

# Automated Termination Proofs for Java Bytecode with Cyclic Data

M. Brockschmidt, R. Musiol, C. Otto, J. Giesl

LuFG Informatik 2, RWTH Aachen University, Germany

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# Termination Analysis for Imperative Programs

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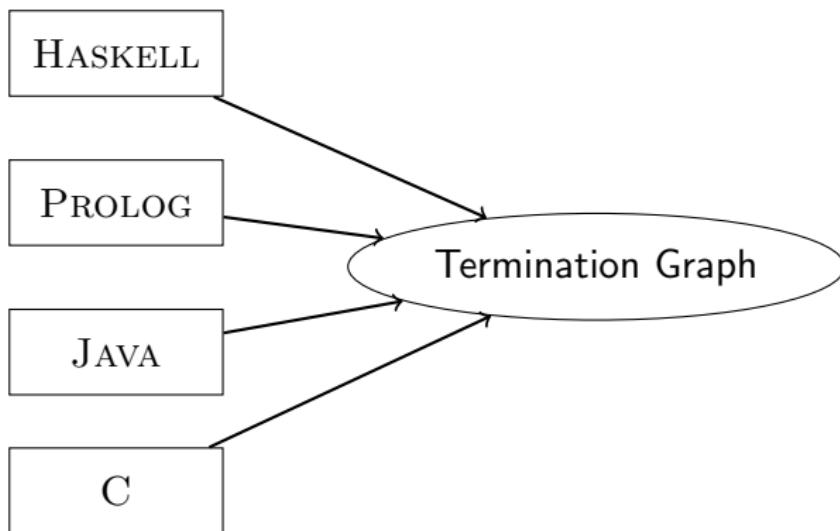
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- Julia & COSTA  
Termination Analysis of JAVA BYTECODE (JBC)  
Fixed abstraction, via Constraint Logic Programs  
*(Spoto, Mesnard, Payet, 10)*  
*(Albert, Arenas, Codish, Genaim, Puebla, Zanardini, 08)*

## Rewriting-based approach: Structure

- Programming languages *hard*  $\curvearrowright$  Simpler representation needed

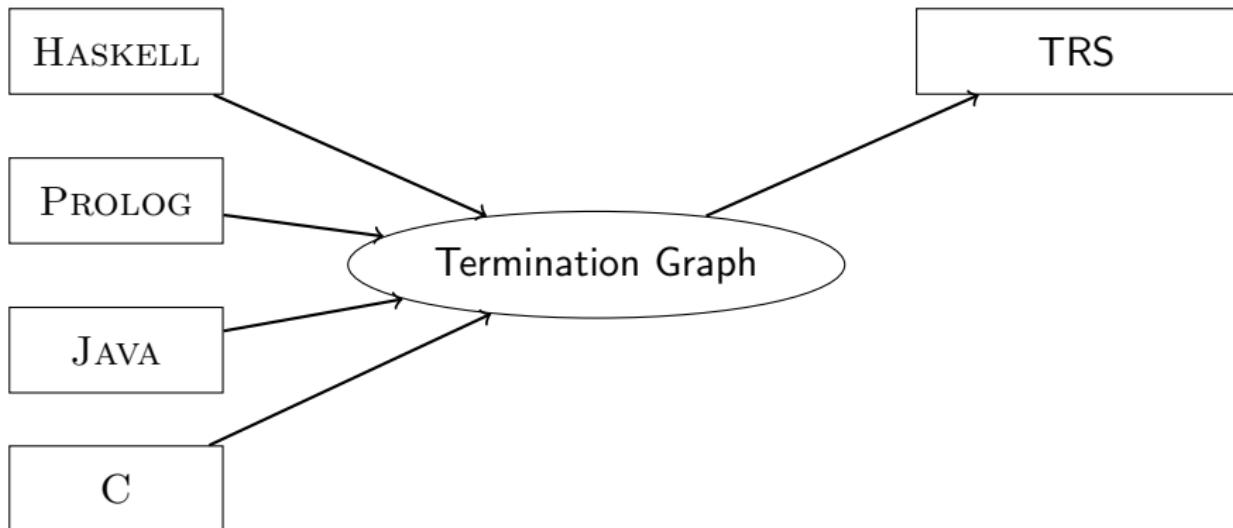
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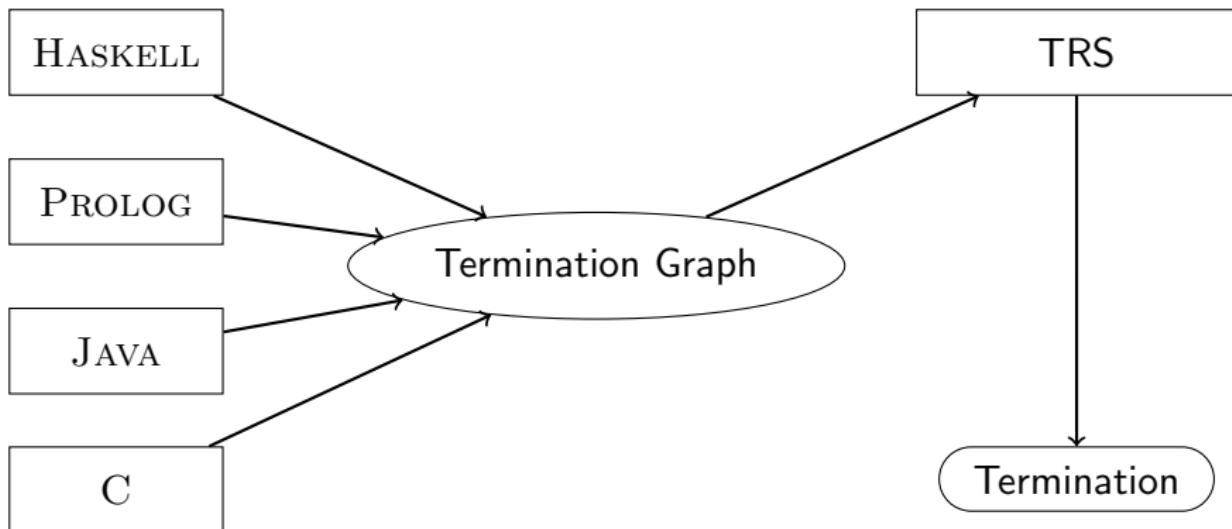
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- Termination Graphs: Simple, all information
- Term Rewrite Systems (TRSs) generated from Termination Graph
- Prove TRS termination using existing provers



# Rewriting-based approach: Advantages

Handling of user-defined data structures:

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public class List {  
    int value;  
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Abstraction to **terms**
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- TRS techniques search for suitable orders automatically  
⇒ Complex orders for user-defined data structures possible

# Rewriting-based approach: Challenges

Handling of user-defined **cyclic** data structures:

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Abstraction to **terms** impossible

- List [2, 4, 6, 2, 4, 6, ...] is abstracted to free variable
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- Solution:

- ① Find suitable measures on Termination Graph level
- ② Encode (numeric) measures into TRS

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# Overview

1 Introduction

2 Marking traversal algorithms

3 Definite Cyclicity

4 Conclusion

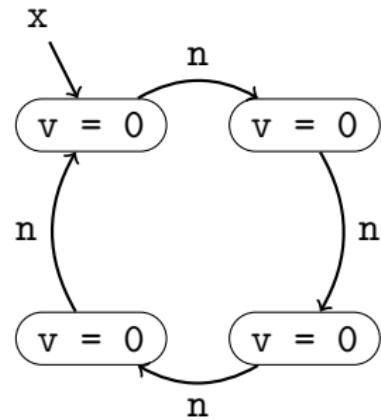
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class L {  
    int v;      List n;  
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        int e = x.v;  
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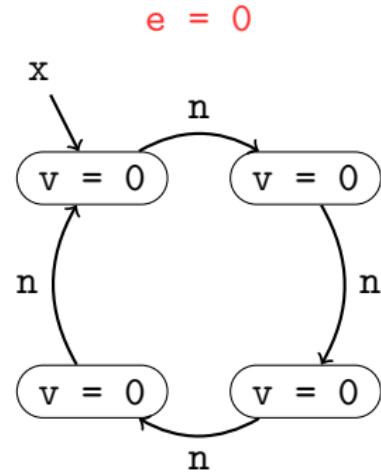
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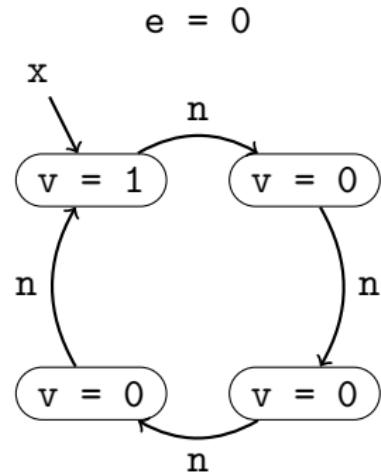
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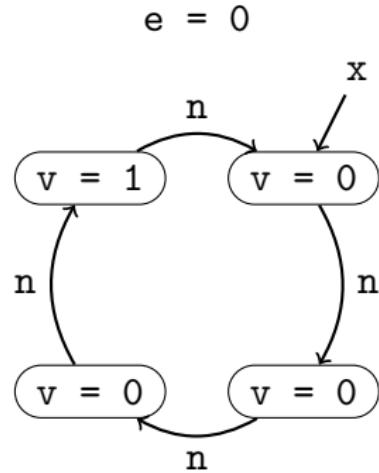
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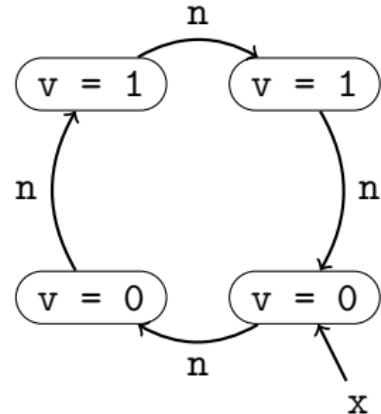


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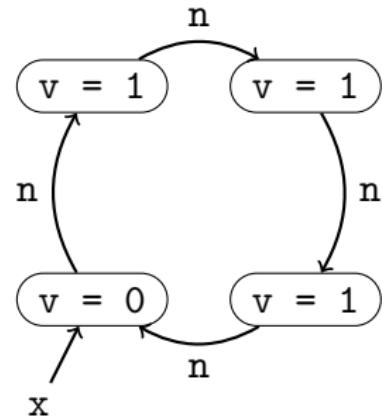


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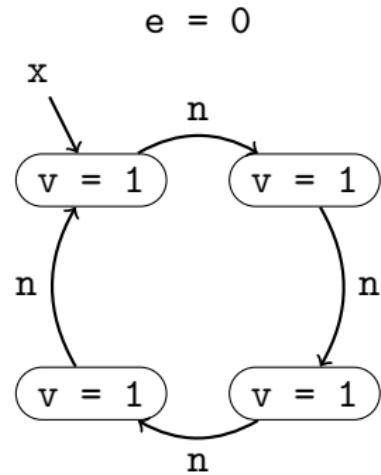
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- Reference might be cyclic: o<sub>1</sub>○

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- Two references may be equal: o<sub>1</sub> =? o<sub>2</sub>

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04: istore_1    #store to e  
05: aload_0      #load x  
06: getfield v  #get v from x  
09: iload_1      #load e  
10: if_icmpne 28 #jump if x.v != e  
13: aload_0      #load x  
14: iload_1      #load e  
15: iconst_1     #load 1  
16: iadd         #add e and 1  
17: putfield v   #store to x.v  
20: aload_0      #load x  
21: getfield n   #get n from x  
24: astore_0     #store to x  
25: goto 5  
28: return
```

05 x: $o_1$ ,e: $i_1 \varepsilon$
$o_1:L(?) \quad i_1:\mathbb{Z} \quad o_1\circlearrowright$

## Stack frame:

- Next program instruction
- Local variables
- Operand stack

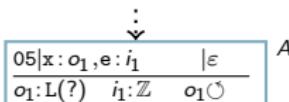
## Heap information:

- At  $o_1$  is L object or null
- At  $i_1$  is unknown integer
- Known L object:  $o_2 : L(v=i_2, n=o_3)$

## Heap annotations: Only explicit sharing

- Reference might be cyclic:  $o_1\circlearrowright$
- Two references may be equal:  $o_1=?o_2$
- Two references may share:  $o_1\backslash\!/_\!o_2$

