

**Exercise 1 (Quiz):**
**(3 + 3 + 3 = 9 points)**

- Give a type declaration for `f` such that `(f True) (f 1)` is well typed in Haskell or explain why such a type declaration cannot exist.
- Prove or disprove: If  $\succ \subseteq A \times A$  is confluent, then each  $a \in A$  has at most one normal form w.r.t.  $\succ$ .
- What is the connection between monotonicity, continuity, and computability?

Solution: \_\_\_\_\_

- `f :: a -> b -> Bool`
- Let  $q_1$  and  $q_2$  be  $\succ$ -normal forms of  $t$ . Then  $t \succ^* q_1$  and  $t \succ^* q_2$ . By confluence of  $\succ$ , there must be a  $q$  such that  $q_1 \succ^* q$  and  $q_2 \succ^* q$ . Since  $q_1$  and  $q_2$  are normal forms, we get  $q_1 = q = q_2$ .
- Every computable function is continuous and every continuous function is monotonic.

**Exercise 2 (Programming in Haskell):**
**(6 + 7 + 7 + 9 = 29 points)**

 We define a polymorphic data structure `Tree e` for binary trees whose nodes store values of type `e`.

```
data Tree e = Node e (Tree e) (Tree e) | Empty
```

 The data structure `Forest e` is used to represent lists of trees.

```
type Forest e = [Tree e]
```

Furthermore, we define the following data structure:

```
data Animal = Squirrel | None
```

 For example, `aForest` is a valid expression of type `Forest Animal`.

```
aForest = [Node Squirrel Empty (Node Squirrel Empty Empty), Node None Empty Empty]
```

In this exercise, you may use full Haskell and predefined functions from the Haskell Prelude.

- Implement a function `hunt` together with its type declaration that removes all `Squirrels` from a `Forest Animal`, i.e., each occurrence of a `Squirrel` should be replaced by `None`.  
For example, `hunt aForest` should be evaluated to `[Node None Empty (Node None Empty Empty), Node None Empty Empty]`.
- Implement a function `fold :: (e -> res -> res -> res) -> res -> Tree e -> res` to fold a `Tree`. The first argument of `fold` is the function that is used to combine the value of the current `Node` with the subresults obtained for the two direct subtrees of the current `Node`. The second argument of `fold` is the start value, i.e., the initial subresult. The third argument is the `Tree` that has to be folded. So for a `Tree t`, `fold f x t` replaces the constructor `Node` by `f` and the constructor `Empty` by `x`.

As an example, consider the following function:

```
count :: Animal -> Int -> Int -> Int
count Squirrel x y = x + y + 1
count None x y = x + y
```

Then `fold count 0 (Node Squirrel Empty (Node Squirrel Empty Empty))` should evaluate to 2, i.e., this application of `fold` counts all `Squirrels` in a `Tree`.

- c) Implement a function `isInhabited` together with its type declaration which gets a `Forest Animal` as input and returns `True` if and only if there is a `Tree` in the `Forest` that contains a `Squirrel`. For the definition of `isInhabited`, use only one defining equation where the right-hand side contains a call to the function `fold`. Of course, you may (and have to) use the function `fold` even if you were not able to solve exercise part (b). Moreover, you may use the function `count` from exercise part (b).

Note that the function `fold` operates on a `Tree`, whereas the function `isInhabited` operates on a `Forest`!

- d) In this exercise, you should implement a game where the user controls a lumberjack (i.e., a person working in a forest). The lumberjack walks through a `Forest Animal` and wants to cut down all `Trees` with as few moves as possible without damaging `Squirrels`. A move is either cutting down the current `Tree` or rescuing all `Squirrels` from the current `Tree` (such that it can be cut down safely, afterwards).

