

Modular Termination Proofs of Recursive Java Bytecode Programs by Term Rewriting

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RTA 2011, Novi Sad

Termination Analysis for Imperative Programs

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- Synthesis of Linear Ranking Functions
(Colon & Sipma, 01), (Podelski & Rybalchenko, 04), ...

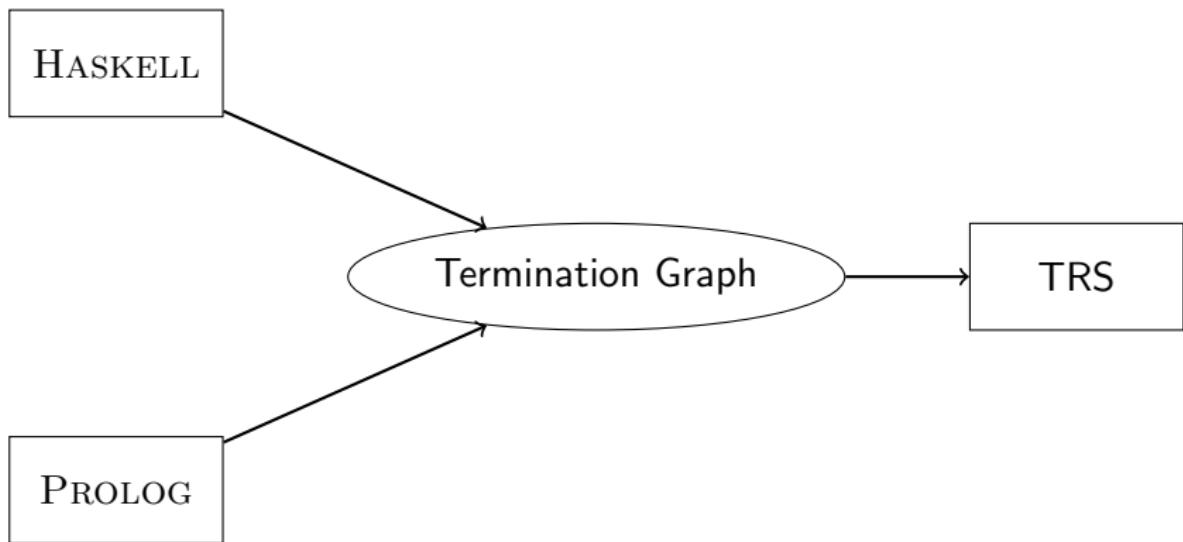
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Termination Analysis by Abstraction & Model Checking
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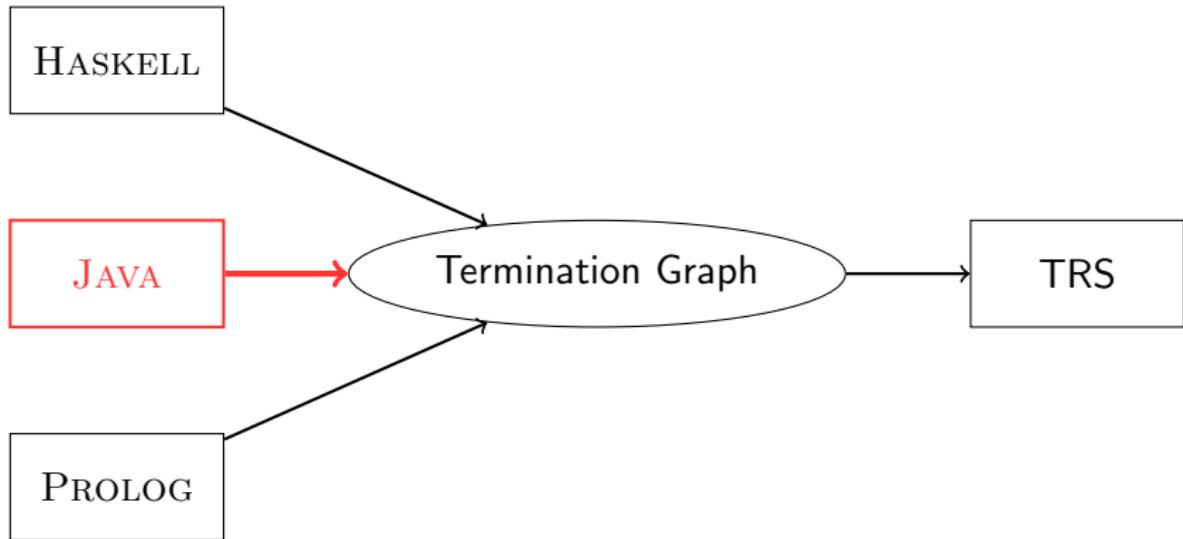
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- Julia & COSTA
Termination Analysis of JAVA BYTECODE (JBC)
Fixed abstraction, via Constraint Logic Programs
(Spoto, Mesnard, Payet, 10)
(Albert, Arenas, Codish, Genaim, Puebla, Zanardini, 08)

Rewriting-based approaches



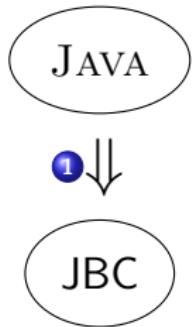
Rewriting-based approaches



Rewriting-based approach: Structure

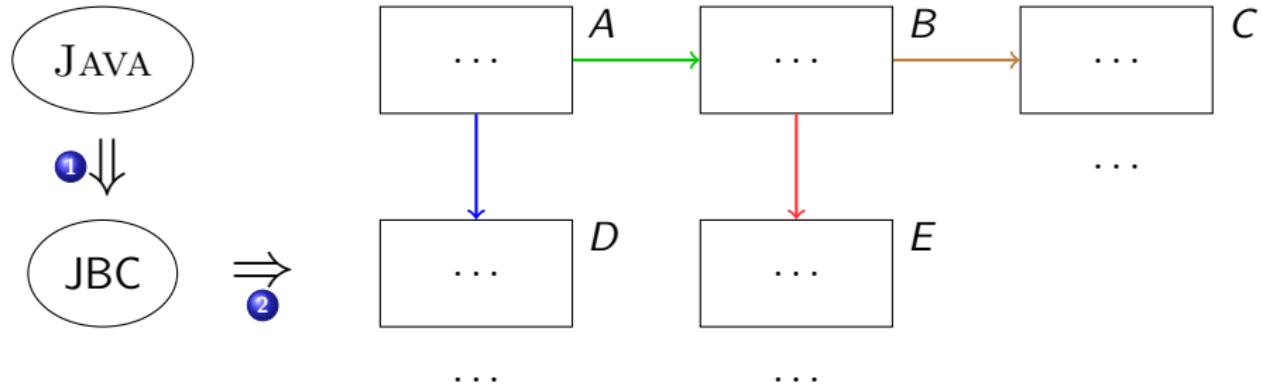


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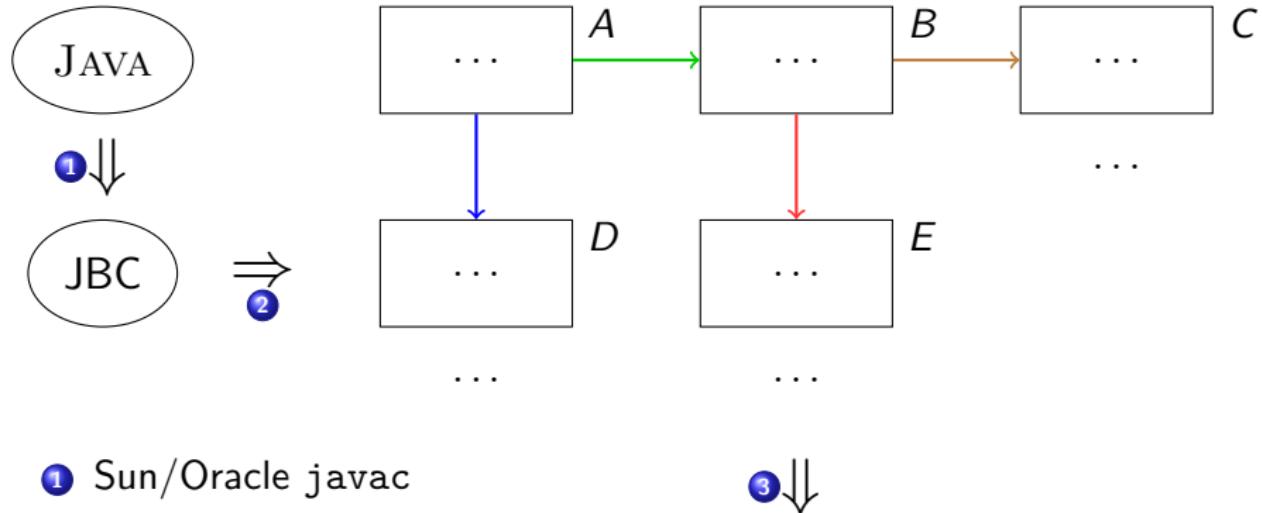
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Rewriting-based approach: Structure



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- ② Contribution 1

Rewriting-based approach: Structure



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③ Contribution 2

$f_A(\dots) \rightarrow f_B(\dots)$ $f_B(\dots) \rightarrow f_C(\dots)$

$f_A(\dots) \rightarrow f_D(\dots)$ $f_B(\dots) \rightarrow f_E(\dots)$

Rewriting-based approach: Advantages

Handling of user-defined data structures:

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public class List {  
    int value;  
    List next;  
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Fixed abstraction to number
- List [2, 4, 6] abstracted to
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- Our technique:
Abstraction to **terms**
- List [2, 4, 6] becomes
List(2, List(4, List(6, null)))

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- List [2, 4, 6] becomes
List(2, List(4, List(6, null)))
- **TRS techniques** search for suitable orders automatically
⇒ Complex orders for user-defined data structures possible

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- Aliasing, sharing, cyclicity, side-effects
 - ⇒ **Heap annotations in graph construction** ([RTA '10](#))

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Improvement over RTA '10:

- **Recursion**: Implies unbounded number of variables
- **Modularity**: Reusing termination proofs: How to handle side-effects?

The example

```
class List {  
    List n;  
  
    public void appE(int i) {  
        if (n == null) {  
            if (i <= 0) return;  
            n = new List();  
            i--;  
        }  
        n.appE(i);  
    }  
}
```

- ① Run to end of list.
- ② Append i elements.

The example

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$$\begin{array}{l} o_1, i_3 \mid 0 \mid t : o_1, i : i_3 \mid \varepsilon \\ o_1 : \text{List}(n = o_2) \quad i_3 : \mathbb{Z} \\ o_2 : \text{List}(?) \end{array}$$

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 $\textcolor{red}{o_1 : \text{List}(n = o_2)}$ $i_3 : \mathbb{Z}$
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field n points to o_2

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Only explicit sharing

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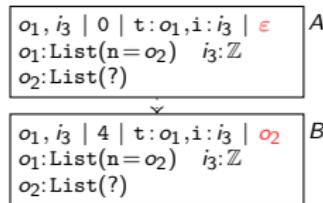
State A:

- Do all calls of appE terminate?
- this is some acyclic list
- i some integer

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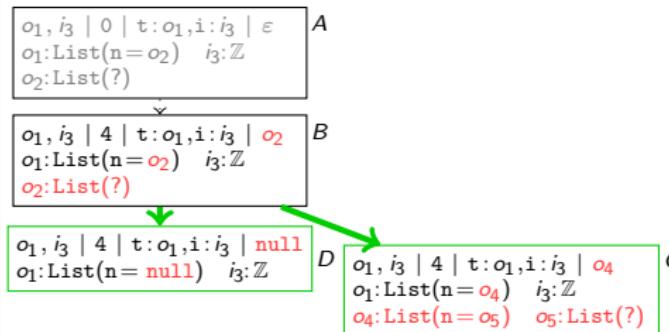
State B:

- `aload_0` loads o_1 from this to opstack
- `getfield n` loads field n of o_1 to opstack
- *Evaluation from A to B*

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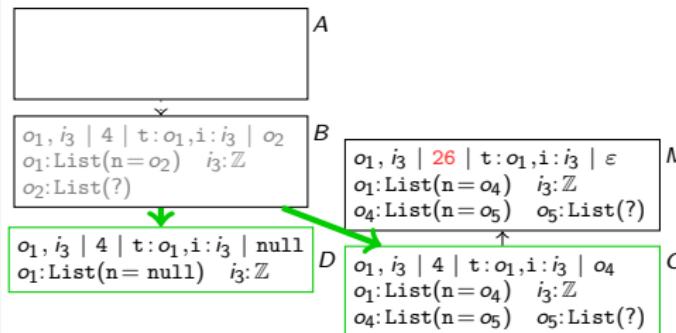
States C, D:

- ifnonnull branches depending on nullness of o_2
 - Nullness of o_2 not known
- ⇒ Refine information:
- In C o_1 replaced by $o_4 : \text{List}(n = o_5)$
 - In D o_2 replaced by null

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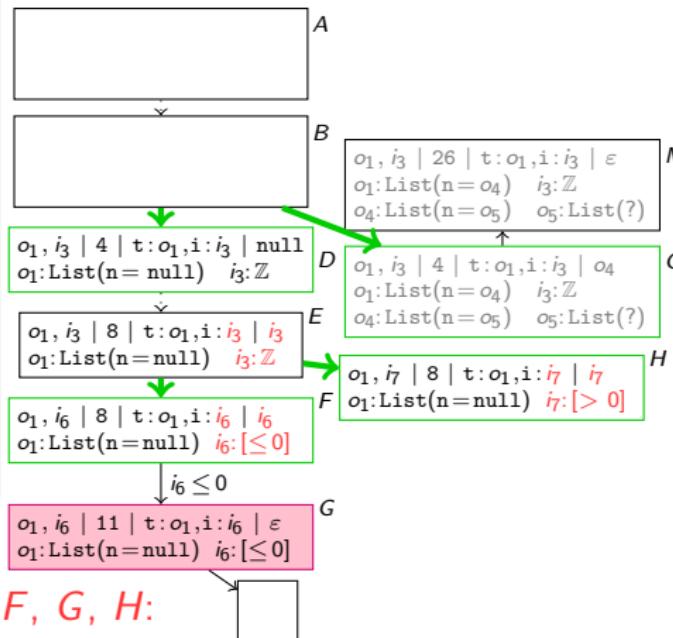
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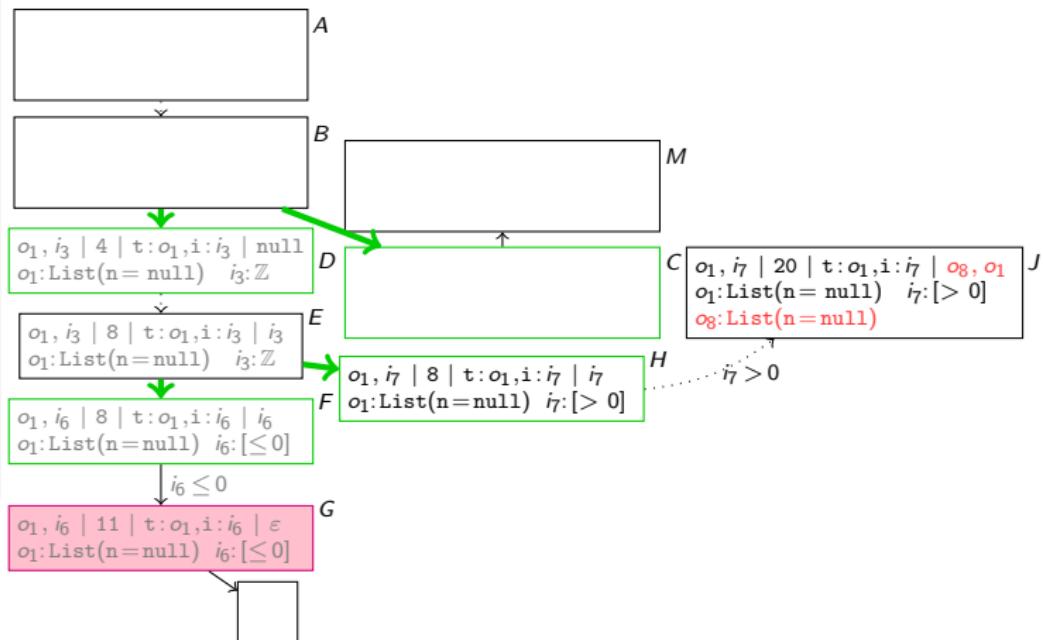
States E, F, G, H :