```
00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return
```



### State A:

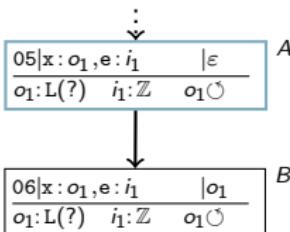
- $x$  some (possibly cyclic) list
- $e$  some integer

```
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }}
```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### State B:

- Evaluation between A and B
- Need field of  $o_1$

```

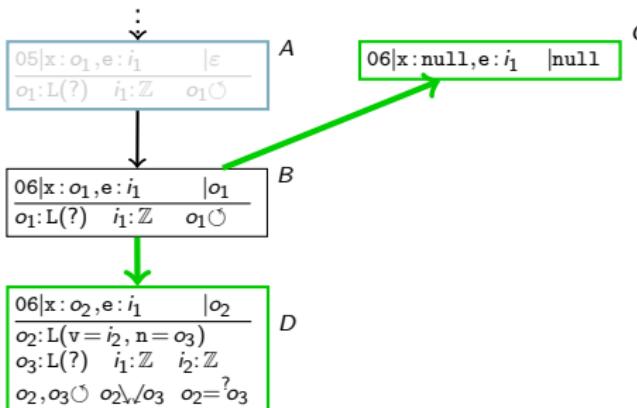
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }}}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### States *B*, *C*, *D*:

- Evaluation between *A* and *B*
- Need field of  $o_1 \Rightarrow$  Refinement:
  - In *C*:  $o_1$  is null
  - In *D*:  $o_1$  renamed to  $o_2$ , pointing to L-object with successor  $o_3$ :
    - $o_3$  possibly cyclic
    - $o_3$  possibly equal to  $o_2$  and may reach  $o_2$

```

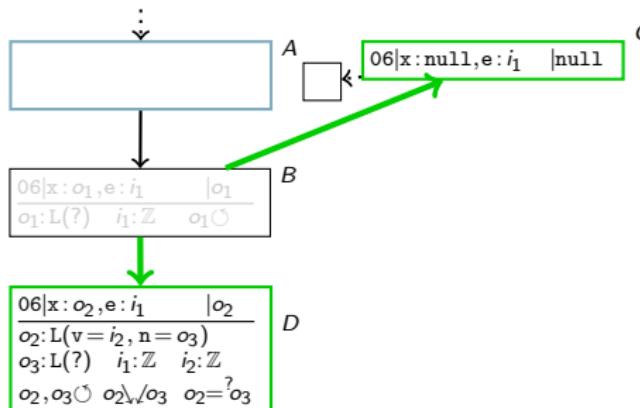
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### States B, C, D:

- *Evaluation* between A and B
- Need field of  $o_1 \Rightarrow$  **Refinement**:
  - In C:  $o_1$  is null (program crashes)
  - In D:  $o_1$  renamed to  $o_2$ , pointing to L-object with successor  $o_3$ :
    - $o_3$  possibly cyclic
    - $o_3$  possibly equal to  $o_2$  and may reach  $o_2$

```

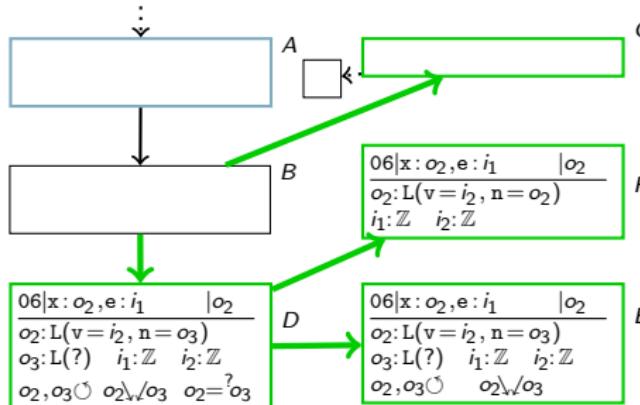
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### States E, F:

- Need to read field of  $o_2 \Rightarrow$  Refinement
  - In E:  $o_2 \neq o_3$
  - In F:  $o_2 = o_3$

```

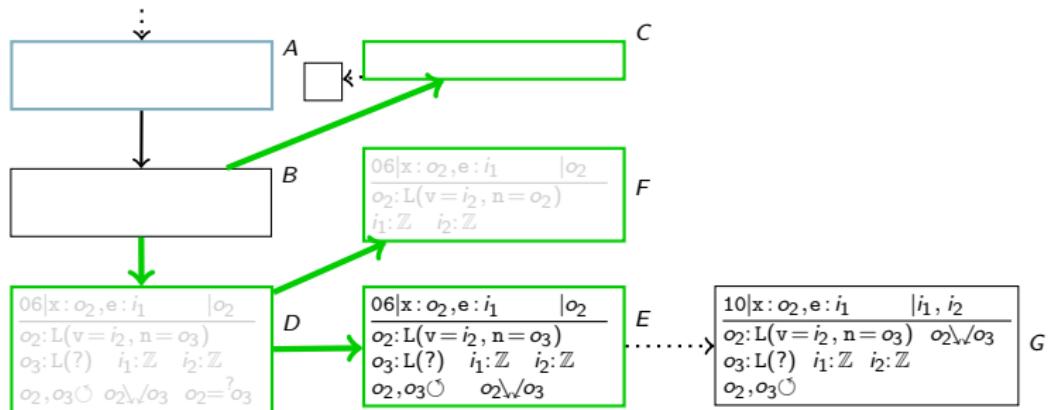
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



### State G:

- Evaluation: Read v, loaded e
- Need to decide  $i_1 \neq i_2$

```

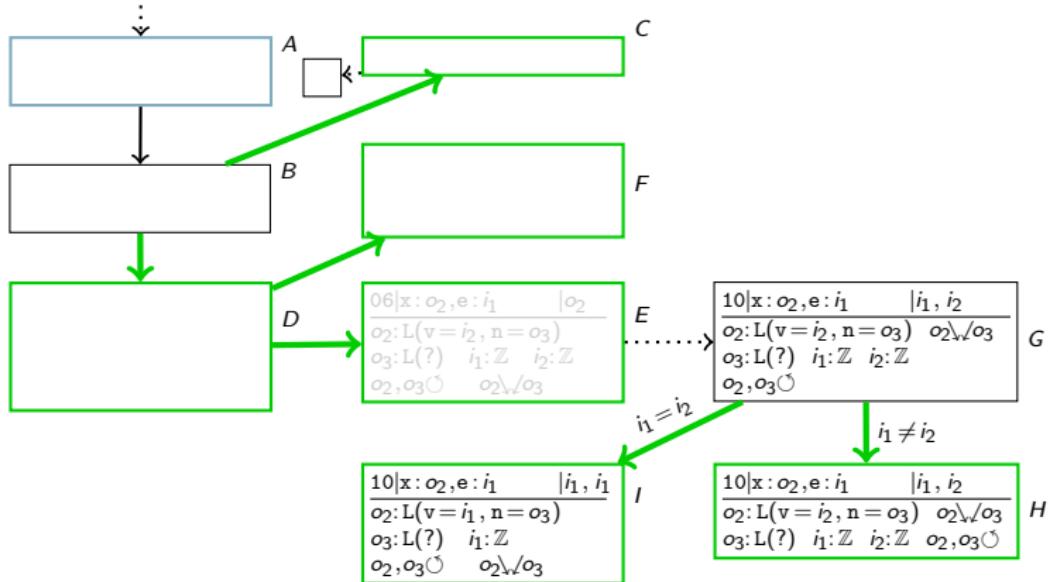
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



## States $G$ , $I$ , $H$ :

- Evaluation: Read  $v$ , loaded  $e$
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In  $I$ :  $i_1 = i_2$
  - In  $H$ :  $i_1 \neq i_2$

```

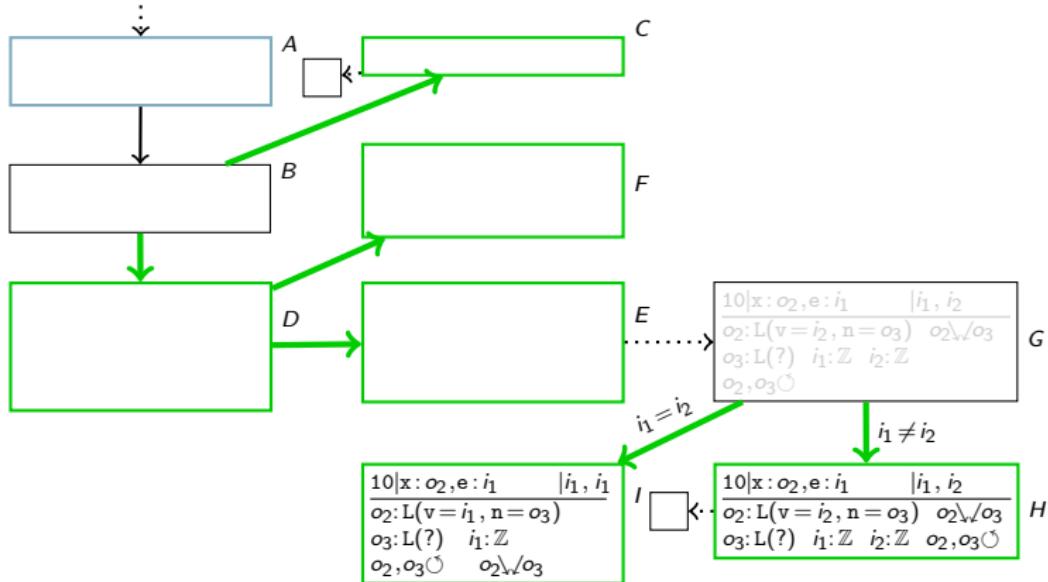
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



## States *G*, *I*, *H*:

- Evaluation: Read *v*, loaded *e*
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In *I*:  $i_1 = i_2$  (program ends)
  - In *H*:  $i_1 \neq i_2$

```

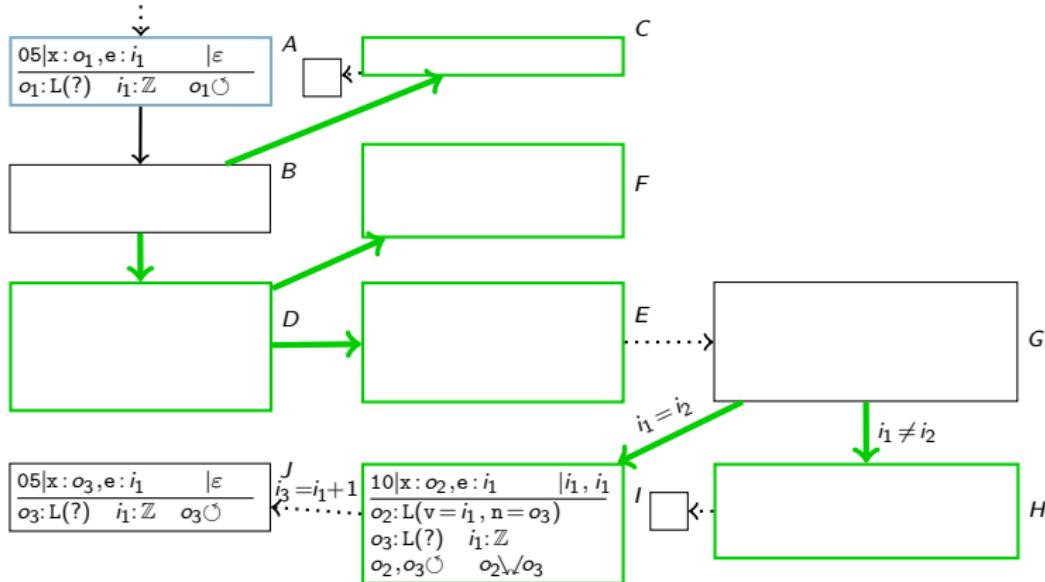
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