Implement a function `lumberjack :: Forest Animal -> IO ()` that works as follows:

It starts at the first `Tree` of the forest and prints "What do you want to do? (cut down (c), rescue squirrels (r))". If the user answers "r", then all `Squirrels` are removed from the `Tree` and the user is asked to choose an action again. This also happens if the `Tree` does not contain any `Squirrels`. If the user answers "c" and the `Tree` contained `Squirrels`, then the function prints "You cut down a tree with squirrels!" and terminates. If the user answers "c" and the `Tree` does not contain `Squirrels`, then the function continues with the next `Tree`, if any, and the user is asked to choose an action again. If the user's answer is neither "r" nor "c", then the function asks to choose an action again. If no `Trees` are left, the function prints "You cut down all trees with *n* moves!" (where *n* is the number of moves that were performed) and terminates.

You can assume that there exists a function `searchSquirrels :: Tree Animal -> Bool` which checks whether there are `Squirrels` in a `Tree` and a function `rescue :: Tree Animal -> Tree Animal` that replaces all occurrences of `Squirrel` in the given `Tree` with `None`. So, for example, `searchSquirrels (Node None Empty (Node Squirrel Empty Empty))` evaluates to `True` and `rescue (Node None Empty (Node Squirrel Empty Empty))` evaluates to `Node None Empty (Node None Empty Empty)`.

A successful run of `lumberjack` could look as follows:

```
*Main> lumberjack aForest
What do you want to do? (cut down (c), rescue squirrels (r)) r
What do you want to do? (cut down (c), rescue squirrels (r)) c
What do you want to do? (cut down (c), rescue squirrels (r)) c
You cut down all trees with 3 moves!
```

In the following run, the user loses the game:

```
*Main> lumberjack aForest
What do you want to do? (cut down (c), rescue squirrels (r)) c
You cut down a tree with squirrels!
```

**Hint:** You should use the function `getLine :: IO String` to read the input from the user. To print a `String`, you should use the function `putStrLn :: String -> IO ()` or the function `putStr :: String -> IO ()`, if the output should end with a line break. You should use the function `show :: Int -> String` to convert an `Int` to a `String`. To save space, you may assume that the following declarations exist in your program:

```
chooseAction, lost :: String
chooseAction = "What do you want to do? (cut down (c), rescue squirrels (r)) "
lost = "You cut down a tree with squirrels!"
```

Solution: \_\_\_\_\_

- a) `hunt :: Forest Animal -> Forest Animal`  
`hunt forest = map f forest where`  
`f Empty = Empty`  
`f (Node _ l r) = Node None (f l) (f r)`
- b) `fold :: (e -> res -> res -> res) -> res -> Tree e -> res`  
`fold f x Empty = x`  
`fold f x (Node v l r) = f v (fold f x l) (fold f x r)`
- c) `isInhabited :: Forest Animal -> Bool`  
`isInhabited xs = sum (fold count 0 xs) > 0`
- d) `lumberjack :: Forest Animals -> IO()`  
`lumberjack trees = lumberjack' 0 trees where`  
`lumberjack' moves [] =`  
`putStrLn ("You cut down all trees with " ++ (show moves) ++ " moves!")`  
`lumberjack' moves (x:xs) = do`  
`putStr chooseAction`  
`a <- getLine`  
`case a of "c" -> if searchSquirrels x then putStrLn lost`  
`else lumberjack' (moves+1) xs`  
`"r" -> lumberjack' (moves+1) (rescueSquirrels x:xs)`  
`_  -> lumberjack' moves (x:xs)`

### Exercise 3 (Semantics):

(12 + 7 + 5 = 24 points)