- D evaluates to E
 - ifgt branches depending on relation of $i_3 > 0$
- ⇒ Refine information about i_3 (F, H)
- Evaluation to return state G

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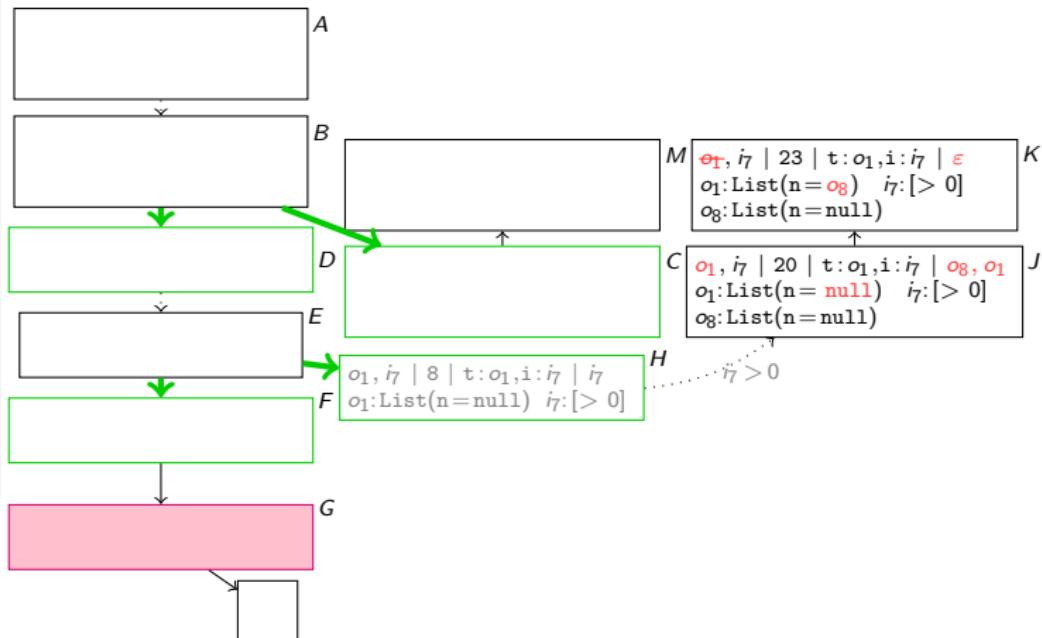
State J:

- Created new (empty) List object

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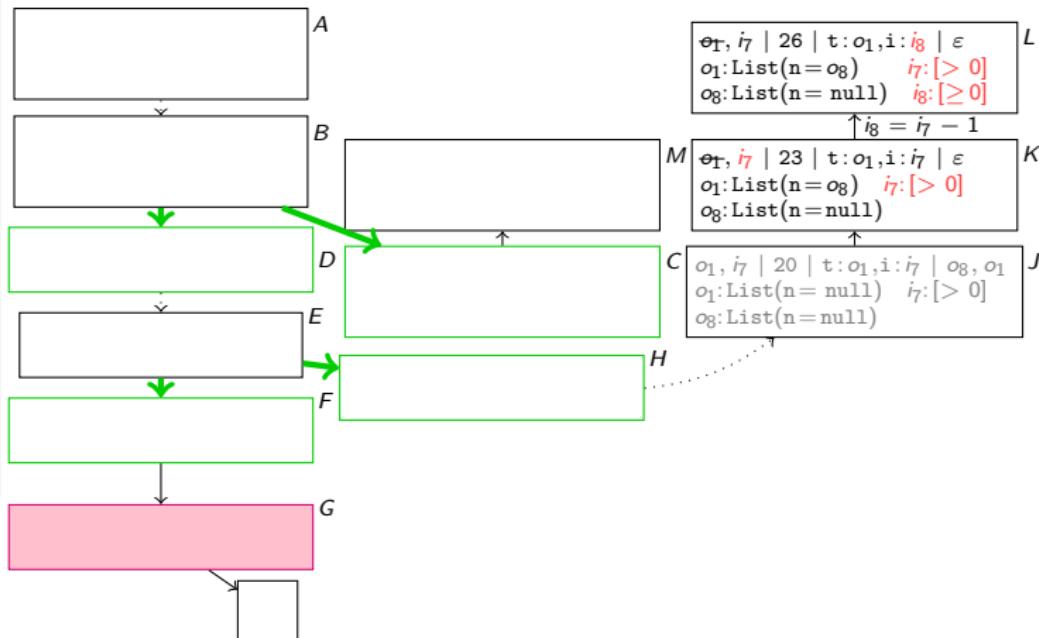
State K:

- `putfield n` changes field `n` of `o1`
- *side effect* on input argument `o1`
(θ_T marks this)

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30: iload_1
31: invoke appE
34: return

```



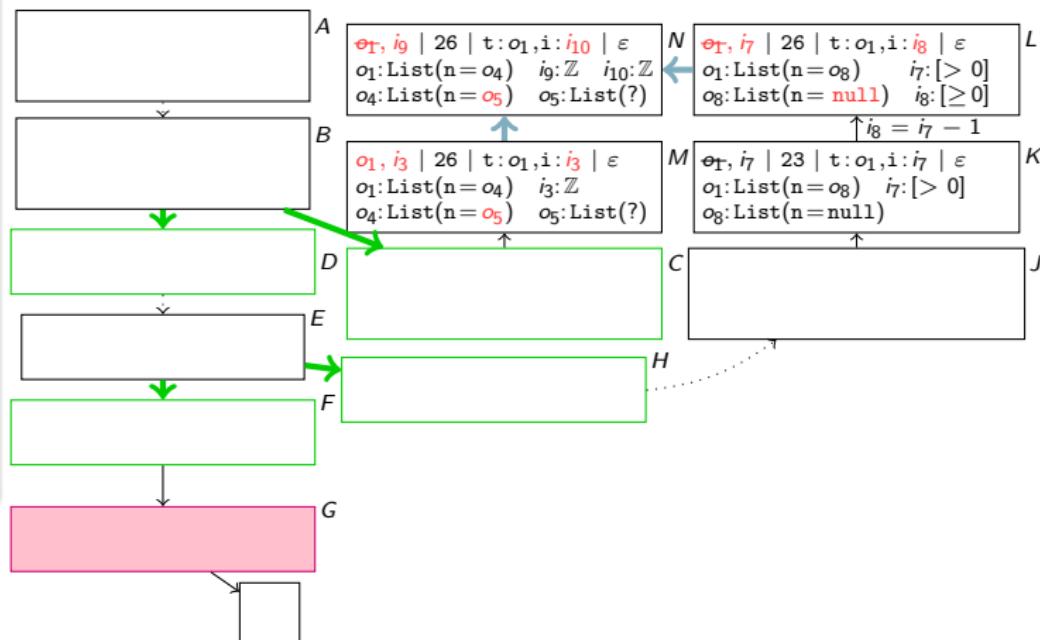
State *L*:

- Decrement i_7 by 1
- *No sharing for primitive types!*
 (copy on write to new value i_8)

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
20: putfield n
23: iinc 1, -1
26: aload_0
27: getfield n
30: iload_1
31: invoke appE
34: return

```

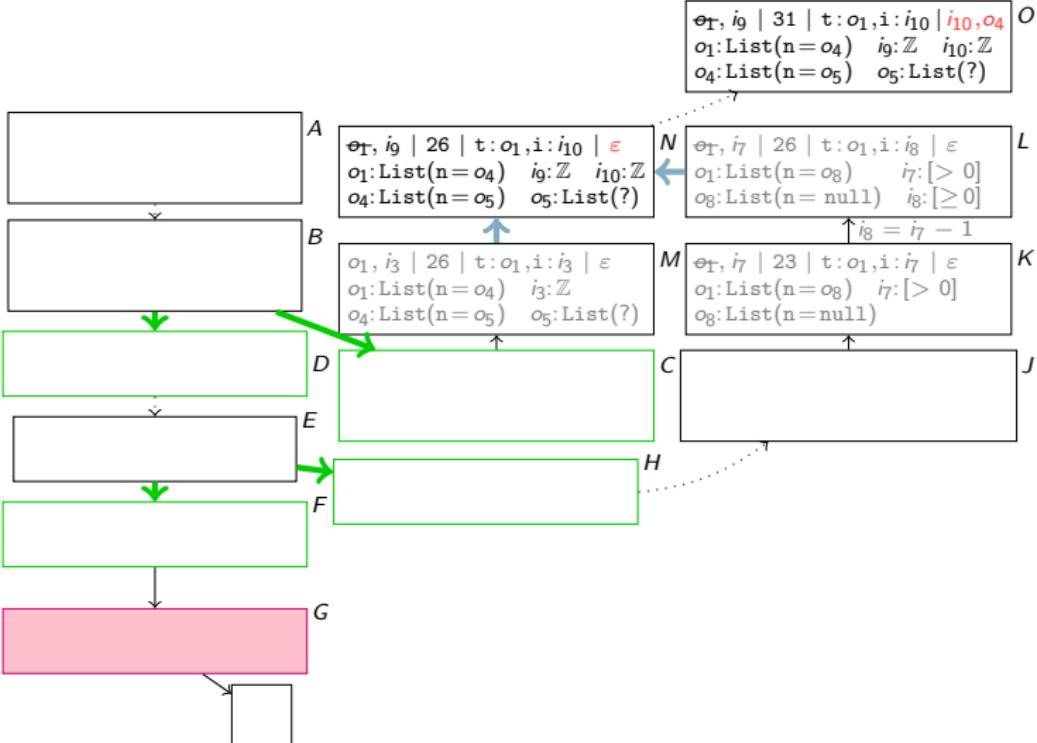


- States *L* and *M* very similar
- ⇒ *N* represents both ("L, M are instances of *N*")

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
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30: iload_1
31: invoke appE
34: return

```

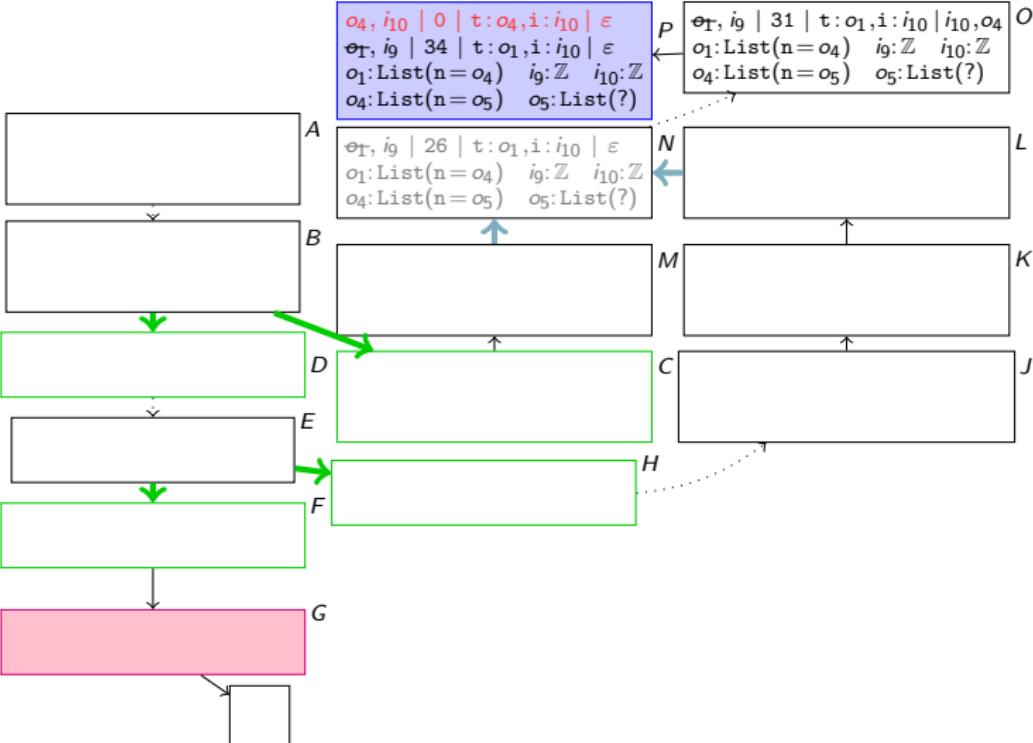


- Load i and this.n to opstack

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
20: putfield n
23: iinc 1, -1
26: aload_0
27: getfield n
30: iload_1
31: invoke appE
34: return

```



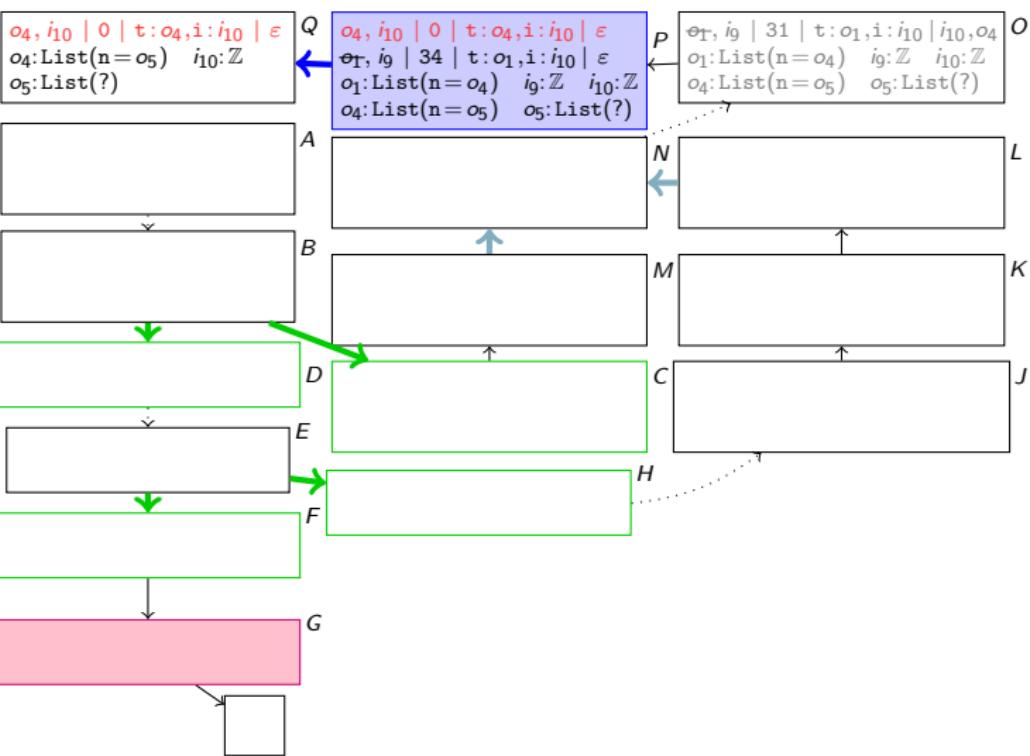
State *P*:

- Recursive **call** to **appE**
- New stackframe on top at position 0
- *P* **call state**

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
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23: iinc 1, -1
26: aload_0
27: getfield n
30: iload_1
31: invoke appE
34: return

```

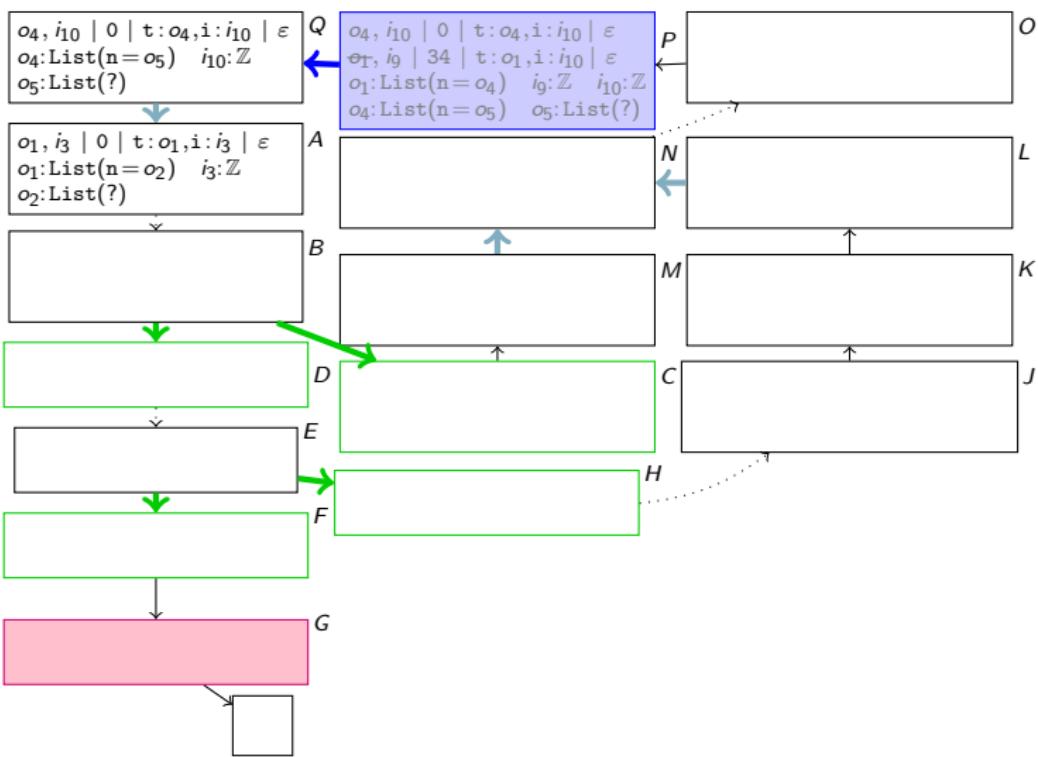


- Avoid unbounded call stack growth
- ⇒ Split call stack (leave only top frame)

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
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23: iinc 1, -1
26: aload_0
27: getfield n
30: iload_1
31: invoke appE
34: return

```

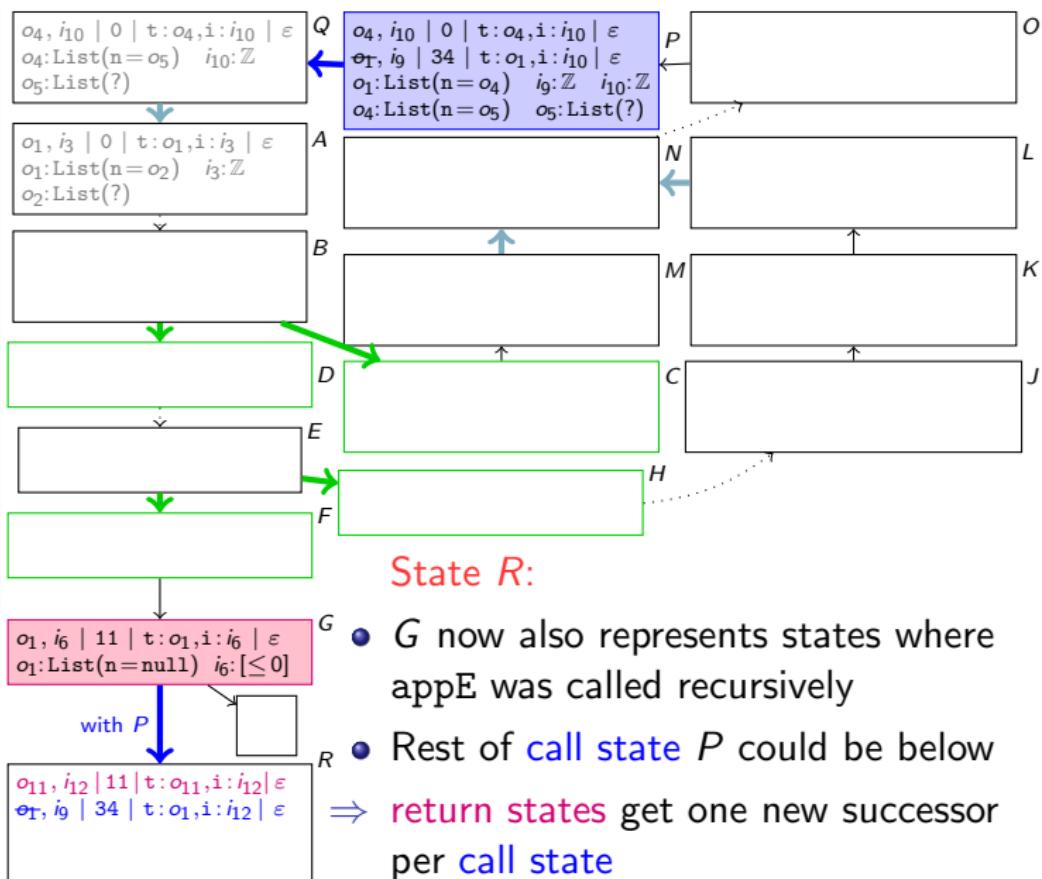


- Q renaming of A
- $\Rightarrow Q$ instance of A

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
20: putfield n
23: iinc 1, -1
26: aload_0
27: getfield n
30: iload_1
31: invoke appE
34: return

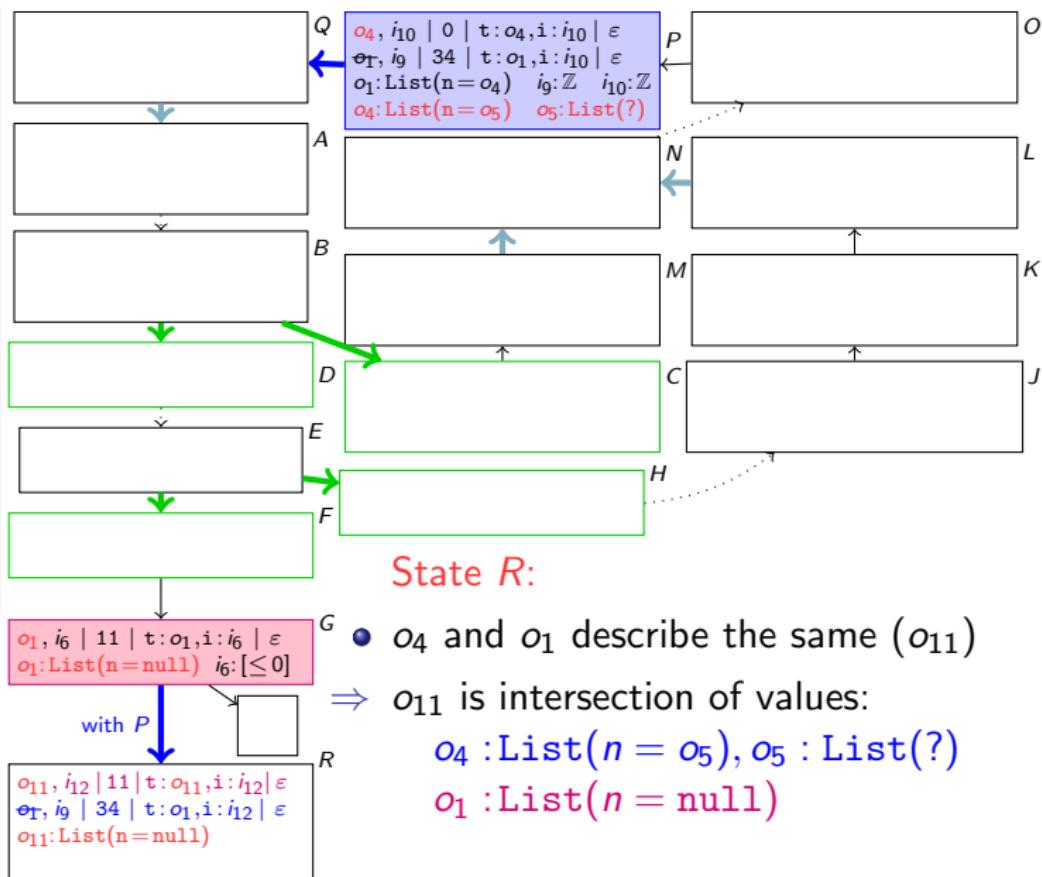
```



```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
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12: aload_0
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27: getfield n
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31: invoke appE
34: return

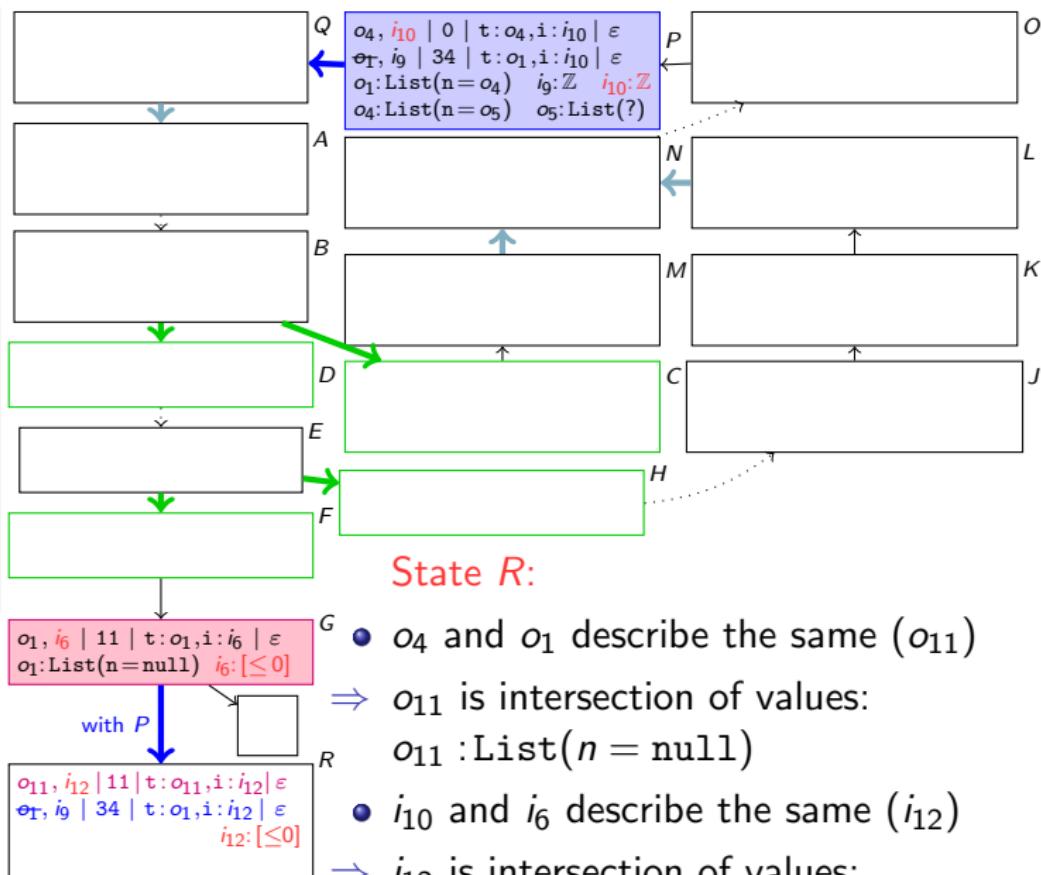
```



```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
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```

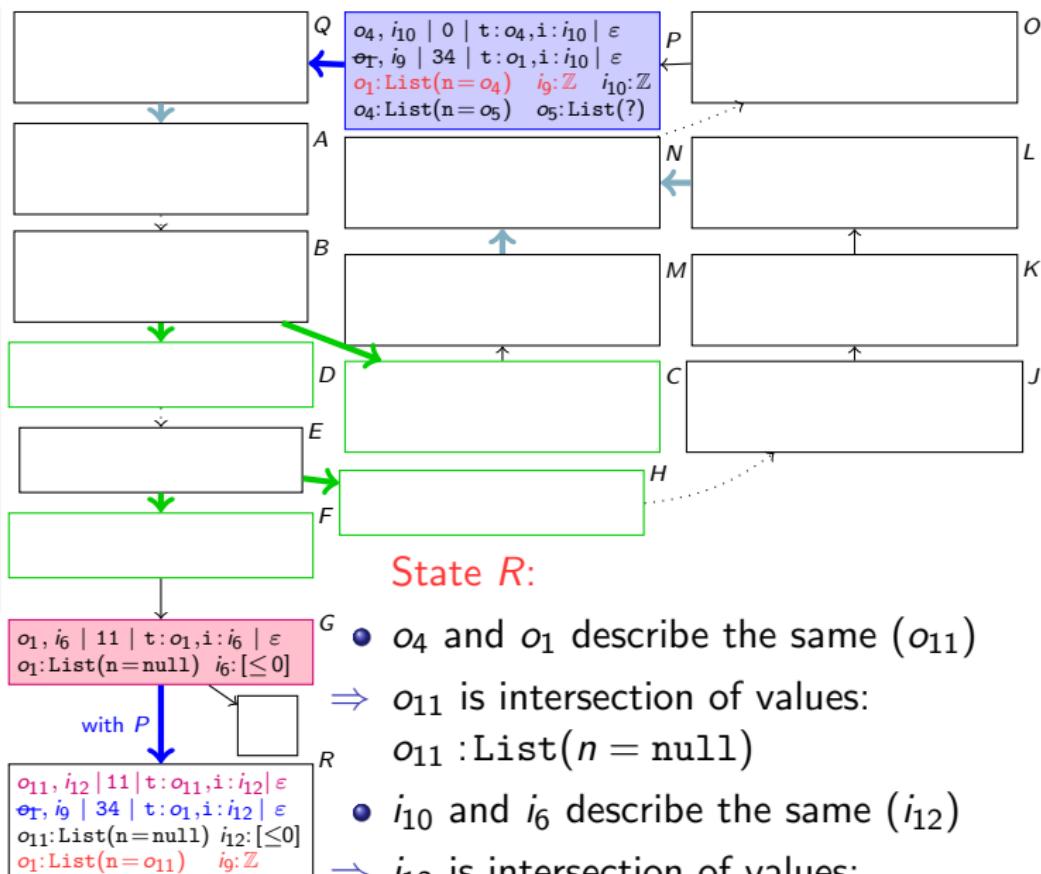


- State R:**
- o_4 and o_1 describe the same (o_{11})
 $\Rightarrow o_{11}$ is intersection of values:
 $o_{11} : \text{List}(n = \text{null})$
 - i_{10} and i_6 describe the same (i_{12})
 $\Rightarrow i_{12}$ is intersection of values:
 $i_{10} : \mathbb{Z}$
 $i_6 : [\leq 0]$