States  $G, I, H$ :

- Evaluation: Read  $v$ , loaded  $e$
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In  $I$ :  $i_1 = i_2$  (program ends)
  - In  $H$ :  $i_1 \neq i_2$
- State  $J$  reached by evaluation**

```

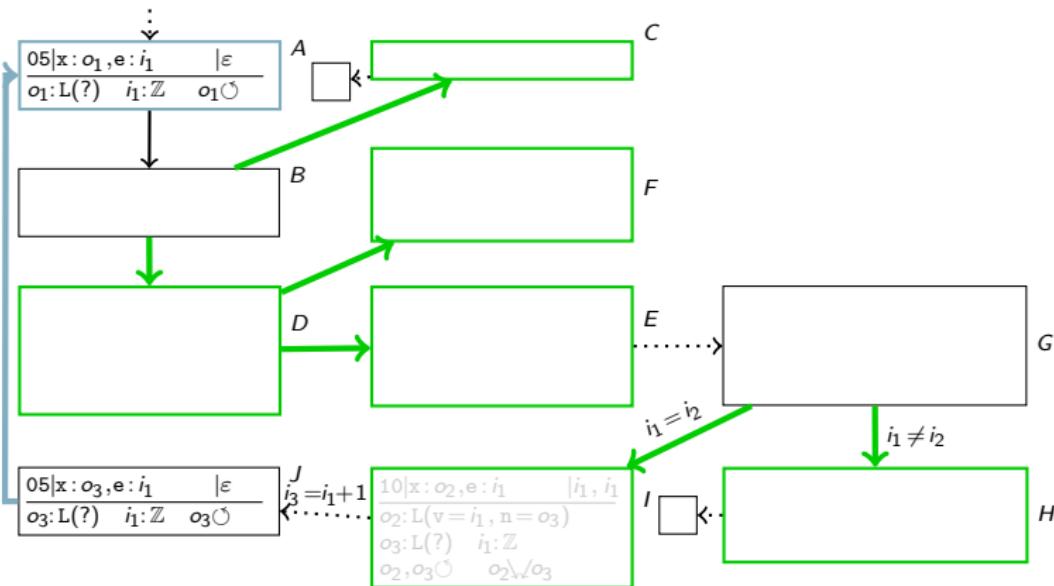
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
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05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



States *G*, *I*, *H*:

- Evaluation: Read *v*, loaded *e*
- Need to decide  $i_1 \neq i_2 \Rightarrow$  Refinement:
  - In *I*:  $i_1 = i_2$  (program ends)
  - In *H*:  $i_1 \neq i_2$
- State *J* reached by evaluation, represented by (instance of) *A*

```

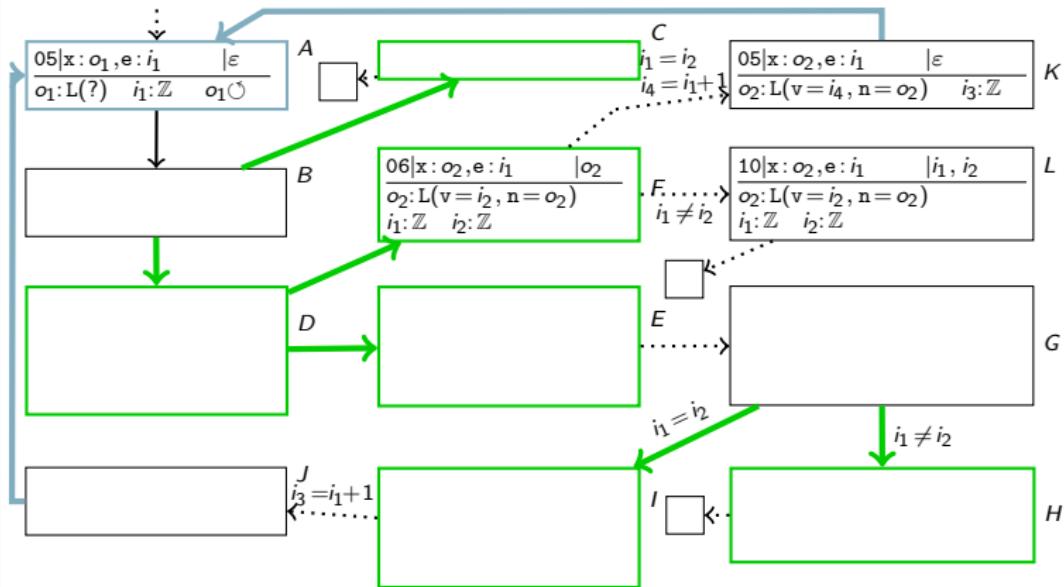
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



States **K**, **L**: Analogous for one-element list

```

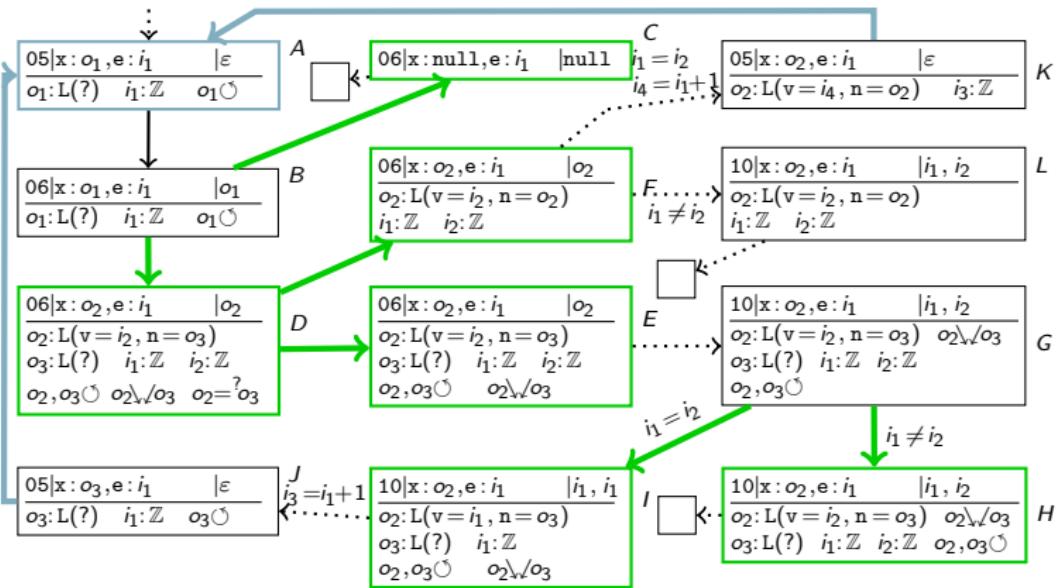
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?

```

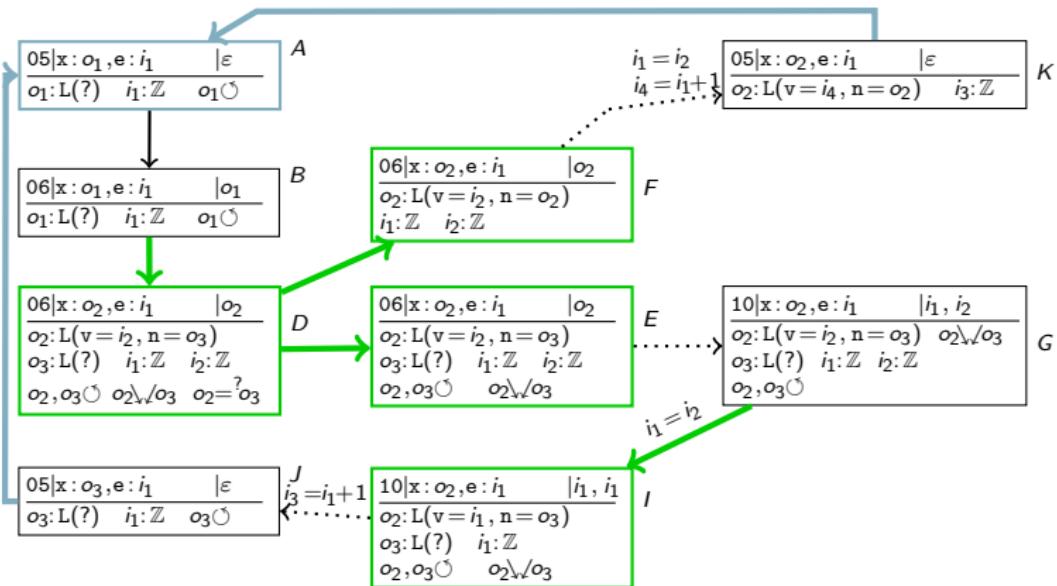
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n;
    }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?
- Only consider SCCs

```

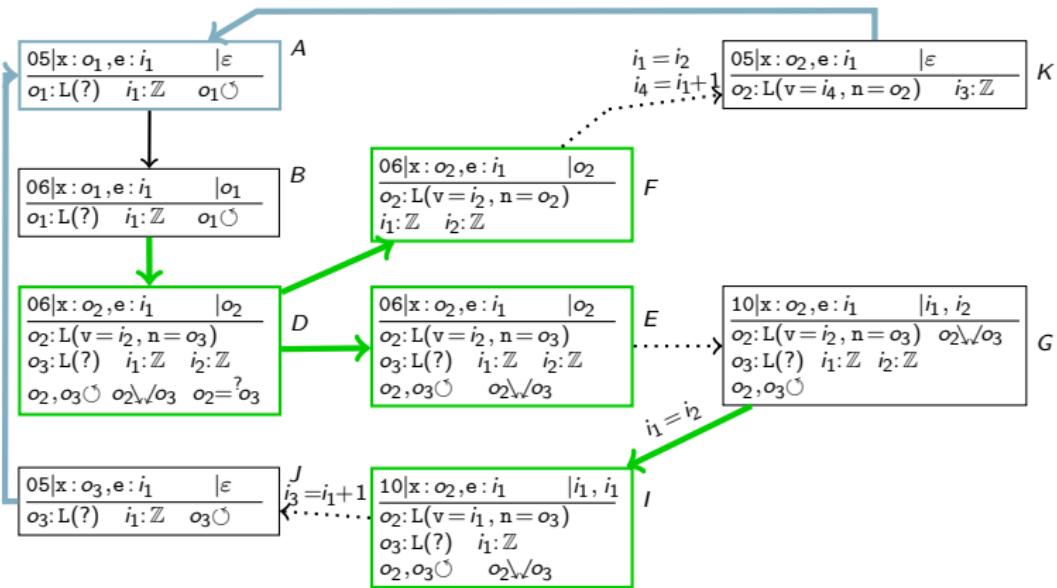
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?
- Only consider SCCs

High-level argument: Number of unvisited elements strictly decreasing

```

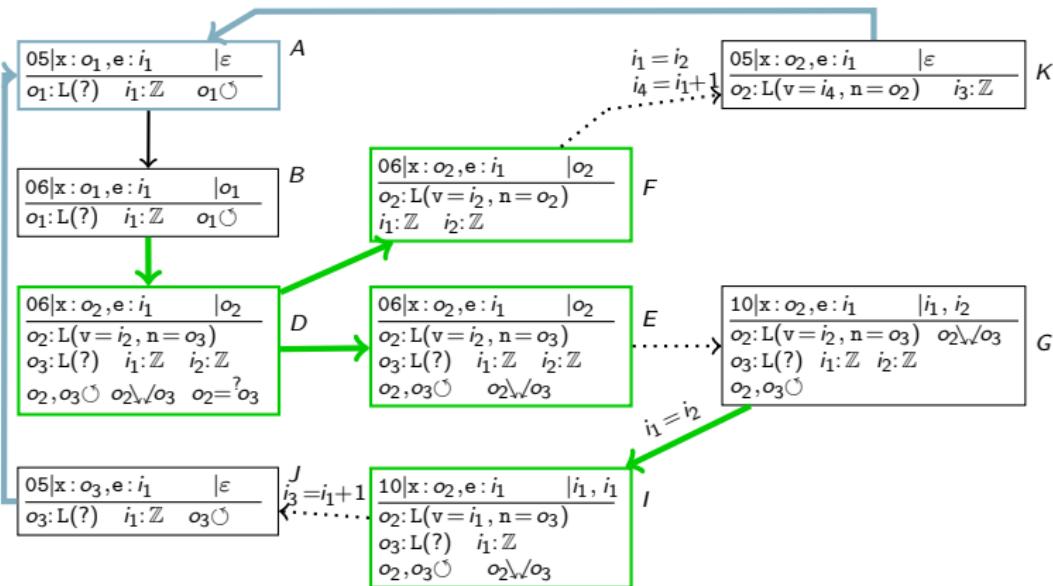
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
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17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