- a) i) Let  $\mathbb{N}^\infty$  be the set of all infinite sequences of natural numbers (e.g.,  $[0, 0, 2, 2, 4, 4, \dots] \in \mathbb{N}^\infty$ ) and let  $\leq_p \subseteq \mathbb{N}^\infty \times \mathbb{N}^\infty$  be the relation that compares infinite sequences of natural numbers by their *prefix sums*. The  $n^{\text{th}}$  prefix sum  $p_n(s)$  for some  $n \in \mathbb{N}$  of a sequence  $s \in \mathbb{N}^\infty$  is the sum of the first  $n$  elements of  $s$ . We have  $s \leq_p s'$  if and only if  $s = s'$  or there is an  $n \in \mathbb{N}$  such that  $p_n(s) < p_n(s')$  and  $p_m(s) = p_m(s')$  for all  $m \in \{0, \dots, n-1\}$ .
- 1) Prove that  $\leq_p$  is transitive.
  - 2) Give an example for an infinite chain in  $(\mathbb{N}^\infty, \leq_p)$ .
  - 3) Prove or disprove: The partial order  $\leq_p$  is complete on  $\mathbb{N}^\infty$ .
- ii) Prove or disprove: The partial order  $\leq$  is complete on  $\mathbb{N}$ . Here,  $\leq$  is the usual "less than or equal" relation.
- b) i) Consider the following Haskell function `f`:
- ```
f :: Int -> Int
f 0 = 1
f x = x * x * f (x - 1)
```

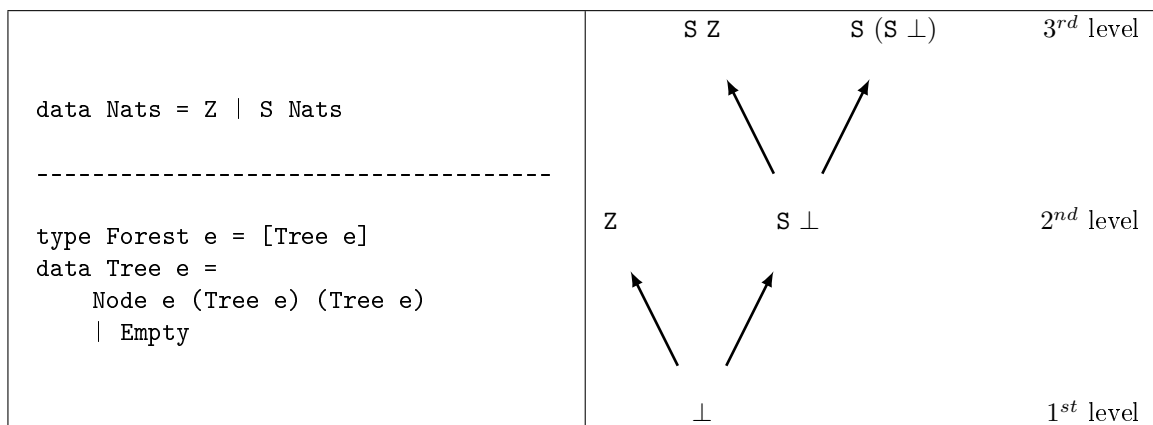
Please give the Haskell declaration for the higher-order function `ff` corresponding to `f`, i.e., the higher-order function `ff` such that the least fixpoint of `ff` is `f`. In addition to the function declaration, please also give the type declaration of `ff`. You may use full Haskell for `ff`.

- ii) Let  $\phi_{ff}$  be the semantics of the function `ff`. Give the least fixpoint of  $\phi_{ff}$  in closed form, i.e., give a non-recursive definition of the least fixpoint of  $\phi_{ff}$ .

**Hint:** For natural numbers  $x$ , the factorial function can be defined as follows:

$$x! = \begin{cases} 1 & \text{if } x = 0 \\ x \cdot (x-1)! & \text{if } x > 0 \end{cases}$$

- c) Consider the data type declarations on the left and, as an example, the graphical representation of the first three levels of the domain for `Nats` on the right:



Give a graphical representation of the first three levels of the domain for the type `Forest Int`. The third level contains the element `Empty`:  $\perp$ , for example.