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
20: putfield n
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27: getfield n
30: iload_1
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34: return

```

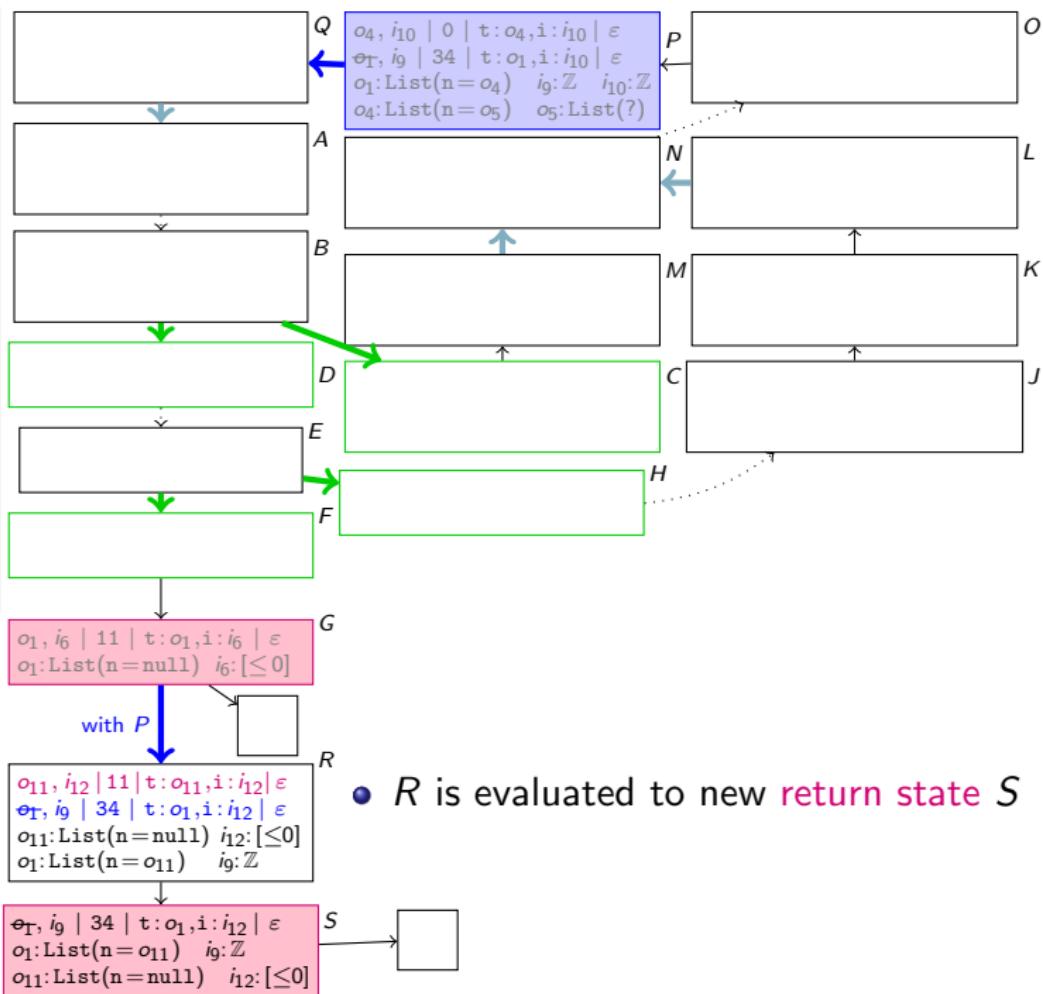


- o_4 and o_1 describe the same (o_{11})
 - ⇒ o_{11} is intersection of values:
 $o_{11} : \text{List}(n = \text{null})$
- i_{10} and i_6 describe the same (i_{12})
 - ⇒ i_{12} is intersection of values:
 $i_{12} : [\leq 0]$
- Other values are copied

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
11: return
12: aload_0
13: new List
16: dup
17: invoke <init>
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23: iinc 1, -1
26: aload_0
27: getfield n
30: iload_1
31: invoke appE
34: return

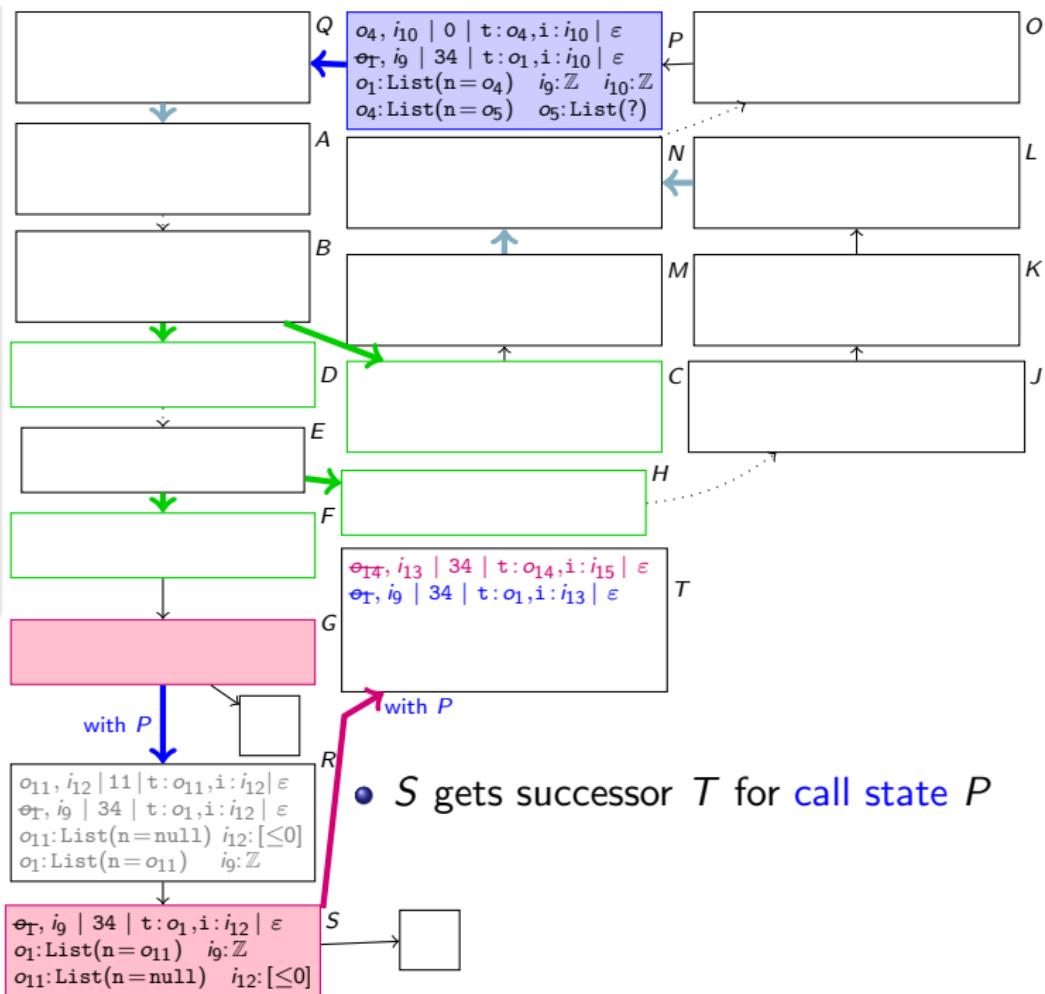
```



```

00: aload_0
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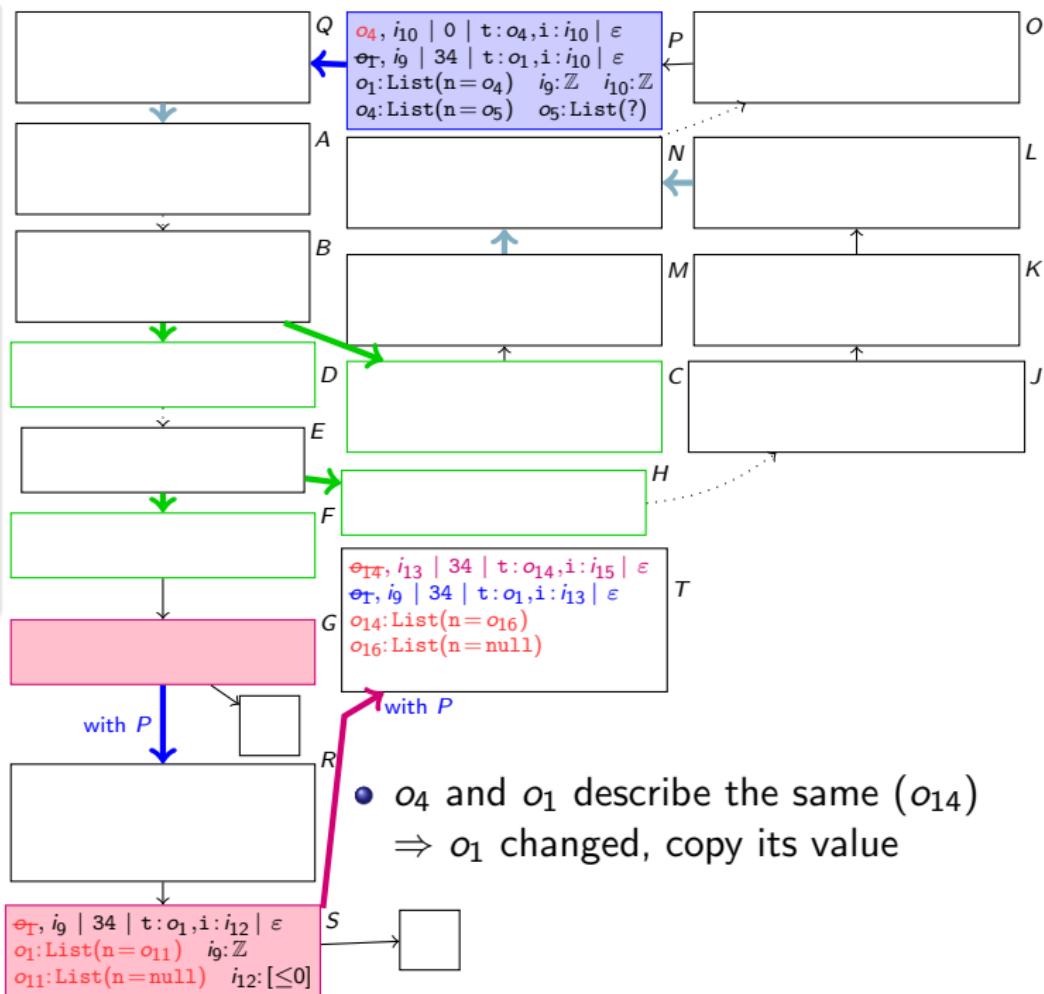
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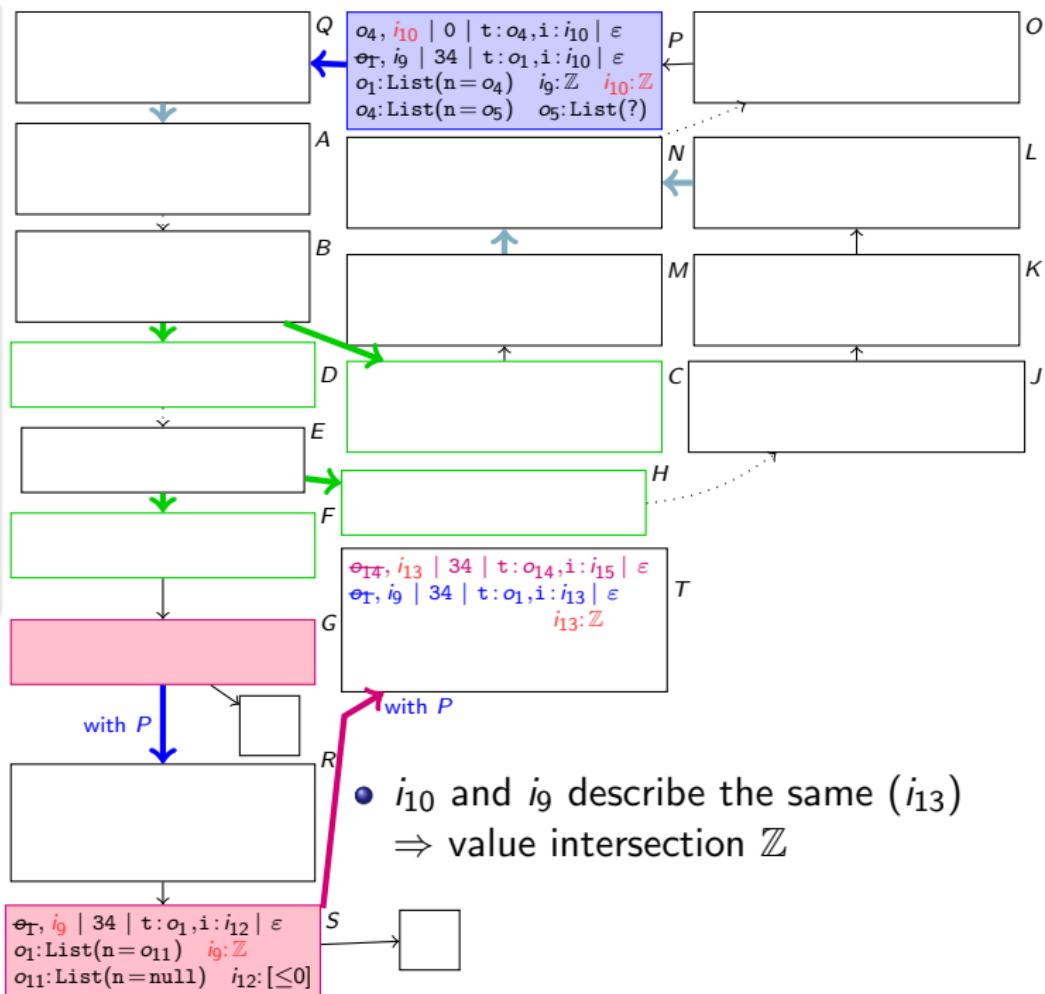
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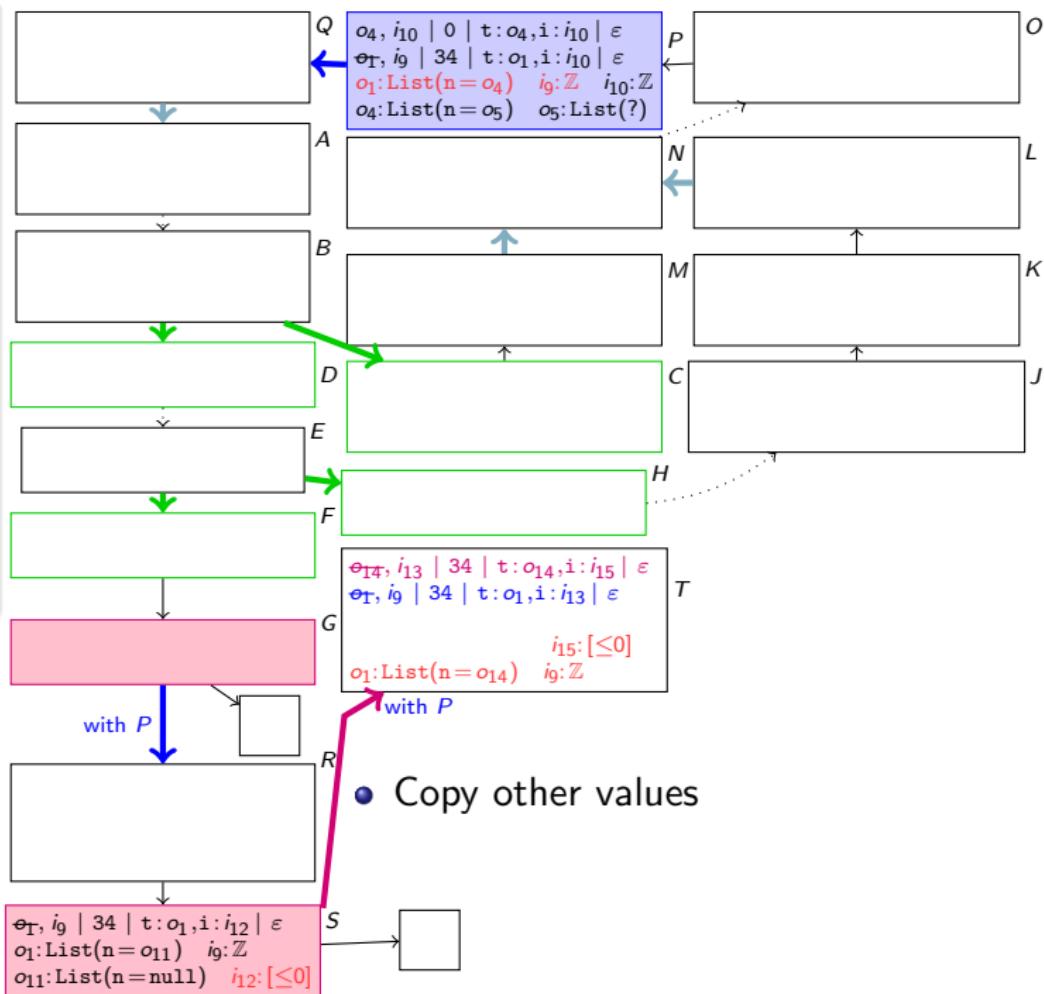


- i_{10} and i_9 describe the same (i_{13})
 \Rightarrow value intersection \mathbb{Z}

