

Better termination proving through cooperation

Marc Brockschmidt¹ Byron Cook^{2,3} Carsten Fuhs³

¹RWTH Aachen University

²Microsoft Research Cambridge

³University College London

May 2013

Automated Termination Analysis: Approaches

Automated Termination Analysis: Approaches

- 1 Monolithic approaches:
 - ▶ Linear/polyranking rank functions
 - ▶ Size change

Automated Termination Analysis: Approaches

1 Monolithic approaches:

- ▶ Linear/polyranking rank functions
- ▶ Size change

2 Iterative (by simplification) approaches:

- ▶ Dependency Pair Framework
- ▶ Monotonicity constraints

Advantages:

- Can re-use **1**
- Each proof step is progress (removes problem parts)

Automated Termination Analysis: Approaches

1 Monolithic approaches:

- ▶ Linear/polyranking rank functions
- ▶ Size change

2 Iterative (by simplification) approaches:

- ▶ Dependency Pair Framework
- ▶ Monotonicity constraints

Advantages:

- Can re-use **1**
- Each proof step is progress (removes problem parts)

3 Iterative construction of termination argument:

- ▶ Transition Invariants
- ▶ Lexicographic combinations

Advantages:

- Can re-use **1**
- Safety checker does reasoning about program semantics/invariants

Termination Analysis: Invariants and Rank Functions

Example (Termination depends on context)

```
y := 1;  
while x > 0 do  
    x := x - y;  
    y := y + 1;  
done
```

- Invariant $y > 0$ and rank function x prove termination
- How do we know that we need $y > 0$? \curvearrowright x requires it

Termination Analysis: Invariants and Rank Functions

Example (Termination depends on context)

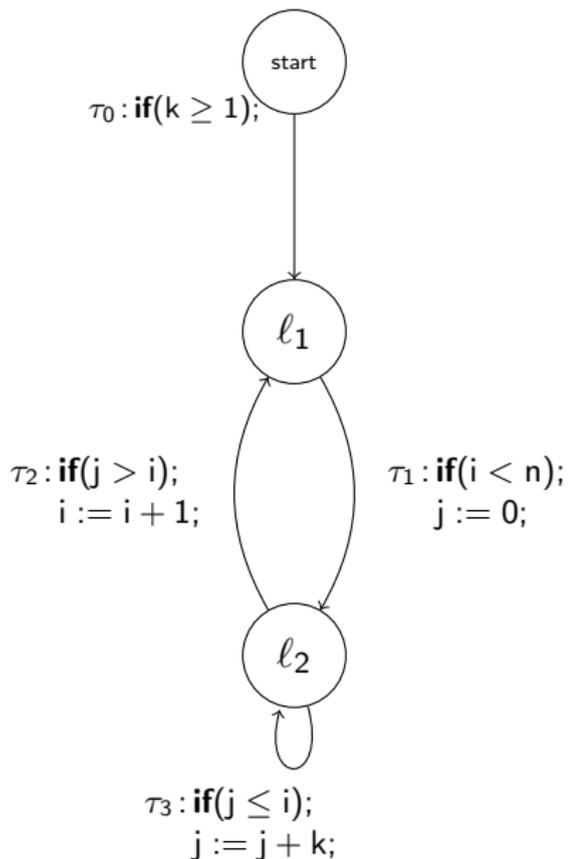
```
y := 1;  
while x > 0 do  
    x := x - y;  
    y := y + 1;  
done
```

- Invariant $y > 0$ and rank function x prove termination
- How do we know that we need $y > 0$? \curvearrowright x requires it
- How do we know that x is a RF? \curvearrowright $y > 0$ proves it

Overview

- 1 Introduction
- 2 The TERMINATOR approach
- 3 Cooperative termination proving
- 4 Ongoing: Cooperation 2.0 – for non-termination

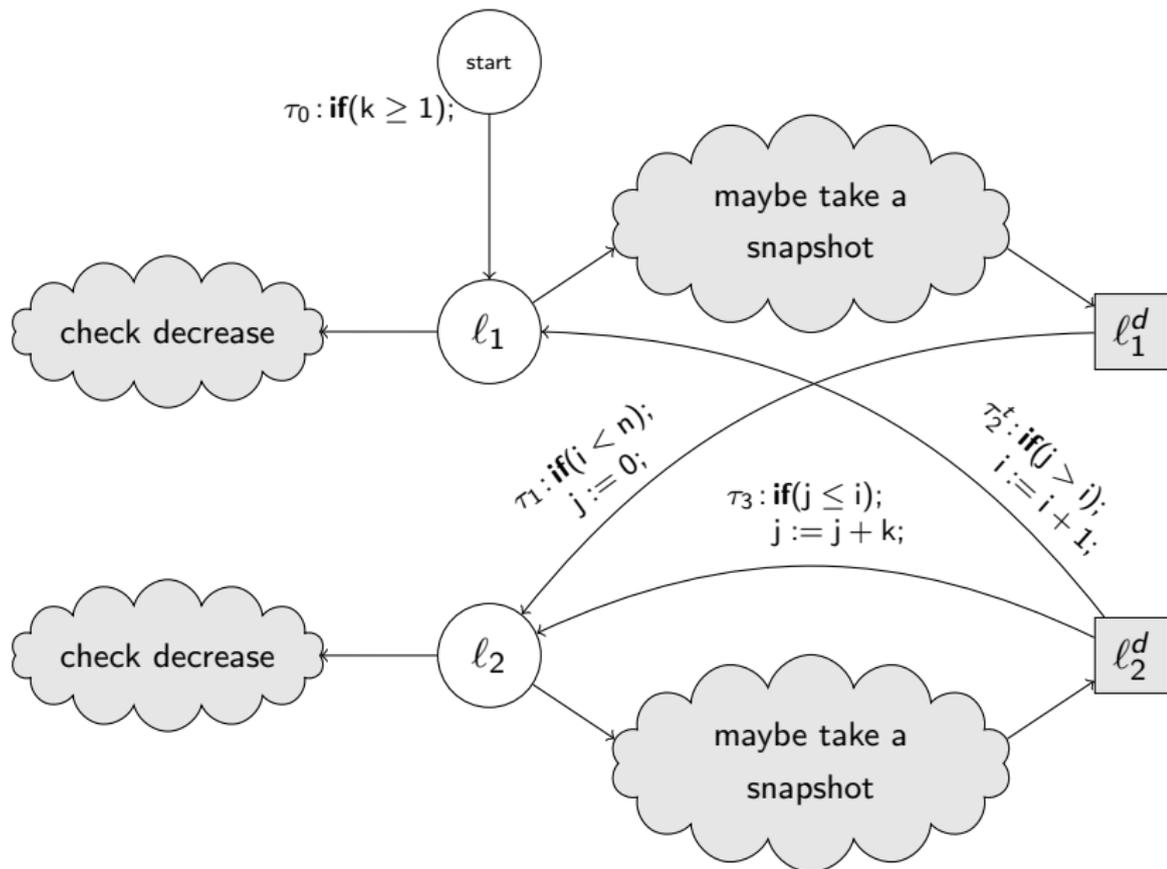
A nested example



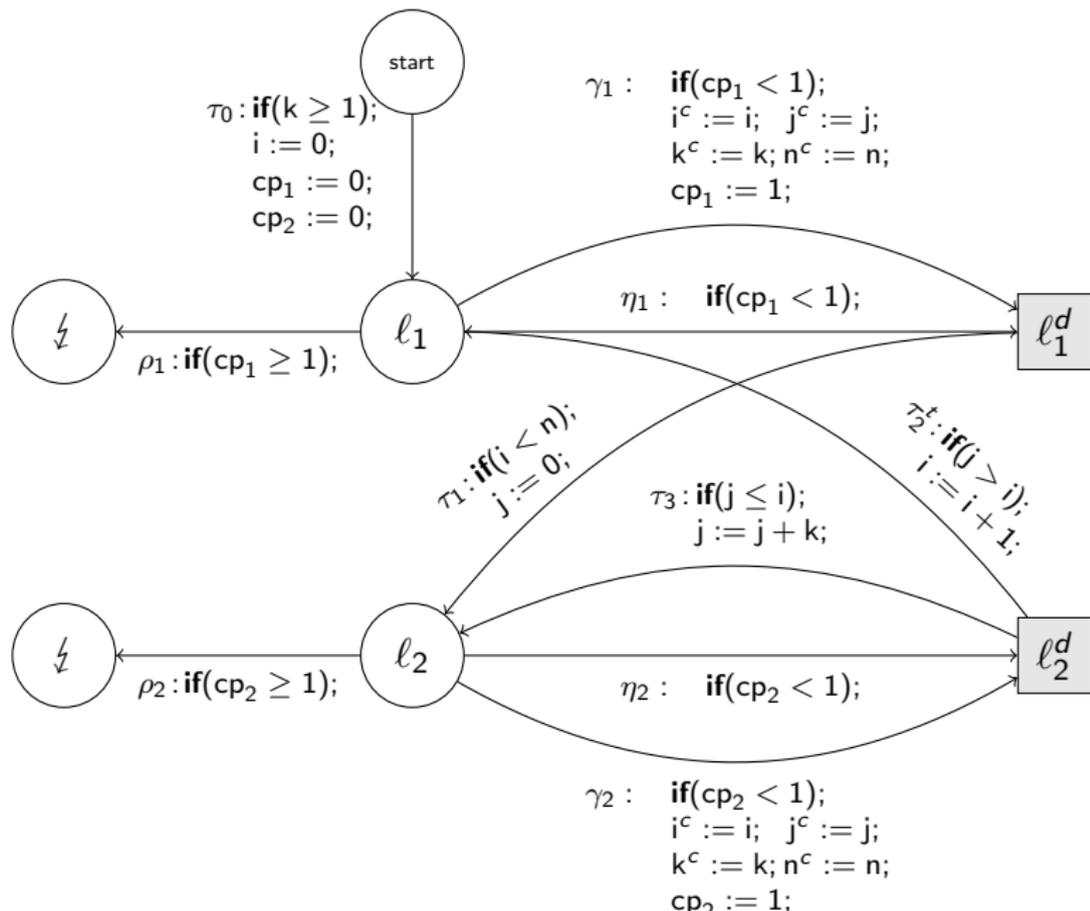
Example (Source)

```
if  $k \geq 1$  then  
   $i := 0;$   
   $l_1$  while  $i < n$  do  
     $j := 0;$   
  
     $l_2$  while  $j \leq i$  do  
       $j := j + k;$   
    done  
  
     $i := i + 1;$   
  done  
fi
```

