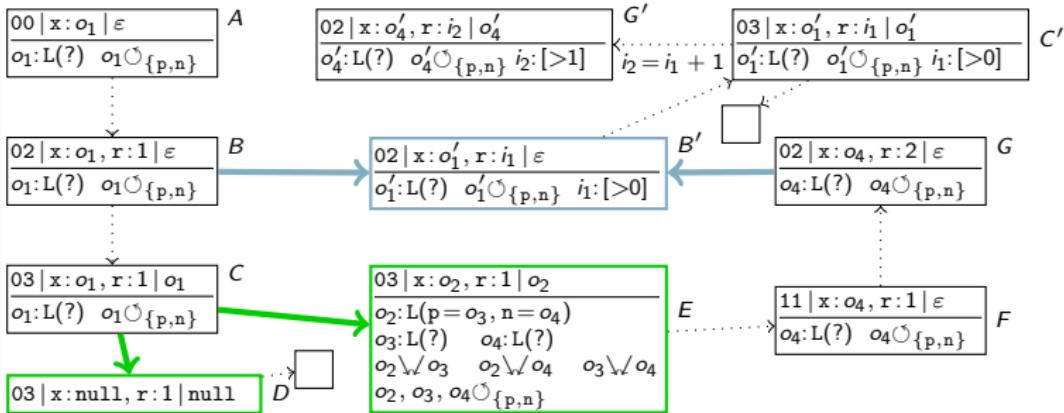
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



- State F:**
- Stored x.n to x (allowing for GC)
- States G, B':**
- Incremented r, back to position 02 (as B)

⇒ Generalization: “Merge” states B, G

- States C', G':**
- Repetition of C, G

```

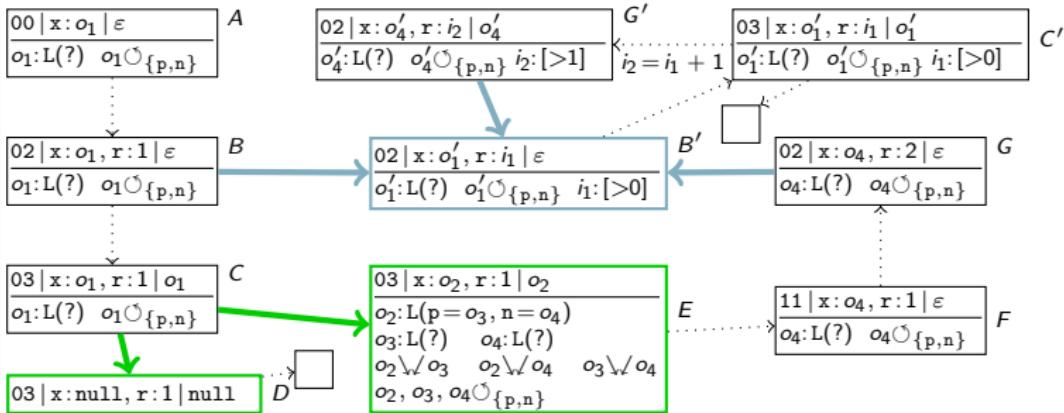
int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

```

00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



### State F:

- Stored x.n to x (allowing for GC)

### States G, B':

- Incremented r, back to position 02 (as B)

⇒ Generalization: “Merge” states B, G

### States C', G':

- Repetition of C, G

```

int length(L x) {
    int r = 1;
    while (x != null) {
        x = x.n; r++;
    }
    return r;
}

```

# Orientation: Term Rewriting

- Generalized Functional Programming

# Orientation: Term Rewriting

- Generalized Functional Programming
- Rules  $\mathcal{R}$  define rewrite relation:

$$\text{app}(\text{Cons}(x, xs), ys) \rightarrow \text{Cons}(x, \text{app}(xs, ys)) \quad (1)$$

$$\text{app}(\text{Nil}, ys) \rightarrow ys \quad (2)$$

- Rewriting of term  $t$  with rule  $l \rightarrow r$ :
  - ① Find subterm  $s$  of  $t$
  - ② Find variable instantiation  $\sigma$  with  $\sigma(l) = s$
  - ③ Result  $t'$  is  $t$  with  $s$  replaced by  $\sigma(r)$

# Orientation: Term Rewriting

- Generalized Functional Programming
- Rules  $\mathcal{R}$  define rewrite relation:

$$\text{app}(\text{Cons}(x, xs), ys) \rightarrow \text{Cons}(x, \text{app}(xs, ys)) \quad (1)$$

$$\text{app}(\text{Nil}, ys) \rightarrow ys \quad (2)$$

- Rewriting of term  $t$  with rule  $l \rightarrow r$ :
  - ① Find subterm  $s$  of  $t$
  - ② Find variable instantiation  $\sigma$  with  $\sigma(l) = s$
  - ③ Result  $t'$  is  $t$  with  $s$  replaced by  $\sigma(r)$

$\text{app}(\text{Cons}(1, \text{Nil}), \text{Cons}(2, \text{Nil}))$     with (1),  $x = 1$ ,  $xs = \text{Nil}$ ,  
 $ys = \text{Cons}(2, \text{Nil})$

$\rightarrow \text{Cons}(1, \underline{\text{app}(\text{Nil}, \text{Cons}(2, \text{Nil}))})$     with (2),  $ys = \text{Cons}(2, \text{Nil})$   
 $\rightarrow \text{Cons}(1, \text{Cons}(2, \text{Nil}))$

## Transforming values to terms

$$\frac{03 \mid x:o_2, r:1 \mid o_2}{o_2:L(p=o_3, n=o_4)} E$$
$$o_3:L(?) \quad o_4:L(?)$$
$$o_2 \setminus_w o_3 \quad o_2 \setminus_w o_4 \quad o_3 \setminus_w o_4$$
$$o_2, o_3, o_4 \circlearrowleft_{\{p,n\}}$$

## Transforming values to terms

$$\frac{03 \mid x:o_2, r:\textcolor{red}{1} \mid o_2}{o_2:L(p=o_3, n=o_4)} E$$
$$o_3:L(?) \quad o_4:L(?)$$
$$o_2 \setminus_w o_3 \quad o_2 \setminus_w o_4 \quad o_3 \setminus_w o_4$$
$$o_2, o_3, o_4 \circlearrowleft_{\{p,n\}}$$

- Known integers transformed to themselves

## Transforming values to terms

$$\frac{03 \mid x:o_2, r:1 \mid o_2}{o_2:L(p=o_3, n=o_4)} E$$

$o_3:L(?) \quad o_4:L(?)$

$o_2 \setminus_w o_3 \quad o_2 \setminus_w o_4 \quad o_3 \setminus_w o_4$

$o_2, o_3, o_4 \circlearrowleft_{\{p,n\}}$

- Known integers transformed to themselves
- Unknown values transformed to variables

$o_3, o_4 \quad 1$

## Transforming values to terms

$$\begin{array}{c} 03 \mid x: o_2, r: 1 \mid o_2 \\ \hline o_2: L(p=o_3, n=o_4) \\ o_3: L(?) \quad o_4: L(?) \\ o_2 \setminus_w o_3 \quad o_2 \setminus_w o_4 \quad o_3 \setminus_w o_4 \\ o_2, o_3, o_4 \circlearrowleft_{\{p,n\}} \end{array}$$

E

- Known integers transformed to themselves
- Unknown values transformed to variables
- Data structures transformed to nested constructor terms:  
Class C1 with  $n$  fields  $\curvearrowright$  symbol C1 of arity  $n$

$$\overbrace{L(o_3, o_4)}^{o_2} 1$$

# Transforming states to terms

$$\begin{array}{c} 03 \mid x: o_2, r: 1 \mid o_2 \\ \hline o_2: L(p = o_3, n = o_4) \\ o_3: L(?) \quad o_4: L(?) \\ o_2 \setminus_w o_3 \quad o_2 \setminus_w o_4 \quad o_3 \setminus_w o_4 \\ o_2, o_3, o_4 \circlearrowleft_{\{p,n\}} \end{array}$$

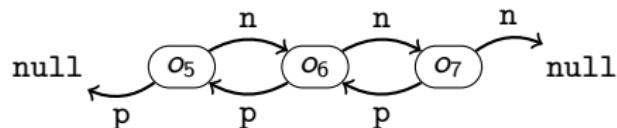
E

- Known integers transformed to themselves
- Unknown values transformed to variables
- Data structures transformed to nested constructor terms:  
Class C1 with  $n$  fields  $\curvearrowright$  symbol C1 of arity  $n$
- Encoding cycles: Special symbol  $\circlearrowleft$  for repetition

$o_5: L(p = \text{null}, n = o_6)$

$o_6: L(p = o_5, n = o_7)$

$o_7: L(p = o_6, n = \text{null})$



Encoding of  $o_5: L(\text{null}, L(\circlearrowleft, L(\circlearrowleft, \text{null})))$

Encoding of  $o_6: L(L(\text{null}, \circlearrowleft), L(\circlearrowleft, \text{null}))$

## Transforming edges to rules

$$\begin{array}{c} 03 \mid x: o_2, r: 1 \mid o_2 \\ \hline o_2: L(p = o_3, n = o_4) \\ o_3: L(?) \quad o_4: L(?) \\ o_2 \swarrow o_3 \quad o_2 \swarrow o_4 \quad o_3 \swarrow o_4 \\ o_2, o_3, o_4 \circlearrowleft_{\{p,n\}} \end{array}$$

E

- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments

$$f_E(\overbrace{L(o_3, o_4)}^{o_2}, 1, \overbrace{L(o_3, o_4)}^{o_2})$$

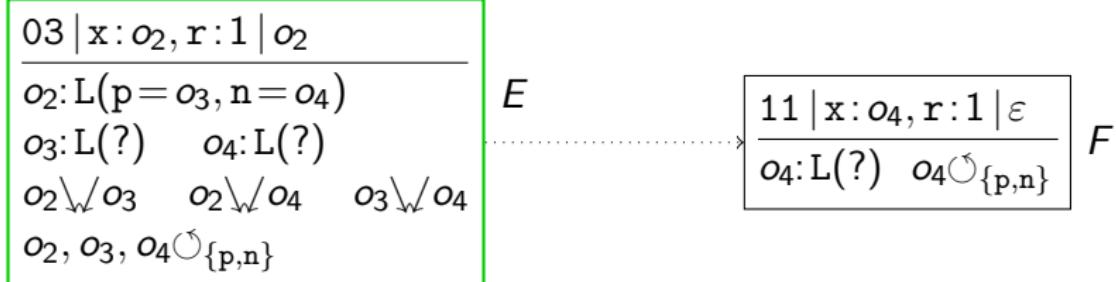
## Transforming edges to rules

$$\boxed{\frac{03 \mid x:o_2, r:1 \mid o_2}{o_2:L(p=o_3, n=o_4) \quad o_3:L(?) \quad o_4:L(?) \\ o_2 \setminus_w o_3 \quad o_2 \setminus_w o_4 \quad o_3 \setminus_w o_4 \\ o_2, o_3, o_4 \circlearrowleft_{\{p,n\}}}} E \dots \rightarrow \boxed{\frac{11 \mid x:o_4, r:1 \mid \varepsilon}{o_4:L(?) \quad o_4 \circlearrowleft_{\{p,n\}}}} F}$$

- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments

$$f_E(\overbrace{L(o_3, o_4)}^{o_2}, 1, \overbrace{L(o_3, o_4)}^{o_2})$$

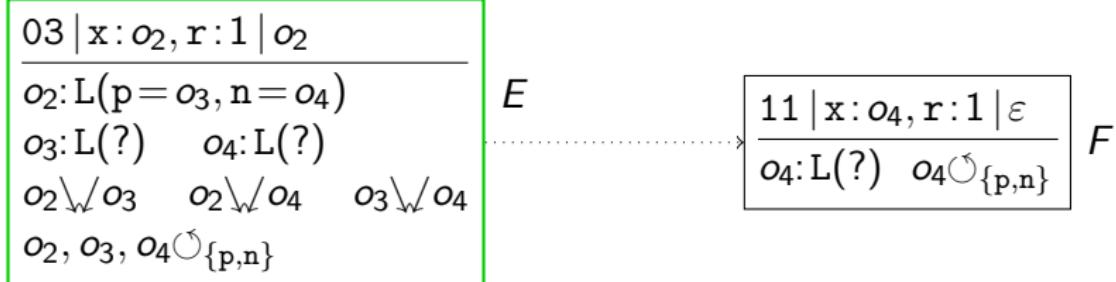
## Transforming edges to rules



- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments
- Evaluation edges: Encode states, put in  $\rightarrow$

$$f_E(\overbrace{L(o_3, o_4)}^{o_2}, 1, \overbrace{L(o_3, o_4)}^{o_2}) \rightarrow f_F(o_4, 1)$$

## Transforming edges to rules



- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments
- Evaluation edges: Encode states, put in  $\rightarrow$
- Problem: Cycle encoding changes  $\curvearrowright$  free var on rhs

$$f_E(\overbrace{L(o_3, o_4)}^{o_2}, 1, \overbrace{L(o_3, o_4)}^{o_2}) \rightarrow f_F(o_4^{'}, 1)$$

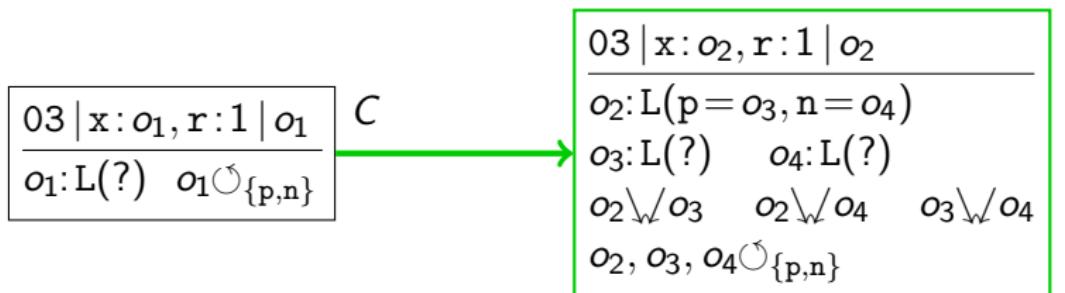
## Transforming edges to rules

$$\boxed{\begin{array}{c} 03 \mid x:o_2, r:1 \mid o_2 \\ \hline o_2:L(p=o_3, n=o_4) \\ o_3:L(?) \quad o_4:L(?) \\ o_2 \searrow o_3 \quad o_2 \searrow o_4 \quad o_3 \searrow o_4 \\ o_2, o_3, o_4 \circlearrowleft_{\{p,n\}} \end{array}} \xrightarrow{E} \boxed{\begin{array}{c} 11 \mid x:o_4, r:1 \mid \varepsilon \\ \hline o_4:L(?) \quad o_4 \circlearrowleft_{\{p,n\}} \end{array}} \xrightarrow{F}$$

- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments
- Evaluation edges: Encode states, put in  $\rightarrow$
- Problem: Cycle encoding changes  $\curvearrowright$  free var on rhs
- Solution: Only encode non-cyclic parts!

$$f_E(\overbrace{L(o_4)}^{o_2}, 1, \overbrace{L(o_4)}^{o_2}) \rightarrow f_F(o_4, 1)$$

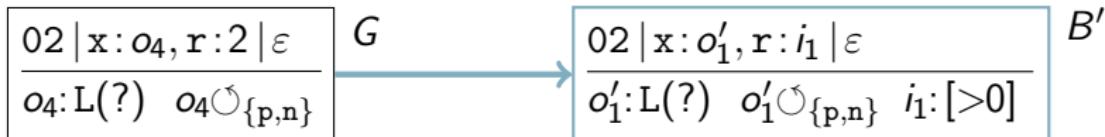
# Transforming edges to rules



- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments
- Evaluation edges: Encode states, put in  $\rightarrow$
- Problem: Cycle encoding changes  $\curvearrowright$  free var on rhs
- Solution: Only encode non-cyclic parts!
- Refinement edges: Encode target state twice, relabel

$$f_C(L(o_4), 1, L(o_4)) \rightarrow f_E(L(o_4), 1, L(o_4))$$

# Transforming edges to rules



- State  $s$  transformed to term with symbol  $f_s$
- All local variables, stack entries as arguments
- Evaluation edges: Encode states, put in  $\rightarrow$
- Problem: Cycle encoding changes  $\curvearrowright$  free var on rhs
- Solution: Only encode non-cyclic parts!
- Refinement edges: Encode target state twice, relabel
- Instantiation edges: Encode source state twice, relabel

$$f_G(o_4, 2) \xrightarrow{\hspace{1cm}} f_{B'}(o_4, 2)$$

## Orientation: Polynomial Orders

- Function symbols interpreted as polynomials over  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{N}^n, \dots$

## Orientation: Polynomial Orders

- Function symbols interpreted as polynomials over  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{N}^n, \dots$
- Extension to terms:  $\llbracket f(t_1, \dots, t_n) \rrbracket = \llbracket f \rrbracket(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket)$
- Termination proof: For  $I \rightarrow r$  prove  $\exists c. \llbracket I \rrbracket > \llbracket r \rrbracket \wedge \llbracket I \rrbracket \geq c$

## Orientation: Polynomial Orders

- Function symbols interpreted as polynomials over  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{N}^n, \dots$
- Extension to terms:  $\llbracket f(t_1, \dots, t_n) \rrbracket = \llbracket f \rrbracket(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket)$
- Termination proof: For  $I \rightarrow r$  prove  $\exists c. \llbracket I \rrbracket > \llbracket r \rrbracket \wedge \llbracket I \rrbracket \geq c$

Rule:  $\text{app}(\text{Cons}(x, xs), ys) \rightarrow \text{Cons}(x, \text{app}(xs, ys))$

Choose  $\llbracket \text{app} \rrbracket = (x, y) \mapsto 1 + 2 \cdot x$ ,  $\llbracket \text{Cons} \rrbracket = (x, y) \mapsto 1 + y$ ,

## Orientation: Polynomial Orders

- Function symbols interpreted as polynomials over  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{N}^n, \dots$
- Extension to terms:  $\llbracket f(t_1, \dots, t_n) \rrbracket = \llbracket f \rrbracket(\llbracket t_1 \rrbracket, \dots, \llbracket t_n \rrbracket)$
- Termination proof: For  $I \rightarrow r$  prove  $\exists c. \llbracket I \rrbracket > \llbracket r \rrbracket \wedge \llbracket I \rrbracket \geq c$

Rule:  $\text{app}(\text{Cons}(x, xs), ys) \rightarrow \text{Cons}(x, \text{app}(xs, ys))$

Interpretation:  $1 + 2 + 2 \cdot xs > 1 + 1 + 2 \cdot xs$

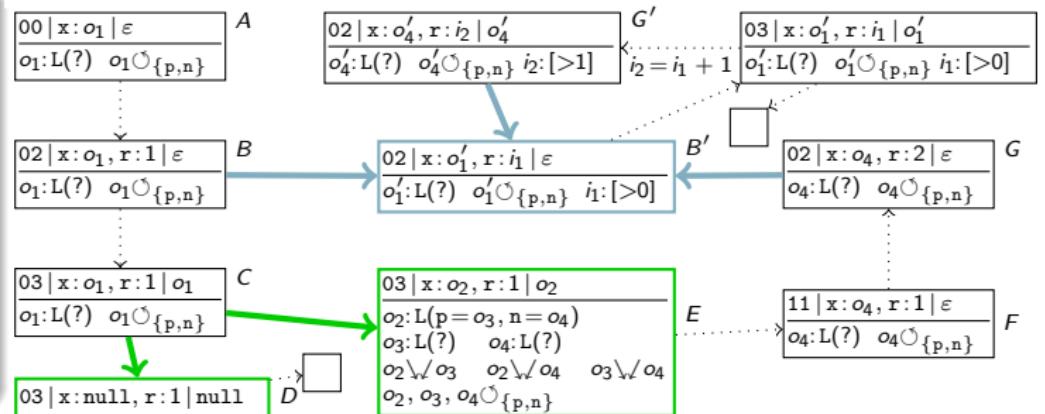
Choose  $\llbracket \text{app} \rrbracket = (x, y) \mapsto 1 + 2 \cdot x$ ,  $\llbracket \text{Cons} \rrbracket = (x, y) \mapsto 1 + y$ ,

# The example TRS

```

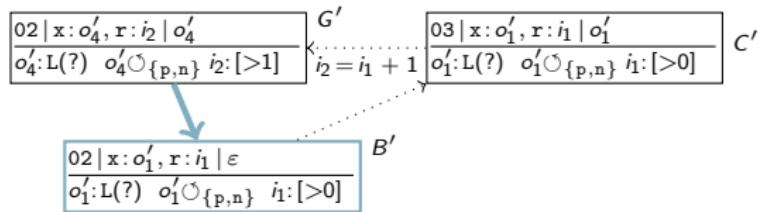
00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn

```



# The example TRS

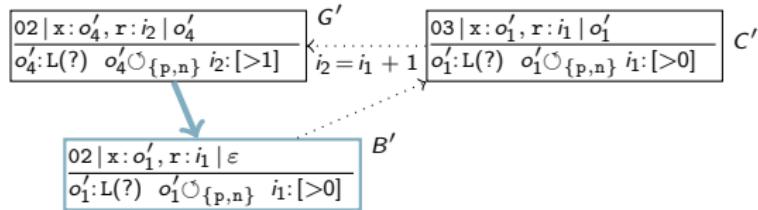
```
00:  iconst_1  
01:  istore_1  
02:  aload_0  
03:  ifnull 17  
06:  aload_0  
07:  getfield n  
10:  astore_0  
11:  iinc 1, 1  
14:  goto 2  
17:  iload_1  
18:  ireturn
```



- ① Only consider SCCs!