```

00: aload_0
01: getfield n
04: ifnonnull 26
07: iload_1
08: ifgt 12
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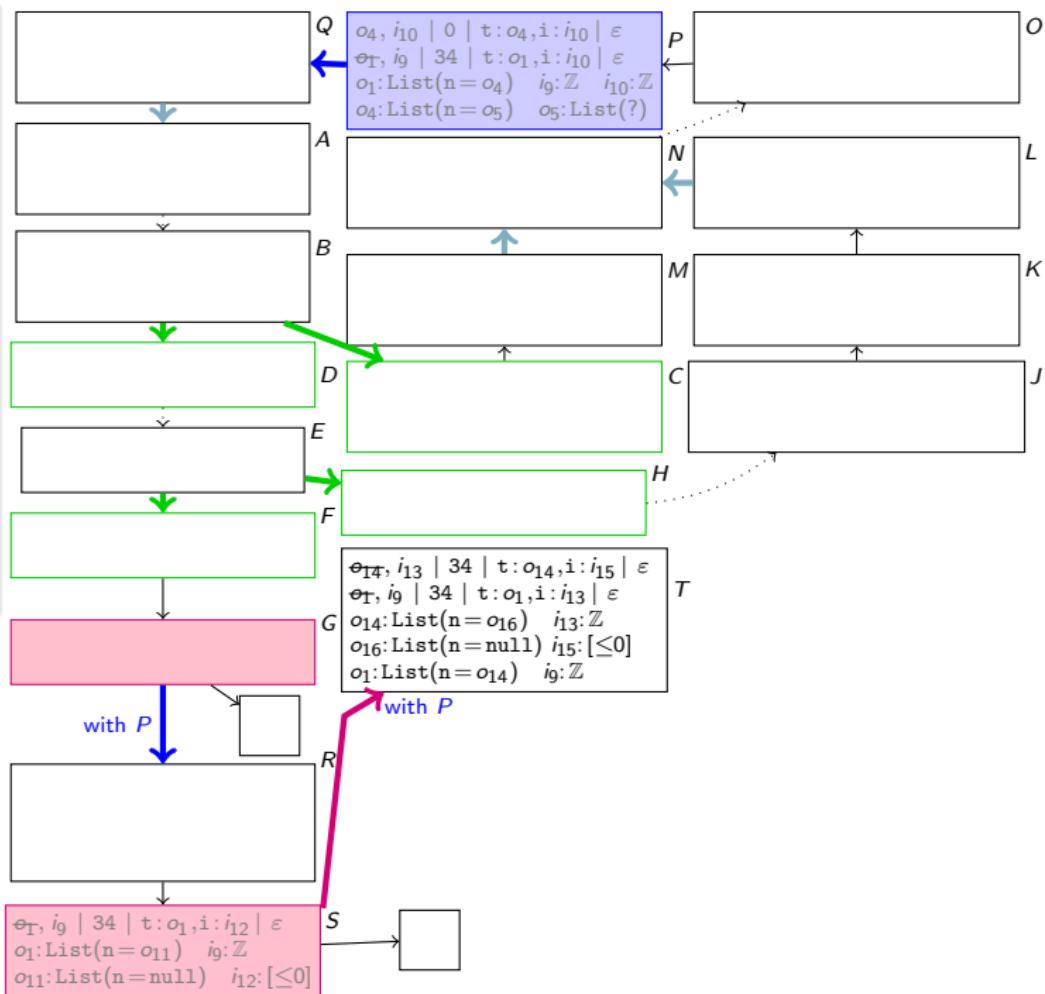
```



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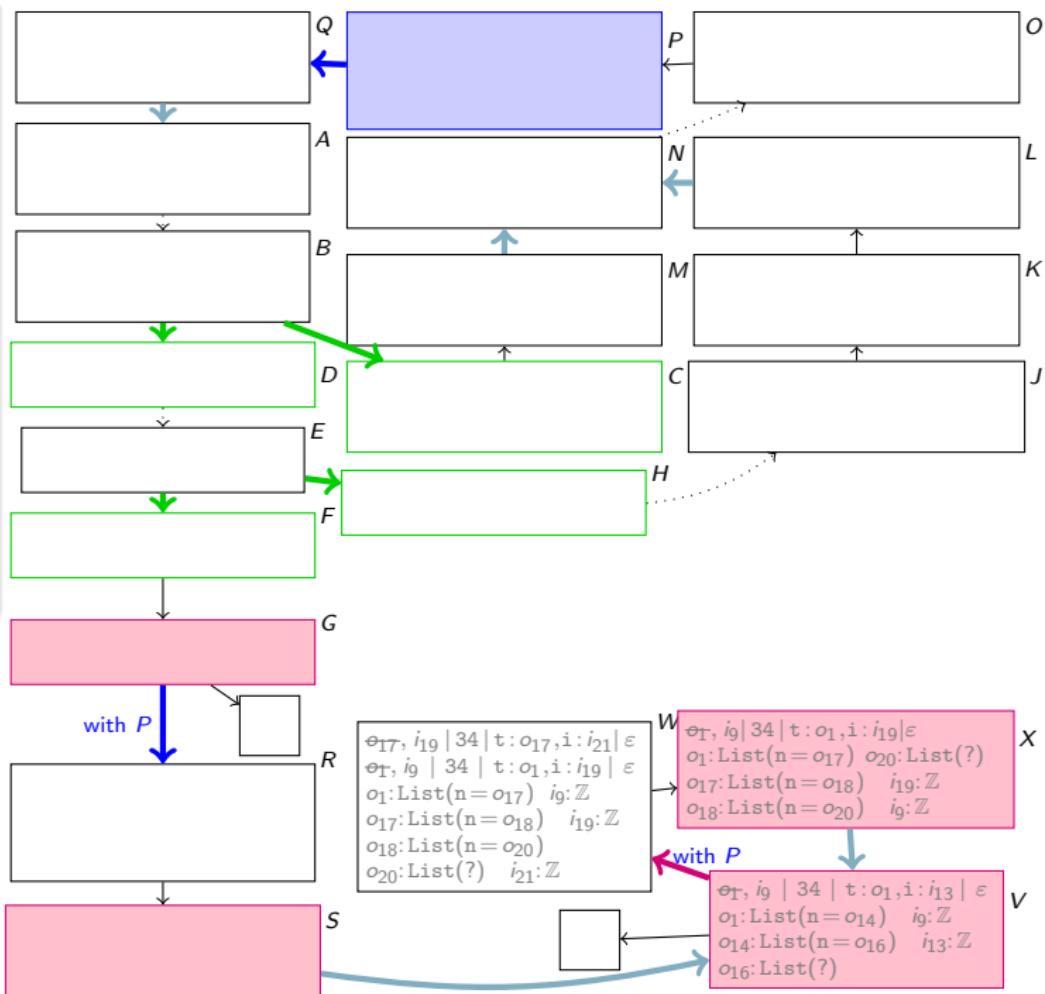
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Termination graphs

- Termination Graph from symbolic evaluation
 - Repeating states are *generalized*
- ⇒ Graphs finite

Termination graphs

- Termination Graph from symbolic evaluation
 - Repeating states are *generalized*
- ⇒ Graphs finite
- Reusal of Termination Graphs possible.

Termination graphs of several methods

```
public void appE(int i) {  
    if (n == null) {  
        if (i <= 0) return;  
        n = new List();  
        i--;  
    }  
    n.appE(i);  
}
```

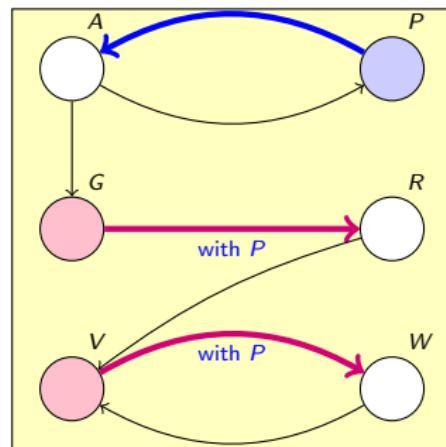
```
static void cappE(int j) {  
    List a = new List();  
    if (j > 0) {  
        a.appE(j);  
        while (a.n == null) {}  
    } }
```

- cappE creates a new List a
- If $j > 0$, j elements are appended
- Enters infinite loop if a.n is null afterwards

Termination graphs of several methods

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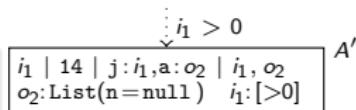
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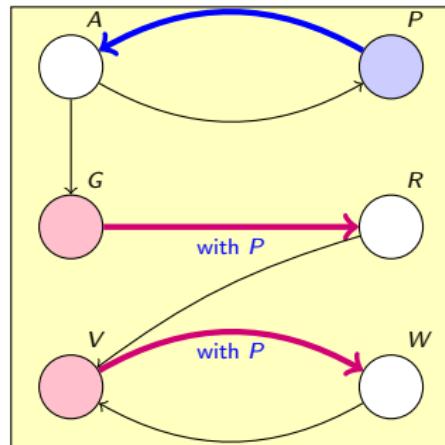
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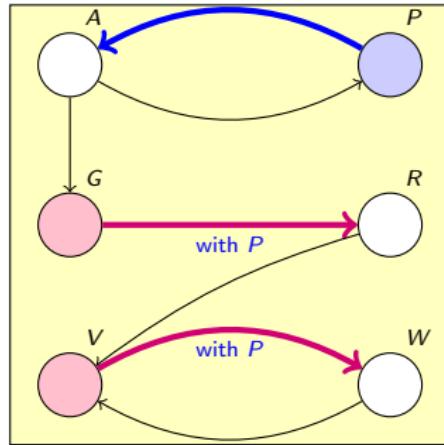
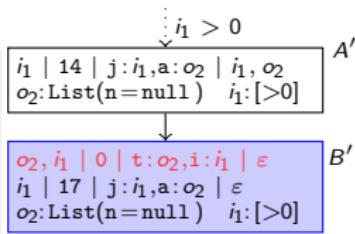


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Termination graphs of several methods

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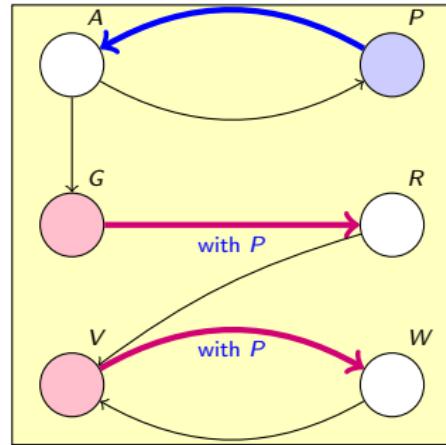
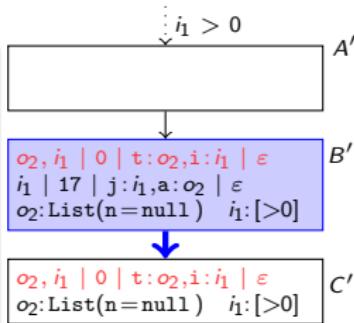


- State B' call state

Termination graphs of several methods

