

**Exercise 1 (Quiz):**
**(3 + 3 + 3 = 9 points)**

- Is  $\lambda f \rightarrow (f \text{ True}) (f \ 1)$  well typed in Haskell? Give the expression's type or briefly explain why it is not well typed.
- Prove or disprove: If a relation  $\succ \subseteq A \times A$  is confluent, then every element of  $A$  has a normal form with respect to  $\succ$ .
- Are there monotonic functions which are not continuous? If so, give an example. Otherwise, give a brief explanation.

Solution: \_\_\_\_\_

- No, because the most general type schema for this Haskell expression is non-flat, but such type schemata are not allowed in Haskell.
- Counterexample:  $A = \{a\}$  with  $a \succ a$ . Obviously, the relation is confluent and  $a$  does not have a normal form w.r.t.  $\succ$ .
- Yes, e.g., the function  $g : (\mathbb{Z}_\perp \rightarrow \mathbb{Z}_\perp) \rightarrow \mathbb{Z}_\perp$  defined by

$$g(f) = \begin{cases} 0, & \text{if } f(x) \neq \perp_{\mathbb{Z}_\perp} \text{ for all } x \in \mathbb{Z} \\ \perp_{\mathbb{Z}_\perp}, & \text{otherwise} \end{cases}$$

is monotonic, but not continuous.

**Exercise 2 (Programming in Haskell):**
**(5 + 7 + 7 + 9 = 28 points)**

We define a polymorphic data structure `Train` to represent trains that can contain different types of cargo.

```
data Train a
  = Locomotive (Train a)
  | Wagon a (Train a)
  | Empty deriving Show
```

The data structure `Cargo` is used to represent different types of cargo.

```
type Quantity = Int
type Weight = Int -- in kg
data Cargo
  = NoCargo
  | Persons Quantity
  | Goods Weight deriving Show
```

For example, `aTrain` is a valid expression of type `Train Cargo`.

```
aTrain = Locomotive (Wagon (Goods 100) (Wagon (Persons 10) (Wagon (Goods 200) Empty)))
```

Like `aTrain`, you can assume that every `Train` consists of a single `Locomotive` at its beginning followed by a sequence of `Wagons` and `Empty` at its end.

The following function can be used to *fold* a `Train`.

```
fold :: (a -> b -> b) -> b -> Train a -> b
fold _ res Empty = res
fold f res (Locomotive t) = fold f res t
fold f res (Wagon c t) = f c (fold f res t)
```

So for a `Train t`, `fold f res t` removes the constructor `Locomotive`, replaces `Wagon` by `f`, and replaces `Empty` by `res`.

In the following exercises, you are allowed to use predefined functions from the Haskell-Prelude.

- a) Implement a function `filterTrain` together with its type declaration (`filterTrain :: ...`). The function `filterTrain` gets a predicate and an object of type `Train a` as input and returns an object of type `Train a` that only contains those wagons from the given `Train` whose cargo satisfies the predicate. For example, assume that the function `areGoods` is implemented as follows:

```
areGoods :: Cargo -> Bool
areGoods (Goods _) = True
areGoods _ = False
```

Then the expression `filterTrain areGoods aTrain` should be evaluated to `Locomotive (Wagon (Goods 100) (Wagon (Goods 200) Empty))`.

- b) Implement a function `buildTrain :: [Cargo] -> Train Cargo`. In the resulting `Train`, a single `Wagon` must not contain more than 1000 kg of `Goods`. If the input list contains `Goods` that weigh more than 1000 kg, then these `Goods` must not be contained in the resulting train. Apart from this restriction, all the `Cargo` given via the input list has to be contained. Moreover, the resulting `Train` has to consist of a single `Locomotive` at its beginning, followed by a sequence of `Wagons` and `Empty` at its end. In your solution, you should use the function `filterTrain` even if you could not solve the previous exercise part. For example, `buildTrain [Persons 10, Goods 2000, Goods 1000]` should be evaluated to the expression `Locomotive (Wagon (Persons 10) (Wagon (Goods 1000) Empty))`.

- c) Implement a function `weight` together with its type declaration which computes the weight of all `Goods` in a train of type `Train Cargo`. For the definition of `weight`, use only one defining equation where the right-hand side is a call to the function `fold`.

For example, `weight aTrain` should be evaluated to 300.