# The example TRS

```
00:  iconst_1  
01:  istore_1  
02:  aload_0  
03:  ifnull 17  
06:  aload_0  
07:  getfield n  
10:  astore_0  
11:  iinc 1, 1  
14:  goto 2  
17:  iload_1  
18:  ireturn
```

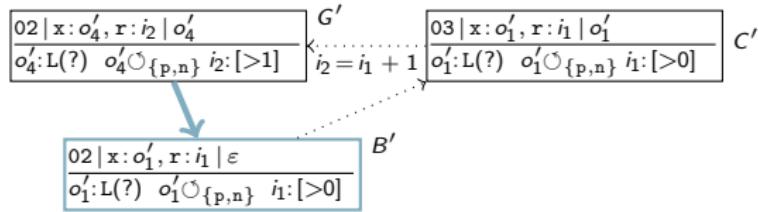


- ① Only consider SCCs!
- ② Transform all edges as before, simplify:

$$f_{B'}(L(o'_4), i_1) \rightarrow f_{B'}(o'_4, i_1 + 1)$$

# The example TRS

```
00:  iconst_1  
01:  istore_1  
02:  aload_0  
03:  ifnull 17  
06:  aload_0  
07:  getfield n  
10:  astore_0  
11:  iinc 1, 1  
14:  goto 2  
17:  iload_1  
18:  ireturn
```



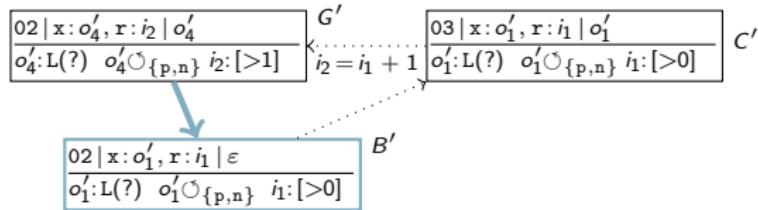
- ① Only consider SCCs!
- ② Transform all edges as before, simplify:

$$f_{B'}(L(o'_4), i_1) \rightarrow f_{B'}(o'_4, i_1 + 1)$$

- ③ Termination trivially proven with
  - $\llbracket f_{B'} \rrbracket = (x_1, x_2) \mapsto x_1$
  - $\llbracket L \rrbracket = (x_1) \mapsto x_1 + 1$

# The example TRS

```
00:  iconst_1
01:  istore_1
02:  aload_0
03:  ifnull 17
06:  aload_0
07:  getfield n
10:  astore_0
11:  iinc 1, 1
14:  goto 2
17:  iload_1
18:  ireturn
```



- ① Only consider SCCs!
- ② Transform all edges as before, simplify:

$$f_{B'}(L(o'_4), i_1) \rightarrow f_{B'}(o'_4, i_1 + 1)$$
$$o'_4 + 1 > o'_4$$

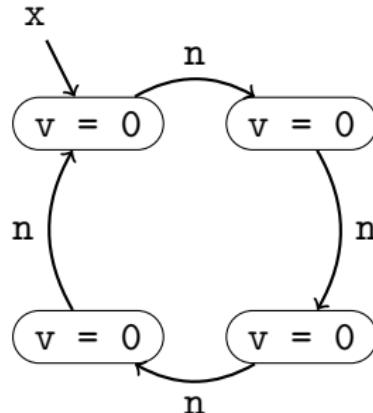
- ③ Termination trivially proven with

$$[f_{B'}] = (x_1, x_2) \mapsto x_1$$

$$[L] = (x_1) \mapsto x_1 + 1$$

## visit: the example

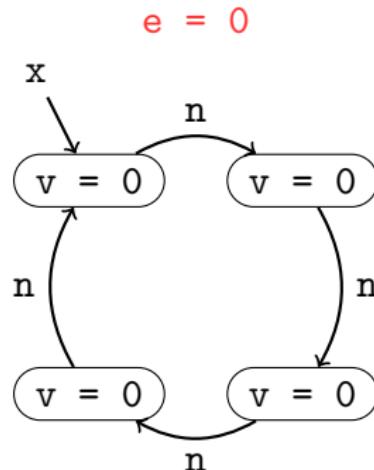
```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```



- ➊ Store first `v`
- ➋ Continue if object unvisited
- ➌ Change `v`
- ➍ Go to next element

## visit: the example

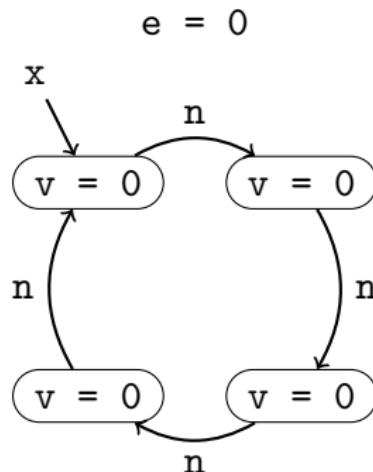
```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```



- ① Store first  $v$
- ② Continue if object unvisited
- ③ Change  $v$
- ④ Go to next element

## visit: the example

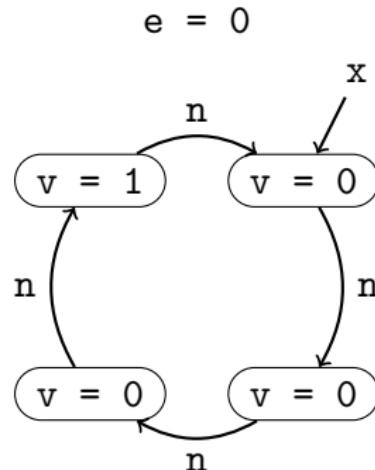
```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```



- ➊ Store first  $v$
- ➋ Continue if object unvisited
- ➌ Change  $v$
- ➍ Go to next element

## visit: the example

```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```

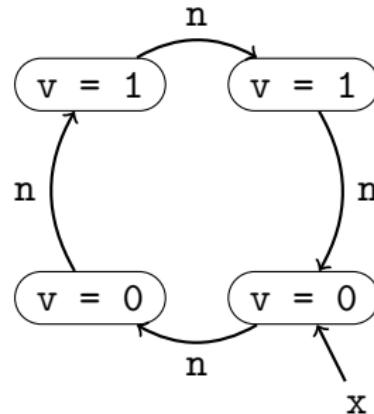


- ➊ Store first v
- ➋ Continue if object unvisited
- ➌ Change v
- ➍ Go to next element

## visit: the example

```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```

e = 0

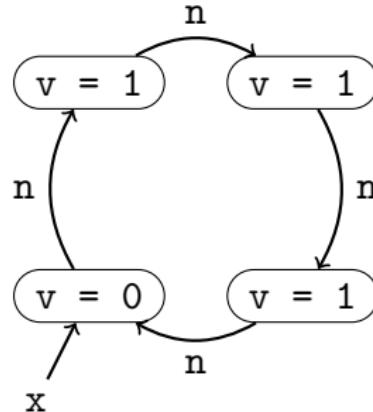


- ➊ Store first v
- ➋ Continue if object unvisited
- ➌ Change v
- ➍ Go to next element

## visit: the example

```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```

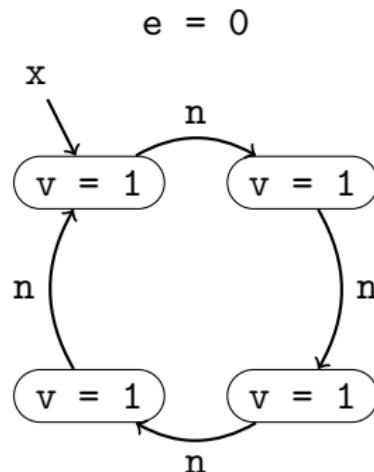
e = 0



- ➊ Store first v
- ➋ Continue if object unvisited
- ➌ Change v
- ➍ Go to next element

## visit: the example

```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n;  }}}
```



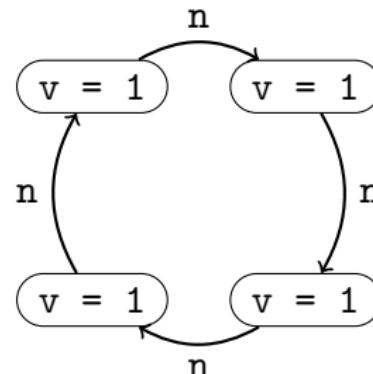
- ➊ Store first  $v$
- ➋ Continue if object unvisited
- ➌ Change  $v$
- ➍ Go to next element

## visit: the example

```
class L {  
    int v;      L n;  
    static void visit(L x) {  
        int e = x.v;  
        while (x.v == e) {  
            x.v = e + 1;  
            x = x.n; }}}
```

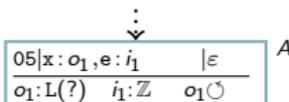
```
00: aload_0      #load x  
01: getfield v  #get v from x  
04: istore_1    #store to e  
05: aload_0      #load x  
06: getfield v  #get v from x  
09: iload_1     #load e  
10: if_icmpne 28 #jump if x.v != e  
13: aload_0      #load x  
14: iload_1     #load e  
15: iconst_1    #load 1  
16: iadd         #add e and 1  
17: putfield v  #store to x.v  
20: aload_0      #load x  
21: getfield n  #get n from x  
24: astore_0    #store to x  
25: goto 5  
28: return
```

e = 0



- ➊ Store first v
- ➋ Continue if object unvisited
- ➌ Change v
- ➍ Go to next element

```
00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return
```



### State A:

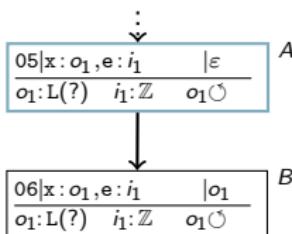
- $x$  some (possibly cyclic) list
- $e$  some integer

```
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }}
```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### State B:

- Evaluation between A and B
- Need field of  $o_1$

```

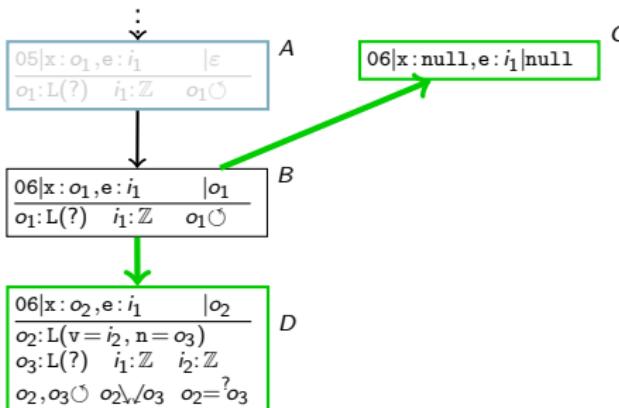
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }}}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### States $B$ , $C$ , $D$ :

- Evaluation between  $A$  and  $B$
- Need field of  $o_1 \Rightarrow$  Refinement:
  - In  $C$ :  $o_1$  is null
  - In  $D$ :  $o_1$  renamed to  $o_2$ , pointing to L-object with successor  $o_3$ :
    - $o_3$  possibly cyclic
    - $o_3$  possibly equal to  $o_2$  and may reach  $o_2$

```

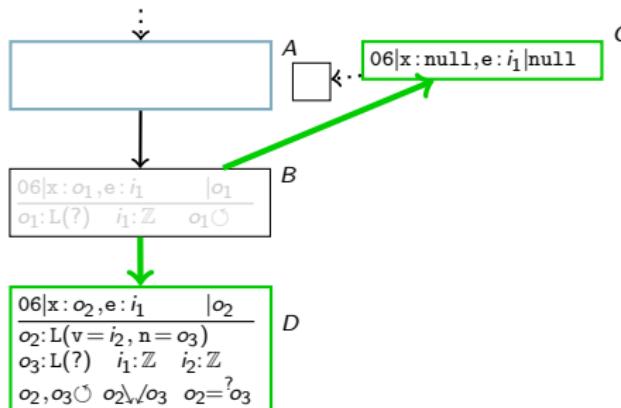
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### States *B*, *C*, *D*:

- *Evaluation* between *A* and *B*
- Need field of  $o_1 \Rightarrow$  **Refinement**:
  - In *C*:  $o_1$  is null (program crashes)
  - In *D*:  $o_1$  renamed to  $o_2$ , pointing to L-object with successor  $o_3$ :
    - $o_3$  possibly cyclic
    - $o_3$  possibly equal to  $o_2$  and may reach  $o_2$

```

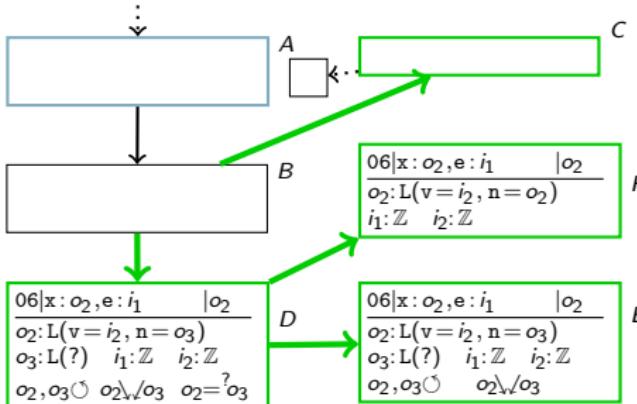
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### States E, F:

- Need to read field of  $o_2 \Rightarrow$  Refinement
  - In E:  $o_2 \neq o_3$
  - In F:  $o_2 = o_3$