```
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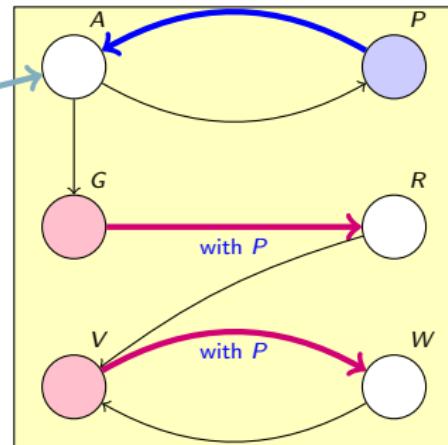
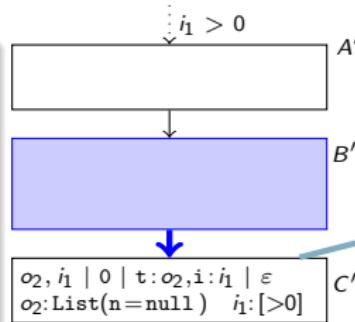


- State B' call state
- State C' call stack split result

Termination graphs of several methods

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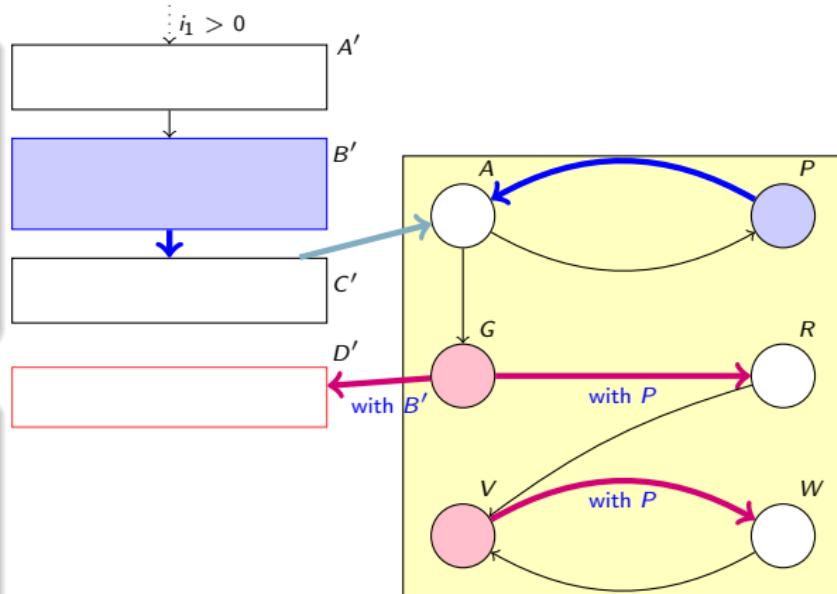


- State B' call state
- State C' call stack split result
- C' instance of A from `appE`

Termination graphs of several methods

```
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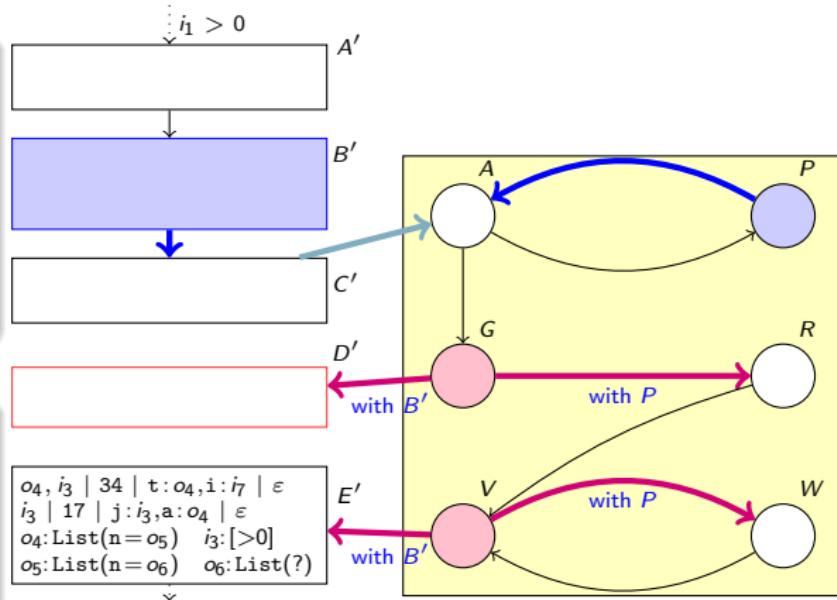
New successors for **return states** G , V :

- Successor D' of G does not exist:
 B' has $i > 0$, G has $i \leq 0$, intersection empty

Termination graphs of several methods

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public void appE(int i) {  
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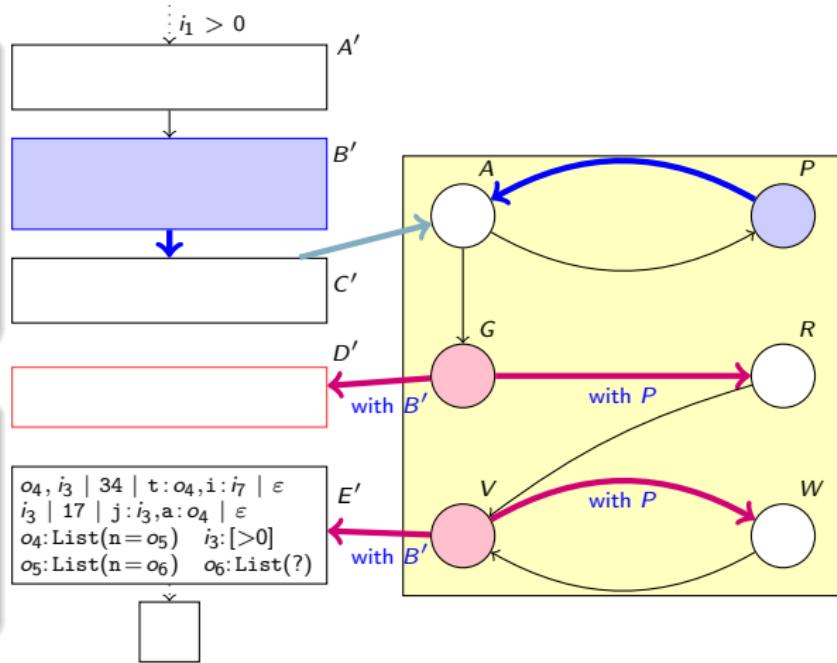
New successors for return states G , V :

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Termination graphs of several methods

```
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}
```

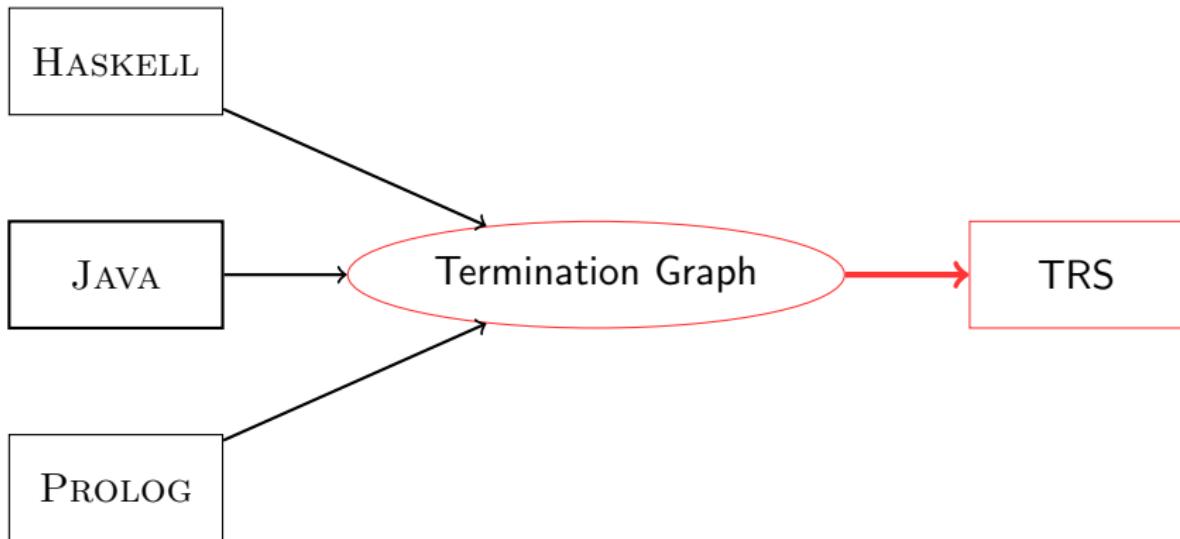
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    if (j > 0) {  
        a.appE(j);  
        while (a.n == null) {}  
    } }
```



New successors for **return states** G , V :

- Successor D' of G does not exist
- Successor E' of V exists, a has length ≥ 1
- Program terminates

From Termination Graphs to TRSs



Transforming values to terms

$o_4, i_{10} \mid 0 \mid t: o_4, i: i_{10} \mid \varepsilon$
 $\theta_1, i_9 \mid 34 \mid t: o_1, i: i_{10} \mid \varepsilon$
 $o_1: \text{List}(n = o_4) \quad i_9: \mathbb{Z} \quad i_{10}: \mathbb{Z}$
 $o_4: \text{List}(n = o_5) \quad o_5: \text{List}(?)$

- Data structures transformed to nested constructor terms

$\text{List}(\text{List}(o_5))$

Transforming values to terms

$$\begin{array}{l} o_4, i_{10} \mid 0 \mid t : o_4, i : i_{10} \mid \varepsilon \\ o_1, i_9 \mid 34 \mid t : o_1, i : i_{10} \mid \varepsilon \\ o_1 : \text{List}(n = o_4) \quad i_9 : \mathbb{Z} \quad i_{10} : \mathbb{Z} \\ o_4 : \text{List}(n = o_5) \quad o_5 : \text{List}(?) \end{array} P$$

- Data structures transformed to nested constructor terms
- Unknown values transformed to variable

List(List(o_5))

Transforming values to terms

$o_4, i_{10} \mid 0 \mid t: o_4, i: i_{10} \mid \varepsilon$
 $\theta_1, i_9 \mid 34 \mid t: o_1, i: i_{10} \mid \varepsilon$
 $o_1: \text{List}(n = o_4) \quad i_9: \mathbb{Z} \quad i_{10}: \mathbb{Z}$
 $o_4: \text{List}(n = o_5) \quad o_5: \text{List}(?)$

- Data structures transformed to nested constructor terms
- Unknown values transformed to variable
- For each class C with n fields
introduce one function symbol C of arity n

List($\underbrace{\text{List}(o_5)}_{o_4})$)

Transforming values to terms

$o_4, i_{10} \mid 0 \mid t: o_4, i: i_{10} \mid \varepsilon$
 $\theta_1, i_9 \mid 34 \mid t: o_1, i: i_{10} \mid \varepsilon$
 $o_1: \text{List}(n = o_4) \quad i_9: \mathbb{Z} \quad i_{10}: \mathbb{Z}$
 $o_4: \text{List}(n = o_5) \quad o_5: \text{List}(?)$

- Data structures transformed to nested constructor terms
- Unknown values transformed to variable
- For each class C with n fields
introduce one function symbol C of arity n
- Encode field values recursively

$\text{List}(\underbrace{\text{List}(o_5)}_{o_4})$
 $\underbrace{\quad\quad}_{o_1}$

Transforming states to terms

$\boxed{o_4, i_{10} \mid 0 \mid t : o_4, i : i_{10} \mid \varepsilon}$ P
 $\Theta_1, i_9 \mid 34 \mid t : o_1, i : i_{10} \mid \varepsilon$
 $o_1 : \text{List}(n = o_4) \quad i_9 : \mathbb{Z} \quad i_{10} : \mathbb{Z}$
 $o_4 : \text{List}(n = o_5) \quad o_5 : \text{List}(?)$

- For stack frame at position pp in s , introduce $f_{s,pp}$

$f_{P,34}(f_{P,0}(\text{eos}, \text{List}(o_5), i_{10}, \text{List}(o_5), i_{10}),$
 $\text{List}(\text{List}(o_5)), i_9, \text{List}(\text{List}(o_5)), i_{10})$

Transforming states to terms

$\boxed{\begin{array}{l} o_4, i_{10} \mid 0 \mid t: o_4, i: i_{10} \mid \varepsilon \\ \textcolor{red}{\Theta_1}, i_9 \mid 34 \mid t: o_1, i: i_{10} \mid \varepsilon \\ o_1: \text{List}(n = o_4) \quad i_9: \mathbb{Z} \quad i_{10}: \mathbb{Z} \\ o_4: \text{List}(n = o_5) \quad o_5: \text{List}(?) \end{array}} P$

- For stack frame at position pp in s , introduce $f_{s,pp}$
- Nest terms for stack frames (topmost is innermost)
Topmost has symbol eos to mark end of stack

$f_{P,34}(f_{P,0}(\text{eos}, \text{List}(o_5), i_{10}, \text{List}(o_5), i_{10}),$
 $\text{List}(\text{List}(o_5)), i_9, \text{List}(\text{List}(o_5)), i_{10})$

Transforming states to terms

P

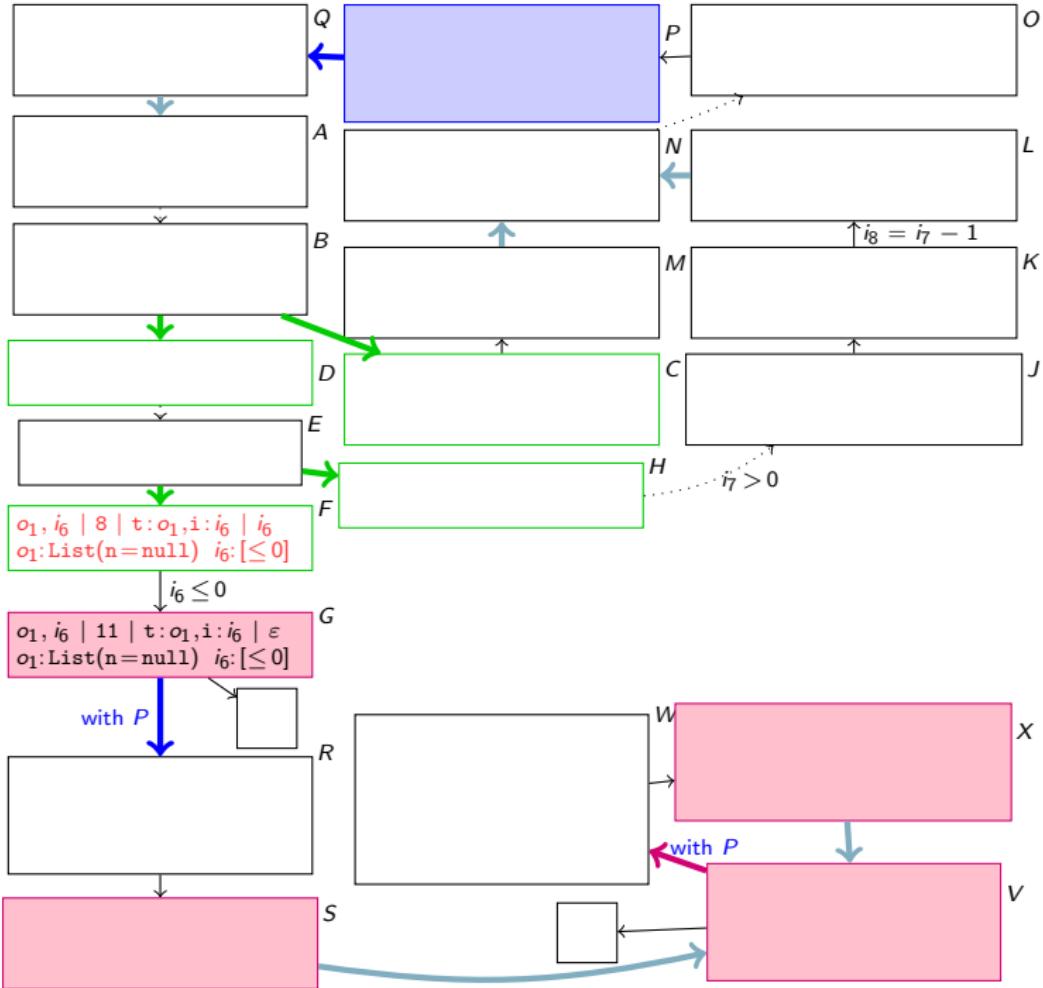
$$\begin{array}{l} o_4, i_{10} \mid 0 \mid t : o_4, i : i_{10} \mid \varepsilon \\ \theta_1, i_9 \mid 34 \mid t : o_1, i : i_{10} \mid \varepsilon \\ o_1 : \text{List}(n = o_4) \quad i_9 : \mathbb{Z} \quad i_{10} : \mathbb{Z} \\ o_4 : \text{List}(n = o_5) \quad o_5 : \text{List}(?) \end{array}$$