- All leaves program ends  $\Rightarrow$  Graph finished
- How can we prove termination?
- Only consider SCCs

High-level argument: Number of unvisited elements strictly decreasing

... Let's drag that down to our level!

```

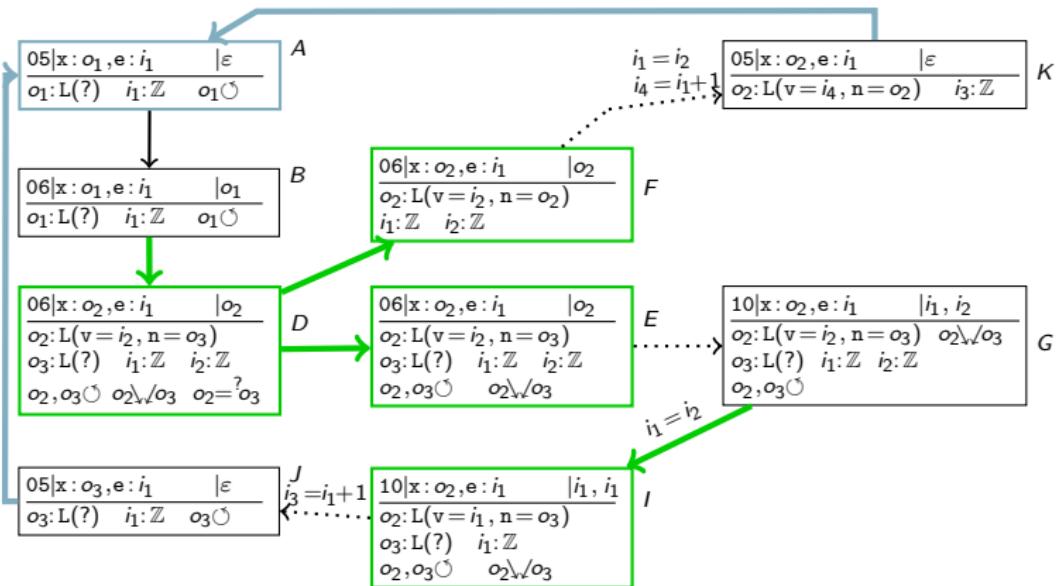
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
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15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

```

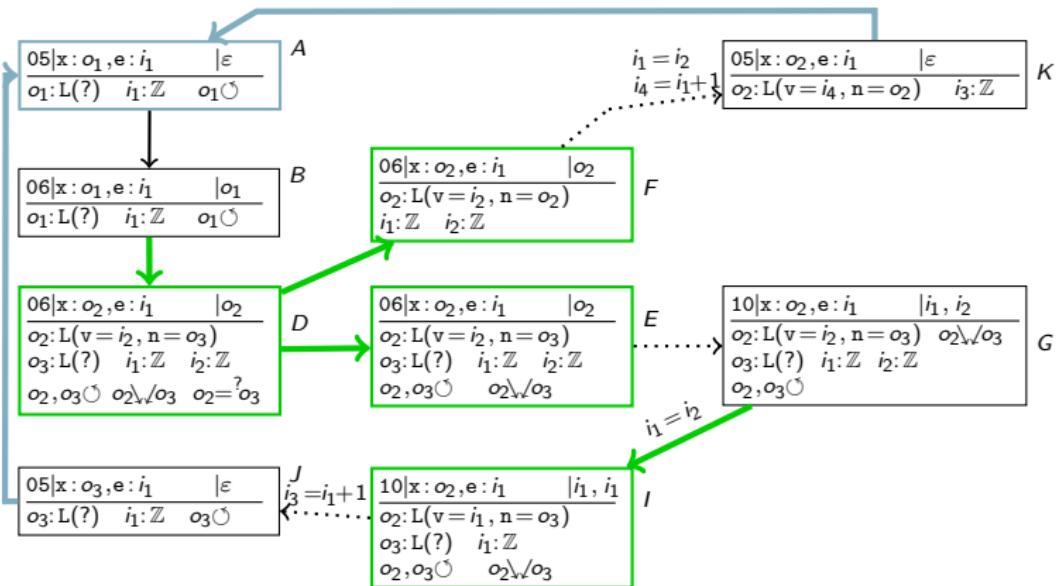
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
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17: putfield v
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21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

```

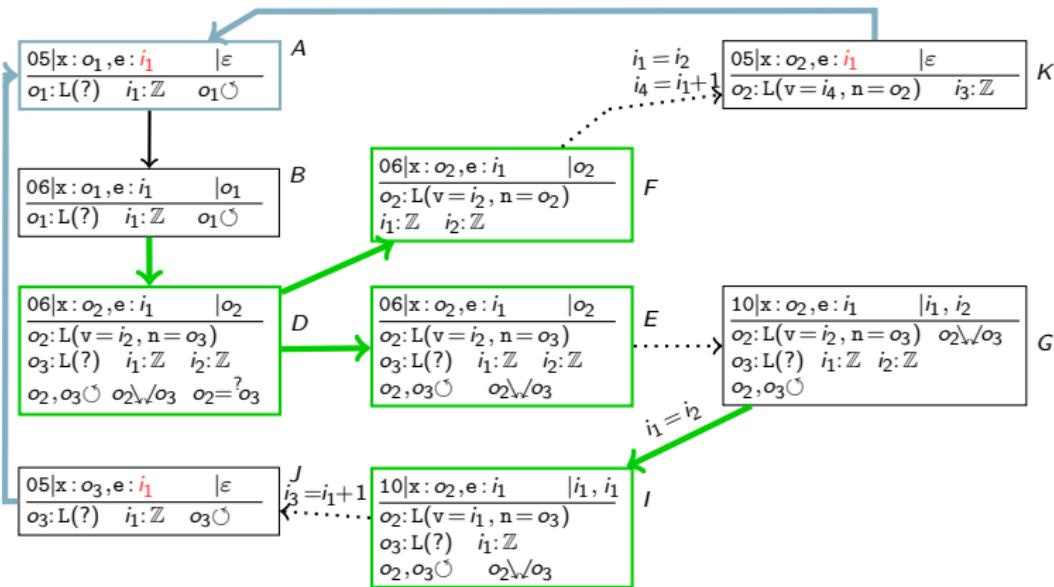
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; })
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

- Automatically finding this relation:

① Identify constant  $c$  in SCC

```

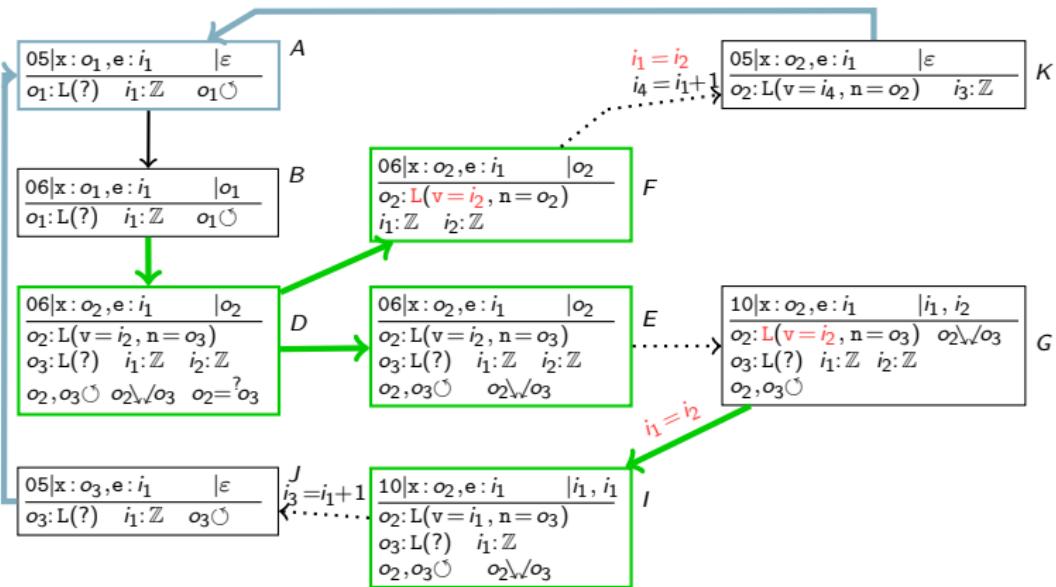
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

- Automatically finding this relation:

① Identify constant  $c$  in SCC

② Search property  $M = C.f \bowtie c$  checked on all cycles

```

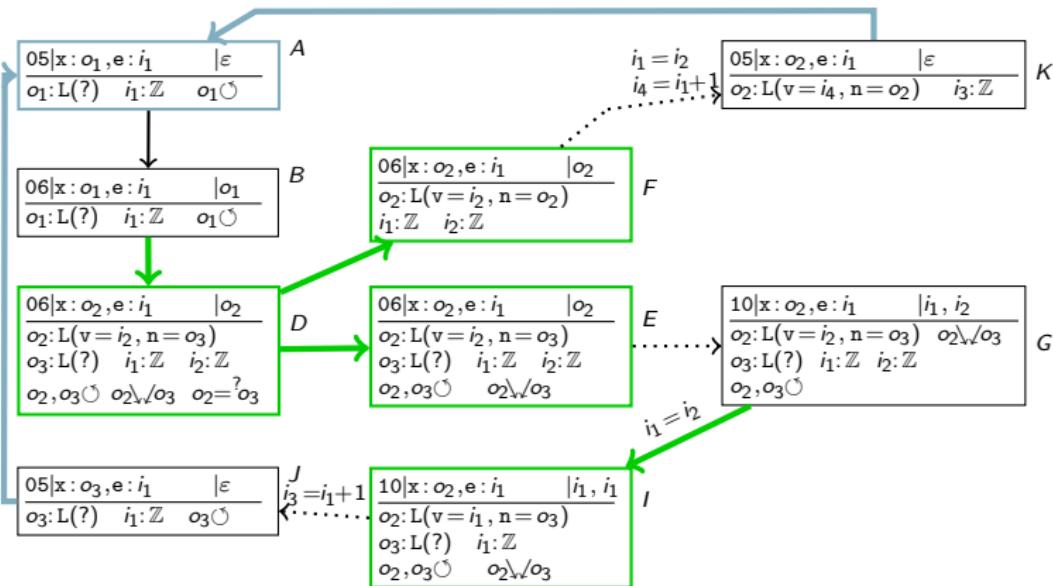
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
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04: istore_1
05: aload_0
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09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



Q: What is an “unvisited element”, formally?

A: One with  $L.v = i_1 = e$

- Automatically finding this relation:

- Identify constant  $c$  in SCC

- Search property  $M = C.f \bowtie c$  checked on all cycles

- Track number of objects where  $C.f \bowtie c$  holds ( $\#_M$ )