```

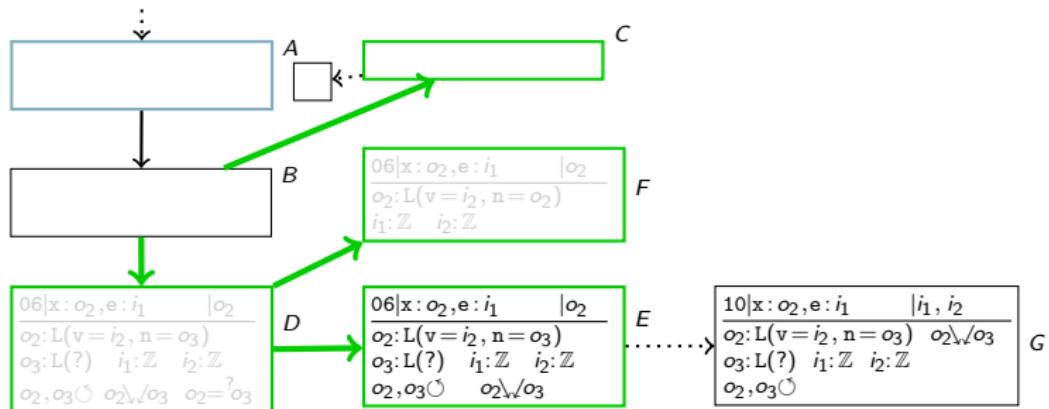
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### State G:

- Evaluation: Read  $v$ , loaded  $e$
- Need to decide  $i_1 \neq i_2$

```

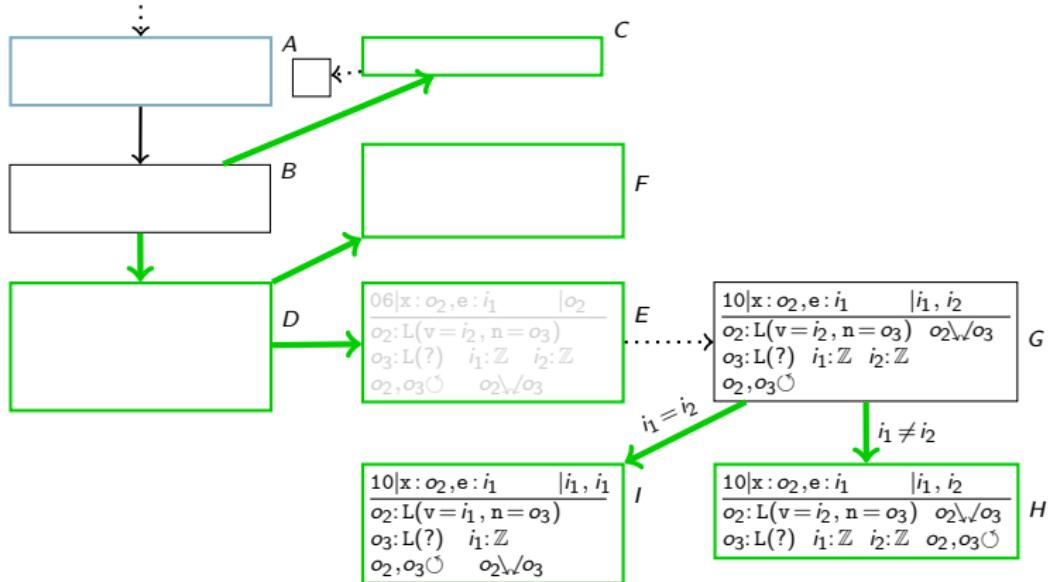
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



## States $G$ , $I$ , $H$ :

- Evaluation: Read  $v$ , loaded  $e$
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In  $I$ :  $i_1 = i_2$
  - In  $H$ :  $i_1 \neq i_2$

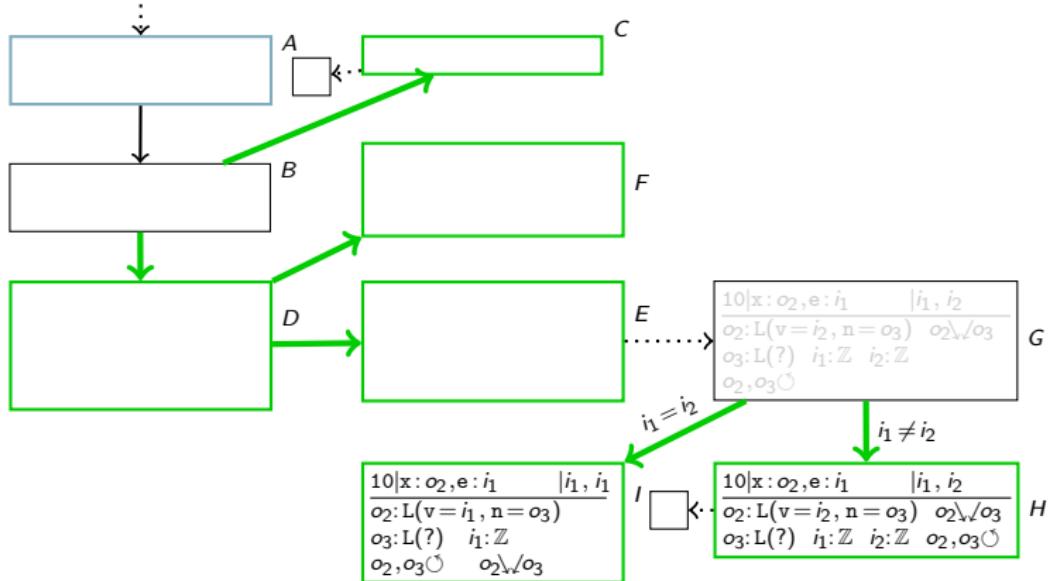
```

static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }}
```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



## States *G*, *I*, *H*:

- Evaluation: Read *v*, loaded *e*
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In *I*:  $i_1 = i_2$  (program ends)
  - In *H*:  $i_1 \neq i_2$

```

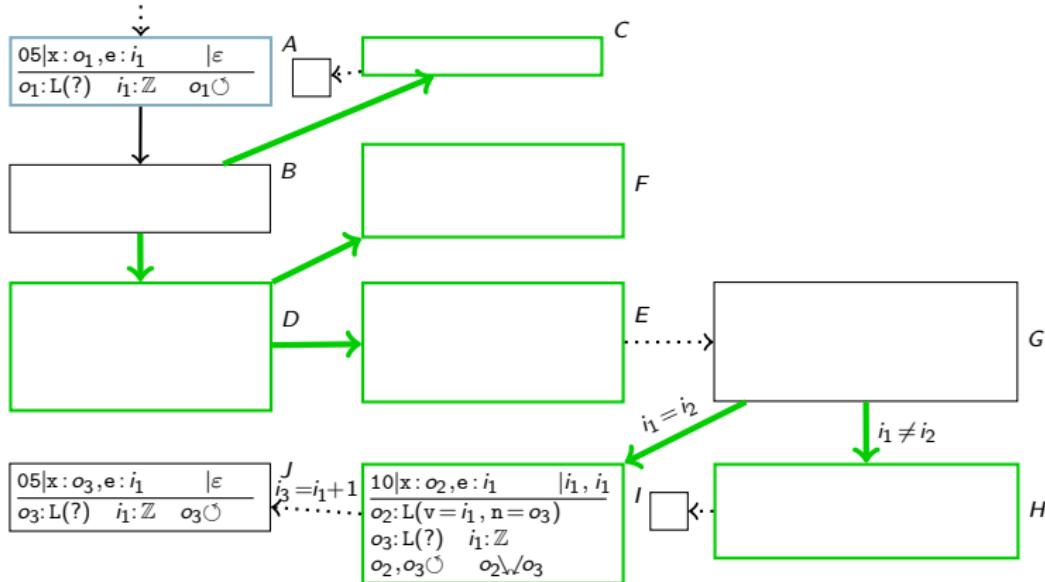
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



States  $G, I, H$ :

- Evaluation: Read  $v$ , loaded  $e$
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In  $I$ :  $i_1 = i_2$  (program ends)
  - In  $H$ :  $i_1 \neq i_2$
- State  $J$**  reached by evaluation

```

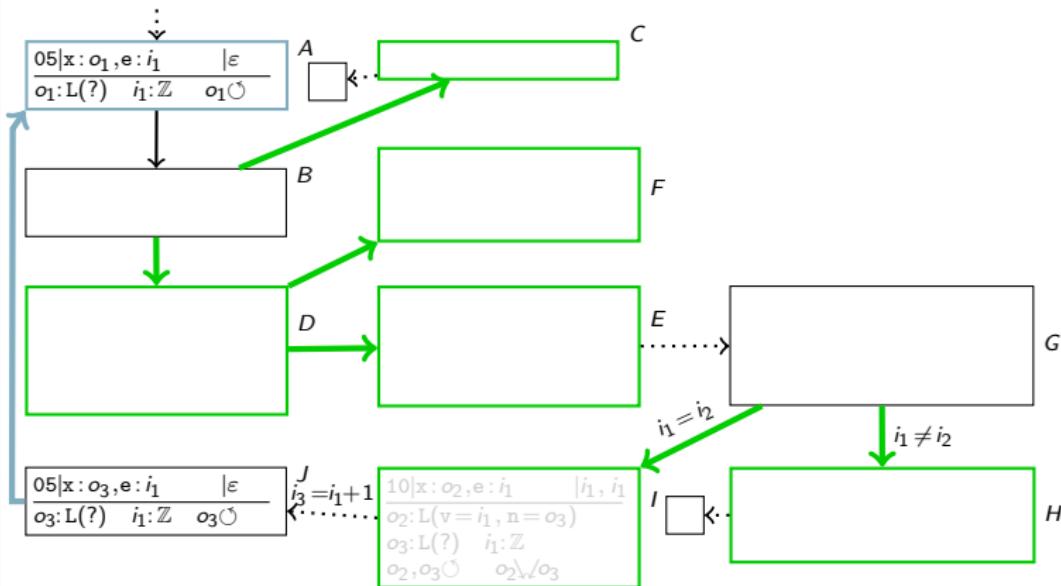
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



States *G*, *I*, *H*:

- Evaluation: Read *v*, loaded *e*
- Need to decide  $i_1 \neq i_2 \Rightarrow$  **Refinement**:
  - In *I*:  $i_1 = i_2$  (program ends)
  - In *H*:  $i_1 \neq i_2$
- **State *J*** reached by evaluation, represented by (**instance of**) *A*