- For stack frame at position pp in s , introduce $f_{s,pp}$
- Nest terms for stack frames (topmost is innermost)
Topmost has symbol eos to mark end of stack
- Each input argument, local variable and opstack entry is encoded:

$$f_{P,34}(f_{P,0}(\text{eos}, \underbrace{\text{List}(o_5), i_{10}}_{o_4}, \underbrace{\text{List}(o_5), i_{10}}_{o_4}),$$
$$\underbrace{\text{List}(\text{List}(o_5)), i_9}_{o_1}, \underbrace{\text{List}(\text{List}(o_5)), i_{10}}_{o_1})$$

Converting Evaluation edges

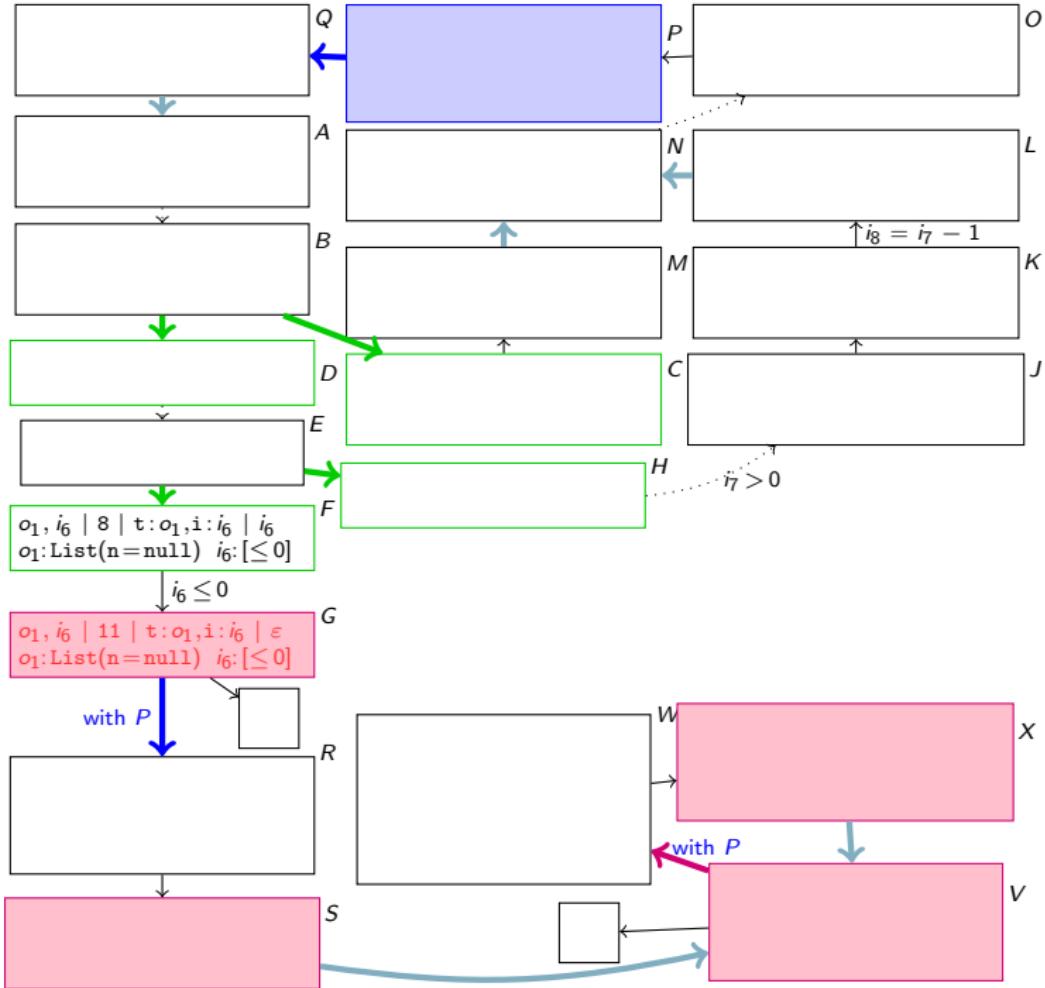
$f_{F,8}(\text{eos},$
 $\text{List(null)},$
 $i_6,$
 $\text{List(null)},$
 $i_6)$



Converting Evaluation edges

$f_{F,8}(\text{eos}, \text{List(null)}, i_6, \text{List(null)}, i_6)$

$f_{G,11}(\text{eos}, \text{List(null)}, i_6, \text{List(null)}, i_6)$

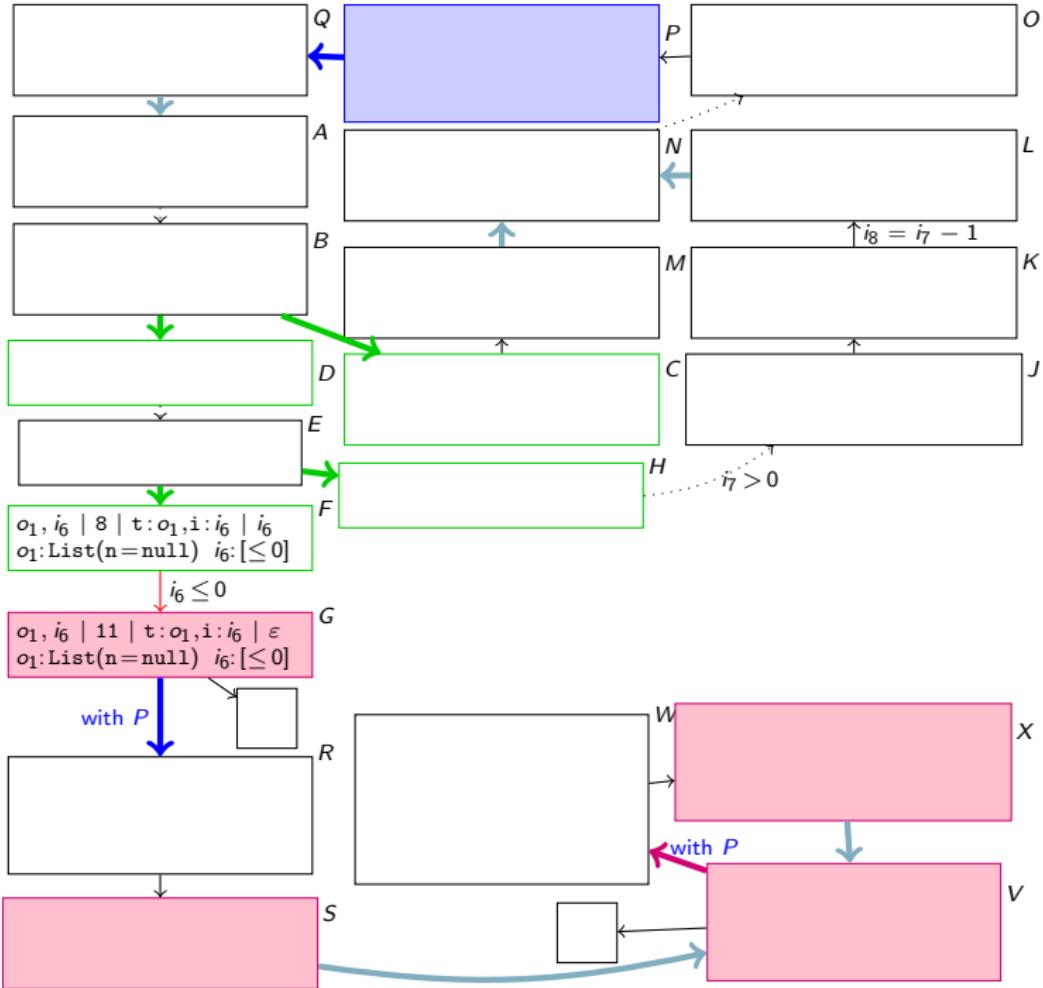


Converting Evaluation edges

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 $\text{List(null)},$
 $i_6,$
 $\text{List(null)},$
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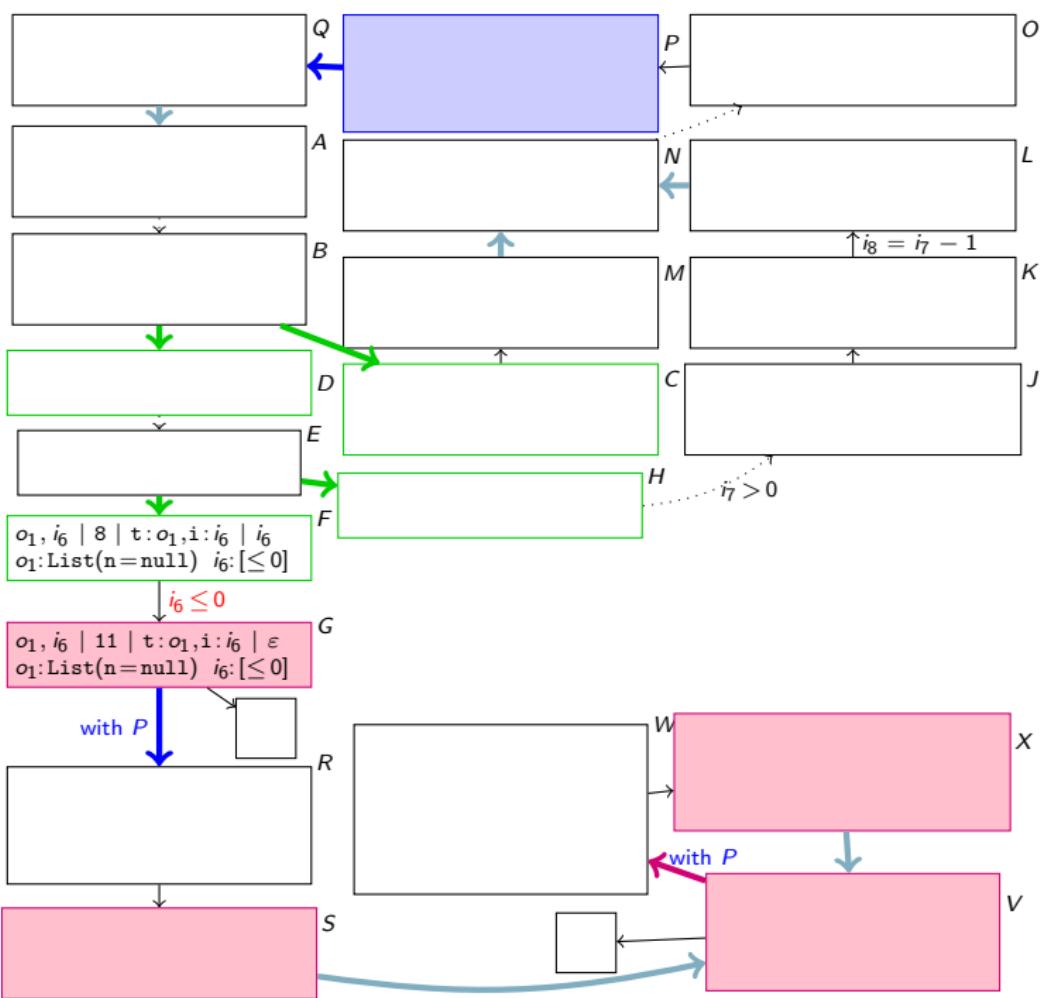
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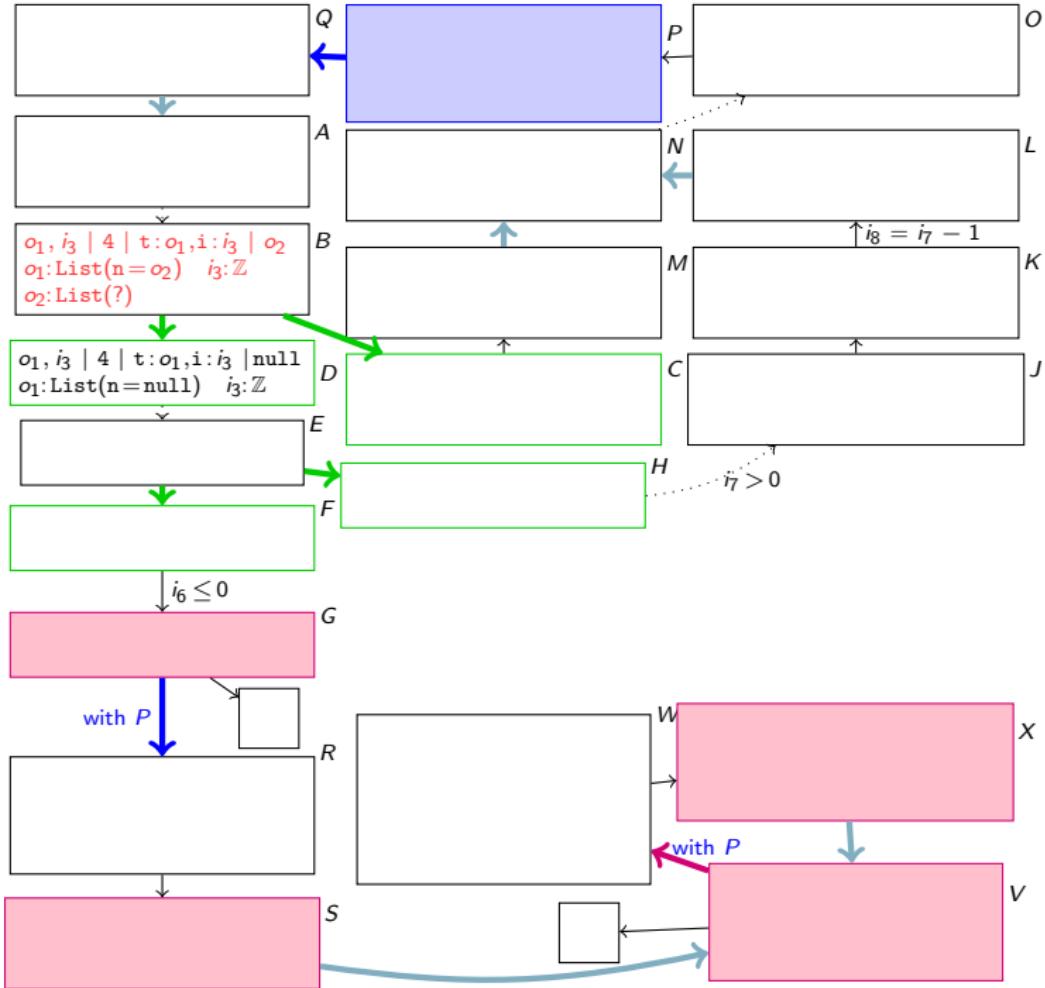
$f_{G,11}(\text{eos},$
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 $i_6,$
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$| i_6 \leq 0$