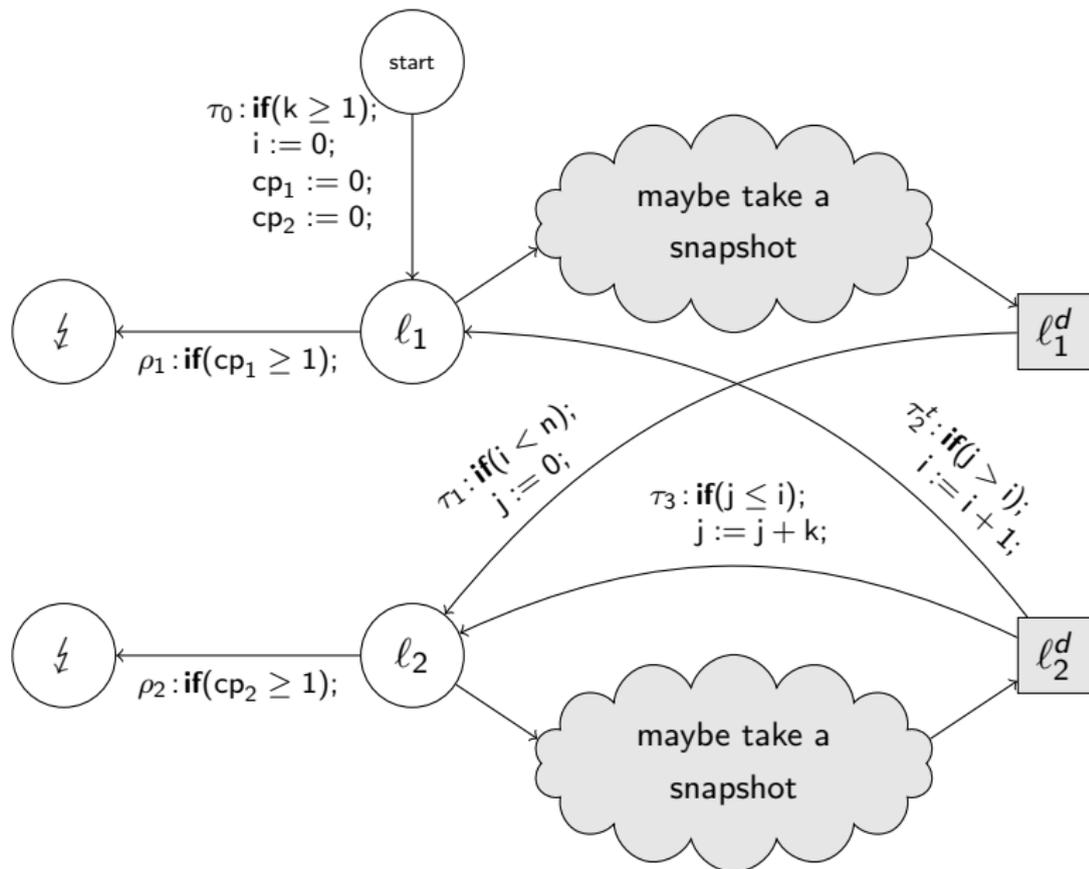
A nested example: Instrumented



A nested example: Instrumented



A nested example: Instrumented



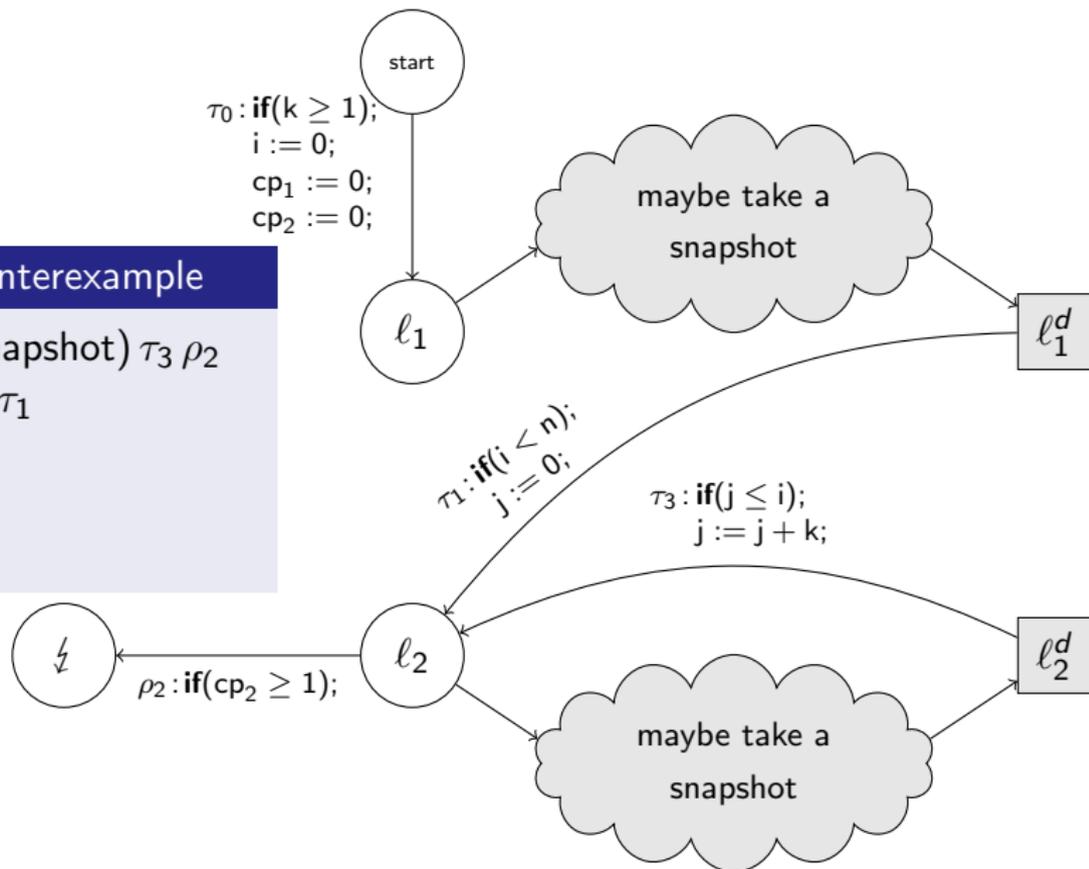
A nested example: First counterexample

First Counterexample

$\tau_0 \tau_1$ (snapshot) $\tau_3 \rho_2$

Stem: $\tau_0 \tau_1$

Loop: τ_3



A nested example: First counterexample

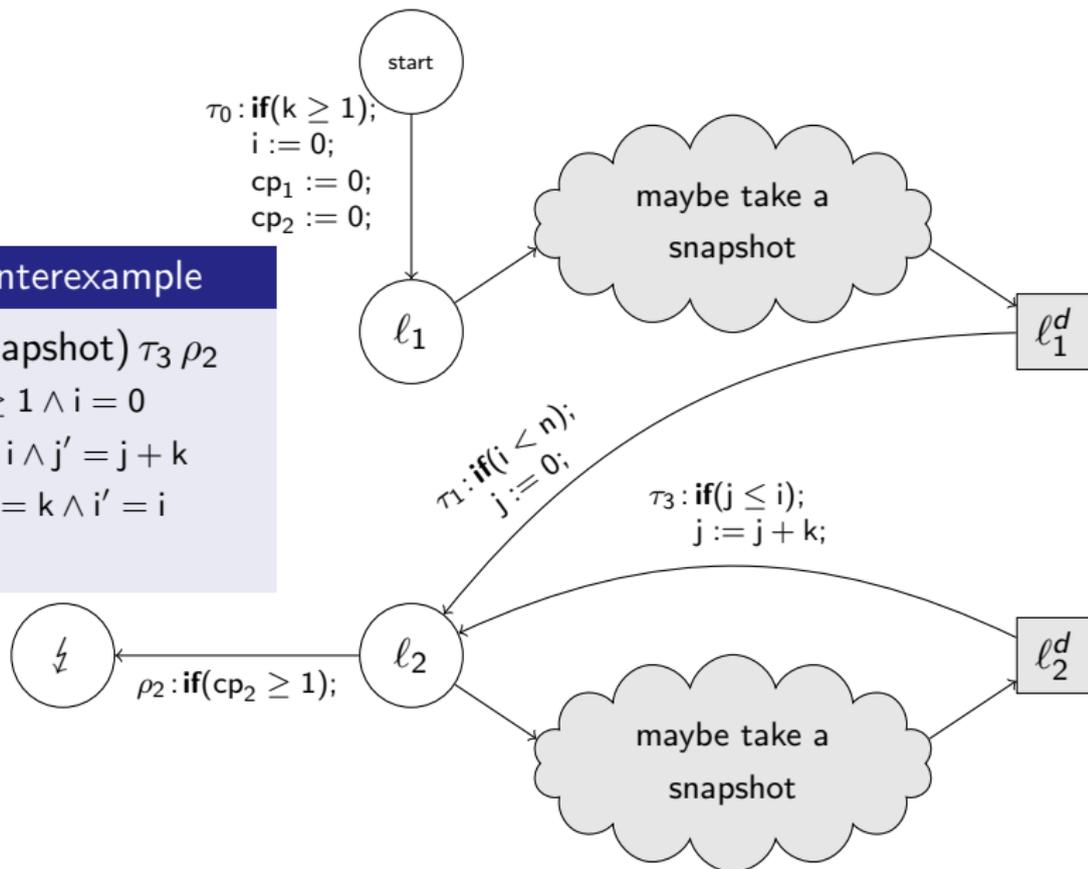
First Counterexample

$\tau_0 \tau_1$ (snapshot) $\tau_3 \rho_2$

Stem: $k \geq 1 \wedge i = 0$

Loop: $j \leq i \wedge j' = j + k$

$\wedge k' = k \wedge i' = i$



A nested example: First counterexample

First Counterexample

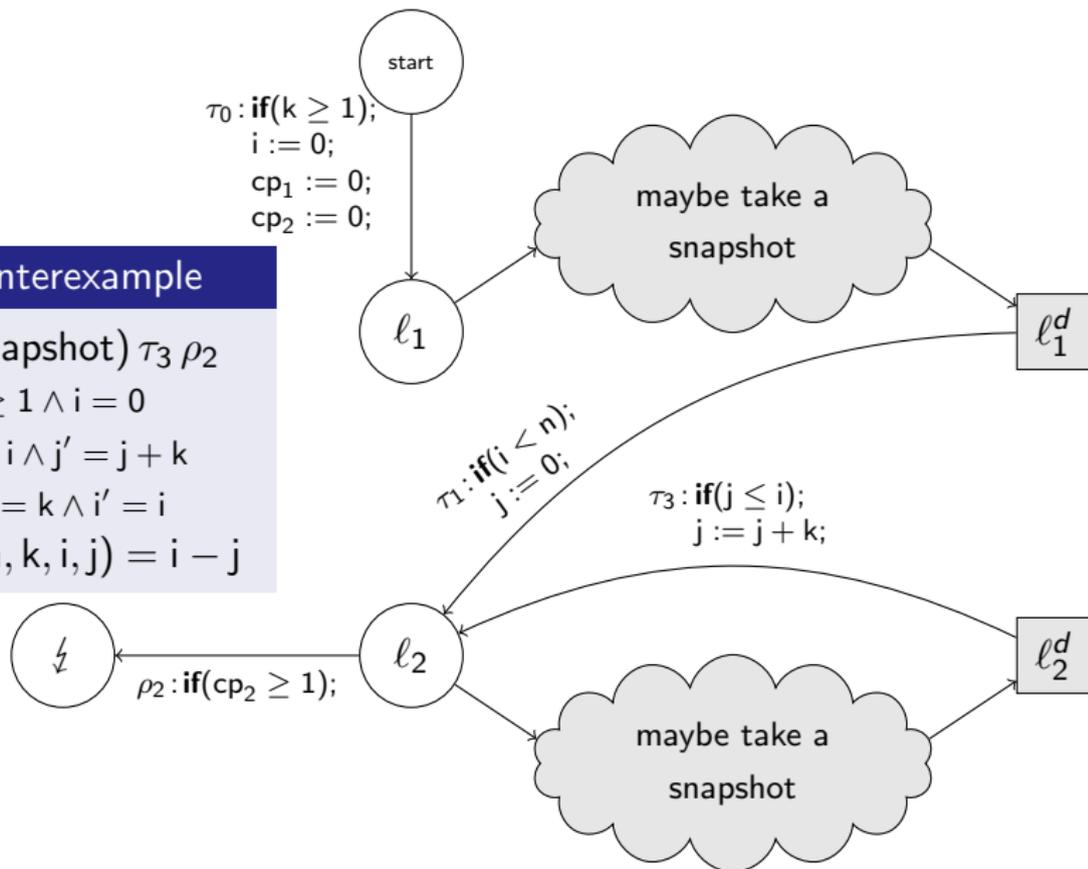
$\tau_0 \tau_1$ (snapshot) $\tau_3 \rho_2$

Stem: $k \geq 1 \wedge i = 0$

Loop: $j \leq i \wedge j' = j + k$

$\wedge k' = k \wedge i' = i$

RF: $f_{l_2}(n, k, i, j) = i - j$



A nested example: First counterexample

First Counterexample

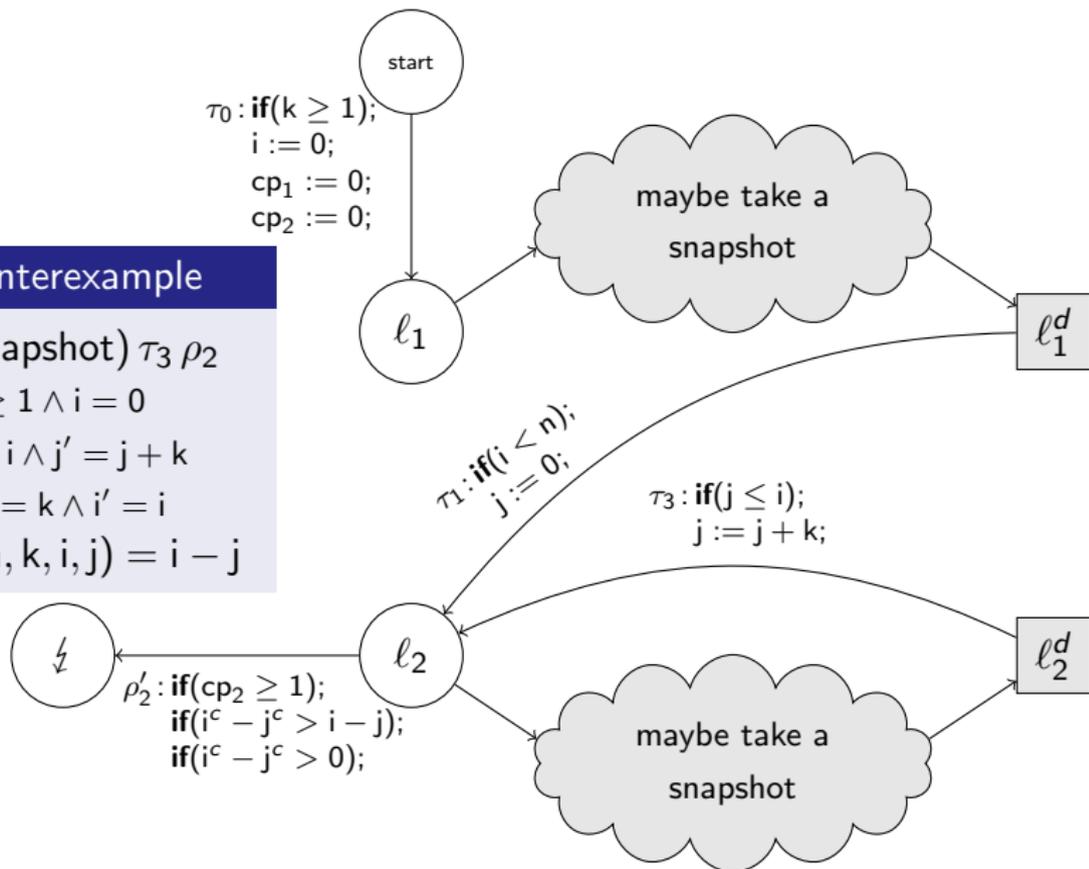
$\tau_0 \tau_1$ (snapshot) $\tau_3 \rho_2$

