

Complexity Analysis for Java with AProVE

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joint work with Florian Frohn

AProVE for Termination Analysis

Haskell

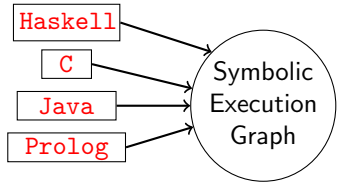
C

Java

Prolog

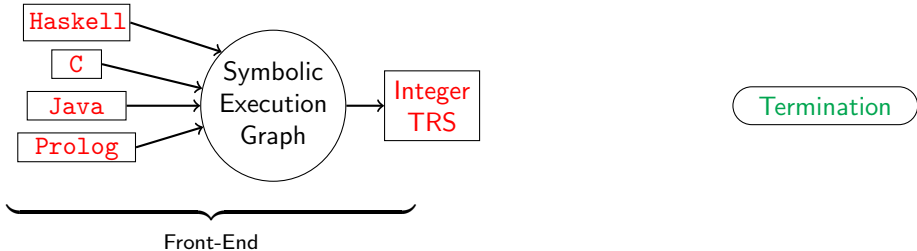
Termination

AProVE for Termination Analysis

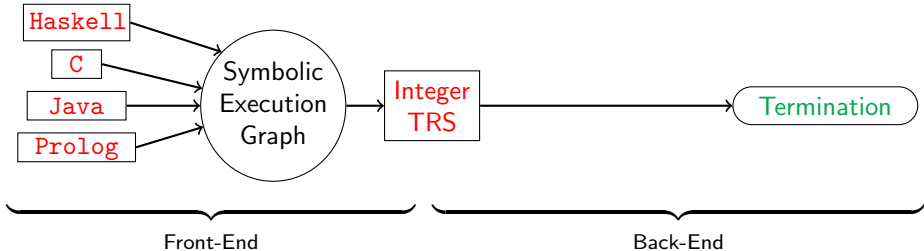


Termination

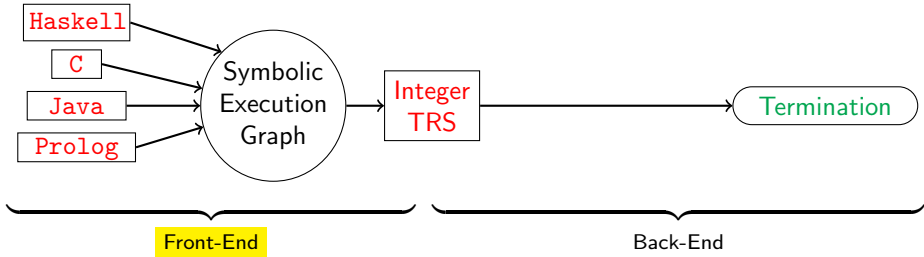
AProVE for Termination Analysis



AProVE for Termination Analysis

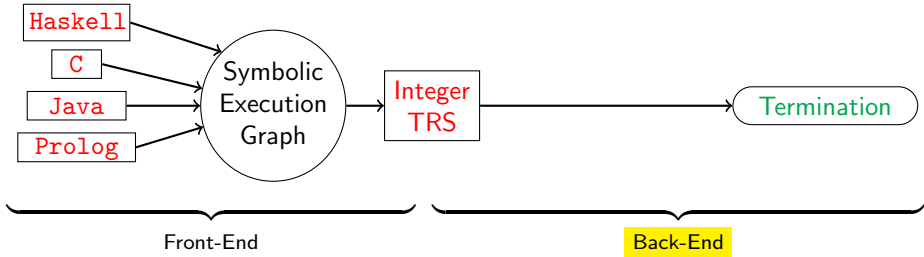


AProVE for Termination Analysis



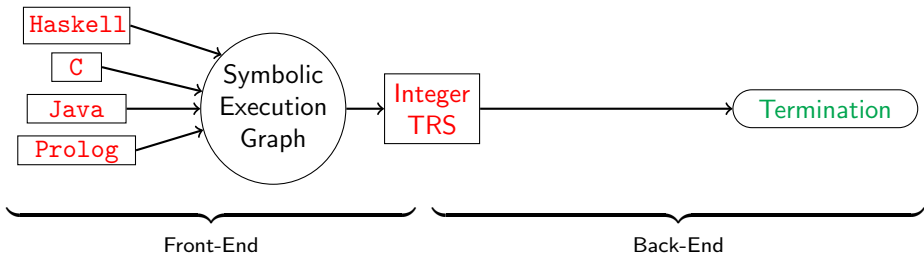
- language-specific features when generating symbolic execution graph

AProVE for Termination Analysis



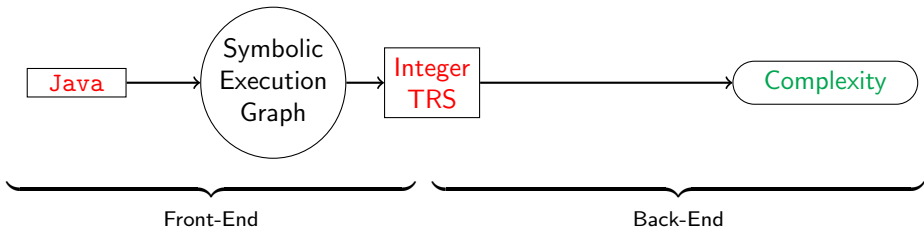
- language-specific features when generating symbolic execution graph
- back-end analyzes **Term Rewrite Systems** with built-in **Integers**