- d) In this part of the exercise, you should create a program that controls a robber. The goal of the robber is to steal `Goods`, but when he tries to transport more than 1000 kg, he gets too slow and is caught by the police.

Implement a function `robTrain :: Train Cargo -> IO ()`. Its input is a `Train` that just contains `Goods`. It ignores the `Locomotive` and processes the remainder of the `Train` as follows:

For a wagon with  $n$  kg `Goods`, it prints "Do you want to pick up the goods? (y|n)". If the user answers "y", it prints "You have stolen  $n$  kg goods.". Otherwise, there is no output.

Afterwards, if the accumulated `Goods` of the robber exceed the limit of 1000 kg, it prints "You were caught by the police." and terminates. Otherwise, if the whole `Train` has been processed (i.e., `Empty` is reached), it prints "You successfully robbed the train." and terminates. Otherwise, the program continues with the next part of the `Train`.

A successful run might look as follows:

```
*Main> robTrain (Locomotive (Wagon (Goods 1000) (Wagon (Goods 200) Empty)))
Do you want to pick up the goods? (y|n) n
Do you want to pick up the goods? (y|n) y
You have stolen 200 kg goods.
You succesfully robbed the train.
```

In the following run, the user is caught by the police:

```
*Main> robTrain (Locomotive (Wagon (Goods 1000) (Wagon (Goods 200) Empty)))
Do you want to pick up the goods? (y|n) y
You have stolen 1000 kg goods.
Do you want to pick up the goods? (y|n) y
You have stolen 200 kg goods.
You were caught by the police.
```

**Hint:** You should use the function `getLine :: IO String` to read the input from the user. To print a `String`, you should use the function `putStr :: String -> IO ()` or the function `putStrLn :: String -> IO ()`, if the output should end with a line break. You should use the function `show :: Int -> String` to convert an `Int` to a `String`. To save space, you may assume that the following declarations exist in your program:

```
pickUp, caught, success :: String
pickUp = "Do you want to pick up the goods? (y|n) "
caught = "You were caught by the police."
success = "You succesfully robbed the train."
```

Solution: \_\_\_\_\_

- a) `filterTrain :: (a -> Bool) -> Train a -> Train a`  
`filterTrain _ Empty = Empty`  
`filterTrain p (Locomotive t) = Locomotive (filterTrain p t)`  
`filterTrain p (Wagon c t) = if (p c) then (Wagon c t') else t'`  
`where t' = filterTrain p t`
- b) `buildTrain :: [Cargo] -> Train Cargo`  
`buildTrain cargo = filterTrain (\c -> case c of`  
`Goods x -> x <= 1000`  
`_ -> True)`  
`(Locomotive (foldr Wagon Empty cargo))`
- c) `weight :: Train Cargo -> Int`  
`weight = fold (\c res -> case c of`  
`Goods x -> res + x`  
`_ -> res)`  
`0`
- d) `robTrain :: Train Cargo -> IO()`  
`robTrain (Locomotive t) = robTrain' t 0 where`  
`robTrain' Empty _ = putStrLn success`  
`robTrain' (Wagon (Goods y) n) x = do`  
`putStr pickUp`  
`c <- getLine`  
`case c of "y" -> do putStrLn ("You have stolen " ++ show y ++ " kg goods")`  
`if x+y>1000 then putStrLn caught else robTrain' n (x+y)`  
`_ -> robTrain' n x`

### Exercise 3 (Semantics):

(10 + 10 + 6 = 26 points)

- a) i) Let  $L_m = \{ [], [[]], [[[]]], \dots \}$ , i.e.,  $L_m$  contains all lists where  $m$  opening brackets are followed by  $m$  closing brackets for an  $m \in \mathbb{N} \setminus \{0\}$ . Let  $\leq_{nl} \subseteq L_m \times L_m$  be the relation that compares the *nesting-level* of two lists. More formally, if  $nl(x)$  is the nesting level of the list  $x$  and  $\leq \subset \mathbb{N} \times \mathbb{N}$  is the usual less-or-equal relation, then

$$l \leq_{nl} l' \iff nl(l) \leq nl(l')$$

So we have, e.g.,  $[] \leq_{nl} [[]]$  because the nesting level of  $[]$  is one and the nesting level of  $[[]]$  is two.