Stem: $k \geq 1 \wedge i = 0$

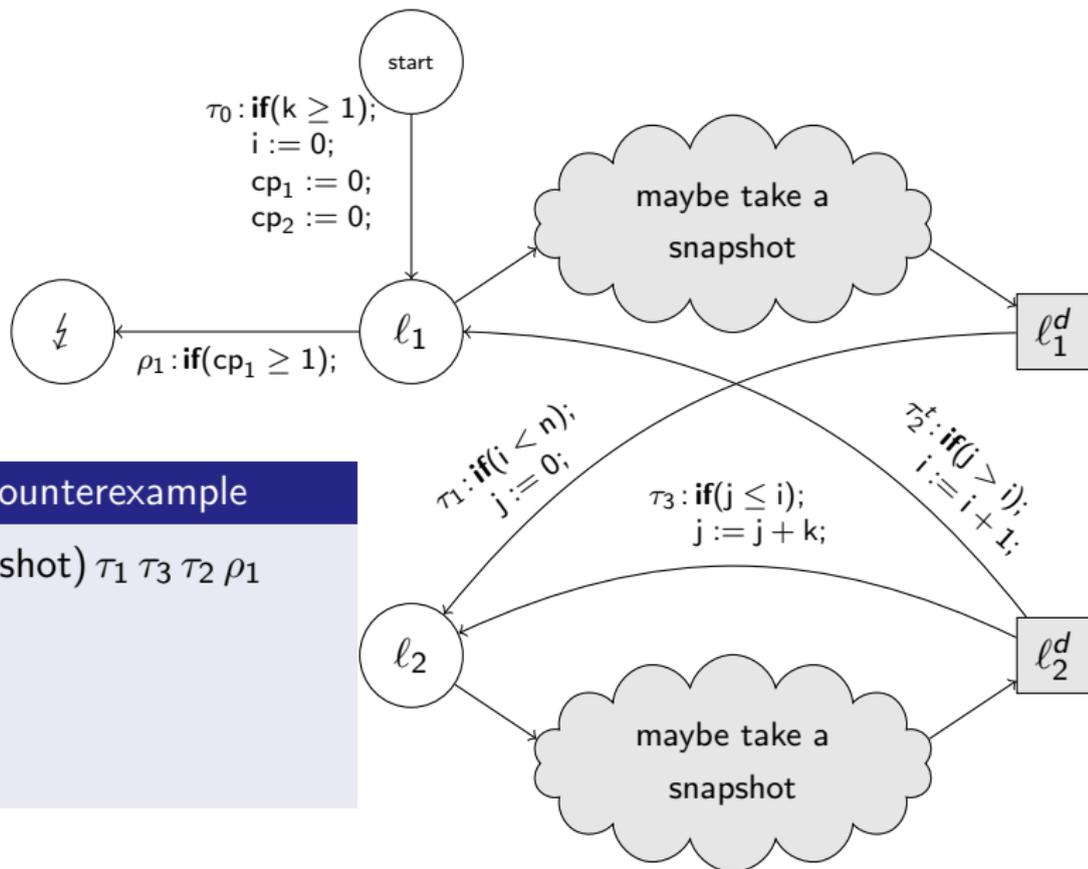
Loop: $j \leq i \wedge j' = j + k$

$\wedge k' = k \wedge i' = i$

RF: $f_{l_2}(n, k, i, j) = i - j$



A nested example: Second counterexample



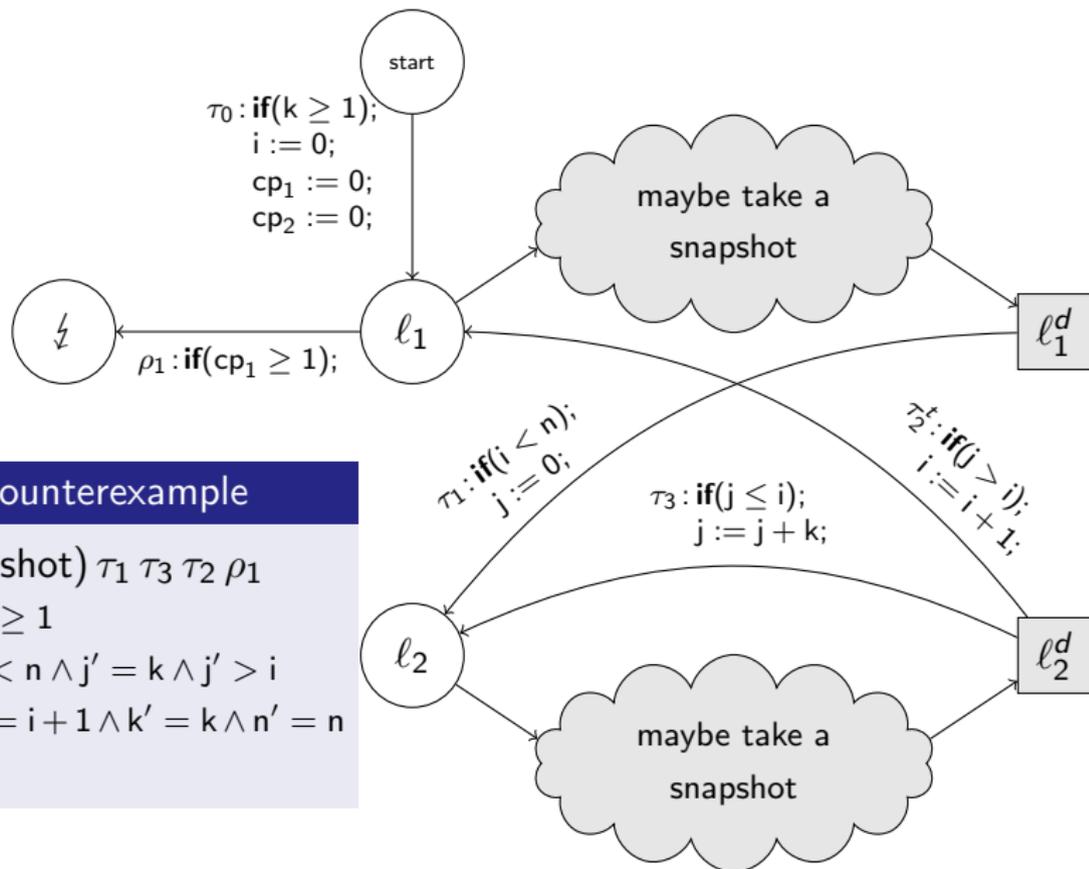
Second Counterexample

τ_0 (snapshot) τ_1 τ_3 τ_2 ρ_1

Stem: τ_0

Loop: τ_3

A nested example: Second counterexample



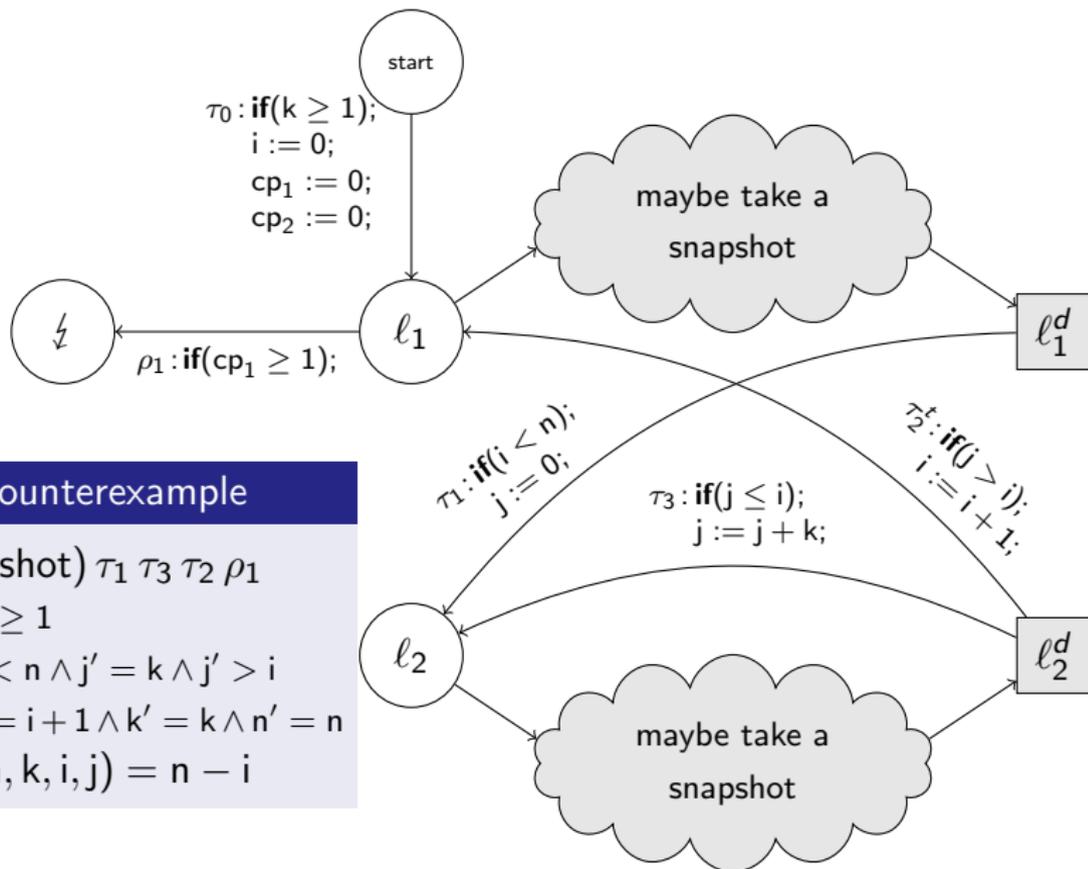
Second Counterexample

τ_0 (snapshot) τ_1 τ_3 τ_2 ρ_1

Stem: $k \geq 1$

Loop: $i < n \wedge j' = k \wedge j' > i$
 $\wedge i' = i + 1 \wedge k' = k \wedge n' = n$

A nested example: Second counterexample



Second Counterexample

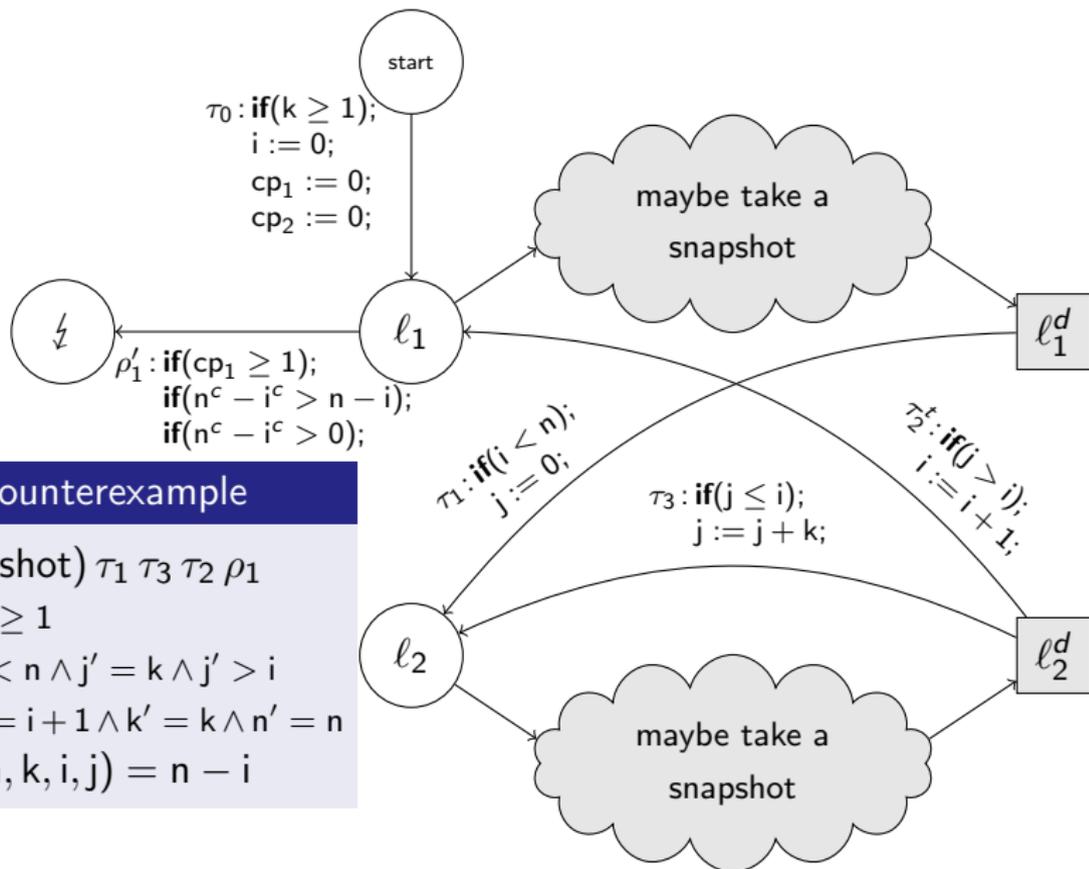
τ_0 (snapshot) $\tau_1 \tau_3 \tau_2 \rho_1$

Stem: $k \geq 1$

Loop: $i < n \wedge j' = k \wedge j' > i$
 $\wedge i' = i + 1 \wedge k' = k \wedge n' = n$

RF: $f_{l_1}(n, k, i, j) = n - i$

A nested example



Second Counterexample

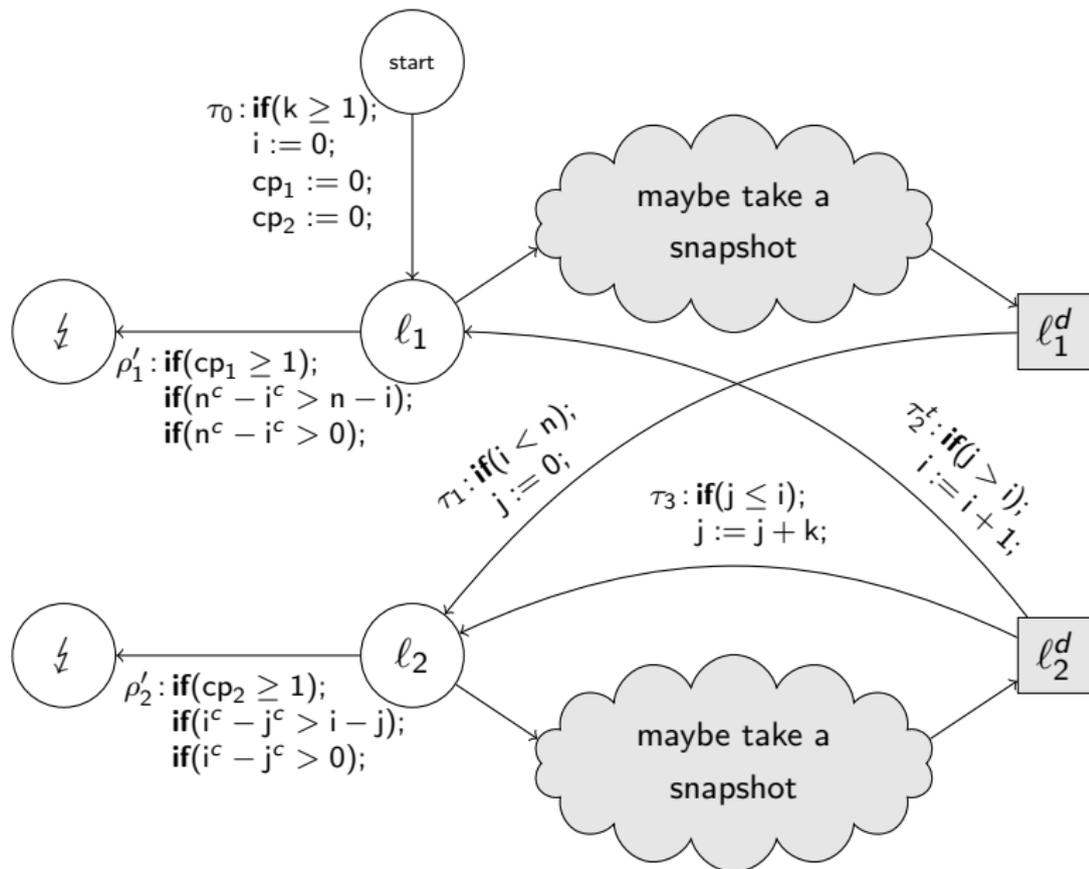
τ_0 (snapshot) τ_1 τ_3 τ_2 ρ_1

Stem: $k \geq 1$

Loop: $i < n \wedge j' = k \wedge j' > i$
 $\wedge i' = i + 1 \wedge k' = k \wedge n' = n$

RF: $f_{l_1}(n, k, i, j) = n - i$

A nested example: Solution



The TERMINATOR approach

Advantages:

- Program semantics & invariants handled by external tool
- Only small program slices considered

The TERMINATOR approach

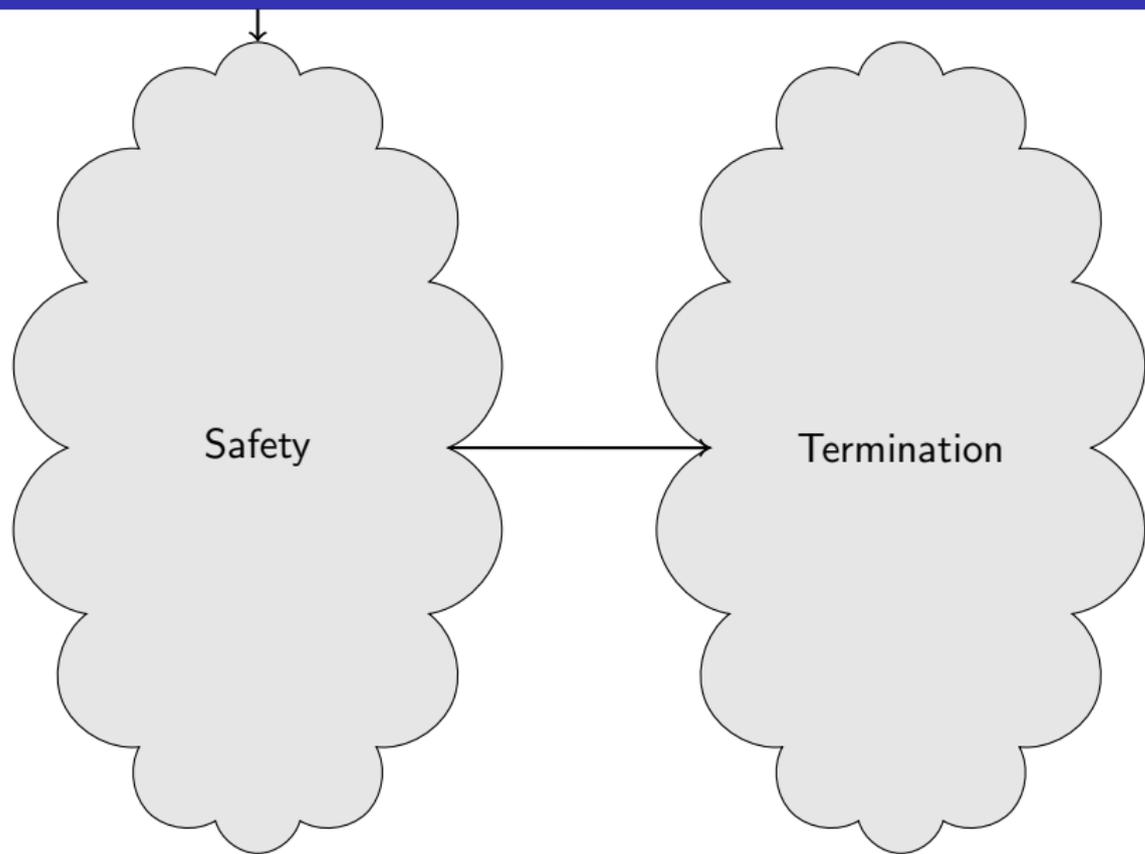
Advantages:

- Program semantics & invariants handled by external tool
- Only small program slices considered