```

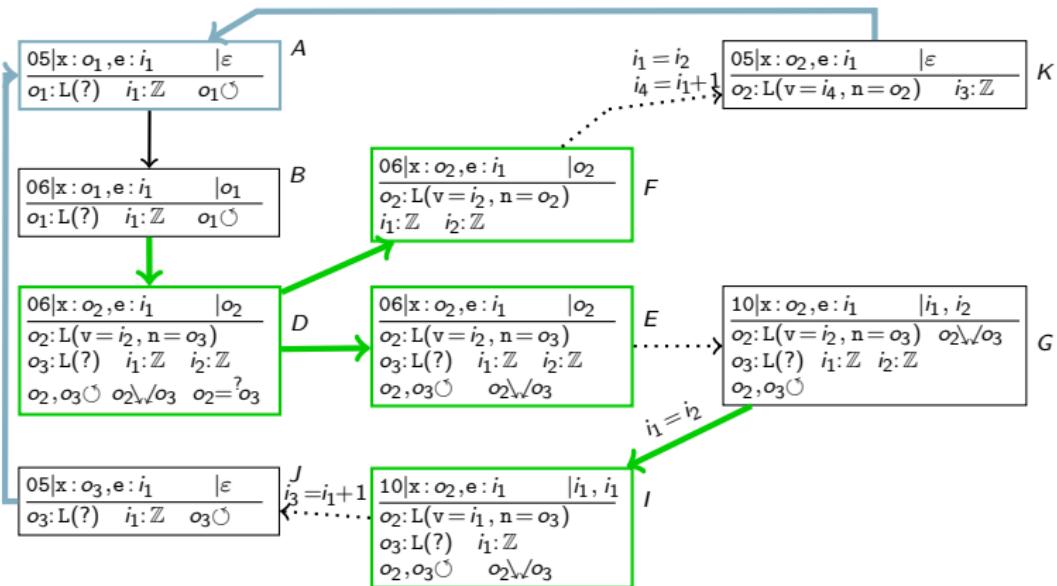
static void visit(L x) {
    int e = x.v;
    while (x.v == e) {
        x.v = e + 1;
        x = x.n; }
}

```

```

00: aload_0
01: getfield v
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15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```

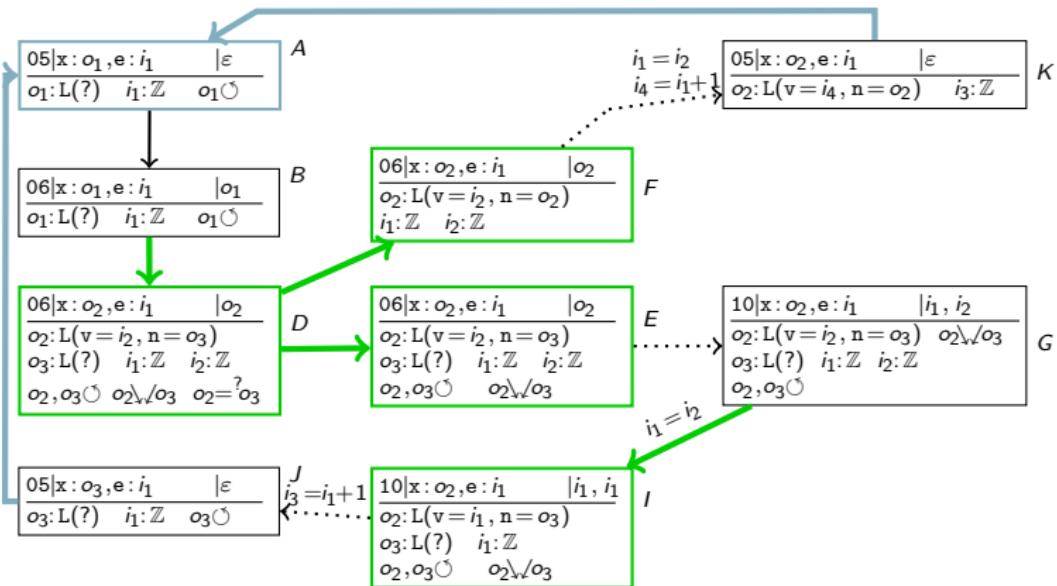


Property  $M = C.f \bowtie c$  (here:  $c = i_1$ ). When does  $\#_M$  change?

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



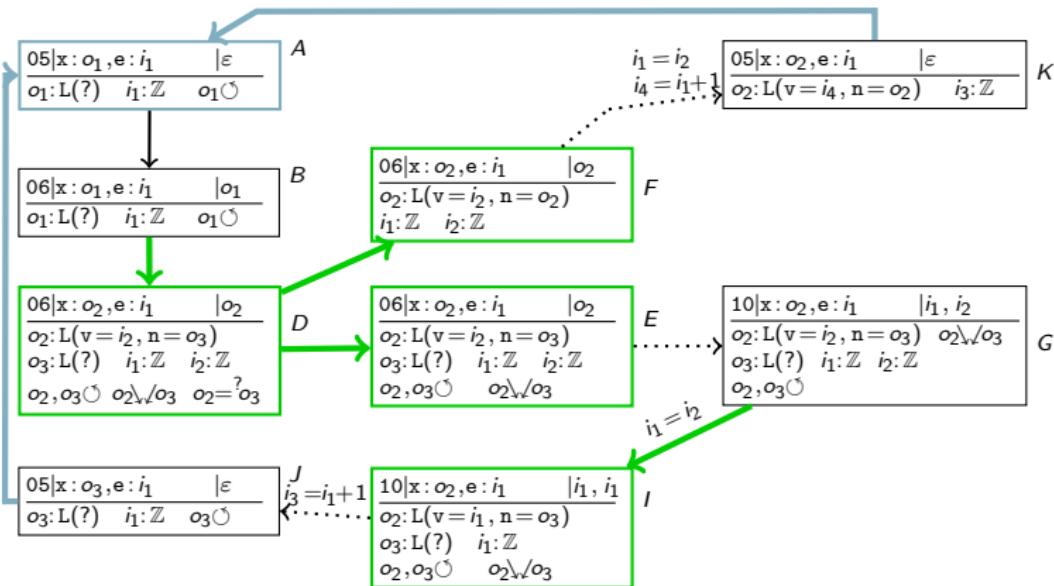
Property  $M = C.f \bowtie c$  (here:  $c = i_1$ ). When does  $\#_M$  change?

- $C.f$  written (old value  $u$ , new value  $w$ ):

```

00: aload_0
01: getfield v
04: istore_1
05: aload_0
06: getfield v
09: iload_1
10: if_icmpne 28
13: aload_0
14: iload_1
15: iconst_1
16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



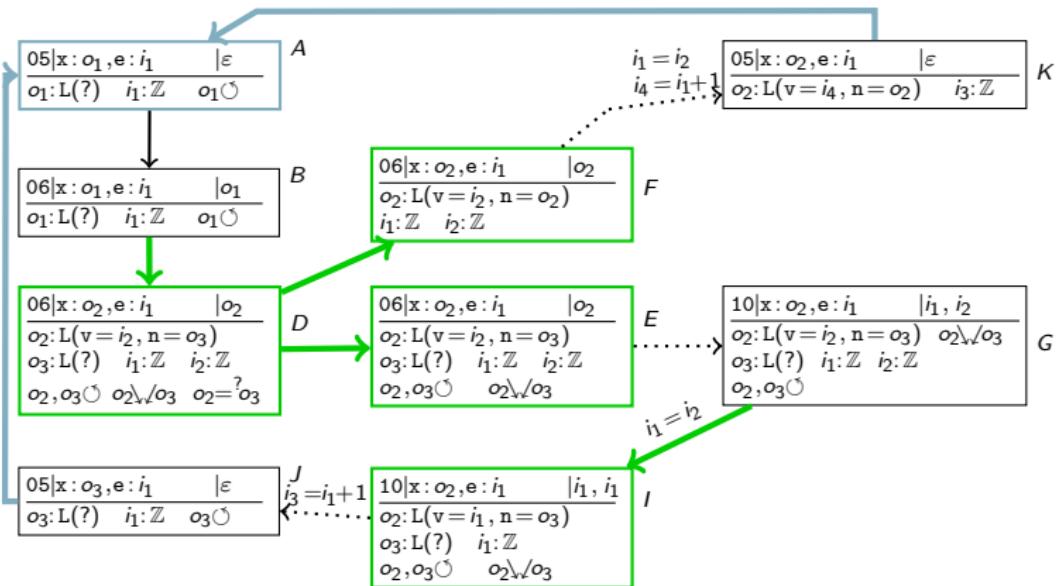
Property  $M = C.f \bowtie c$  (here:  $c = i_1$ ). When does  $\#_M$  change?

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16: iadd
17: putfield v
20: aload_0
21: getfield n
24: astore_0
25: goto 5
28: return

```



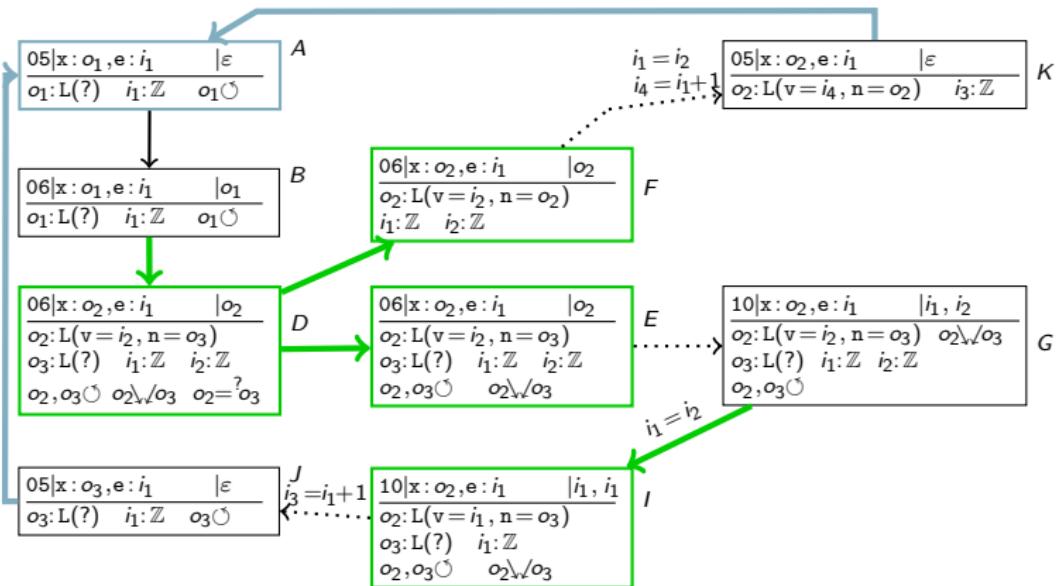
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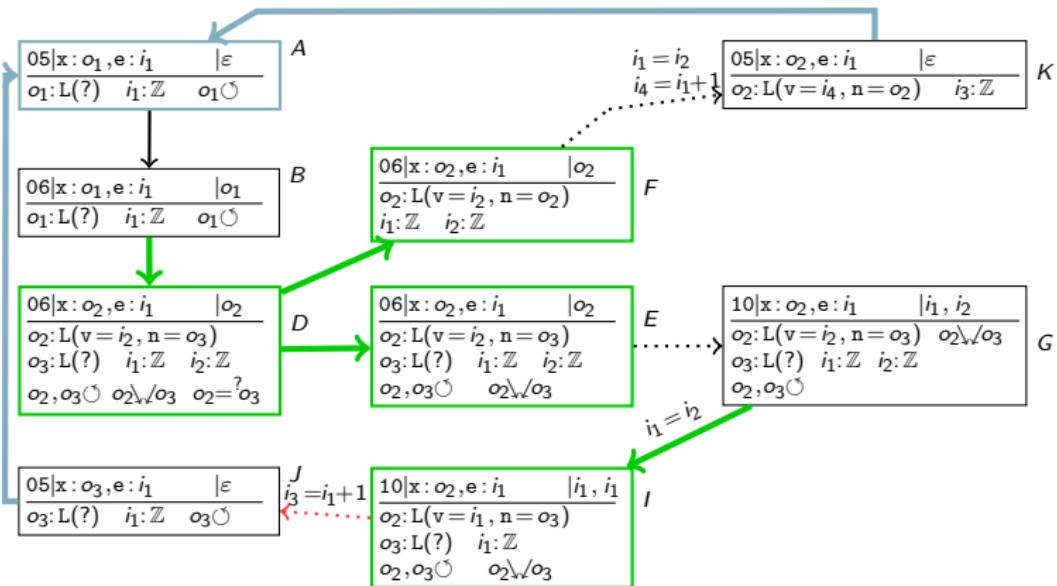
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In example:  $I \rightarrow J$ :  $i_1$  old,  $i_3$  new