Solution: \_\_\_\_\_

- a) i) 1) Let  $x \leq_p y \leq_p z$ . If  $x = y$  or  $y = z$ , we are done. Otherwise, there is an  $n \in \mathbb{N}$  such that  $p_n(x) < p_n(y)$  and  $p_m(x) = p_m(y)$  for all  $m \in \{1, \dots, n-1\}$  and an  $n' \in \mathbb{N}$  such that  $p_{n'}(y) < p_{n'}(z)$  and  $p_m(y) = p_m(z)$  for all  $m \in \{1, \dots, n'-1\}$ . Let  $n'' = \min(n, n')$ . Then  $p_{n''}(x) < p_{n''}(z)$  and  $p_m(x) \leq p_m(z)$  for all  $m \in \{1, \dots, n''-1\}$  and thus  $x \leq_p z$ .
- 2)  $\{[0, 0, 0, \dots], [1, 0, 0, \dots], [2, 0, 0, \dots], \dots\}$
- 3) Consider the chain above. Its least upper bound is  $[\infty, 0, 0, \dots] \notin \mathbb{N}^\infty$ . Thus,  $\leq_p$  is not a cpo on  $\mathbb{N}^\infty$ .

ii) Consider the chain  $\mathbb{N}$ . Its least upper bound is  $\infty \notin \mathbb{N}$ . Thus,  $\leq$  is not complete on  $\mathbb{N}$ .

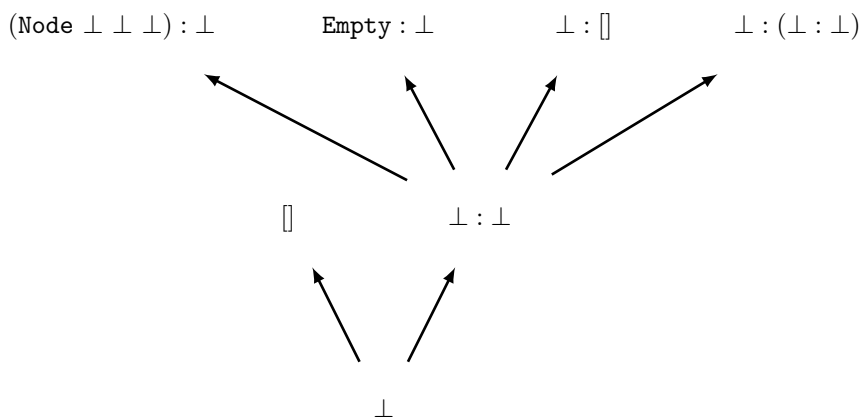
- b) i) 

```
ff :: (Int -> Int) -> (Int -> Int)
ff f 0 = 1
ff f x = x * x * f (x - 1)
```

ii)

$$(\text{lfp } \phi_{\text{ff}})(x) = \begin{cases} (x!)^2 & \text{if } 0 \leq x \\ \perp & \text{otherwise} \end{cases}$$

c)



**Exercise 4 (Lambda Calculus):**
**(4 + 8 + 6 = 18 points)**

- a) Reconsider the function **f** from the previous exercise:

```
f :: Int -> Int
f 0 = 1
f x = x * x * f (x - 1)
```

Please implement this function in the Lambda Calculus, i.e., give a term **t** such that, for all  $x, y \in \mathbb{Z}$ ,  $f\ x ==\ y$  if and only if  $t\ x$  can be reduced to  $y$  via WHNO-reduction with the  $\rightarrow_{\beta\delta}$ -relation and the set of rules  $\delta$  as introduced in the lecture to implement Haskell. You can use infix notation for predefined functions like  $(==)$ ,  $(*)$  or  $(-)$ .

- b) Let  $t = \lambda g\ x.\text{if}\ (x == 0)\ x\ (g\ x)$  and

$$\begin{aligned} \delta = \{ & \text{if True} \rightarrow \lambda x\ y.\ x, \\ & \text{if False} \rightarrow \lambda x\ y.\ y, \\ & \text{fix} \rightarrow \lambda f.\ f(\text{fix}\ f)\} \\ \cup \{ & x == x \rightarrow \text{True} \mid x \in \mathbb{Z}\} \\ \cup \{ & x == y \rightarrow \text{False} \mid x, y \in \mathbb{Z}, x \neq y\} \end{aligned}$$

Please reduce  $\text{fix}\ t\ 0$  by WHNO-reduction with the  $\rightarrow_{\beta\delta}$ -relation. List **all** intermediate steps until reaching weak head normal form, but please write “**t**” instead of  $\lambda g\ x.\text{if}\ (x == 0)\ x\ (g\ x)$  whenever possible.