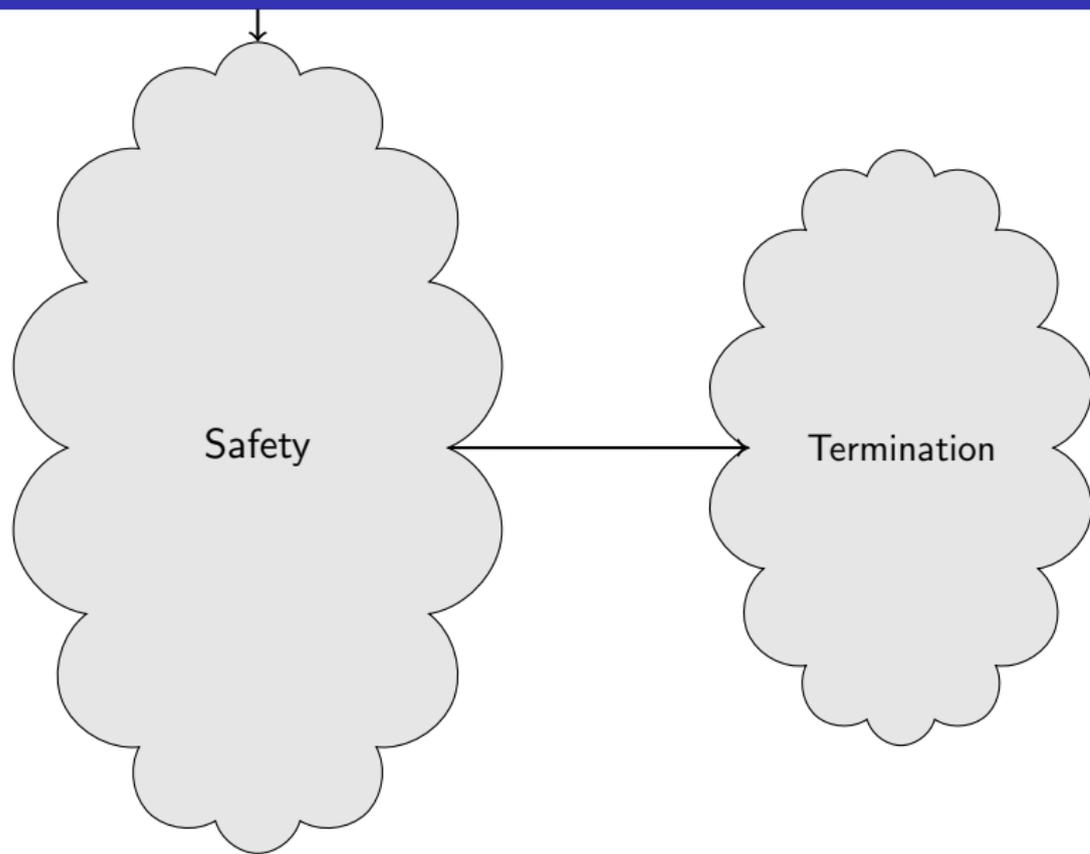
Problems:

- Proof progress not visible
- Order of counterexamples important
- Program slices sometimes too small for informed decisions

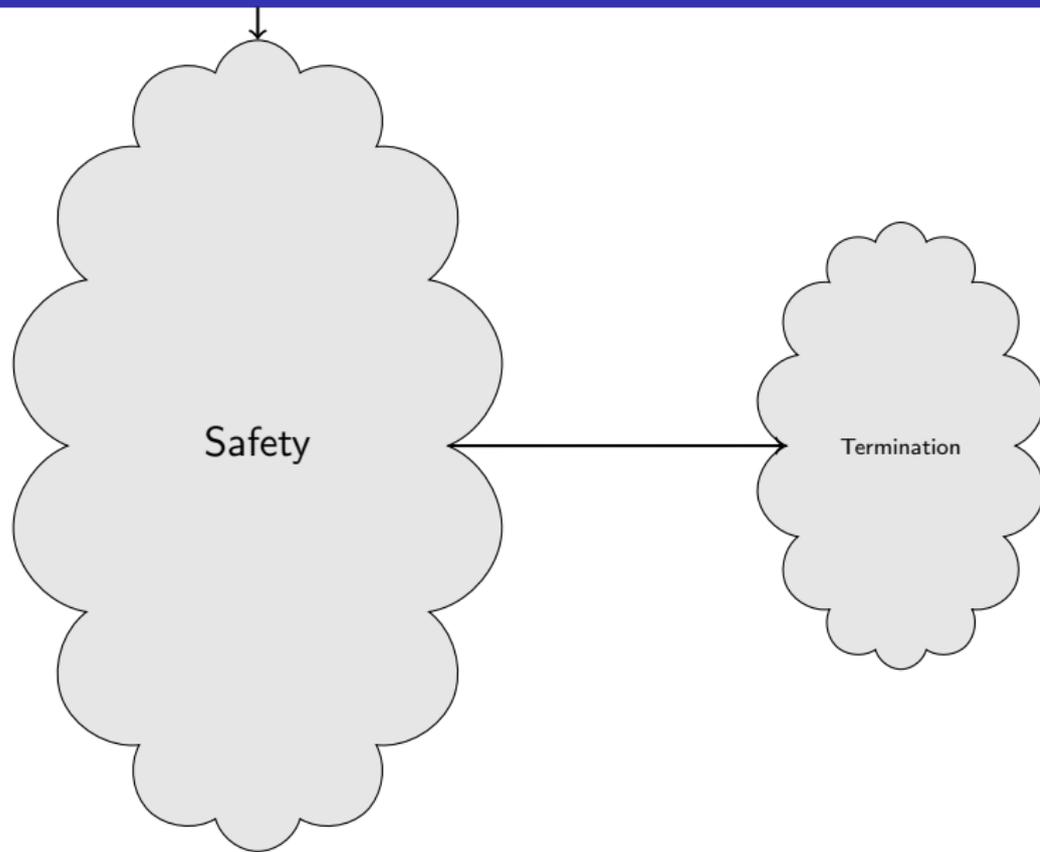
Cooperation: High-level view



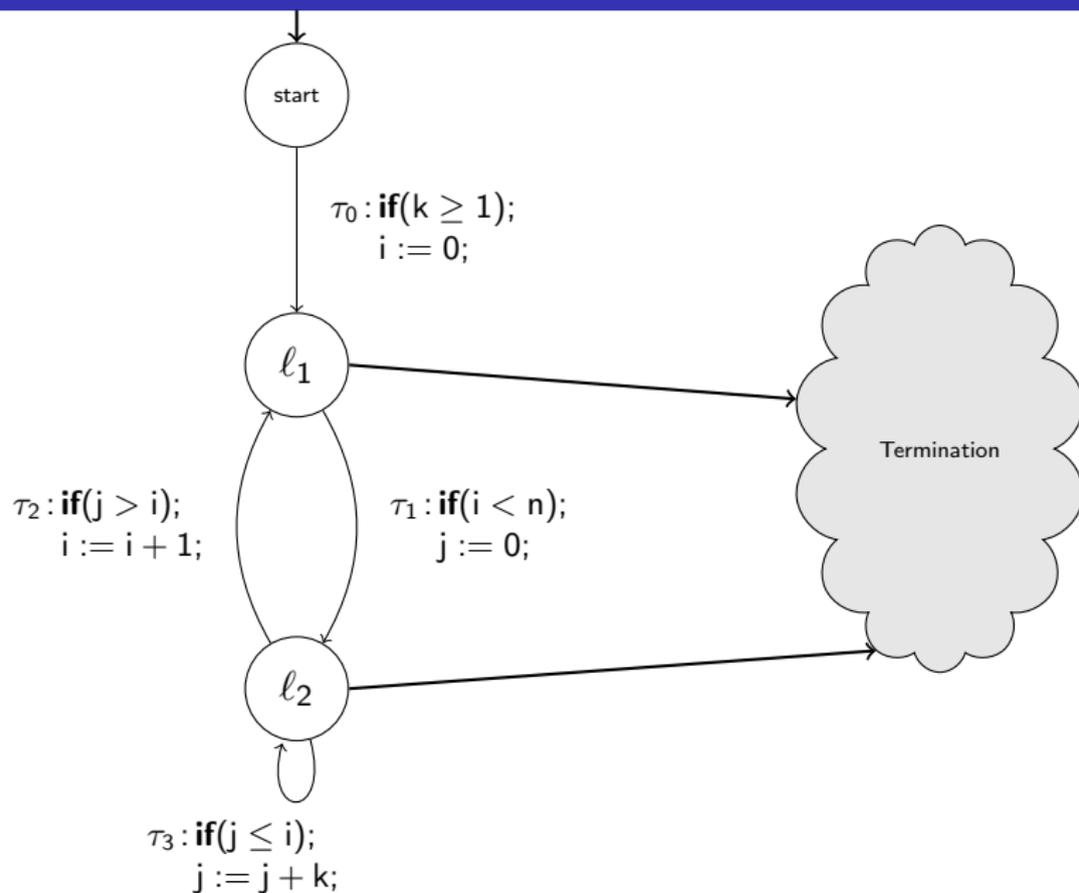
Cooperation: High-level view



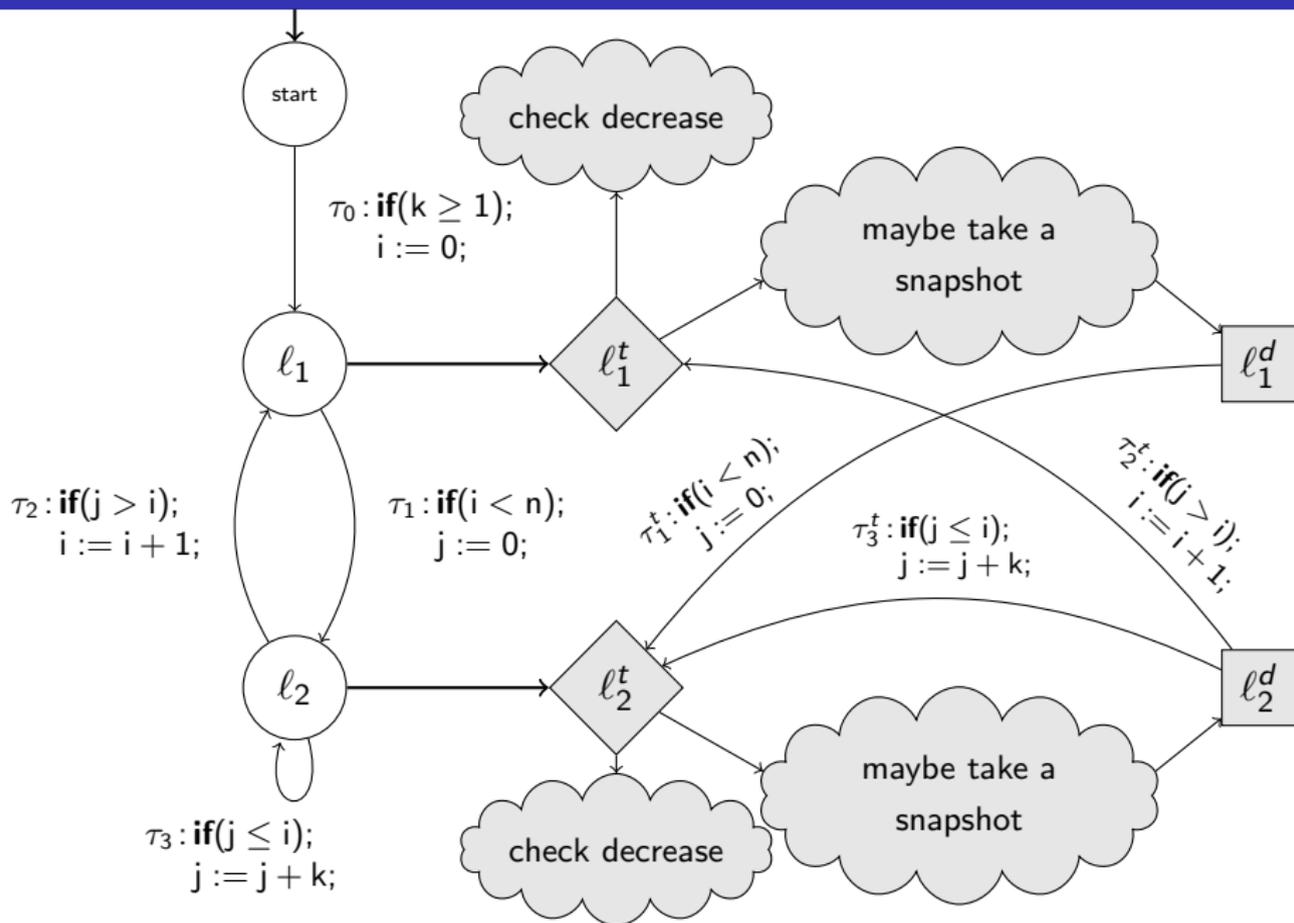
Cooperation: High-level view



Cooperation: High-level view



Cooperation: High-level view



Cooperation

Intuition:

- Safety subgraph: original program
- Termination subgraph: instrumented copy

Intuition:

- Safety subgraph: original program
- Termination subgraph: instrumented copy
- Termination: Simplify problem, “point out hard bits”

Intuition:

- Safety subgraph: original program
- Termination subgraph: instrumented copy
- Termination: Simplify problem, “point out hard bits”
- Safety: Analyze whole program, “point out invariants”

Cooperation

Intuition:

- Safety subgraph: original program
- Termination subgraph: instrumented copy
- Termination: Simplify problem, “point out hard bits”
- Safety: Analyze whole program, “point out invariants”

Approach:

- Analyze whole SCC, not counterexample slice

Cooperation

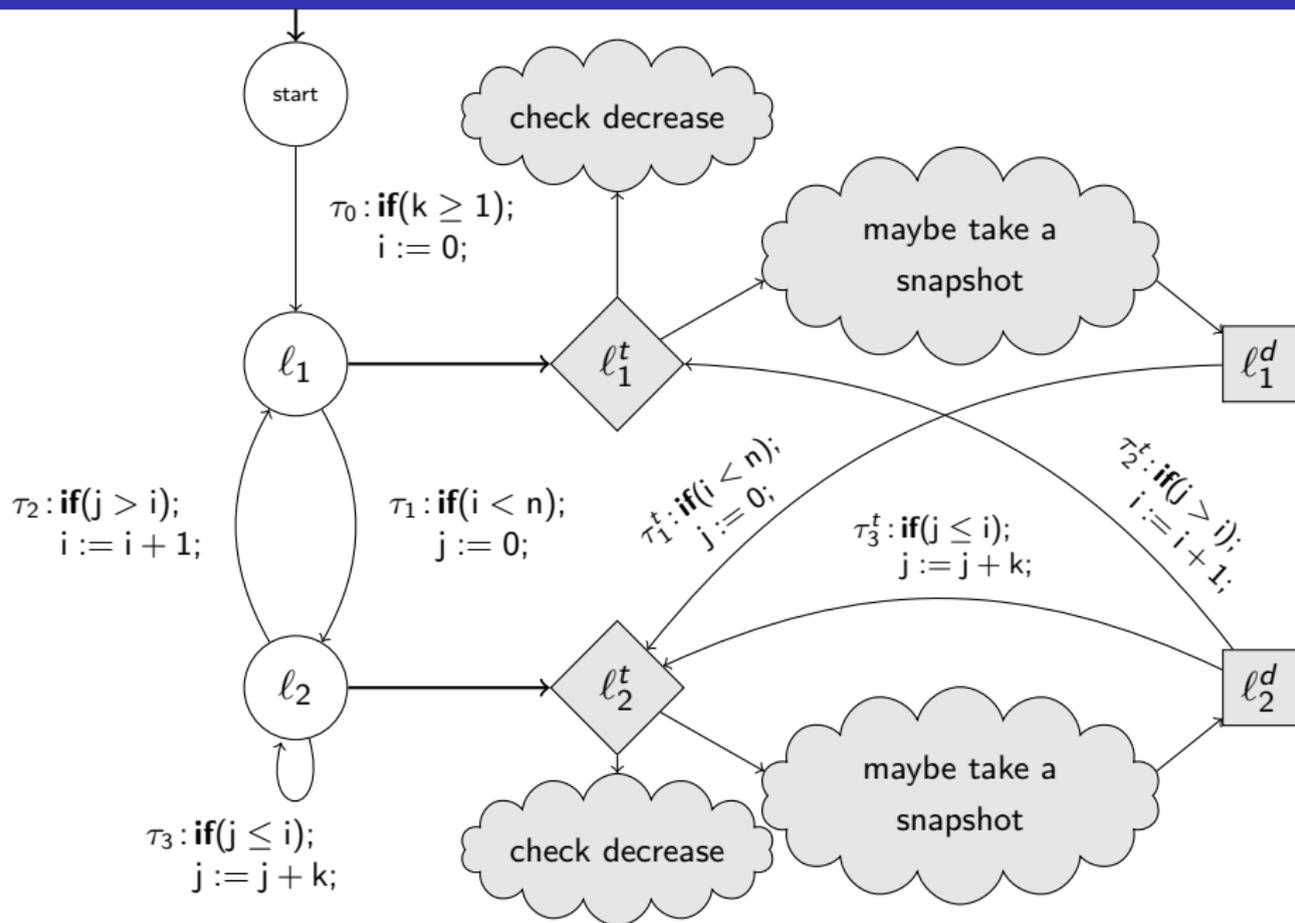
Intuition:

- Safety subgraph: original program
- Termination subgraph: instrumented copy
- Termination: Simplify problem, “point out hard bits”
- Safety: Analyze whole program, “point out invariants”

Approach:

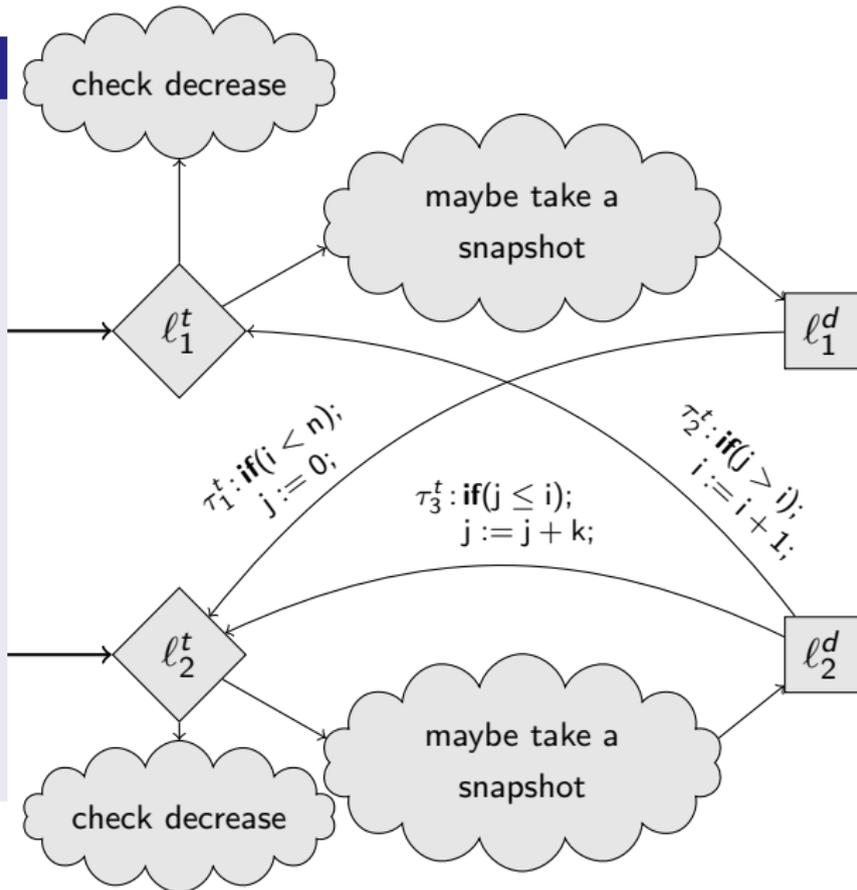
- Analyze whole SCC, not counterexample slice
- Remove transitions after proof

Cooperation: Simplification



Cooperation: Simplification

Simplification

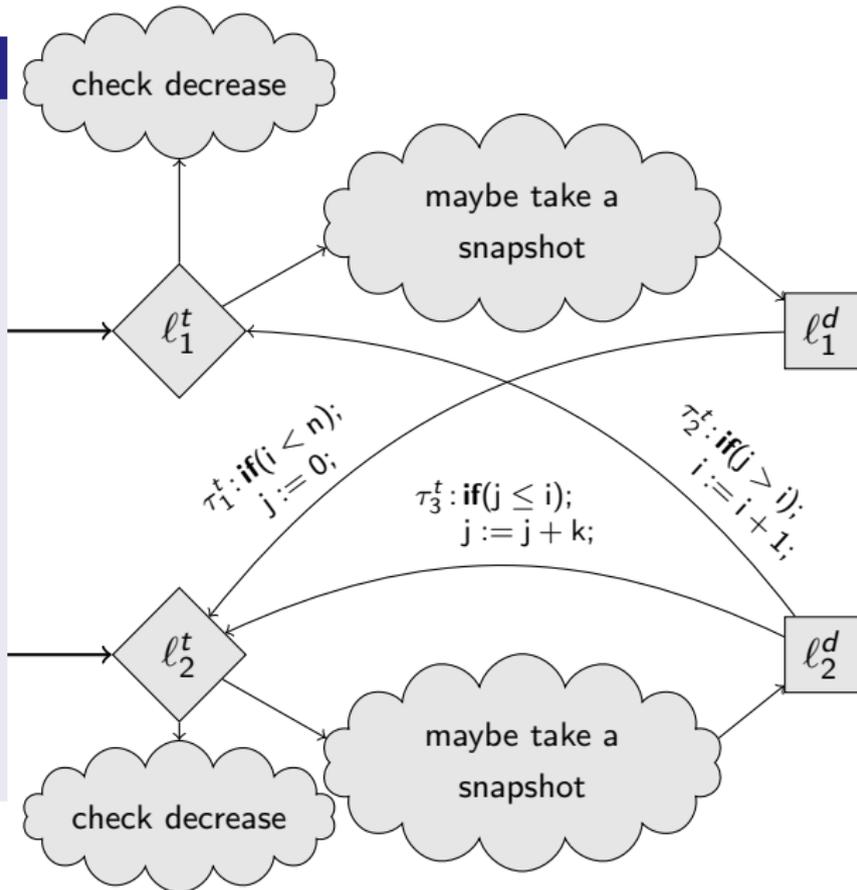


Cooperation: Simplification

Simplification

- 1 Find SCC \mathcal{S} in termination graph:

$l_1^t, l_1^d, l_2^t, l_2^d$



Cooperation: Simplification

Simplification

- 1 Find SCC \mathcal{S} in termination graph:

$$l_1^t, l_1^d, l_2^t, l_2^d$$

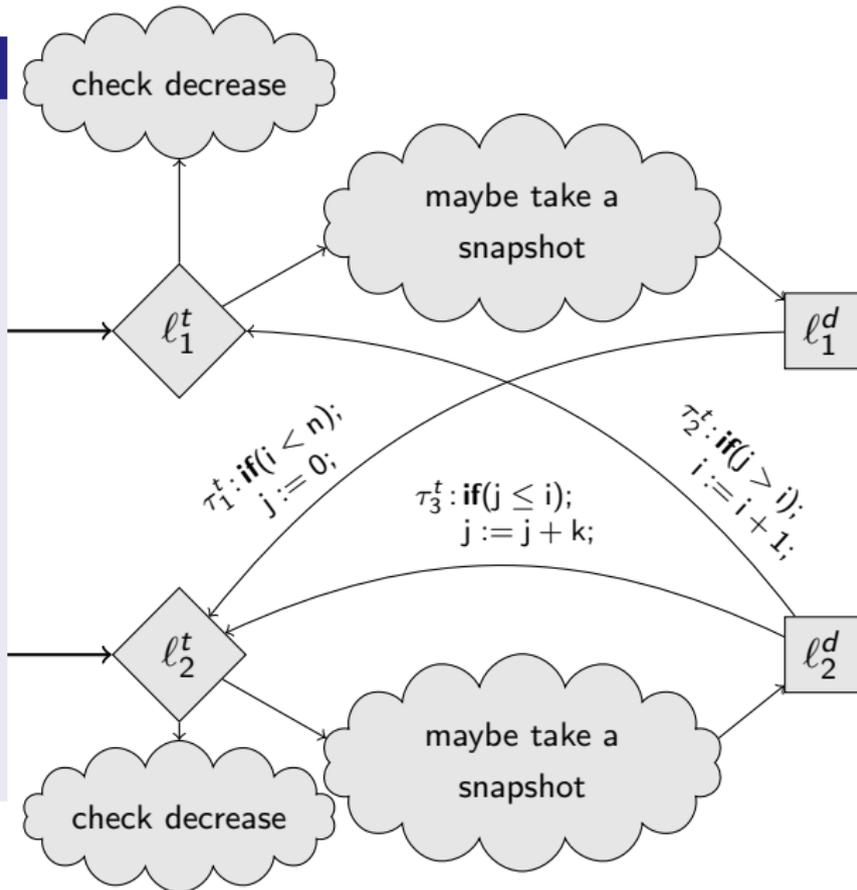
- 2 Find \mathcal{S} -orienting RF:

$$f_{l_1^t}^1(i, j, k, n) = n - i + 1$$

$$f_{l_1^d}^1(i, j, k, n) = n - i + 1$$

$$f_{l_2^t}^1(i, j, k, n) = n - i$$

$$f_{l_2^d}^1(i, j, k, n) = n - i$$



Cooperation: Simplification

Simplification

- 1 Find SCC \mathcal{S} in termination graph:

$$l_1^t, l_1^d, l_2^t, l_2^d$$

- 2 Find \mathcal{S} -orienting RF:

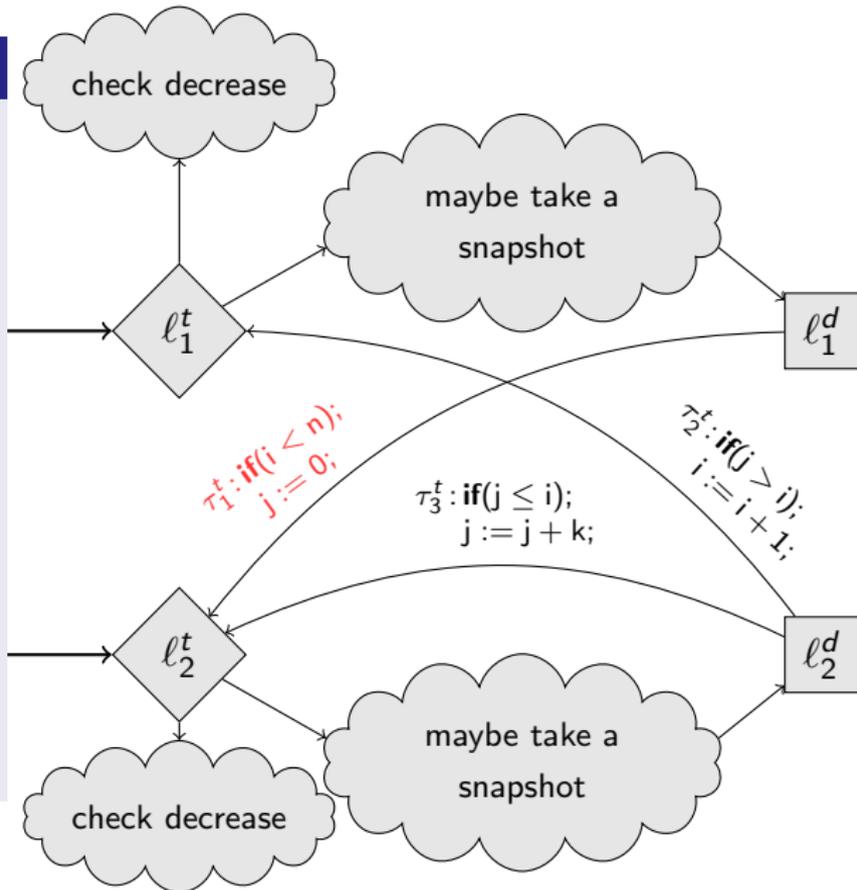
$$f_{l_1^t}^1(i, j, k, n) = n - i + 1$$

$$f_{l_1^d}^1(i, j, k, n) = n - i + 1$$

$$f_{l_2^t}^1(i, j, k, n) = n - i$$

$$f_{l_2^d}^1(i, j, k, n) = n - i$$

- 3 Delete decr./bounded



Cooperation: Simplification

Simplification

- 1 Find SCC \mathcal{S} in termination graph:

$$l_1^t, l_1^d, l_2^t, l_2^d$$

- 2 Find \mathcal{S} -orienting RF:

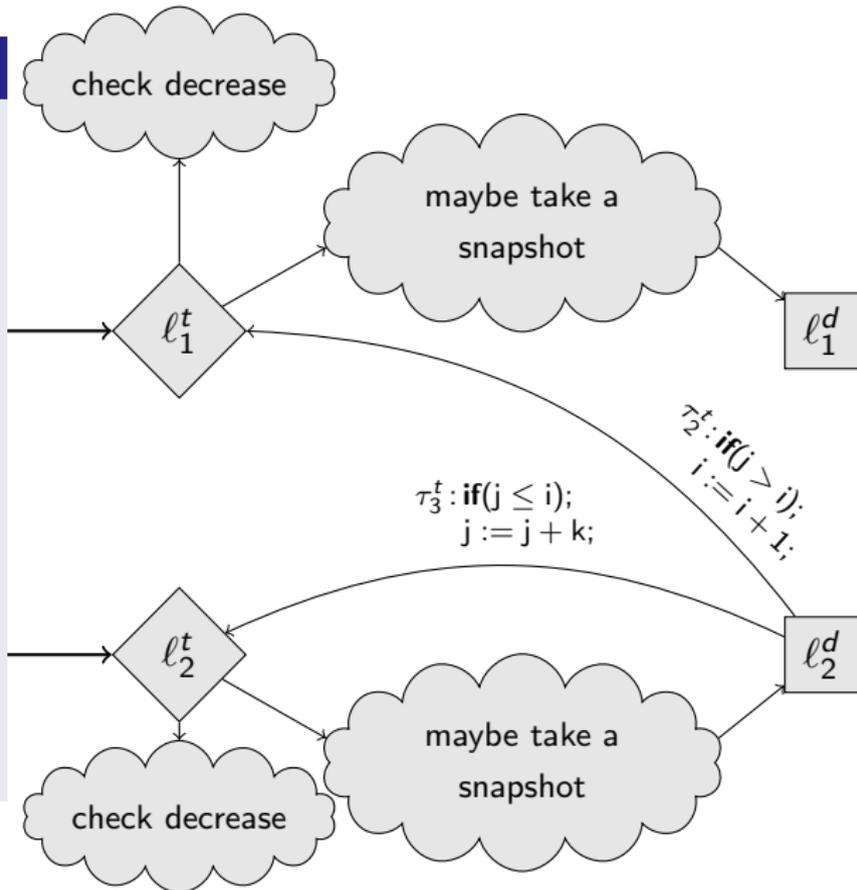
$$f_{l_1^t}^1(i, j, k, n) = n - i + 1$$

$$f_{l_1^d}^1(i, j, k, n) = n - i + 1$$

$$f_{l_2^t}^1(i, j, k, n) = n - i$$

$$f_{l_2^d}^1(i, j, k, n) = n - i$$

- 3 Delete decr./bounded



Cooperation: Simplification



Simplification

- 1 Find SCC \mathcal{S} in termination graph:

$$l_1^t, l_1^d, l_2^t, l_2^d$$

- 2 Find \mathcal{S} -orienting RF:

$$f_{l_1^t}^1(i, j, k, n) = n - i + 1$$

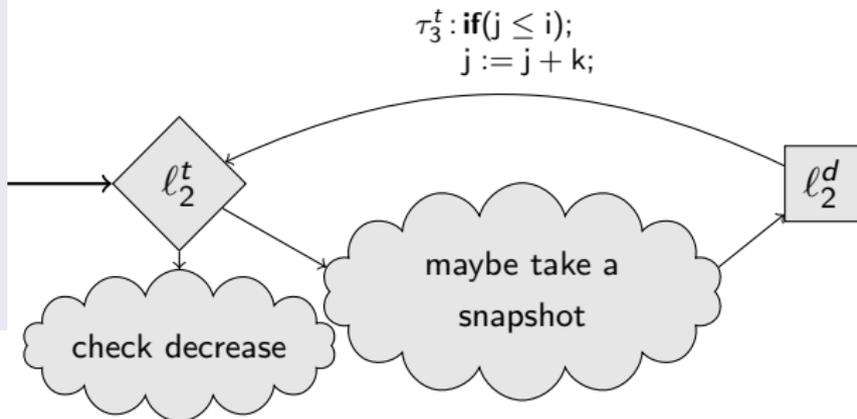
$$f_{l_1^d}^1(i, j, k, n) = n - i + 1$$

$$f_{l_2^t}^1(i, j, k, n) = n - i$$

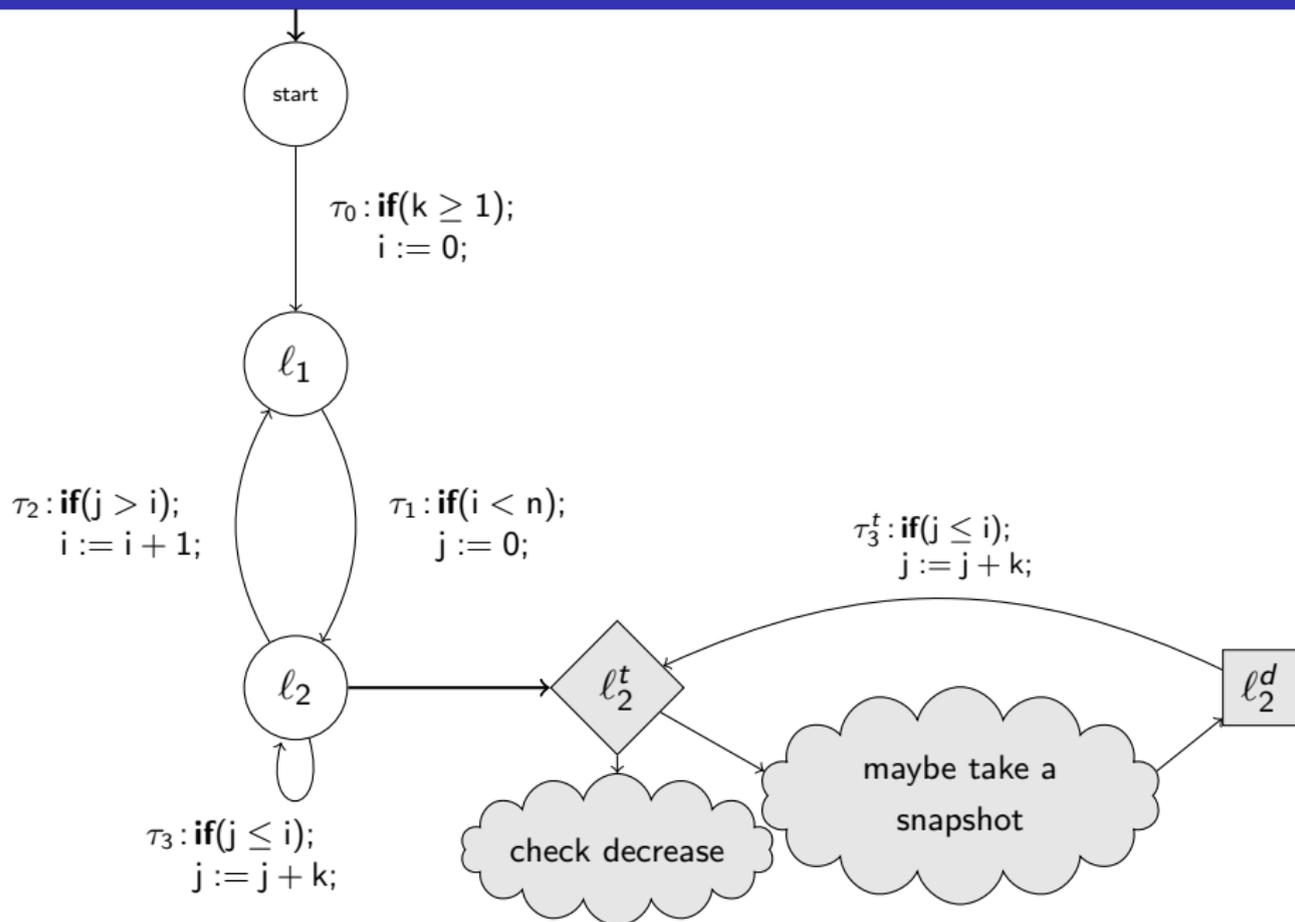
$$f_{l_2^d}^1(i, j, k, n) = n - i$$

- 3 Delete decr./bounded

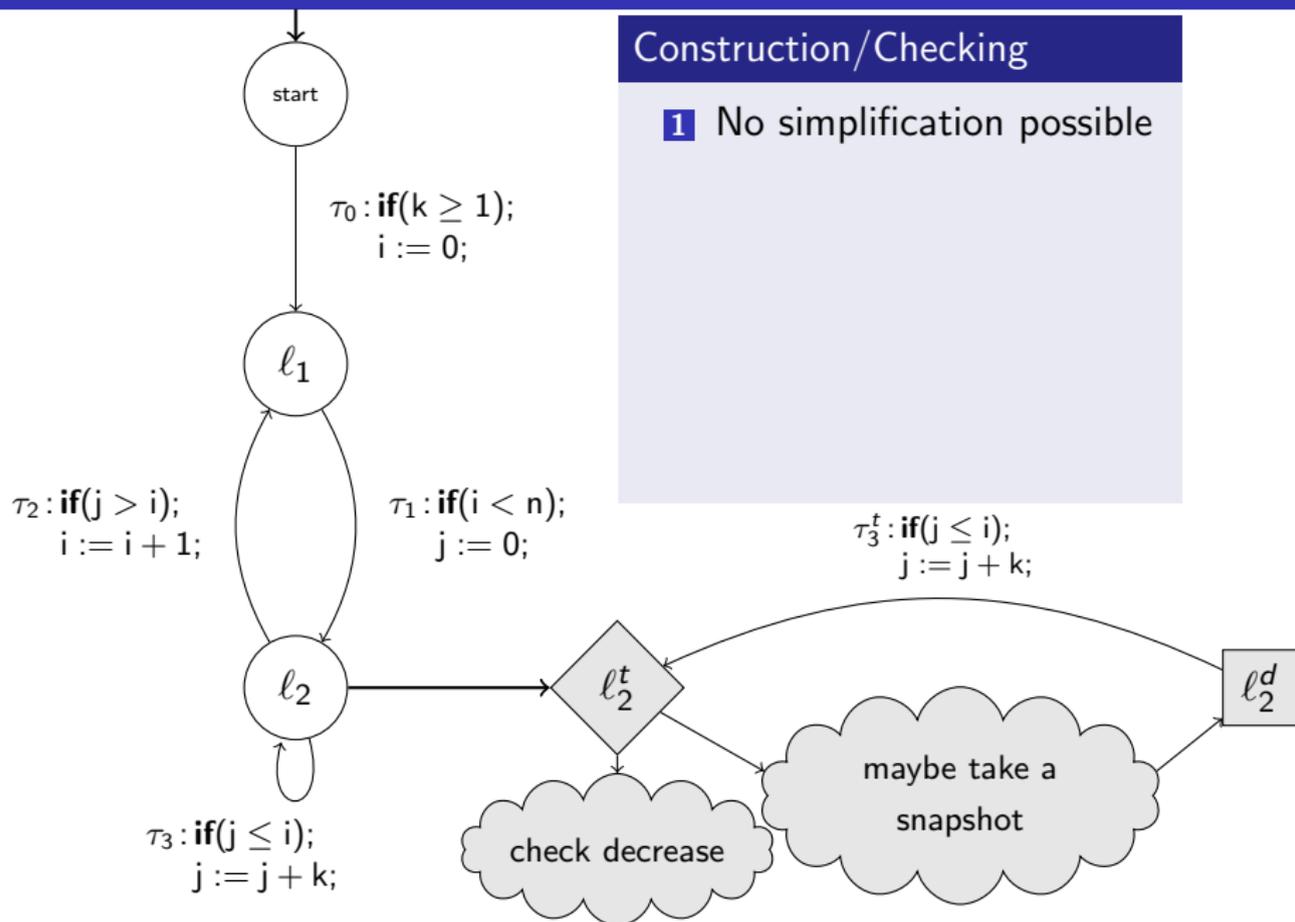
- 4 Clean up



Cooperation



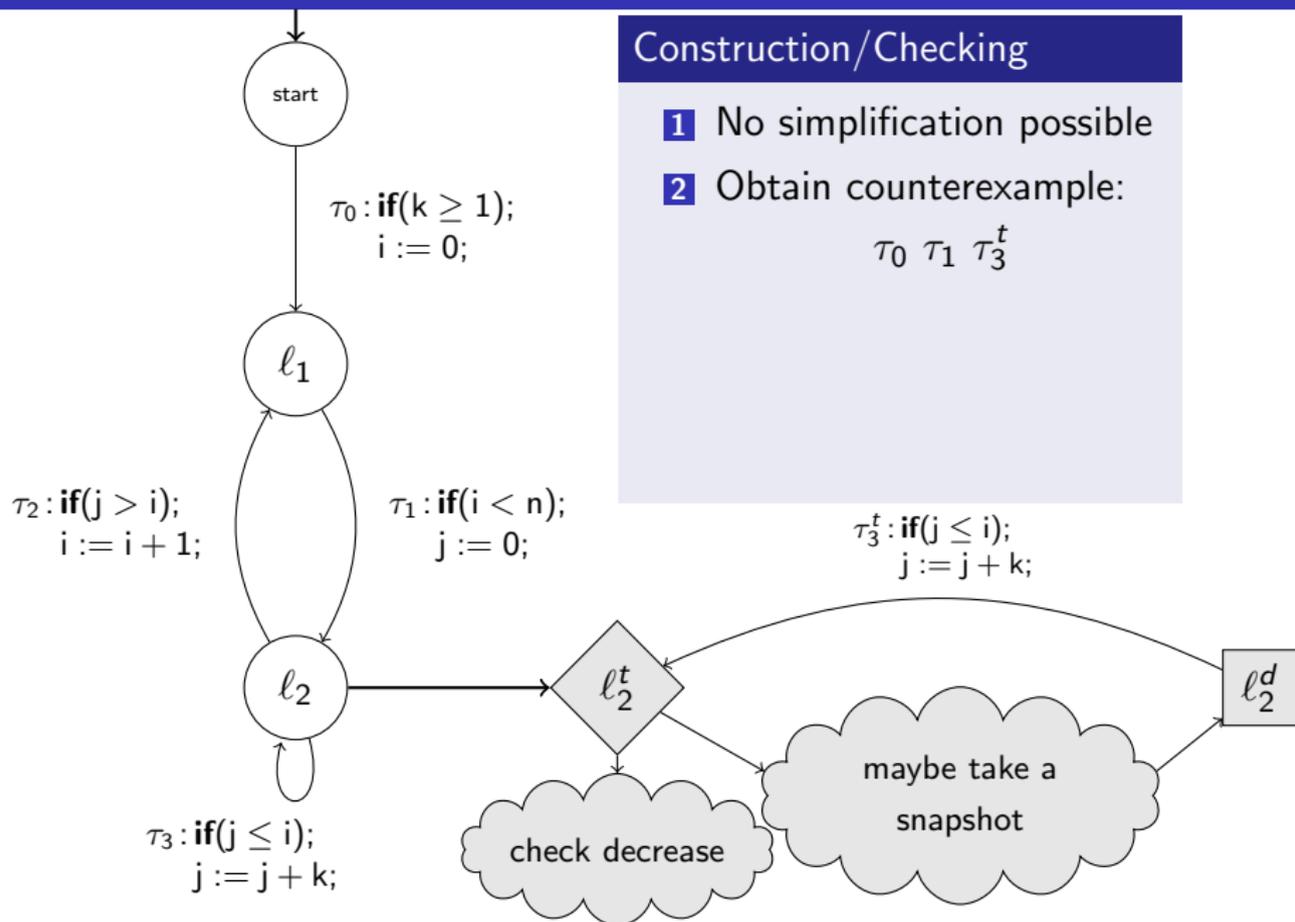
Cooperation: Invariants



Construction/Checking

1 No simplification possible

Cooperation: Invariants

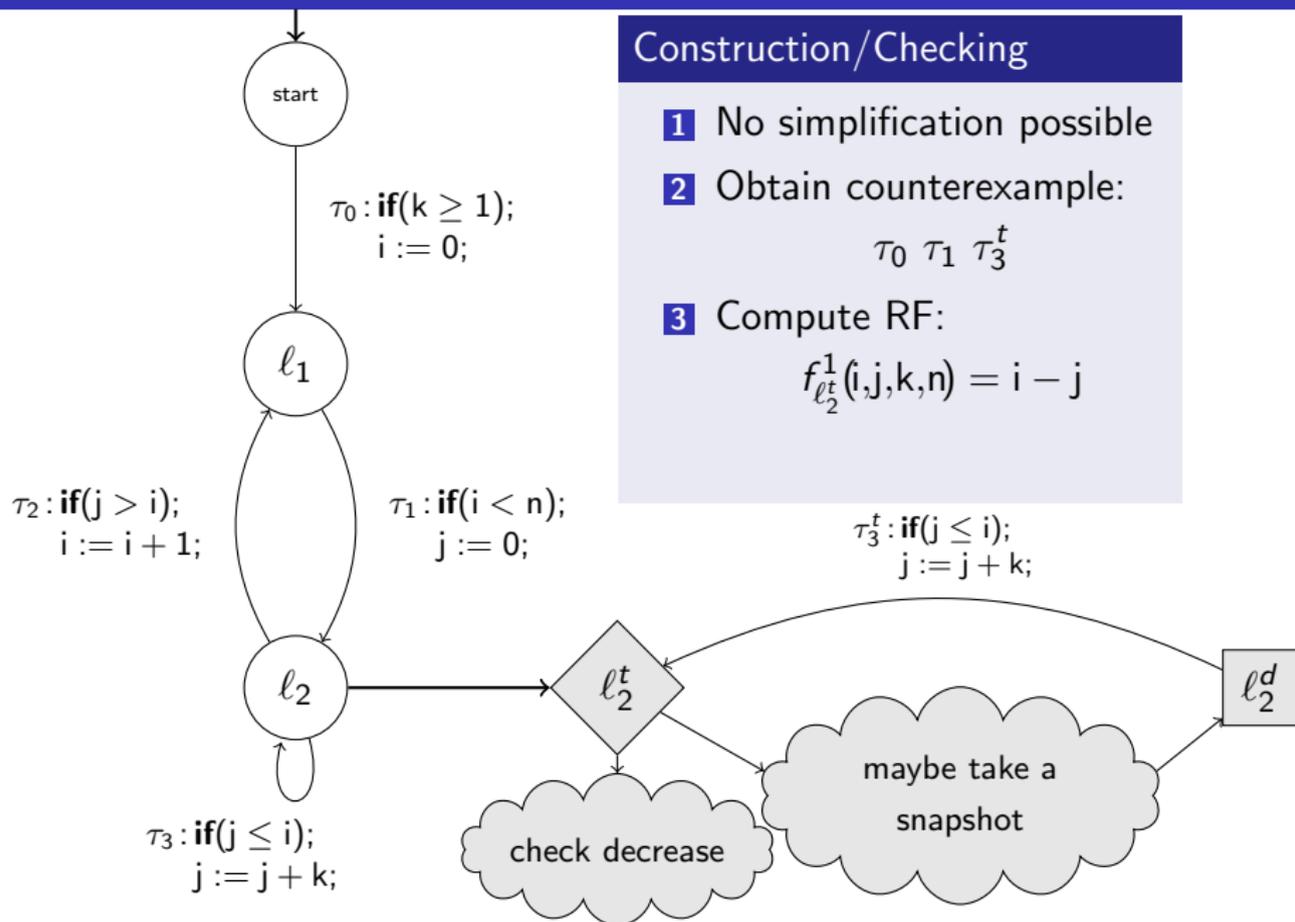


Construction/Checking

- 1 No simplification possible
- 2 Obtain counterexample:

$\tau_0 \tau_1 \tau_3^t$

Cooperation: Invariants

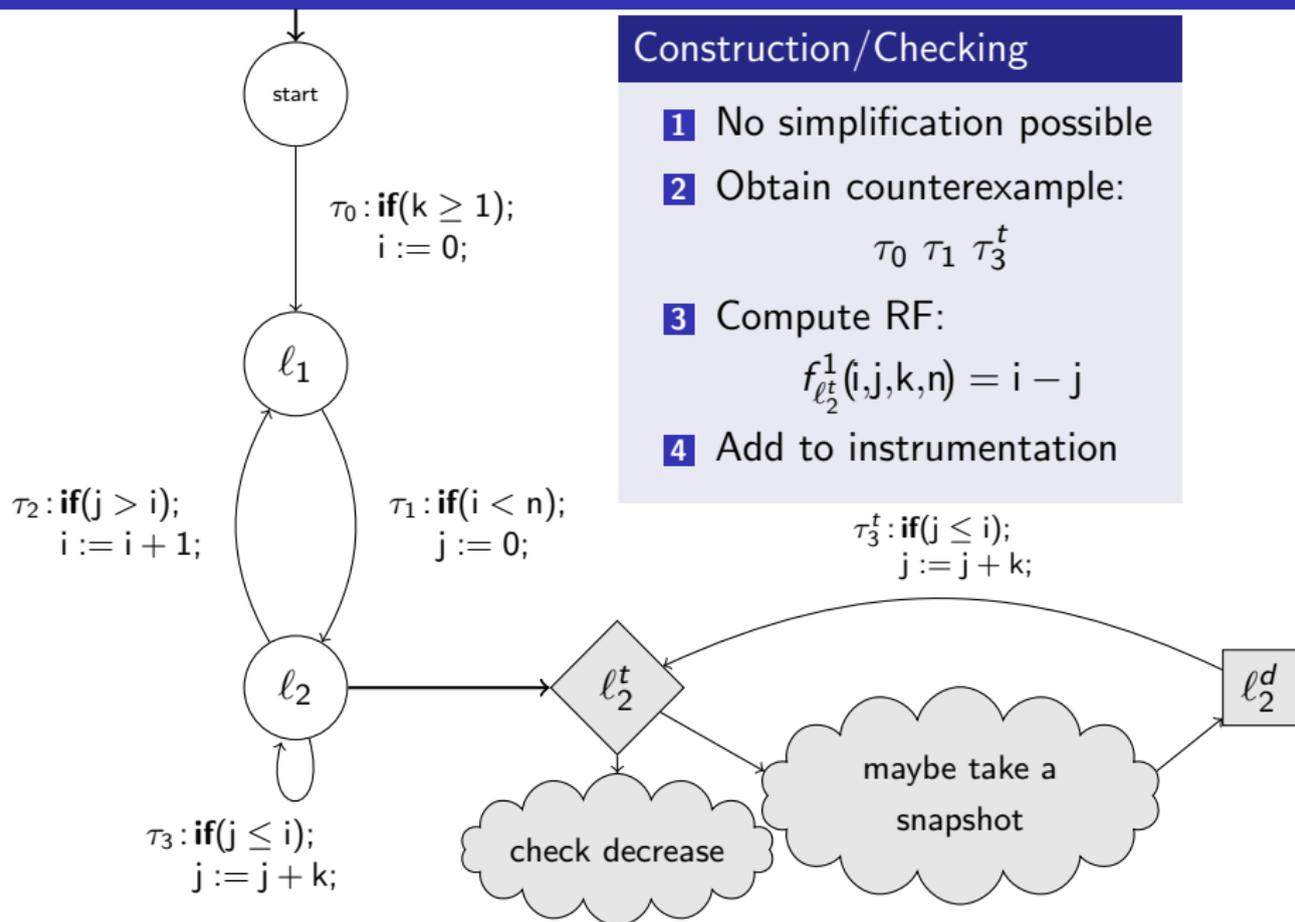


Construction/Checking

- 1 No simplification possible
- 2 Obtain counterexample:
 $\tau_0 \tau_1 \tau_3^t$
- 3 Compute RF:

$$f_{l_2^t}^1(i, j, k, n) = i - j$$

Cooperation: Invariants



Construction/Checking

1 No simplification possible

2 Obtain counterexample:

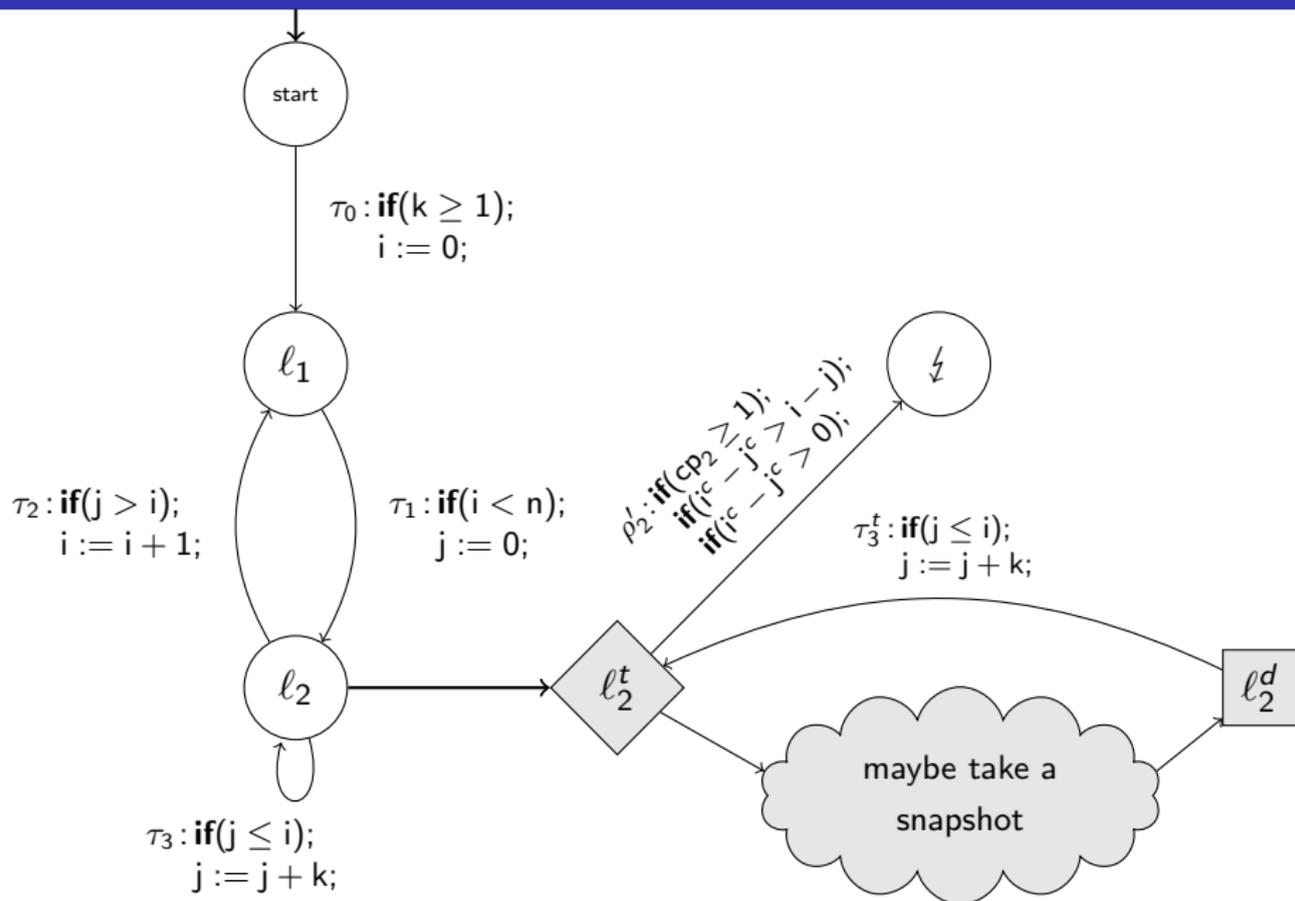
$$\tau_0 \tau_1 \tau_3^t$$

3 Compute RF:

$$f_{l_2^t}^1(i, j, k, n) = i - j$$

4 Add to instrumentation

Cooperation: Invariants



Algorithm REFINEMENT

$\mathcal{C} := \text{INSTRUMENT}(\mathcal{P})$

Algorithm REFINEMENT

```
 $\mathcal{C} := \text{INSTRUMENT}(\mathcal{P})$   
for all  $\mathcal{S}$  in  $\text{SCCs}(\text{TERMINATION}(\mathcal{C}))$  do  
  while  $\exists$   $\mathcal{S}$ -orienting rank function  $f$  do  
     $\mathcal{C} := \mathcal{C} \setminus \text{DECREASING}(\mathcal{S}, f)$   
     $\mathcal{S} := \mathcal{S} \setminus \text{DECREASING}(\mathcal{S}, f)$   
  end while  
end for
```

Algorithm REFINEMENT

```
 $\mathcal{C} := \text{INSTRUMENT}(\mathcal{P})$   
for all  $\mathcal{S}$  in  $\text{SCCs}(\text{TERMINATION}(\mathcal{C}))$  do  
  while  $\exists$   $\mathcal{S}$ -orienting rank function  $f$  do  
     $\mathcal{C} := \mathcal{C} \setminus \text{DECREASING}(\mathcal{S}, f)$   
     $\mathcal{S} := \mathcal{S} \setminus \text{DECREASING}(\mathcal{S}, f)$   
  end while  
end for  
while  $\exists$  counterexample ( $stem, cycle$ ) in  $\mathcal{C}$  do  
   $\mathcal{S} := \text{SCC\_CONTEXT}(\text{TERMINATION}(\mathcal{C}), cycle)$   
  if  $\exists$   $\mathcal{S}$ -orienting rank function  $f$  then  
     $\mathcal{C} := \mathcal{C} \setminus \text{DECREASING}(\mathcal{S}, f)$   
     $\mathcal{C} := \text{STRENGTHEN}(\mathcal{C}, cycle, f)$   
  else if  $\exists$  any rank function  $f$  for  $cycle$  then  
     $\mathcal{C} := \text{STRENGTHEN}(\mathcal{C}, cycle, f)$   
  else  
    return "Unknown"  
  end if  
end while  
return "Terminating"
```

Cooperation: Evaluation

Evaluated on 449 termination proving benchmarks

260 known terminating, 181 known non-terminating, 8 unknown

Sources: Windows drivers, APACHE, POSTGRESQL, ...

Cooperation: Evaluation

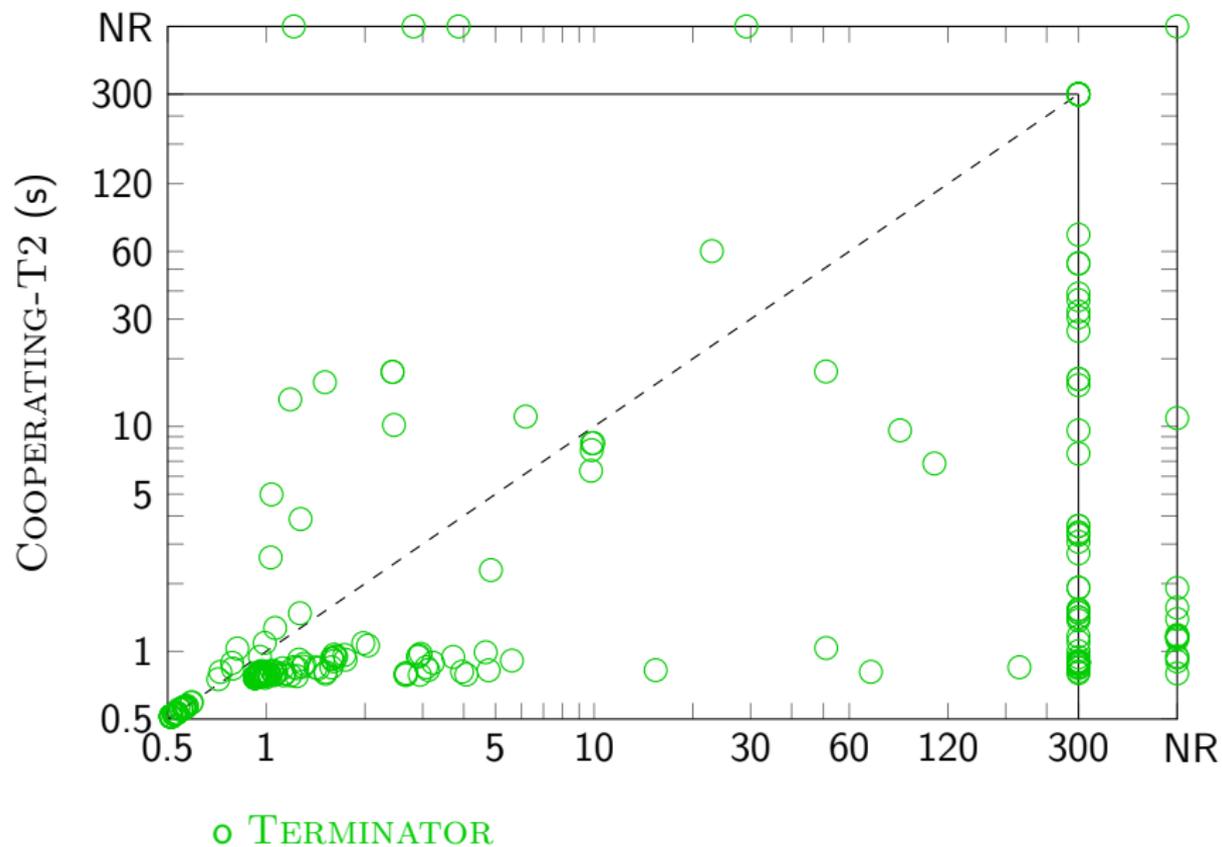
Evaluated on 449 termination proving benchmarks