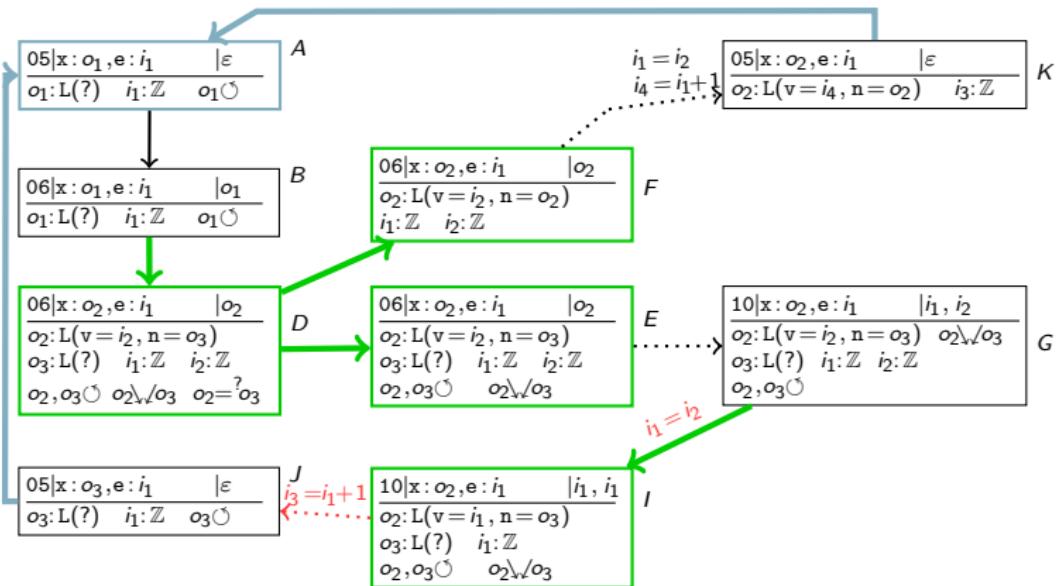
$\Rightarrow$

$$i_1 = i_1 \wedge \neg i_3 = i_1$$

```

00: aload_0
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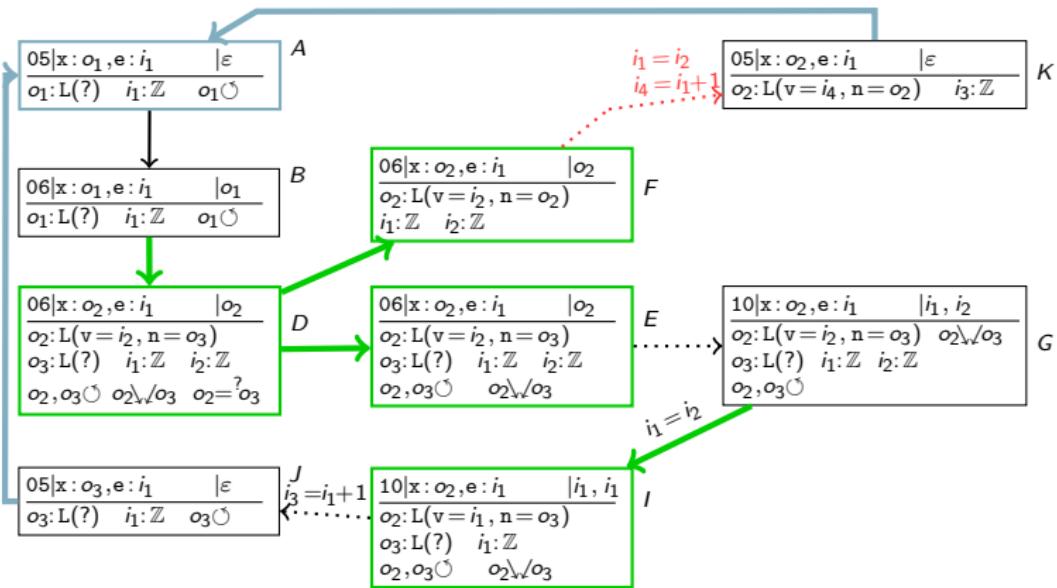
In example:  $I \rightarrow J$ :  $i_1$  old,  $i_3$  new

$$\Rightarrow i_1 = i_2 \wedge i_3 = i_1 + 1 \rightarrow i_1 = i_1 \wedge \neg i_3 = i_1$$

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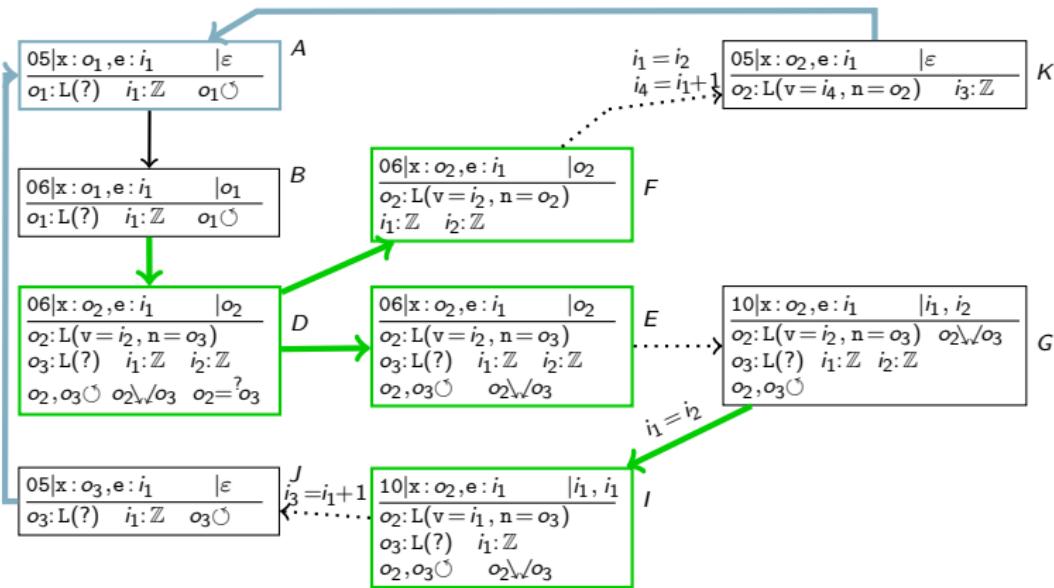
In example:  $F \rightarrow K$ :  $i_1$  old,  $i_4$  new

$$\Rightarrow i_1 = i_2 \wedge i_4 = i_1 + 1 \rightarrow i_1 = i_1 \wedge \neg i_4 = i_1$$

```

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```



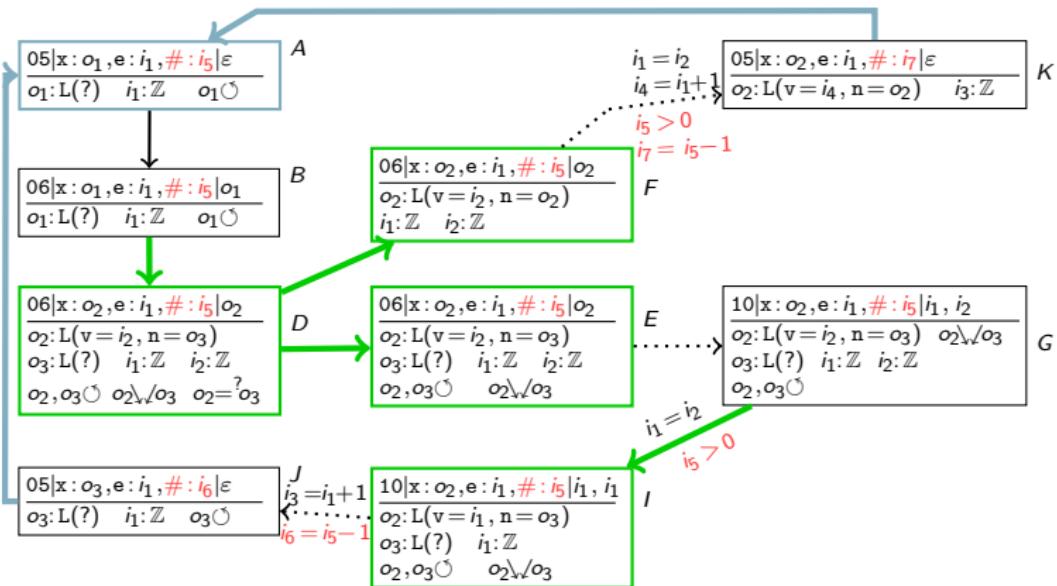
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  - Otherwise:  $\#_M$  incremented by 1.
- New L object is created: Same for default value

```

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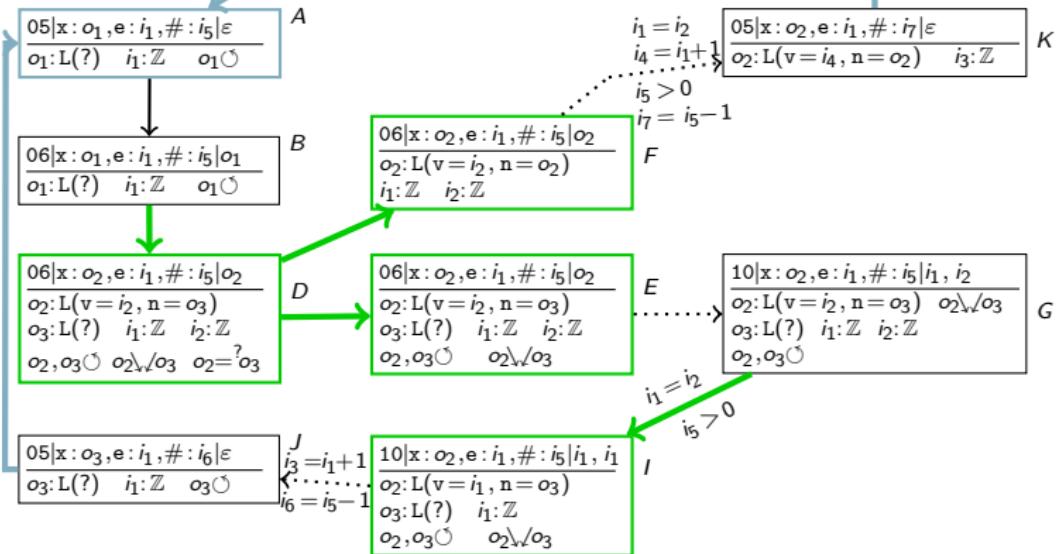


- Add variable for counter to states, changes to edges
- Require counter  $> 0$  at checks

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```



- Add variable for counter to states, changes to edges
- Require counter  $> 0$  at checks
- Termination proof via TRS now trivial:

$$f_A(\dots, i_5) \rightarrow f_I(\dots, i_5) \quad | \quad i_5 > 0 \quad f_I(\dots, i_5) \rightarrow f_J(\dots, i_5 - 1)$$

$$f_J(\dots, i_6) \rightarrow f_A(\dots, i_6)$$

$$f_A(\dots, i_5) \rightarrow f_F(\dots, i_5) \quad f_F(\dots, i_5) \rightarrow f_K(\dots, i_5 - 1) \quad | \quad i_5 > 0$$

$$f_F(\dots, i_7) \rightarrow f_A(\dots, i_7)$$

# Overview

1 Introduction

2 Marking traversal algorithms

3 Definite Cyclicity

4 Conclusion

# The iterate example

```
00:  aload_0      #load this  
01:  getfield n   #get n from this  
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class L {  
    L n;  
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        L x = this.n;  
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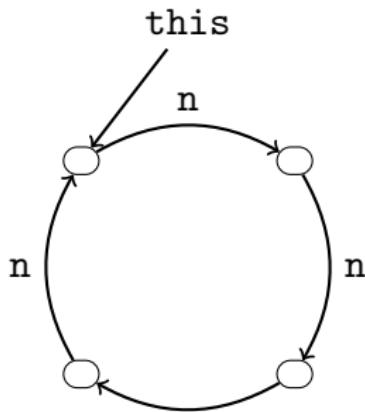
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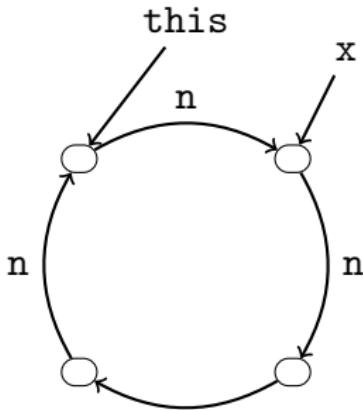