- c) Consider the Boolean operator **nand** where  $\text{nand}(x, y)$  holds if and only if  $\text{and}(x, y)$  does *not* hold. Using the representation of Boolean values in the pure  $\lambda$ -calculus presented in the lecture, i.e., **True** is represented as  $\lambda x\ y.\ x$  and **False** as  $\lambda x\ y.\ y$ , give a pure  $\lambda$ -term for **nand** in  $\rightarrow_{\beta}$ -normal form.

In your solution, you may abbreviate the  $\lambda$ -term  $\lambda x\ y.\ x$  with **True** and the  $\lambda$ -term  $\lambda x\ y.\ y$  with **False**.

Solution: \_\_\_\_\_

- a)  $\text{fix}\ (\lambda f\ x.\text{if}\ (x == 0)\ 1\ (x * x * (f\ (x - 1))))$

- b)

$$\begin{aligned} & \text{fix}\ t\ 0 \\ \rightarrow_{\delta} & (\lambda f.\ (f\ (\text{fix}\ f)))\ t\ 0 \\ \rightarrow_{\beta} & t\ (\text{fix}\ t)\ 0 \\ \rightarrow_{\beta} & (\lambda x.\ \text{if}\ (x == 0)\ x\ (\text{fix}\ t\ x))\ 0 \\ \rightarrow_{\beta} & \text{if}\ (0 == 0)\ 0\ (\text{fix}\ t\ 0) \\ \rightarrow_{\delta} & \text{if}\ \text{True}\ 0\ (\text{fix}\ t\ 0) \\ \rightarrow_{\delta} & (\lambda x\ y.\ x)\ 0\ (\text{fix}\ t\ 0) \\ \rightarrow_{\beta} & (\lambda y.\ 0)\ (\text{fix}\ t\ 0) \\ \rightarrow_{\beta} & 0 \end{aligned}$$

- c)  $\text{nand} = \lambda x\ y.\ x\ (y\ (\lambda x\ y.\ y)\ x)\ (\lambda x\ y.\ x)$

**Exercise 5 (Type Inference):**
**(10 points)**

Using the initial type assumption  $A_0 := \{x :: \forall a.a, g :: \forall a.a\}$ , infer the type of the expression  $\lambda f.g(f x)$  using the algorithm  $\mathcal{W}$ .

Solution: \_\_\_\_\_

$$\begin{aligned}
 &\mathcal{W}(A_0, \lambda f.g(f x)) \\
 &\quad \mathcal{W}(A_0 + \{f :: b_1\}, g(f x)) \\
 &\quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, g) = (id, b_2) \\
 &\quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, f x) \\
 &\quad \quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, f) = (id, b_1) \\
 &\quad \quad \quad \mathcal{W}(A_0 + \{f :: b_1\}, x) = (id, b_3) \\
 &\quad \quad \quad mgu(b_1, b_3 \rightarrow b_4) = [b_1/b_3 \rightarrow b_4] \\
 &\quad \quad \quad = ([b_1/b_3 \rightarrow b_4], b_4) \\
 &\quad \quad \quad mgu(b_2, b_4 \rightarrow b_5) = [b_2/b_4 \rightarrow b_5] \\
 &\quad \quad \quad = ([b_1/b_3 \rightarrow b_4, b_2/b_4 \rightarrow b_5], b_5) \\
 &= ([b_1/b_3 \rightarrow b_4, b_2/b_4 \rightarrow b_5], (b_3 \rightarrow b_4) \rightarrow b_5)
 \end{aligned}$$