```

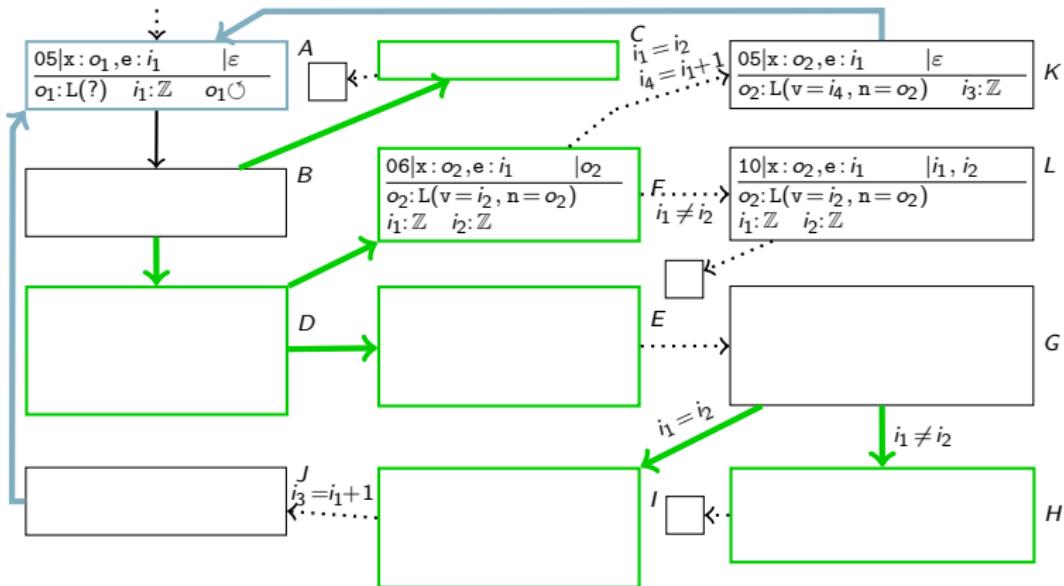
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



States *K*, *L*: Analogous for one-element list

```

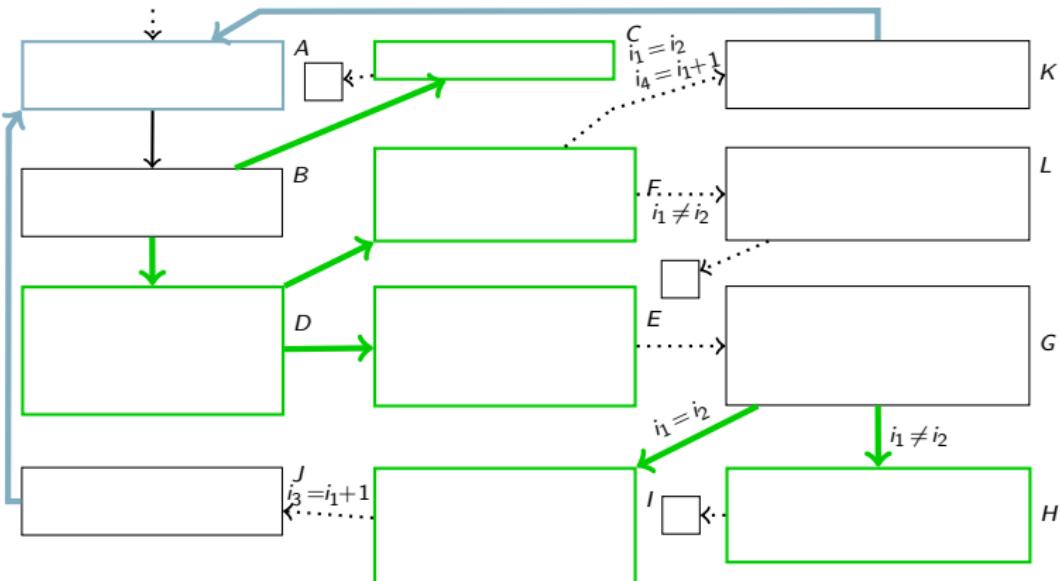
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?

```

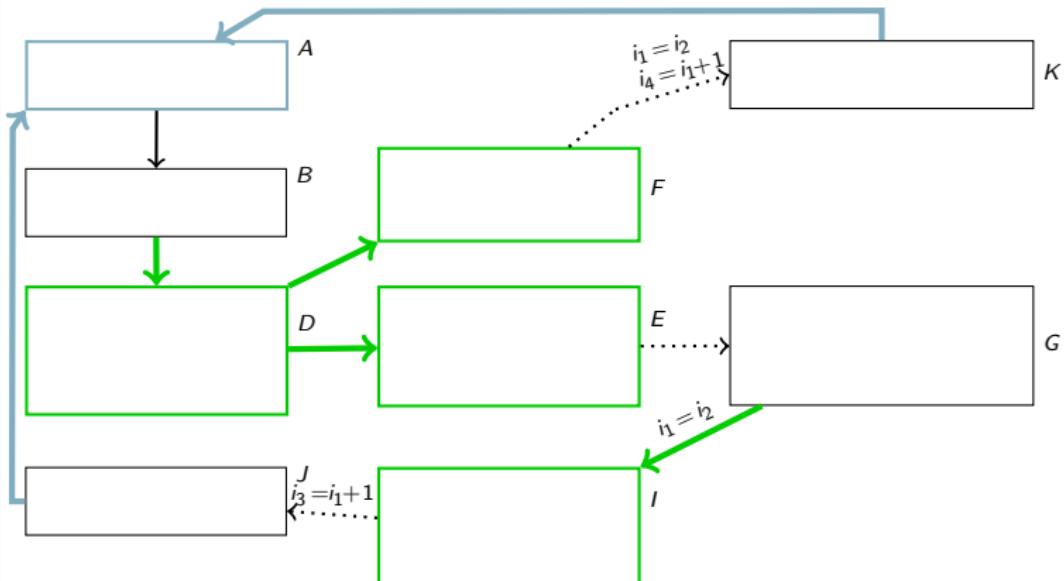
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?
- Only consider SCCs

```

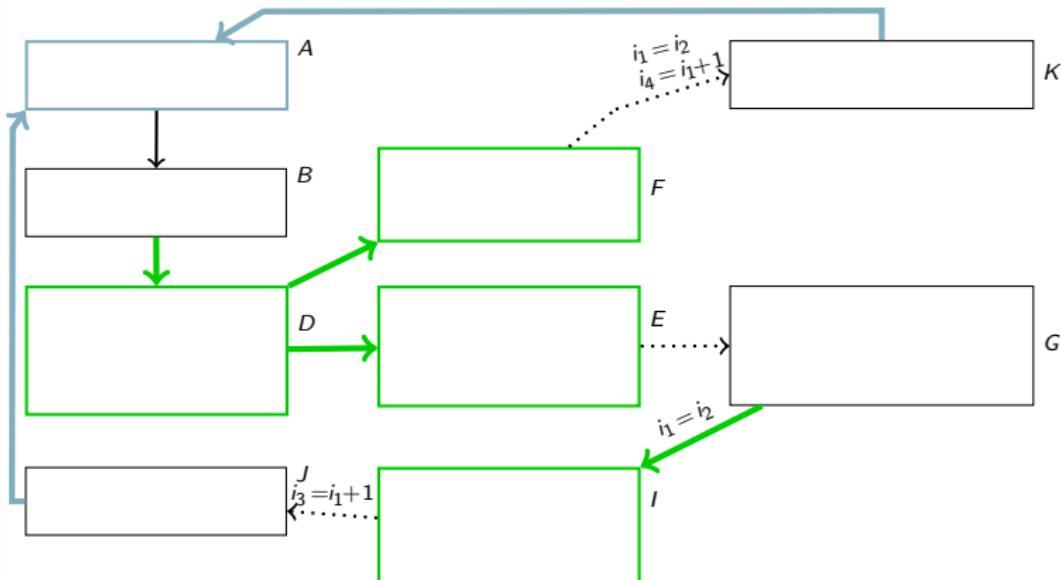
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?
- Only consider SCCs

High-level argument: Number of unvisited elements strictly decreasing

```

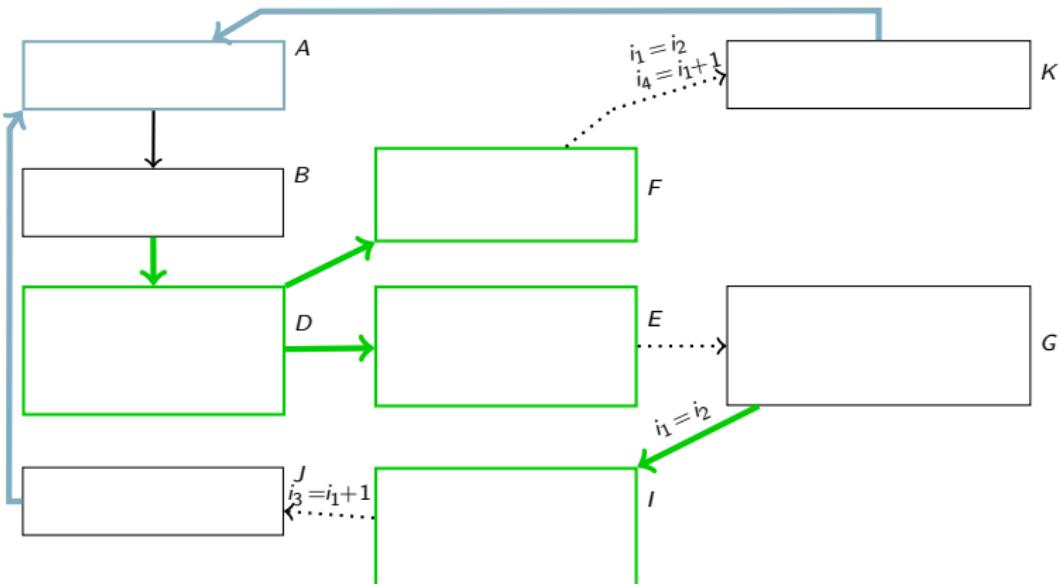
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

```

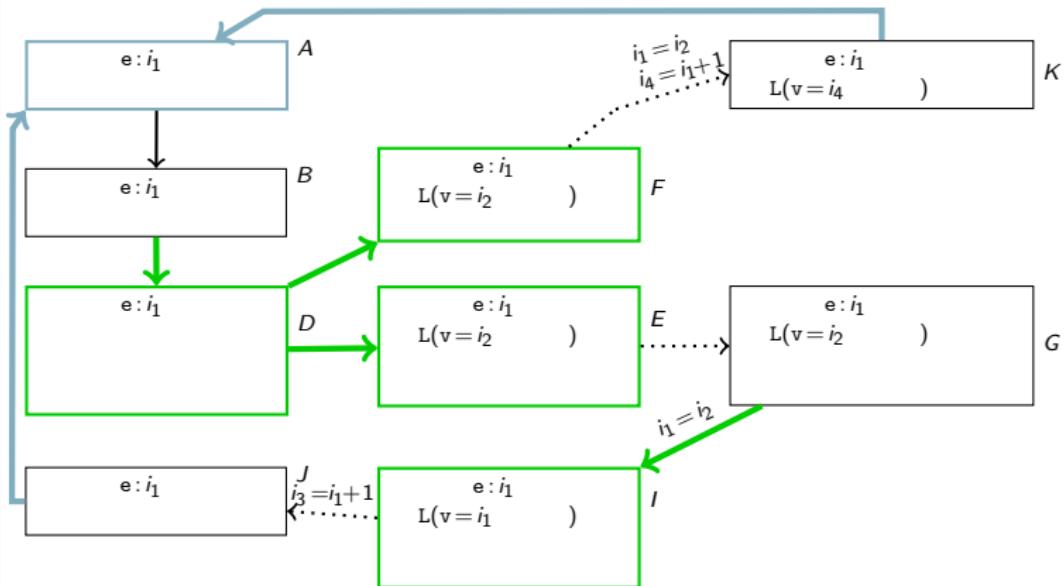
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