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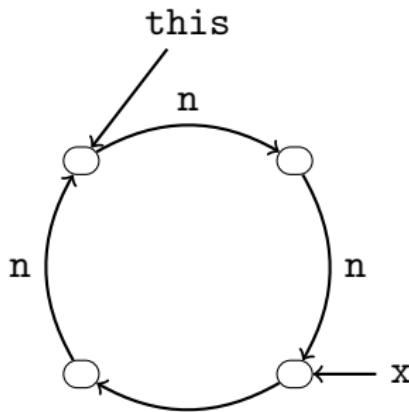


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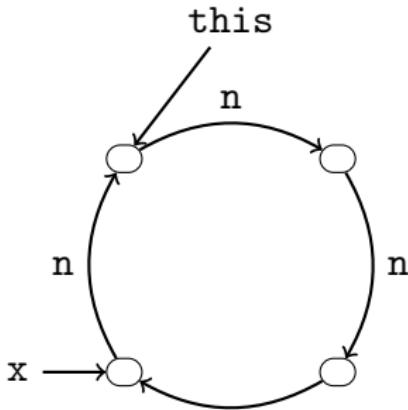


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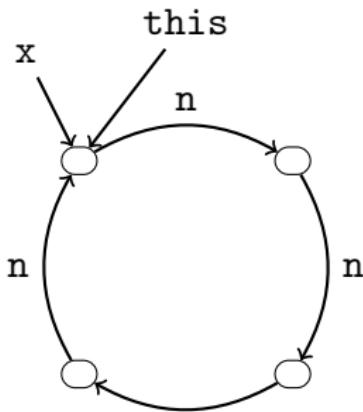


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New annotation: Definite reachability  $\overset{F}{\rightarrow}!$

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All paths from  $o$  using fields from  $F$  reach  $o'$

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- $=?, \vee, \odot$  extending annotations:  
*Allow (not enforce) sharing/shapes*

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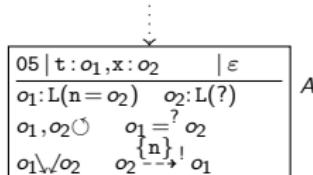
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05   t : o <sub>1</sub> , x : o <sub>2</sub>   ε
o <sub>1</sub> : L(n = o <sub>2</sub> )    o <sub>2</sub> : L(?)
o <sub>1</sub> , o <sub>2</sub> ○    o <sub>1</sub> =? o <sub>2</sub>
o <sub>1</sub> \○ o <sub>2</sub> o <sub>2</sub> $\stackrel{\{n\}}{\dashv\rightarrow}^!$ o <sub>1</sub>

```

00: aload_0
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10: aload_1
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```



### State A:

- t some definitely cyclic list
- x second element in list

```

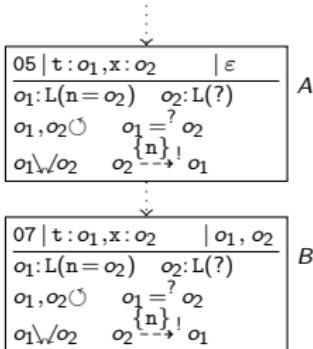
void iterate() {
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```

```

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15: goto 05
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```



### State A:

- t some definitely cyclic list
- x second element in list

### State B:

- First equals second element?

```

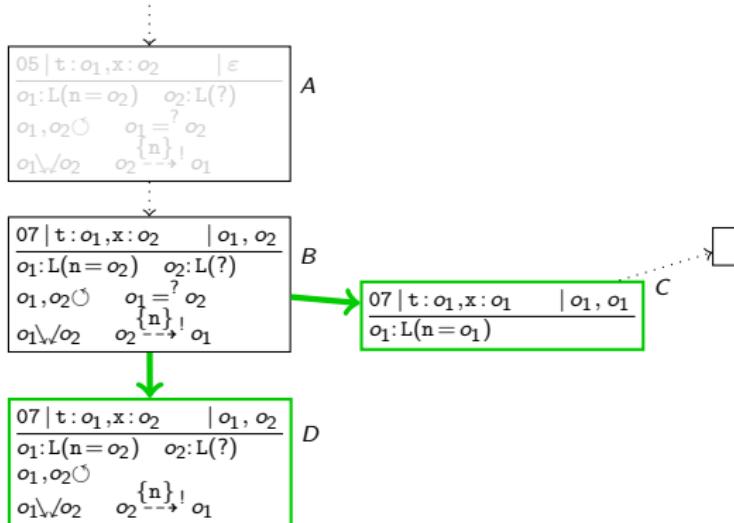
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- First equals second element?

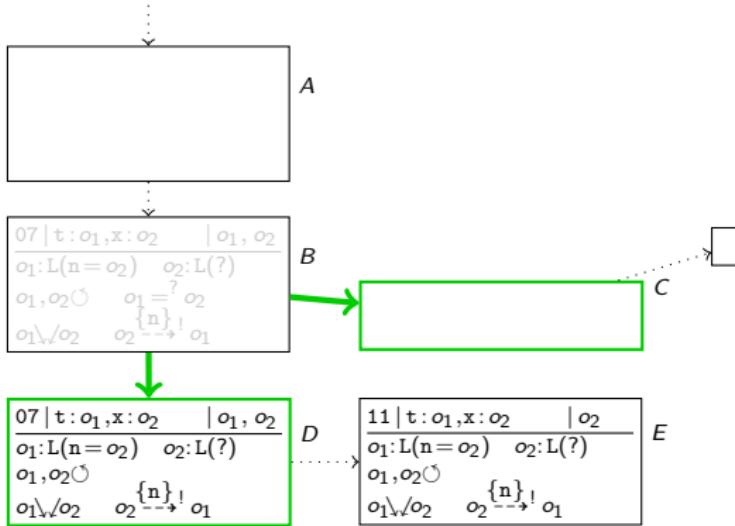
⇒ Refinement

- In C: References equal ( $\rightsquigarrow$  program ends)
- In D: References not equal

```

00: aload_0
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05: aload_1
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10: aload_1
11: getfield n
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15: goto 05
18: return

```



### State E:

- Access to unknown object  $o_2$

```

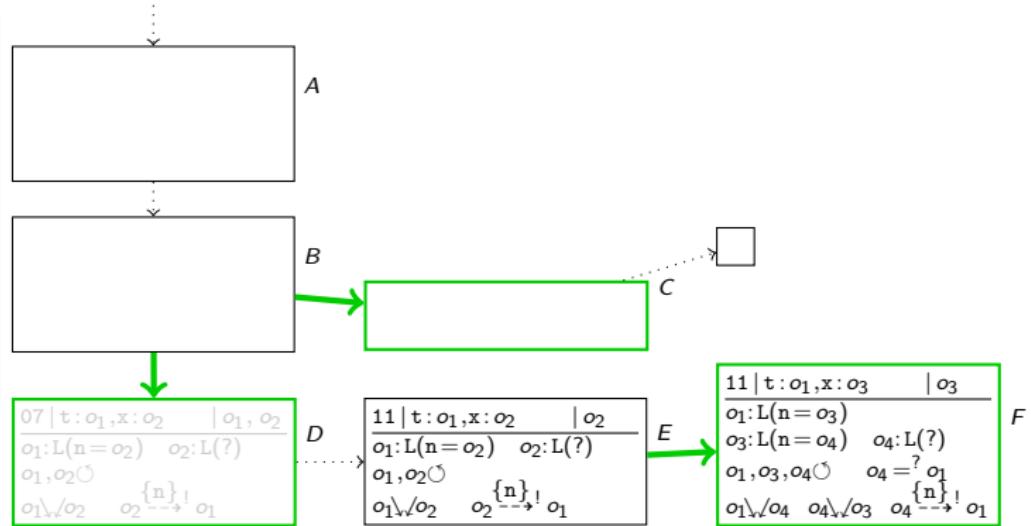
void iterate() {
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```

```

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14: astore_1
15: goto 05
18: return

```



### States *E*, *F*:

- Access to unknown object  $o_2$   
⇒ Refinement
- Case  $o_2 = \text{null}$  not possible (implies  $o_2$  not reaching  $o_1$ )

```

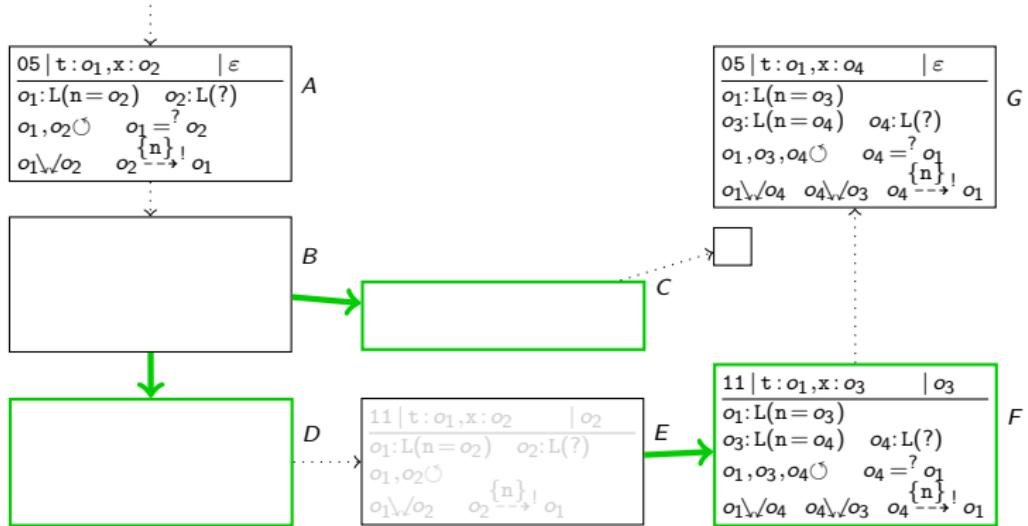
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```

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11: getfield n
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18: return

```



### State G:

- Same program position as A  $\Rightarrow$  Instantiate

In A:  $this = o_1 \xrightarrow{n} o_2 = x$

In G:  $this = o_1 \xrightarrow{n} o_3 \xrightarrow{n} o_4 = x$

```

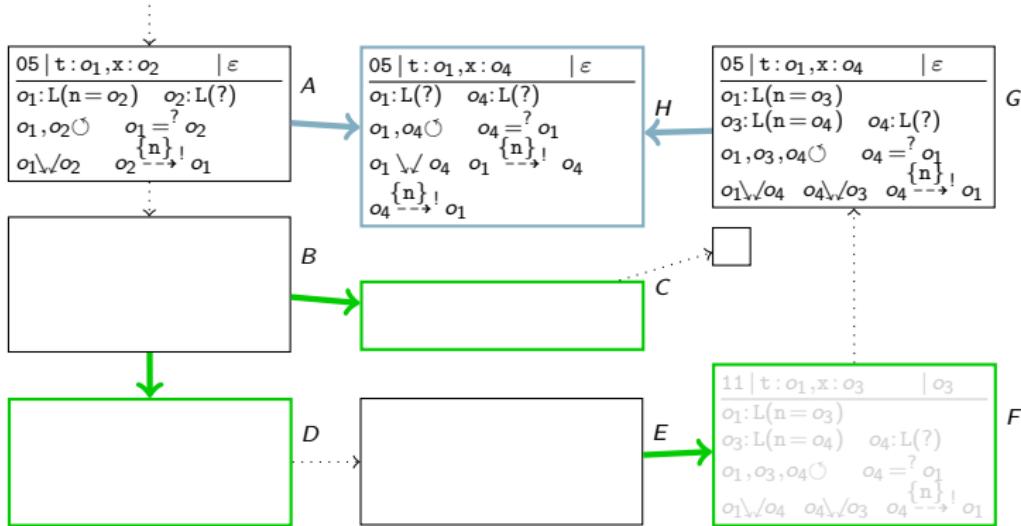
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```

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### States *G*, *H*:

- Same program position as *A*  $\Rightarrow$  Instantiate

In *A*:  $this = o_1 \xrightarrow{n} o_2 = x$

In *G*:  $this = o_1 \xrightarrow{n} o_3 \xrightarrow{n} o_4 = x$

$\Rightarrow$  In *H*: Abstract to  $this = o_1 \xrightarrow{\{n\}!} o_4 = x$

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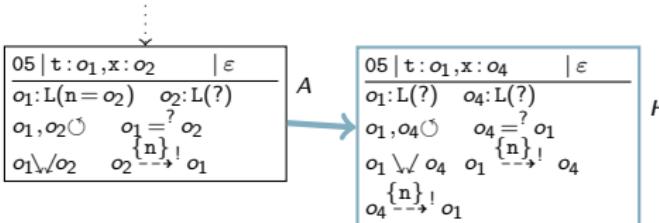
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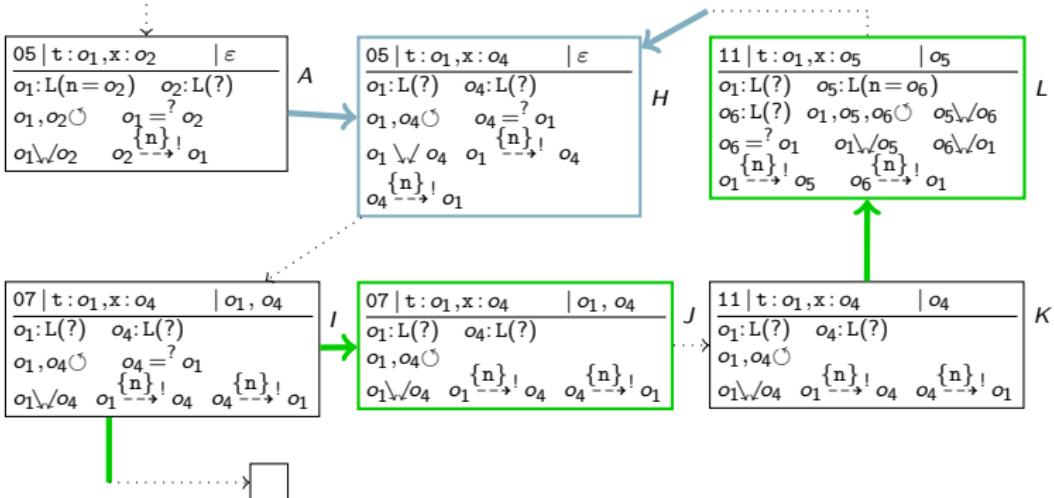
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States *I*, *J*, *K*, *L*: As before

```

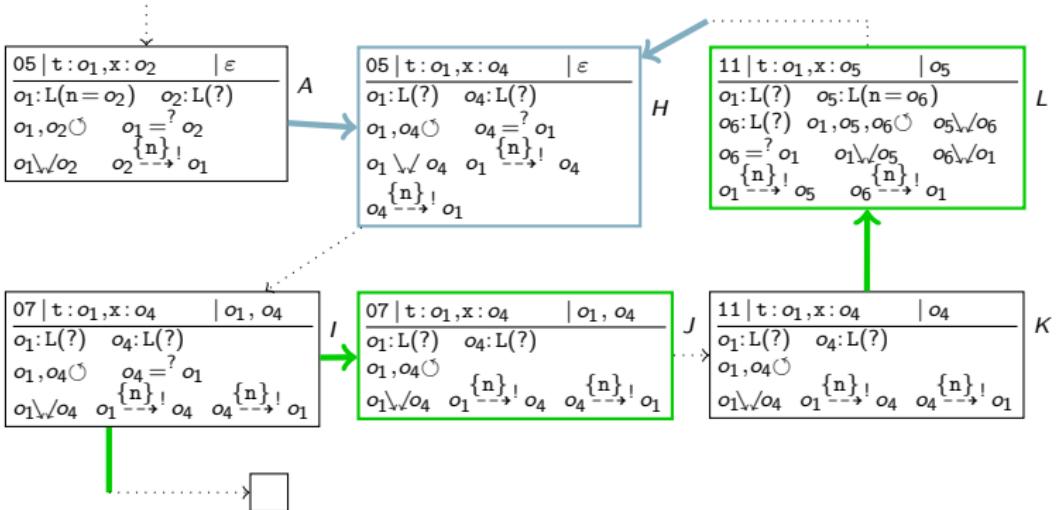
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Proving termination with  $R = o \xrightarrow{F}^! o'$ :

- ① Associate length  $\ell_R$  with each  $R$

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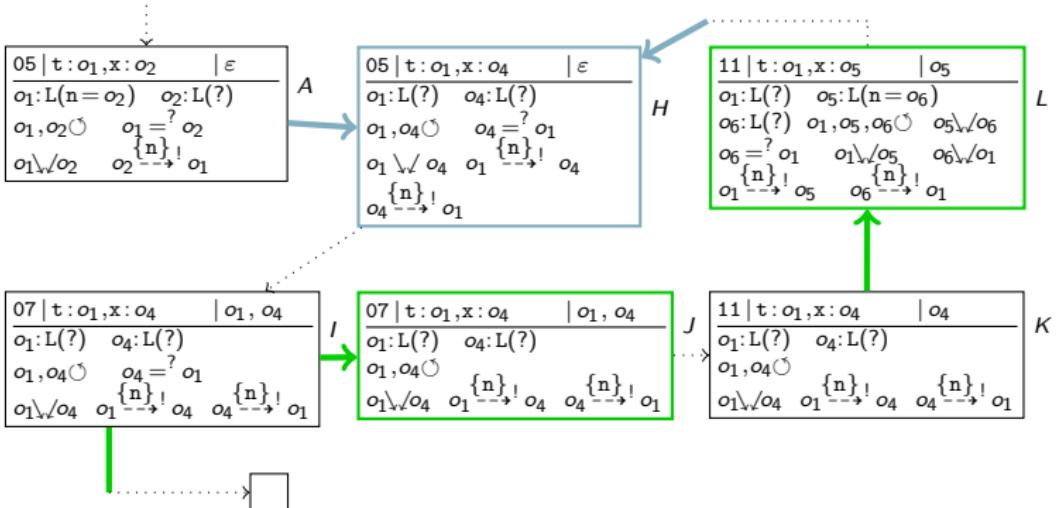
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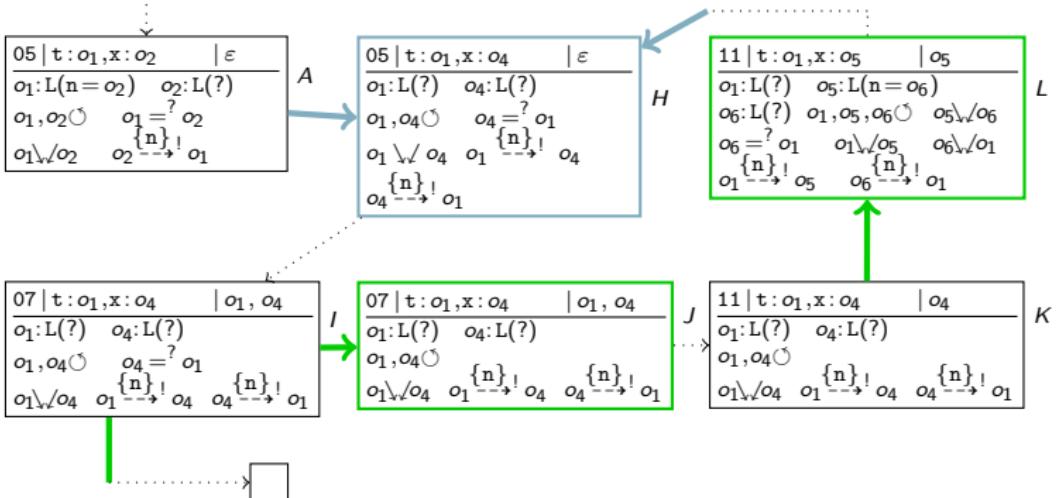
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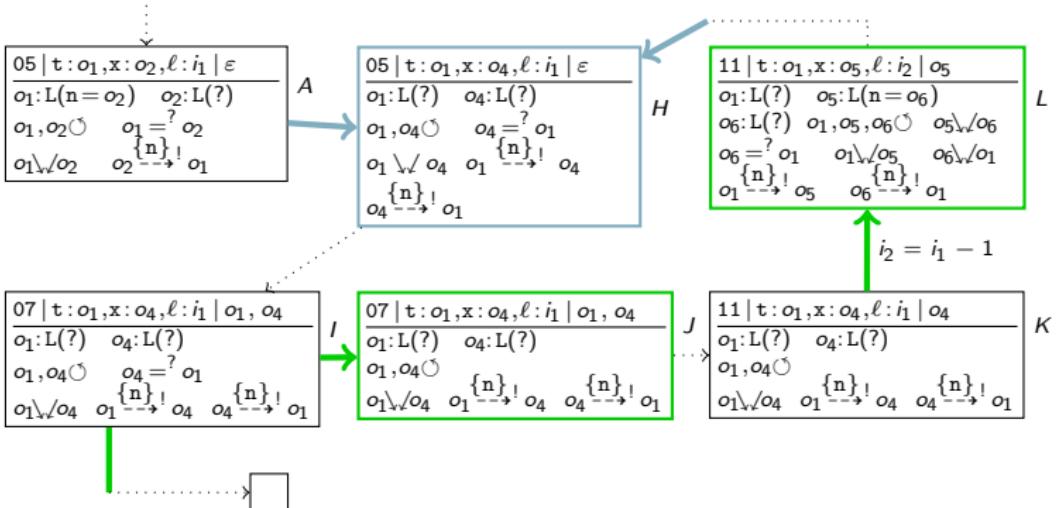
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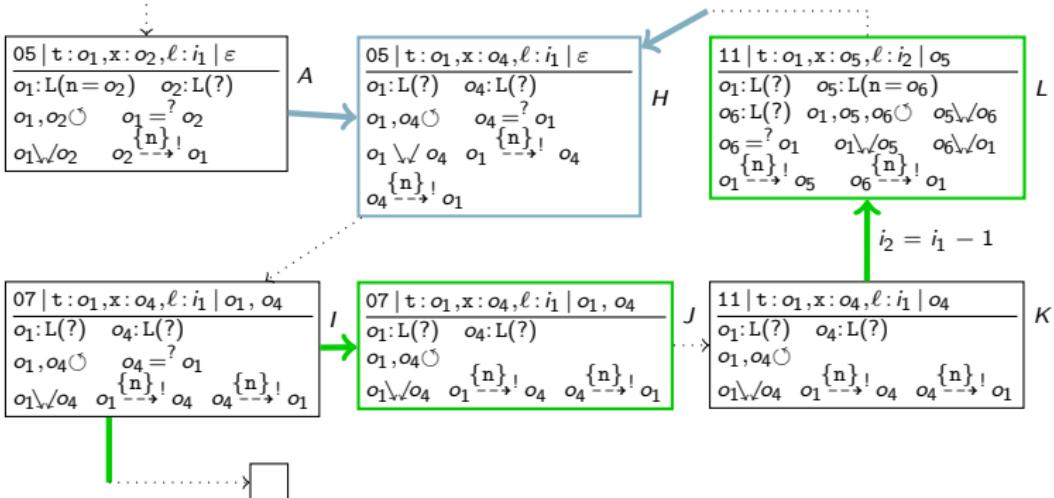
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Resulting TRS:

$$f(\dots, \ell_{o_4 \xrightarrow{\{n\}!} o_1}) \rightarrow f(\dots, \ell_{o_4 \xrightarrow{\{n\}!} o_1} - 1) \quad | \quad \ell_{o_4 \xrightarrow{\{n\}!} o_1} > 0$$

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Julia	191	22	174	0	4.7		32	0	28	0	8.2
COSTA	160	0	181	46	11.0		29	0	5	26	30.4

A horizontal brace with two curved ends, spanning the width of the first five columns of the table.

all examples

A horizontal brace with two curved ends, spanning the width of the last four columns of the table.

LinkedList + HashMap

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- Termination depending on cyclic data requires early abstraction