260 known terminating, 181 known non-terminating, 8 unknown

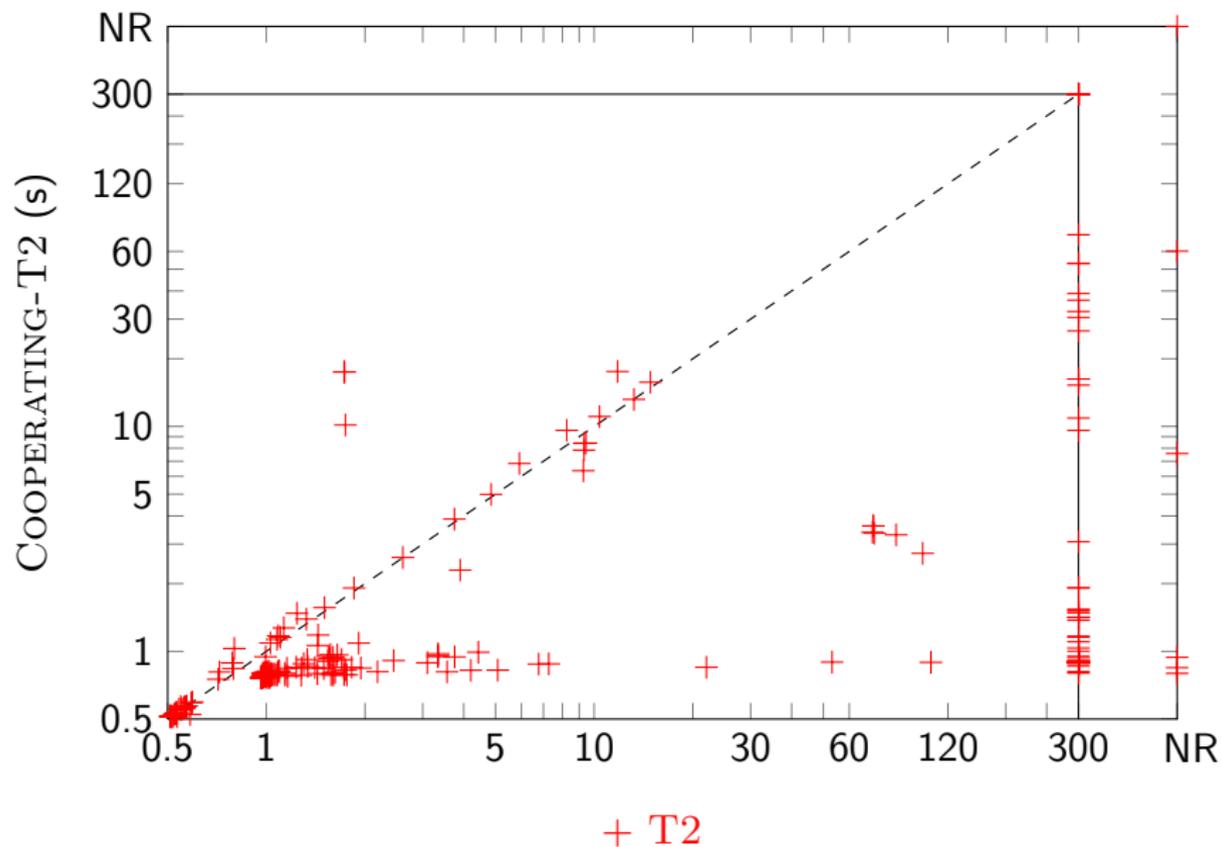
Sources: Windows drivers, APACHE, POSTGRESQL, ...

	Term (#)	Term (avg. s)
COOPERATING-T2	245	3.42
APROVE	197	2.21
KITTEL	196	4.65
T2	189	5.15
APROVE+INTERPROC	185	1.53
TERMINATOR	177	4.99
SIZE-CHANGE/MCNP	156	17.50
ARMC	138	16.16

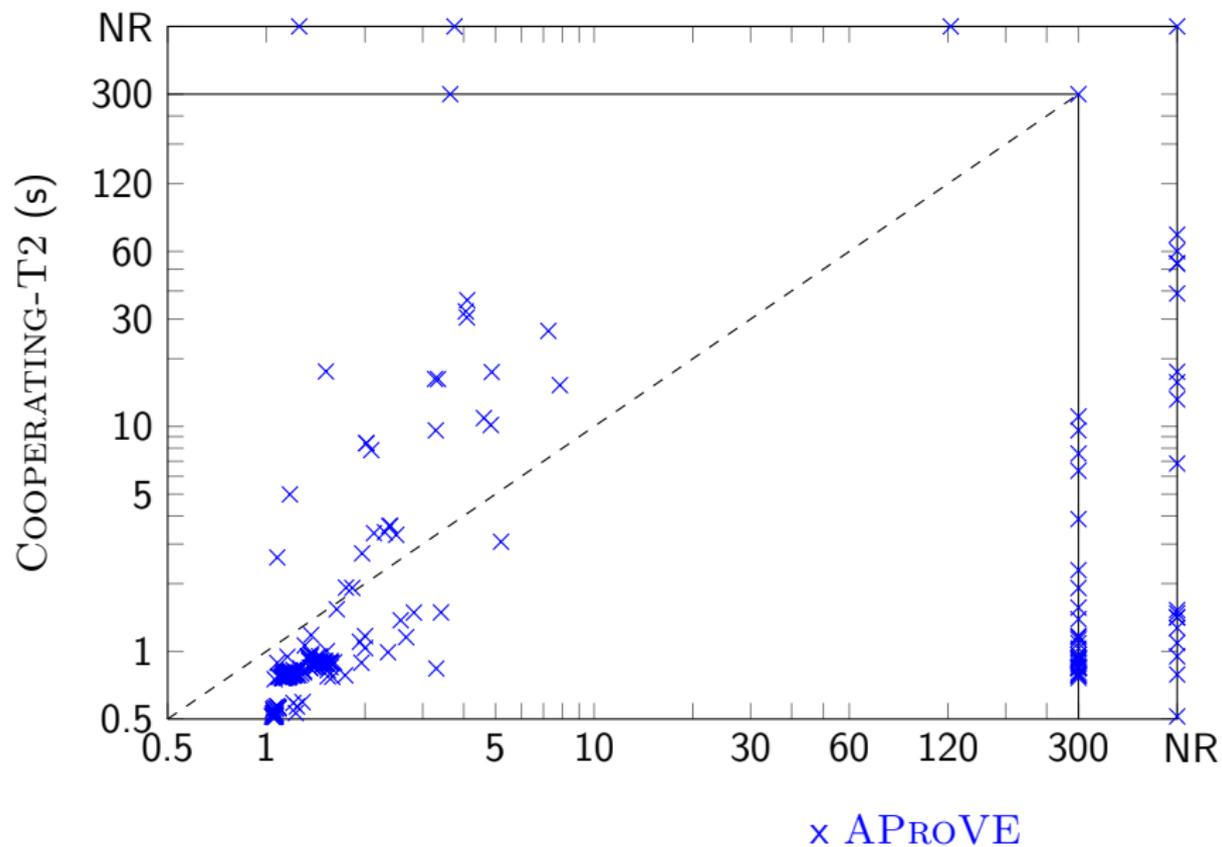
Cooperation: Evaluation



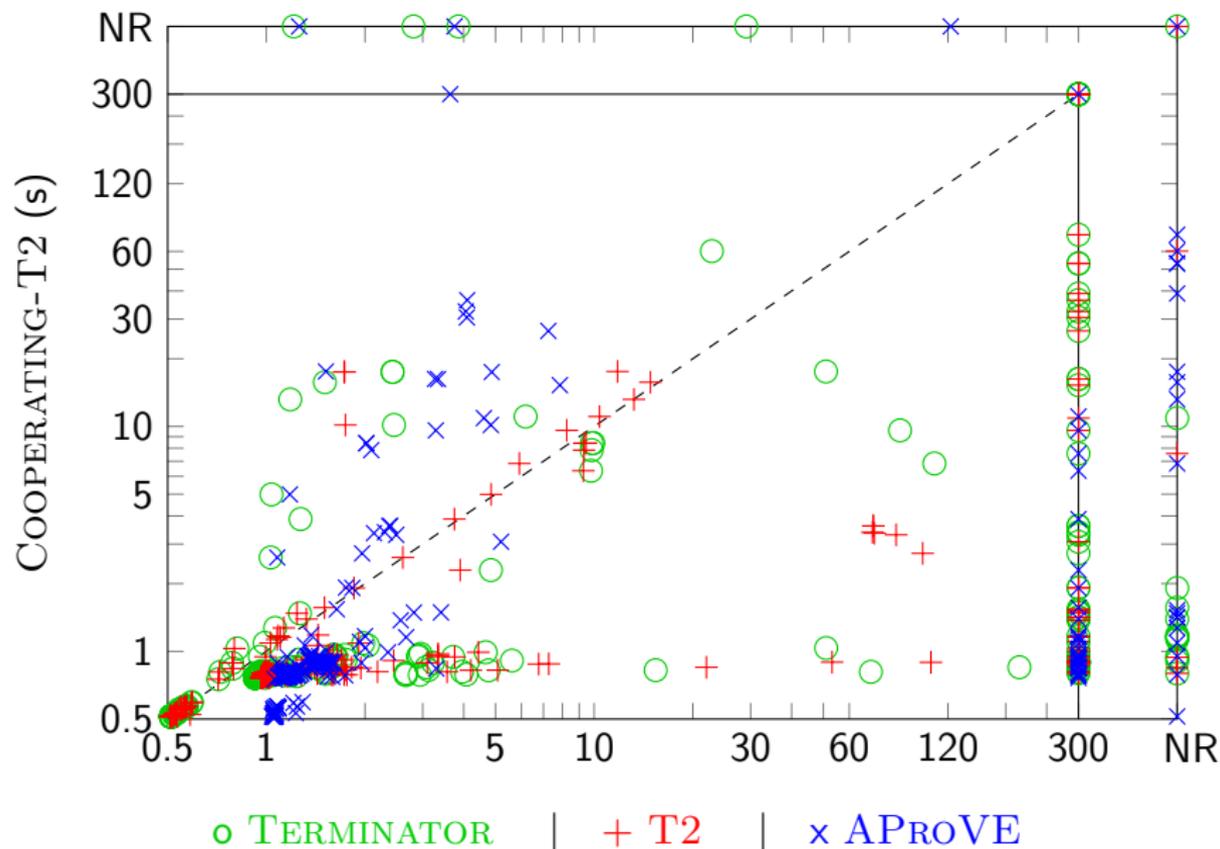
Cooperation: Evaluation



Cooperation: Evaluation



Cooperation: Evaluation



Cooperation: Evaluation

Evaluated on 449 termination proving benchmarks

260 known terminating, 181 known non-terminating, 8 unknown

Sources: Windows drivers, APACHE, POSTGRESQL, ...

	Term (#)	Term (avg. s)
COOPERATING-T2	245	3.42
APROVE	197	2.21
KITTEL	196	4.65
T2	189	5.15
APROVE+INTERPROC	185	1.53
TERMINATOR	177	4.99
SIZE-CHANGE/MCNP	156	17.50
ARMC	138	16.16

Sources available: <http://research.microsoft.com/en-us/projects/t2/>

Example (Non-Termination and reachability)

```
assume (x ≥ 0)
while x ≠ 0 do
  x := x + k;
done
```

- Loop non-terminating for $x \neq 0 \wedge k = 0$: Not reachable

Example (Non-Termination and reachability)

```
assume (x ≥ 0)  
while x ≠ 0 do  
    x := x + k;  
done
```

- Loop non-terminating for $x \neq 0 \wedge k = 0$: Not reachable
- Loop non-terminating for $x < 0 \wedge k < 0$: Not reachable

Example (Non-Termination and reachability)

```
assume (x ≥ 0)
while x ≠ 0 do
  x := x + k;
done
```

- Loop non-terminating for $x \neq 0 \wedge k = 0$: Not reachable
- Loop non-terminating for $x < 0 \wedge k < 0$: Not reachable
- Loop non-terminating for $x > 0 \wedge k > 0$: Reachable

Non-termination: Basic definitions

Definition (Programs)

Program $P = (S, R, I)$

- S program states
- $R \subseteq S \times S$ program relation
- I initial states

Non-termination: Basic definitions

Definition (Programs)

Program $P = (S, R, I)$

- S program states
- $R \subseteq S \times S$ program relation
- I initial states

Definition (Recurrent set)

$\emptyset \neq G \subseteq S$ recurrent: $\forall s \in G. \exists s' \in G. (s, s') \in R^+$

Non-termination: Basic definitions

Definition (Programs)

Program $P = (S, R, I)$

- S program states
- $R \subseteq S \times S$ program relation
- I initial states

Definition (Recurrent set)

$\emptyset \neq G \subseteq S$ recurrent: $\forall s \in G. \exists s' \in G. (s, s') \in R^+$

Lemma (Non-termination of programs)

P non-terminating if and only if

- Recurrence: G recurrent set for P
- Reachability: $(i, s) \in R^+, i \in I, s \in G$

Termination & Non-termination are related

Example (Termination and invariants)

```
assume (k < 0)
while x > 0      do
    x := x + k;
done
```

Termination & Non-termination are related

Example (Termination and invariants)

```
assume (k < 0)
while x > 0      do
    x := x + k;
done
```

- RF x requires invariant $k < 0$

Termination & Non-termination are related

Example (Termination and invariants)

```
assume (k < 0)
while x > 0      do
    x := x + k;
done
```

- RF x requires invariant $k < 0$
- Recurrent set $x > 0 \wedge k \geq 0$

Termination & Non-termination are related

Example (Termination and invariants)

```
assume (k < 0)
while x > 0      do
    x := x + k;
done
```

- RF x requires invariant $k < 0$
- Recurrent set $x > 0 \wedge k \geq 0$ *not reachable*

Termination & Non-termination are related

Example (Termination and invariants)

```
assume (k < 0)
while x > 0      do
  x := x + k;
done
```

- RF x requires invariant $k < 0$
- Recurrent set $x > 0 \wedge k \geq 0$ *not reachable*
Reason: $k < 0$ is invariant

Termination & Non-termination are related

Example (Termination and invariants)

```
assume (k < 0)
while x > 0  $\wedge$  k < 0 do
  x := x + k;
done
```

- RF x requires invariant $k < 0$
- Recurrent set $x > 0 \wedge k \geq 0$ *not reachable*
Reason: $k < 0$ is invariant

Alternating Termination & Non-termination

Algorithm REFINEMENT

```
G = RECURRENTSET(R)  
if REACHABLE(G in P) then  
    return "Non-terminating"  
else  
    P = STRENGTHEN(P with invariant)  
end if
```

Alternating Termination & Non-termination

Algorithm REFINEMENT

```
G = RECURRENTSET(R)
if REACHABLE(G in P) then
    return "Non-terminating"
else
    P = STRENGTHEN(P with invariant)
end if
if TERMINATION(P) then
    return "Terminating"
else
    P = SIMPLIFY(P)
end if
```

Alternating Termination & Non-termination

Algorithm REFINEMENT

```
while true do  
   $G = \text{RECURRENTSET}(R)$   
  if  $\text{REACHABLE}(G \text{ in } P)$  then  
    return "Non-terminating"  
  else  
     $P = \text{STRENGTHEN}(P \text{ with invariant})$   
  end if  
  if  $\text{TERMINATION}(P)$  then  
    return "Terminating"  
  else  
     $P = \text{SIMPLIFY}(P)$   
  end if  
end while
```