```

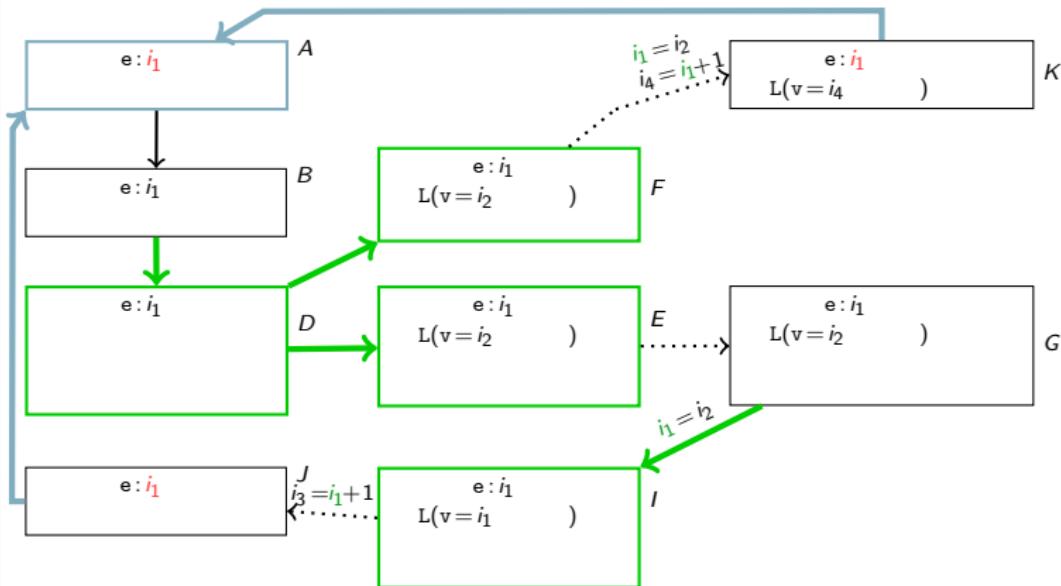
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

- Automatically finding this relation:

① Identify constant **c** in SCC

```

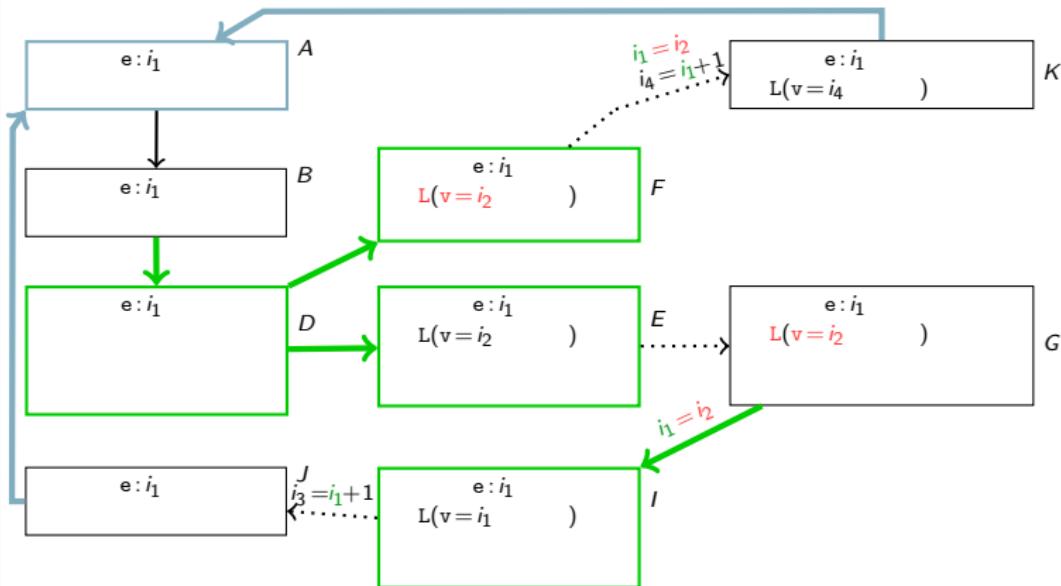
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

- Automatically finding this relation:

① Identify constant  $c$  in SCC

② Search property  $M = C.f \bowtie c$  checked on all cycles

```

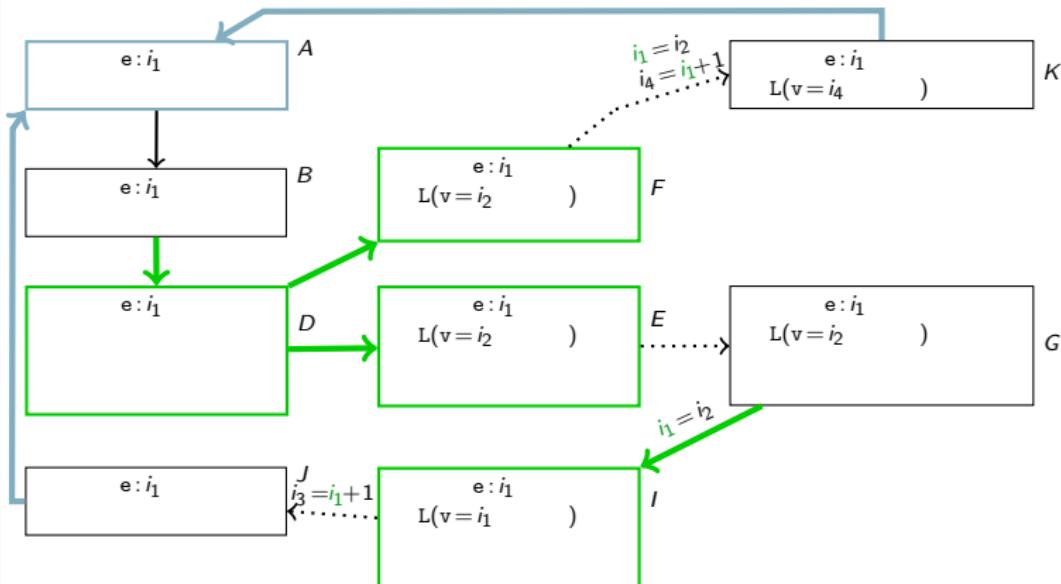
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

- Automatically finding this relation:

① Identify constant  $c$  in SCC

② Search property  $M = C.f \bowtie c$  checked on all cycles

- Track number of objects where  $C.f \bowtie c$  holds ( $\#M$ )

```

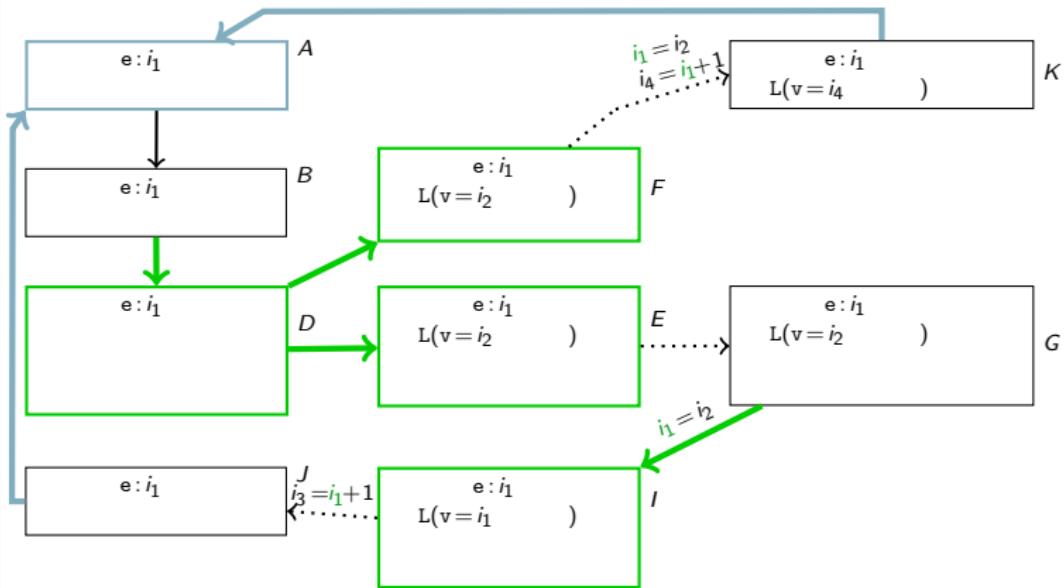
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```

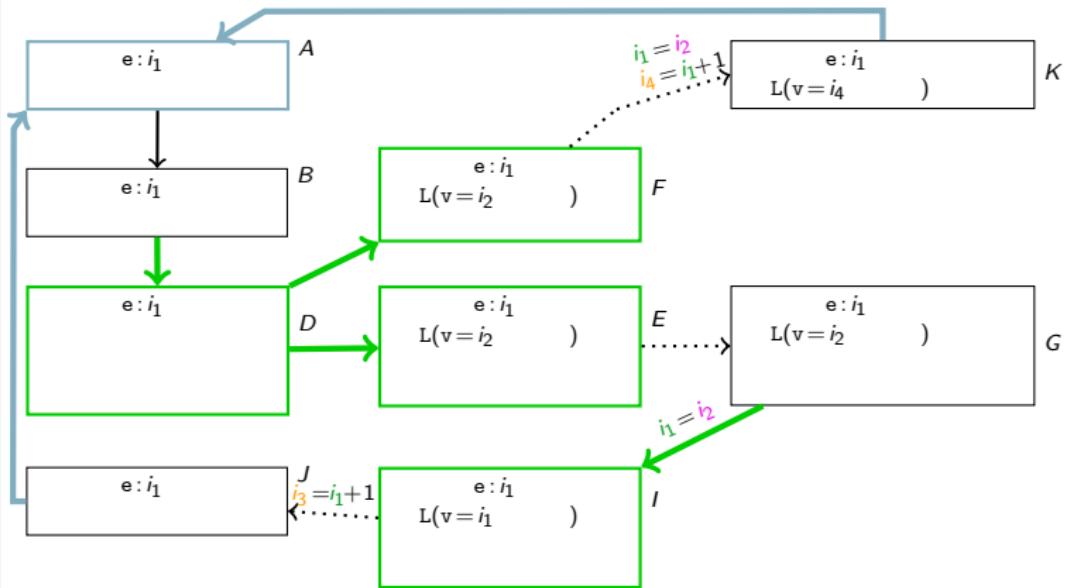


Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



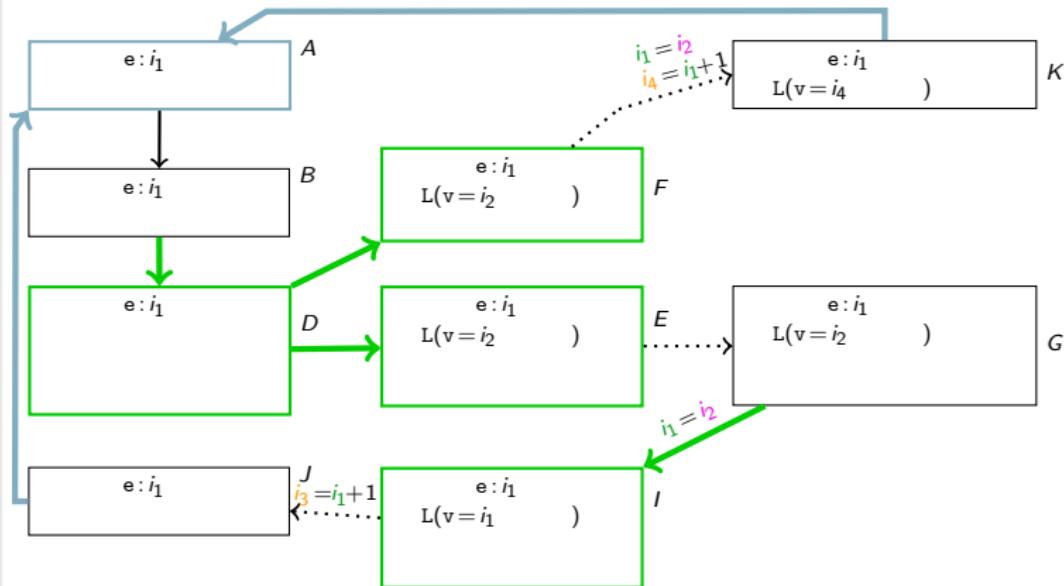
Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- $C.f$  written (old value  $u$ , new value  $w$ ):

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



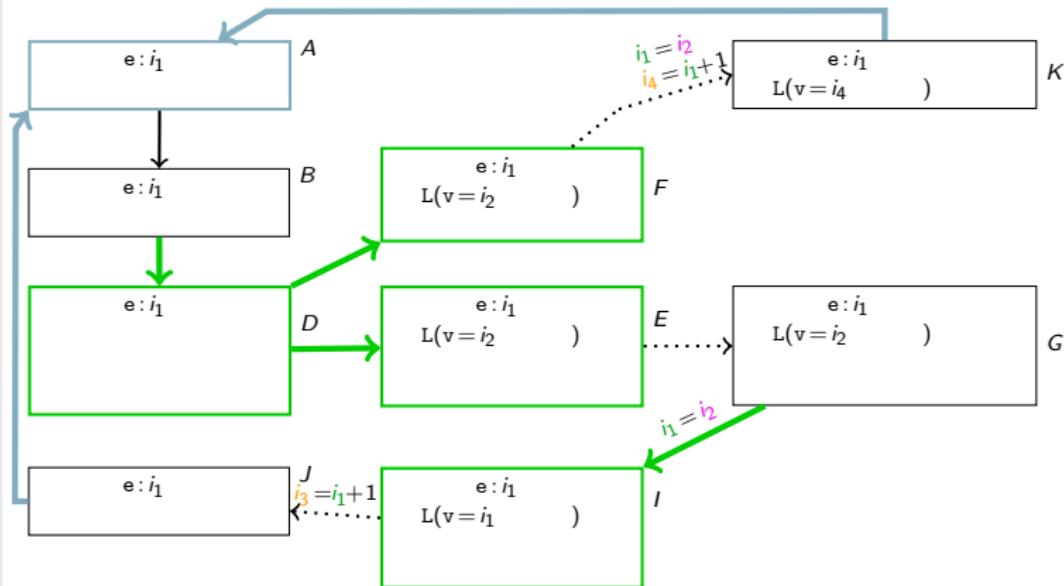
Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- $C.f$  written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



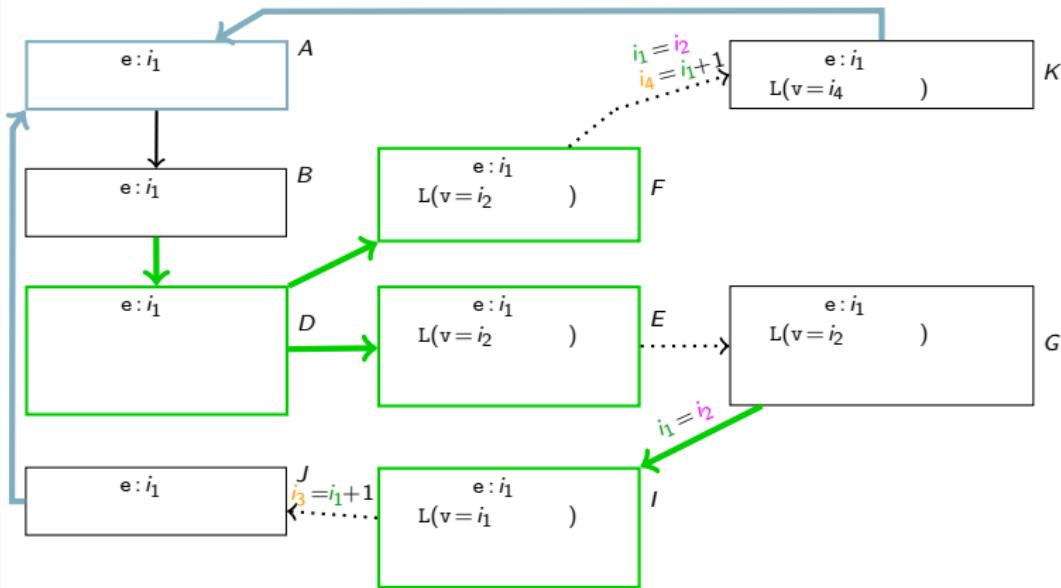
Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- C.f written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1
  - $u \bowtie c \leftrightarrow w \bowtie c$  tautology  $\Rightarrow \#_M$  unchanged

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



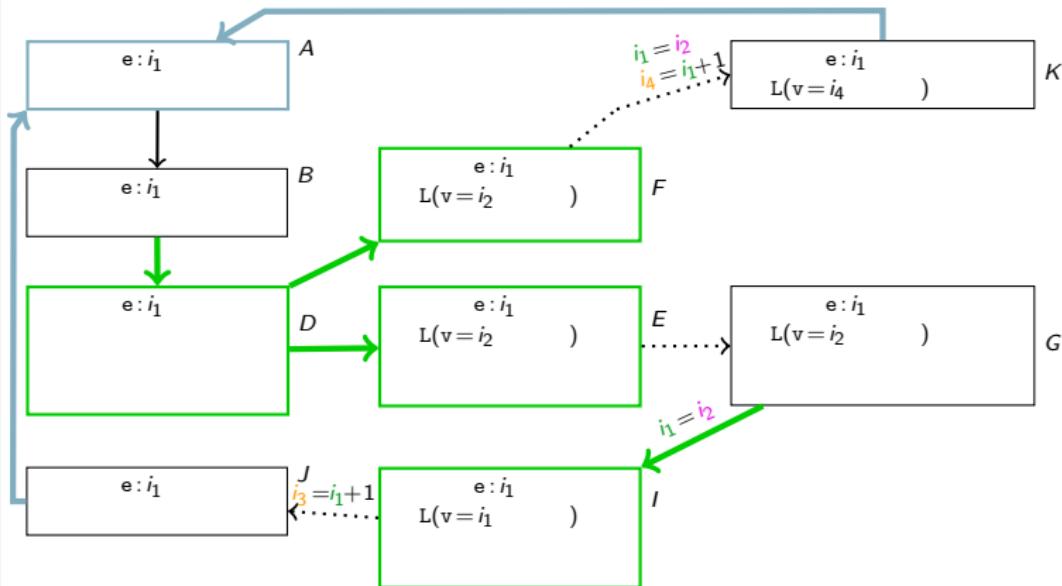
Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- $C.f$  written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1
  - $u \bowtie c \leftrightarrow w \bowtie c$  tautology  $\Rightarrow \#_M$  unchanged
  - Otherwise:  $\#_M$  incremented by 1.

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- $C.f$  written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1
  - $u \bowtie c \leftrightarrow w \bowtie c$  tautology  $\Rightarrow \#_M$  unchanged
  - Otherwise:  $\#_M$  incremented by 1.

In example:  $I \rightarrow J$ :  $i_1$  old,  $i_3$  new

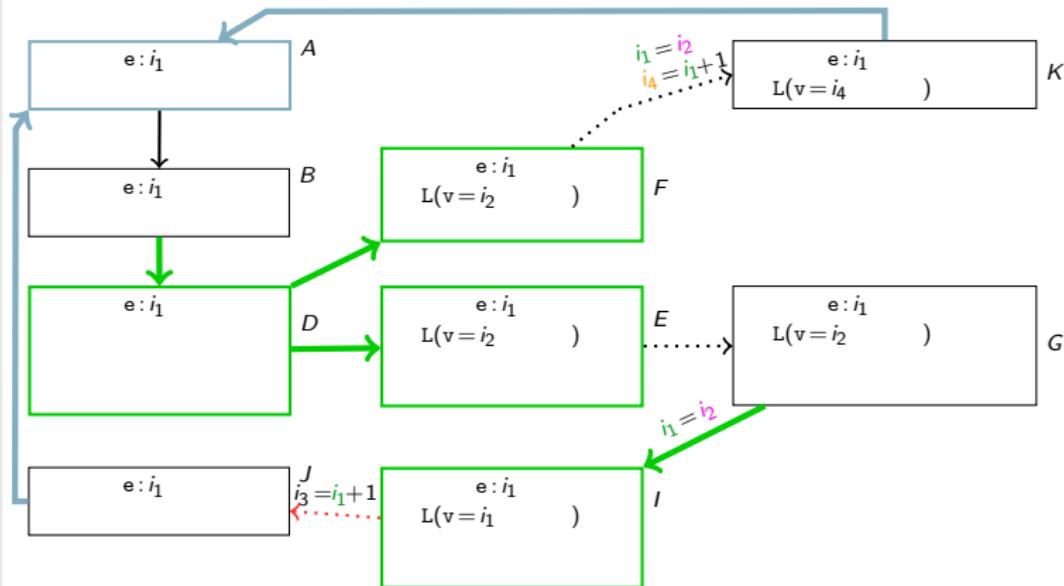
$\Rightarrow$

$$i_1 = i_1 \wedge \neg i_3 = i_1$$

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- C.f written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1
  - $u \bowtie c \leftrightarrow w \bowtie c$  tautology  $\Rightarrow \#_M$  unchanged
  - Otherwise:  $\#_M$  incremented by 1.

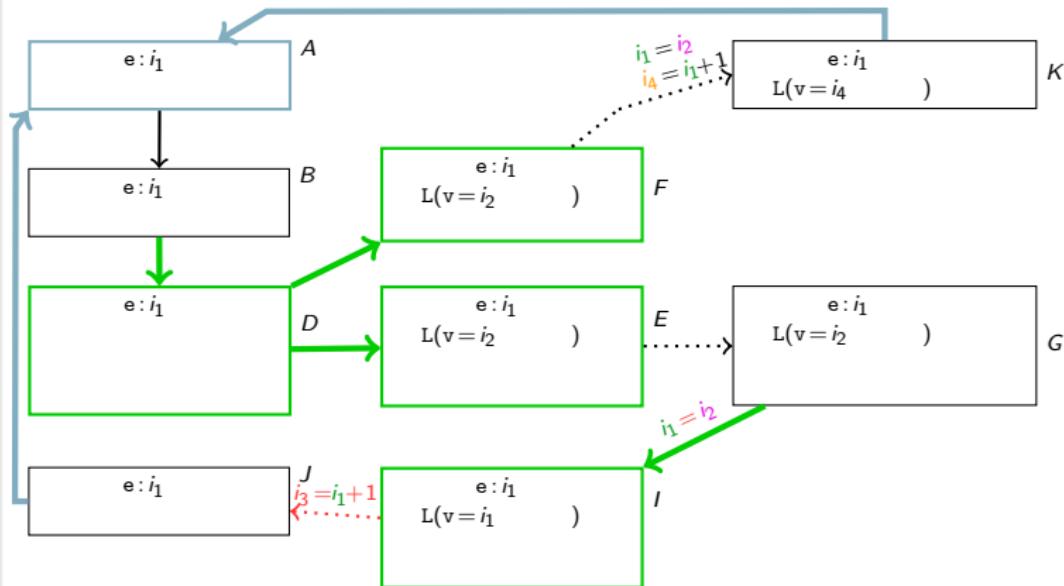
In example:  $I \rightarrow J$ :  $i_1$  old,  $i_3$  new

$$\Rightarrow i_1 = i_2 \wedge i_3 = i_1 + 1 \rightarrow i_1 = i_1 \wedge \neg i_3 = i_1$$

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- $C.f$  written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1
  - $u \bowtie c \leftrightarrow w \bowtie c$  tautology  $\Rightarrow \#_M$  unchanged
  - Otherwise:  $\#_M$  incremented by 1.

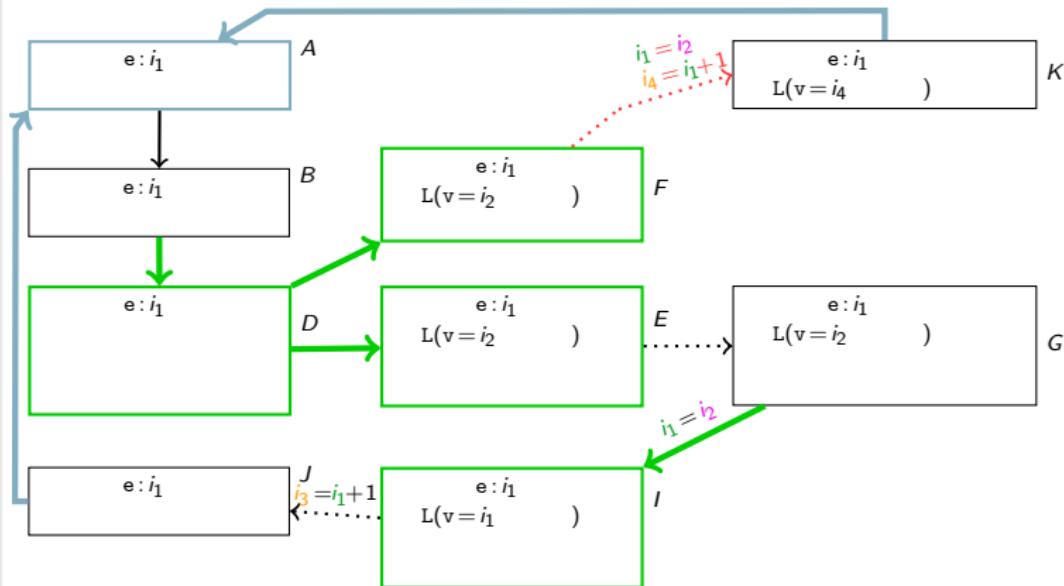
In example:  $F \rightarrow K$ :  $i_1$  old,  $i_4$  new

$$\Rightarrow i_1 = i_2 \wedge i_4 = i_1 + 1 \rightarrow i_1 = i_1 \wedge \neg i_4 = i_1$$

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



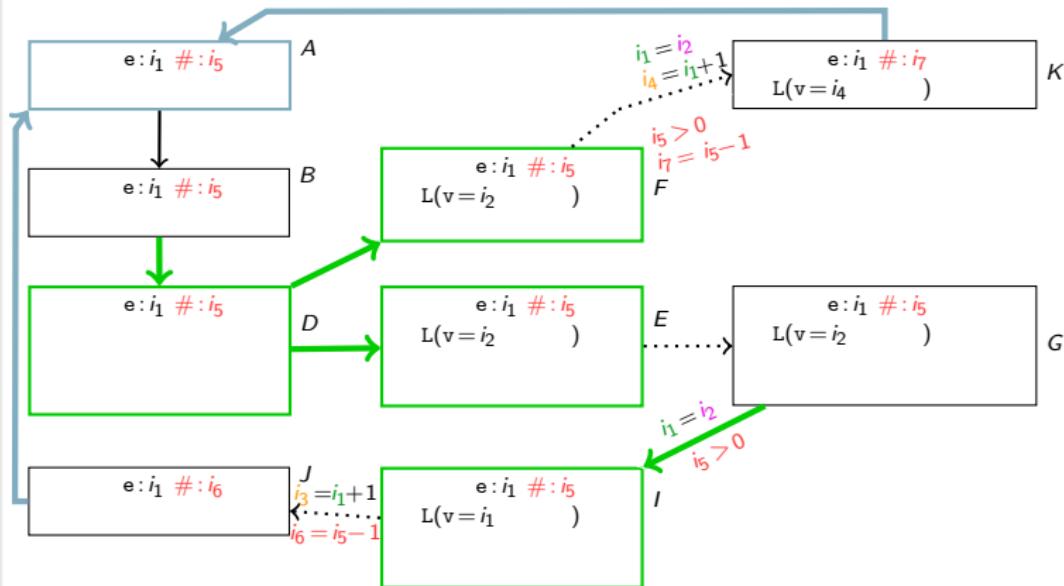
Property  $M = C.f \bowtie c$  (here:  $L.v = i_1$ ). When does  $\#_M$  change?

- C.f written (old value  $u$ , new value  $w$ ):
  - $u \bowtie c \wedge \neg w \bowtie c$  tautology  $\Rightarrow \#_M$  decremented by 1
  - $u \bowtie c \leftrightarrow w \bowtie c$  tautology  $\Rightarrow \#_M$  unchanged
  - Otherwise:  $\#_M$  incremented by 1.
- New C object is created: Same for default value

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```

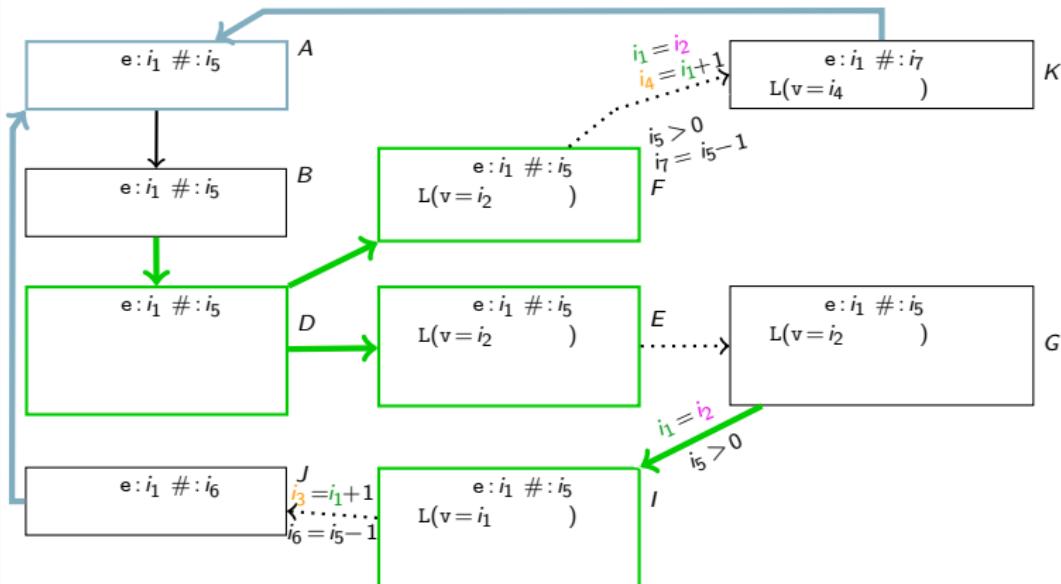


- Add variable for counter to states, changes to edges
- Require counter  $> 0$  at checks

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- Add variable for counter to states, changes to edges
- Require counter  $> 0$  at checks
- Termination proof via TRS now trivial:

$$\begin{array}{l|l}
 f_A(\dots, i_6) \rightarrow f_A(\dots, i_6 - 1) & | \quad i_6 > 0 \\
 f_A(\dots, i_7) \rightarrow f_A(\dots, i_7 - 1) & | \quad i_7 > 0
 \end{array}$$

## AProVE features for Java

- Implementation for full Java without reflection and multithreading
- Correctness proof w.r.t. JINJA [VITA'10]

## AProVE features for Java

- Implementation for full Java without reflection and multithreading
- Correctness proof w.r.t. JINJA [VITA'10]