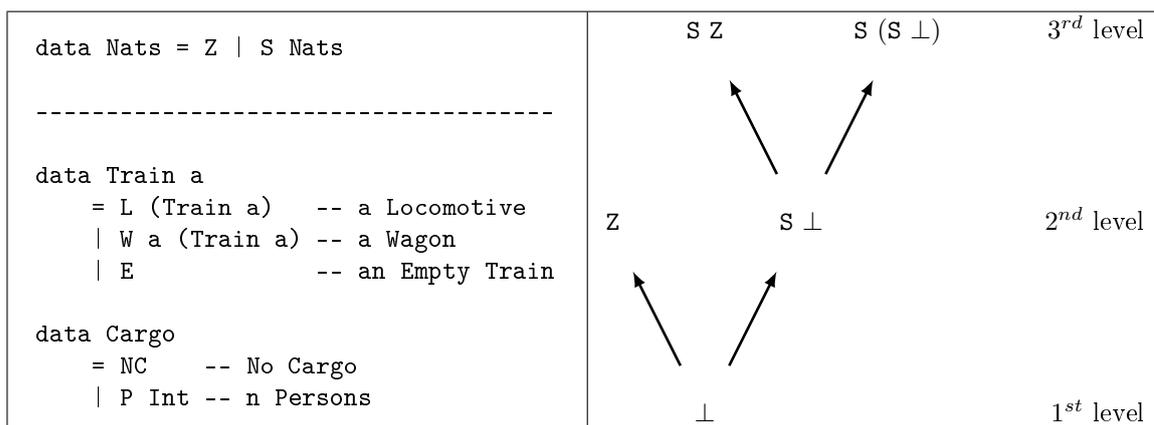
- 1) Give an example for an infinite chain in  $(L_{[]}, \leq_{nl})$ .
  - 2) Prove or disprove: the partial order  $\leq_{nl}$  is complete on  $L_{[]}$ .
- ii) Let  $L_0$  be the set of all Haskell lists containing only zeros (so, e.g.,  $[] \in L_0$  and  $[0, 0, 0] \in L_0$ ) and let  $\leq_{len} \subseteq L_0 \times L_0$  be the relation that compares the *length* of two lists where all infinite lists are considered to have the same length. More formally, if  $len(x)$  is the length of the list  $x$  and  $\leq \subseteq \mathbb{N} \cup \{\infty\} \times \mathbb{N} \cup \{\infty\}$  is the usual less-or-equal relation, then

$$l \leq_{len} l' \iff len(l) \leq len(l')$$

- 1) Give an example for an infinite chain in  $(L_0, \leq_{len})$ .
  - 2) Prove or disprove: the partial order  $\leq_{len}$  is complete on  $L_0$ .
- b) i) Consider the following Haskell function  $f$ :
- ```
f :: (Int, Int) -> Int
f (x, 0) = 1
f (x, y) = x * f (x, y - 1)
```

Please give the Haskell declaration for the higher-order function  $ff$  corresponding to  $f$ , i.e., the higher-order function  $ff$  such that the least fixpoint of  $ff$  is  $f$ . In addition to the function declaration, please also give the type declaration for  $ff$ . You may use full Haskell for  $ff$ .

- ii) Let  $\phi_{ff}$  be the semantics of the function  $ff$ . Give the definition of  $\phi_{ff}^n(\perp)$  in closed form for any  $n \in \mathbb{N}$ , i.e., give a non-recursive definition of the function that results from applying  $\phi_{ff}$   $n$ -times to  $\perp$ .
  - iii) Give the definition of the least fixpoint of  $\phi_{ff}$  in closed form.
- c) Consider the data type declarations on the left and, as an example, the graphical representation of the first three levels of the domain for `Nats` on the right:



Give a graphical representation of the first three levels of the domain for the type `Train Cargo`. The third level contains the element  $W (P \perp) \perp$ , for example. Note that the domain for the type `Train Cargo` also contains `Trains` with multiple locomotives, `Trains` without `E` at their ends, and so on. In other words, the assumption from Exercise 2 (“Assume that every `Train` consists of a single `Locomotive` at its beginning followed by a sequence of `Wagons` and `Empty` at its end.”) does *not* hold for this exercise.