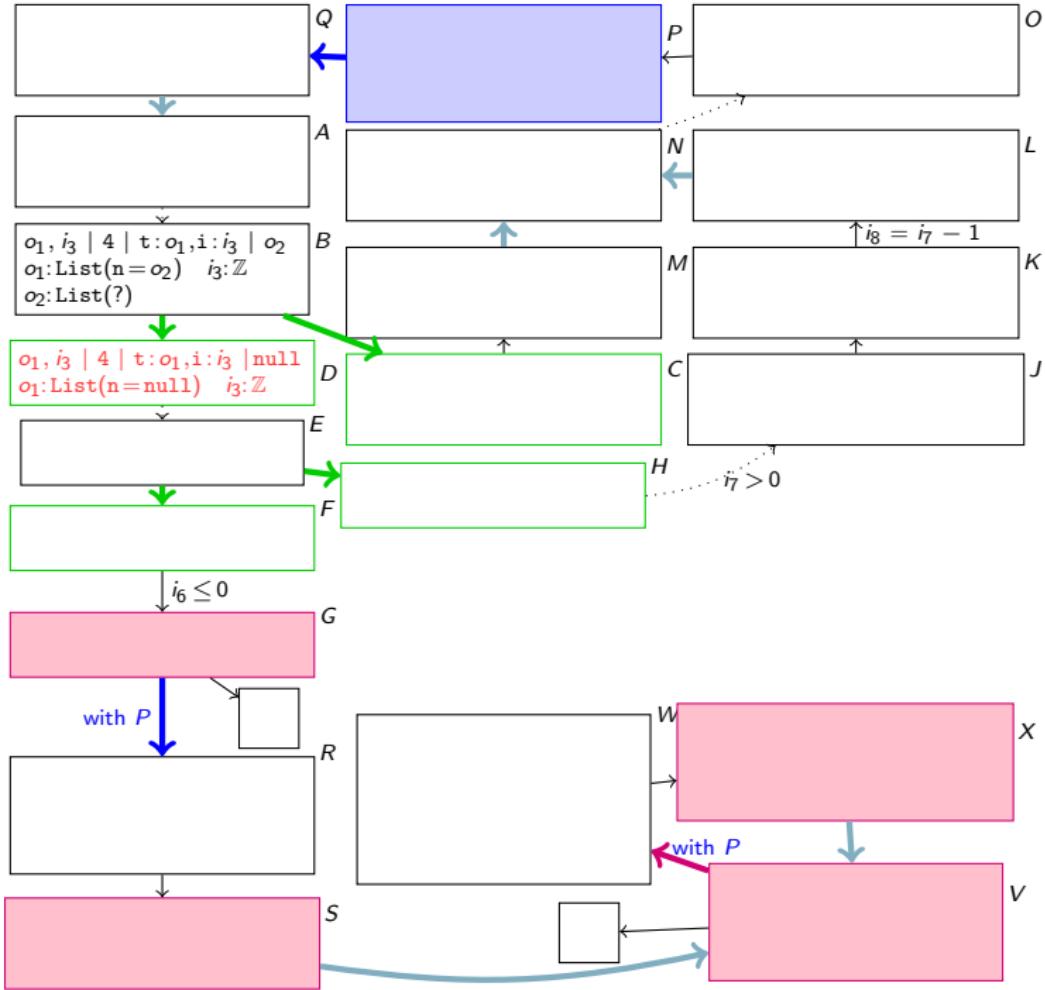
Converting Refinement edges

$f_{B,4}(eos,$
 $\text{List}(o_2),$
 $i_3,$
 $\text{List}(o_2),$
 $i_3,$
 $o_2)$



Converting Refinement edges

$f_{B,4}(\text{eos}, \text{List}(o_2), i_3, \text{List}(o_2), i_3, o_2)$

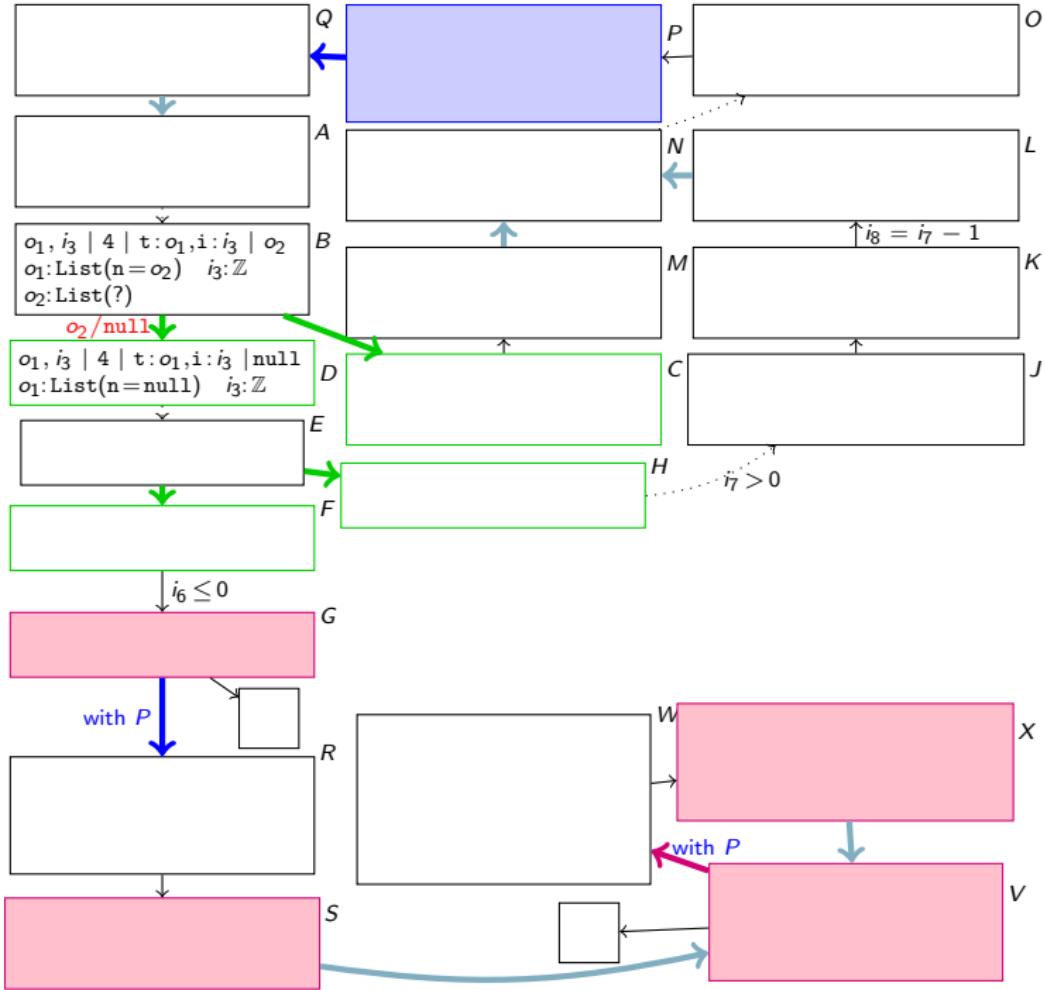


Converting Refinement edges

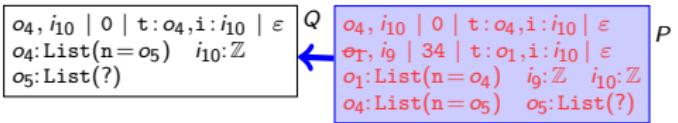
$f_{B,4}(\text{eos},$
 $\text{List(null)},$
 $i_3,$
 $\text{List(null)},$
 $i_3,$
 $\text{null})$



$f_{D,4}(\text{eos},$
 $\text{List(null)},$
 $i_3,$
 $\text{List(null)},$
 $i_3,$
 $\text{null})$

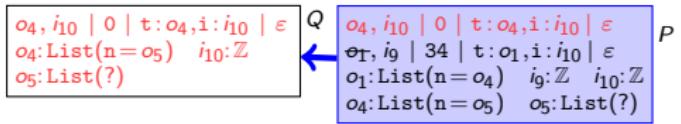


Converting stack split edges



$f_{P,34}(f_{P,0}(\text{eos}, \text{List}(o_5), i_{10}, \text{List}(o_5), i_{10}),$
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Converting stack split edges


$$f_{P,0}(\text{eos}, \text{List}(o_5), i_{10}, \text{List}(o_5), i_{10})$$

$$f_{Q,0}(\text{eos}, \text{List}(o_5), i_{10}, \text{List}(o_5), i_{10})$$

Converting call return edges

$o_4, i_{10} \mid 0 \mid t: o_4, i: i_{10} \mid \epsilon$	$\Theta_T, i_9 \mid 34 \mid t: o_1, i: i_{10} \mid \epsilon$	P
$o_1: \text{List}(n = o_4) \quad i_9: \mathbb{Z} \quad i_{10}: \mathbb{Z}$	$o_4: \text{List}(n = o_5) \quad o_5: \text{List}(?)$	

$f_{P,34}(f_{P,0}(\text{eos}, \text{List}(o_5), i_{10}, \text{List}(o_5), i_{10}),$
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G

$o_1, i_6 \mid 11 \mid t: o_1, i: i_6 \mid \epsilon$
$o_1: \text{List}(n = \text{null}) \quad i_6: [\leq 0]$

with P

R

$o_{11}, i_{12} \mid 11 \mid t: o_{11}, i: i_{12} \mid \epsilon$
$\Theta_T, i_9 \mid 34 \mid t: o_1, i: i_{12} \mid \epsilon$
$o_{11}: \text{List}(n = \text{null}) \quad i_{12}: [\leq 0]$
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From intersection:

$\boxed{o_1, i_6 \mid 11 \mid t: o_1, i: i_6 \mid \epsilon}$ G
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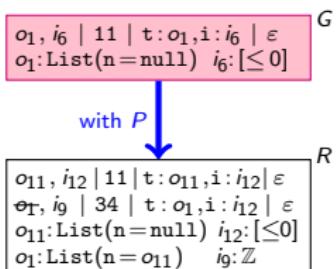
- o_5 is null
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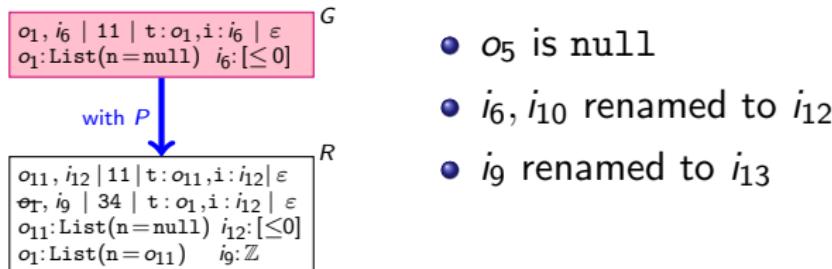
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$$\begin{aligned}
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 & \quad \text{List(List(null))), } i_{13}, \text{List(List(null))), i_{12}) \\
 & \longrightarrow \\
 & f_{R,34}(f_{R,11}(\text{eos}, \text{List(null)}, i_{12}, \text{List(null)}, i_{12}), \\
 & \quad \text{List(List(null))), } i_9, \text{List(List(null))), i_{12})
 \end{aligned}$$

From intersection:



The Example: TRS

TRS for appE

$$f_A(L(n), i_6) \rightarrow f_G(L(n), i_6) \quad |i_6 \leq 0 \quad (1)$$

$$f_A(L(n), i_7) \rightarrow f_P(f_A(L(n), i_7 - 1), L(L(n)), i_7) \quad |i_7 > 0 \quad (2)$$

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TRS corresponds to source program:

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public void appE(int i) {  
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TRS for cappE

$$f_{A'}(\dots) \rightarrow f_{B'}(f_A(\dots), \dots) \quad (1')$$

$$f_{B'}(f_V(\dots), \dots) \rightarrow f_{D'}(f_{D'}(\dots), \dots) \quad (2')$$

Theoretical basis

Definition 1: Java and the Termination Graph

A *computation path* in the Termination Graph is the embedding of a standard JVM computation into the graph.

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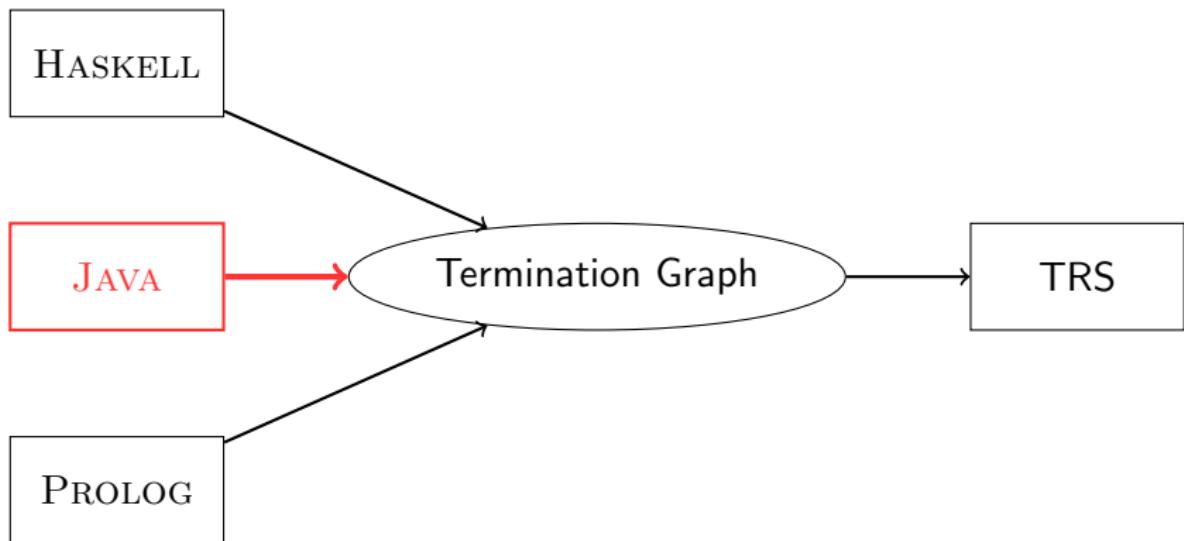
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⇒ Termination Graph has no infinite computation path

⇒ JBC program terminates for all states represented in Termination Graph.

Modular termination analysis of JBC via term rewriting



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