- Built-in, implicit analyses for nullness, aliasing, sharing, cyclicity

## AProVE features for Java

- Implementation for full Java without reflection and multithreading
- Correctness proof w.r.t. JINJA [VITA'10]
- Built-in, implicit analyses for nullness, aliasing, sharing, cyclicity
- Termination analysis for algorithms
  - on integers [RTA'10]
  - on acyclic user-defined data structures (trees, DAGs, ...) [RTA'10]

## AProVE features for Java

- Implementation for full Java without reflection and multithreading
- Correctness proof w.r.t. JINJA [VITA'10]
- Built-in, implicit analyses for nullness, aliasing, sharing, cyclicity
- Termination analysis for algorithms
  - on integers [RTA'10]
  - on acyclic user-defined data structures (trees, DAGs, . . . ) [RTA'10]
  - using recursion [RTA'11]

# AProVE features for Java

- Implementation for full Java without reflection and multithreading
- Correctness proof w.r.t. JINJA [VITA'10]
- Built-in, implicit analyses for nullness, aliasing, sharing, cyclicity
- Termination analysis for algorithms
  - on integers [RTA'10]
  - on acyclic user-defined data structures (trees, DAGs, . . . ) [RTA'10]
  - using recursion [RTA'11]
  - on cyclic data [CAV'12]
    - by measuring distances
    - by detecting (and ignoring) irrelevant cyclicity
    - by automatically finding and counting markers

# AProVE features for Java

- Implementation for full Java without reflection and multithreading
- Correctness proof w.r.t. JINJA [VITA'10]
- Built-in, implicit analyses for nullness, aliasing, sharing, cyclicity
- Termination analysis for algorithms
  - on integers [RTA'10]
  - on acyclic user-defined data structures (trees, DAGs, . . .) [RTA'10]
  - using recursion [RTA'11]
  - on cyclic data [CAV'12]
    - by measuring distances
    - by detecting (and ignoring) irrelevant cyclicity
    - by automatically finding and counting markers
- Non-termination analysis [FoVeOOS'11]

# Plans for AProVE

- Integrate existing shape analyses

# Plans for AProVE

- Integrate existing shape analyses
- Modularize analysis: pre-compute information for common libraries

# Plans for AProVE

- Integrate existing shape analyses
- Modularize analysis: pre-compute information for common libraries
- Handle bounded integers properly

# Plans for AProVE

- Integrate existing shape analyses
- Modularize analysis: pre-compute information for common libraries
- Handle bounded integers properly
- Extend to C with pointer arithmetic

# Plans for AProVE

- Integrate existing shape analyses
- Modularize analysis: pre-compute information for common libraries
- Handle bounded integers properly
- Extend to C with pointer arithmetic
- Asymptotic runtime complexity analysis (via TRS)

# Plans for AProVE

- Integrate existing shape analyses
- Modularize analysis: pre-compute information for common libraries
- Handle bounded integers properly
- Extend to C with pointer arithmetic
- Asymptotic runtime complexity analysis (via TRS)
- Provide to developers as Eclipse plugin

# Proving Termination of Heap-Manipulating Java Programs

- Evaluated on collection of 387 programs (including the *Termination Problem Data Base*):

	<b>Yes</b>	<b>No</b>	<b>Fail</b>	<b>Run (s)</b>
AProVE	276	88	22	8.4
Julia	191	22	174	4.7
COSTA	160	0	227	11.0

# Proving Termination of Heap-Manipulating Java Programs

- Evaluated on collection of 387 programs (including the *Termination Problem Data Base*):

	<b>Yes</b>	<b>No</b>	<b>Fail</b>	<b>Run (s)</b>
AProVE	276	88	22	8.4
Julia	191	22	174	4.7
COSTA	160	0	227	11.0

- Won Termination Competition 2012

# Proving Termination of Heap-Manipulating Java Programs

- Evaluated on collection of 387 programs (including the *Termination Problem Data Base*):

	<b>Yes</b>	<b>No</b>	<b>Fail</b>	<b>Run (s)</b>
AProVE	276	88	22	8.4
Julia	191	22	174	4.7
COSTA	160	0	227	11.0

- Won Termination Competition 2012
- Symbolic Evaluation Graphs facilitate and simplify complex analyses