Solution: \_\_\_\_\_

- a) i) 1)  $\{ [], [[]], [[[]]], \dots \}$   
 2) Consider the chain above. Since it contains infinitely many elements with increasing nesting level, its upper bounds have to have infinite nesting level. Since lists with infinite nesting level are not contained in  $L_{\perp}$ ,  $\leq_n$  is not a cpo.
- ii) 1)  $\{ [], [0], [0,0], \dots \}$   
 2) The relation  $\leq_{len}$  is a cpo iff  $L_0$  has a least element w.r.t.  $\leq_{len}$  and every  $\leq_{len}$ -chain has a least upper bound in  $L_0$ . Obviously, the least element is the empty list  $[]$ . Let  $C$  be a chain. If  $C$  is finite, then the longest list in  $C$  is the least upper bound. Otherwise, the infinite list  $l_{\infty}$  containing only zeros (as defined by  $zeros = 0 : zeros$ ) is the least upper bound. Thus,  $\leq_{len}$  is a cpo.
- b) i) `ff :: ((Int, Int) -> Int) -> ((Int, Int) -> Int)`  
`ff f (x, 0) = 1`  
`ff f (x, y) = x * f (x, y - 1)`

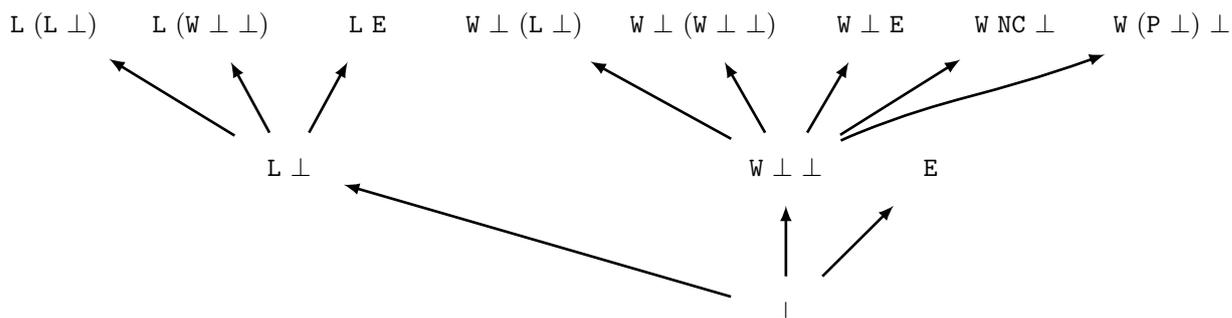
ii)

$$(\phi_{ff}^n(\perp))(x, y) = \begin{cases} 1 & \text{if } y = 0 \wedge 0 < n \\ x^y & \text{if } 0 < y < n \wedge x \neq \perp \\ \perp & \text{otherwise} \end{cases}$$

iii)

$$(\text{lfp } \phi_{ff})(x, y) = \begin{cases} 1 & \text{if } y = 0 \\ x^y & \text{if } 0 < y \wedge x \neq \perp \\ \perp & \text{otherwise} \end{cases}$$

c)



**Exercise 4 (Lambda Calculus):**

**(4 + 8 + 5 = 17 points)**

- a) Reconsider the function  $f'$  from the previous exercise:

```
f' :: Int -> Int -> Int
f' x 0 = 1
f' x y = x * f' x (y - 1)
```

Please implement this function in the Lambda Calculus, i.e., give a term  $f$  such that, for all  $x, y, z \in \mathbb{Z}$ ,  $f\ x\ y == z$  if and only if  $f\ x\ y$  can be reduced to  $z$  via WHNO-reduction with the  $\rightarrow_{\beta\delta}$ -relation and the set of rules  $\delta$  as introduced in the lecture to implement Haskell. You can use infix notation for predefined functions like  $(==)$ ,  $(*)$  or  $(-)$ .

b) Let

$$t = \lambda \text{add } x\ y. \text{if } (y == 0)\ x\ (\text{add } (x + 1)\ (y - 1))$$

and

$$\begin{aligned} \delta = \{ & \text{if True} \rightarrow \lambda x\ y. x, \\ & \text{if False} \rightarrow \lambda x\ y. y, \\ & \text{fix} \rightarrow \lambda f. f(\text{fix } f)\} \\ \cup \{ & x - y \rightarrow z \mid x, y, z \in \mathbb{Z} \wedge z = x - y\} \\ \cup \{ & x + y \rightarrow z \mid x, y, z \in \mathbb{Z} \wedge z = x + y\} \\ \cup \{ & x == x \rightarrow \text{True} \mid x \in \mathbb{Z}\} \\ \cup \{ & x == y \rightarrow \text{False} \mid x, y \in \mathbb{Z}, x \neq y\} \end{aligned}$$

Please reduce  $\text{fix } t\ 0\ 0$  by WHNO-reduction with the  $\rightarrow_{\beta\delta}$ -relation. List **all** intermediate steps until reaching weak head normal form, but please write “ $t$ ” instead of

$$\lambda \text{add } x\ y. \text{if } (y == 0)\ x\ (\text{add } (x + 1)\ (y - 1))$$

whenever possible.

c) Consider the Boolean operator  $\text{nor}$  where  $\text{nor}(x, y)$  holds if and only if  $\text{or}(x, y)$  does *not* hold. Using the representation of Boolean values in the pure  $\lambda$ -calculus presented in the lecture, i.e., **True** is represented as  $\lambda x\ y. x$  and **False** as  $\lambda x\ y. y$ , give a pure  $\lambda$ -term for **nor** in  $\rightarrow_{\beta}$ -normal form.

Solution: \_\_\_\_\_

a)  $\text{fix } (\lambda f\ x\ y. \text{if } (y == 0)\ 1\ (x * (f\ x\ (y - 1))))$

b)

$$\begin{aligned} & \text{fix } t\ 0\ 0 \\ \rightarrow_{\delta} & (\lambda f. (f\ (\text{fix } f)))\ t\ 0\ 0 \\ \rightarrow_{\beta} & t\ (\text{fix } t)\ 0\ 0 \\ \rightarrow_{\beta} & (\lambda x\ y. \text{if } (y == 0)\ x\ ((\text{fix } t)\ (x + 1)\ (y - 1)))\ 0\ 0 \\ \rightarrow_{\beta} & (\lambda y. \text{if } (y == 0)\ 0\ ((\text{fix } t)\ (0 + 1)\ (y - 1)))\ 0 \\ \rightarrow_{\beta} & \text{if } (0 == 0)\ 0\ ((\text{fix } t)\ (0 + 1)\ (0 - 1)) \\ \rightarrow_{\delta} & \text{if True } 0\ ((\text{fix } t)\ (0 + 1)\ (0 - 1)) \\ \rightarrow_{\delta} & (\lambda x\ y. x)\ 0\ ((\text{fix } t)\ (0 + 1)\ (0 - 1)) \\ \rightarrow_{\beta} & (\lambda y. 0)\ ((\text{fix } t)\ (0 + 1)\ (0 - 1)) \\ \rightarrow_{\beta} & 0 \end{aligned}$$

c)

$$\begin{aligned} \text{nor} &= \lambda x y. x (\lambda x y. y) (y x (\lambda x y. x)) && \text{or} \\ \text{nor} &= \lambda x y. x (\lambda x y. y) (y (\lambda x y. y) (\lambda x y. x)) && \text{or} \\ \text{nor} &= \lambda x y. (x x y) (\lambda x y. y) (\lambda x y. x) && \text{or} \\ &\dots \end{aligned}$$
**Exercise 5 (Type Inference):**
**(10 points)**

 Using the initial type assumption  $A_0 := \{x :: \forall a. a\}$ , infer the type of the expression  $\lambda f. f (f x)$  using the algorithm  $\mathcal{W}$ .

Solution: \_\_\_\_\_

$$\begin{aligned} &\mathcal{W}(A_0, \lambda f. f (f x)) \\ &\quad \mathcal{W}(A_0 + \{f :: b_1\}, f (f x)) \\ &\quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, f) = (id, b_1) \\ &\quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, f x) \\ &\quad \quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, f) = (id, b_1) \\ &\quad \quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, x) = (id, b_2) \\ &\quad \quad \text{mgu}(b_1, b_2 \rightarrow b_3) = [b_1/b_2 \rightarrow b_3] \\ &\quad \quad = ([b_1/b_2 \rightarrow b_3], b_3) \\ &\quad \quad \text{mgu}(b_2 \rightarrow b_3, b_3 \rightarrow b_4) = [b_2/b_3, b_4/b_3] \\ &\quad \quad = ([b_1/b_3 \rightarrow b_3, b_2/b_3, b_4/b_3], b_3) \\ &= ([b_1/b_3 \rightarrow b_3, b_2/b_3, b_4/b_3], (b_3 \rightarrow b_3) \rightarrow b_3) \end{aligned}$$