AProVE for Termination Analysis

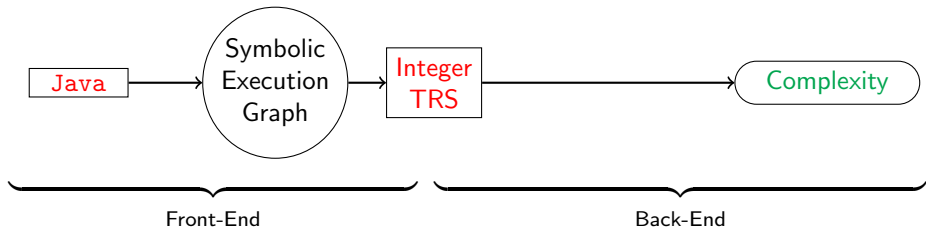


- language-specific features when generating symbolic execution graph
- back-end analyzes **Term Rewrite Systems** with built-in **Integers**
- powerful termination analysis
 - Termination Competition since 2004 (**Java**, **C**, **Haskell**, **Prolog**, **TRS**)
 - SV-COMP since 2014 (**C**)

AProVE for Complexity Analysis of Java



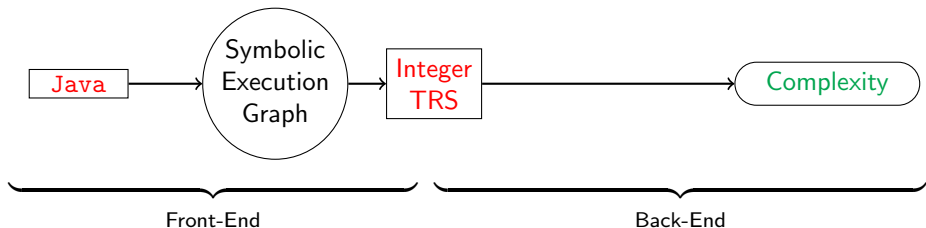
AProVE for Complexity Analysis of Java



Problems

- transformation from Symbolic Execution Graph to **Integer TRSs** not complexity preserving

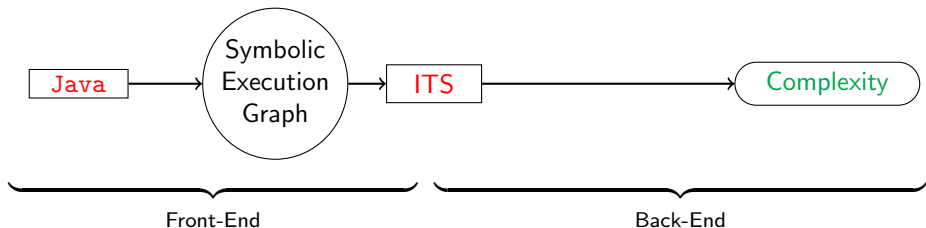
AProVE for Complexity Analysis of Java



Problems

- transformation from Symbolic Execution Graph to Integer TRSs not complexity preserving
- no back-end complexity analyzers for Integer TRSs

AProVE for Complexity Analysis of Java



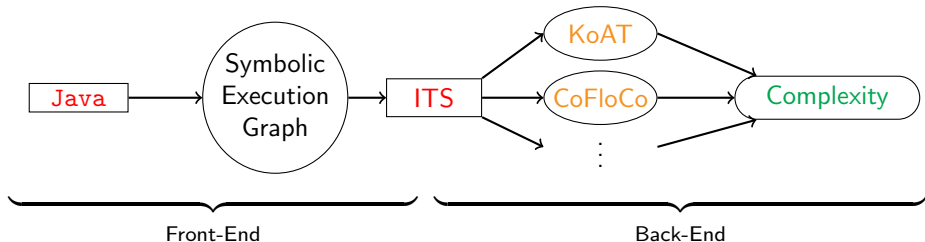
Problems

- transformation from Symbolic Execution Graph to **Integer TRSs** not complexity preserving
- no back-end complexity analyzers for **Integer TRSs**

Solution

- new transformation from Symbolic Execution Graph to **Integer Transition Systems**

AProVE for Complexity Analysis of Java



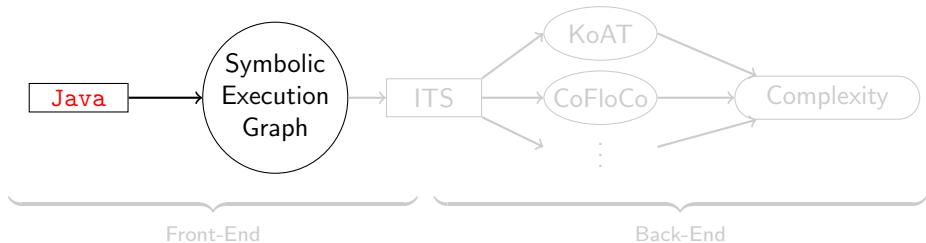
Problems

- transformation from Symbolic Execution Graph to **Integer TRSs** not complexity preserving
- no back-end complexity analyzers for **Integer TRSs**

Solution

- new transformation from Symbolic Execution Graph to **Integer Transition Systems**
- use existing complexity analyzers for **ITSs**

AProVE for Complexity Analysis of Java



Example: max

```
class List {
  int v;
  List n;

  static int max(List l) {
    int m = 0;
    while (l != null) {
      if (l.v > m) {
        m = l.v;
      }
      l = l.n;
    }
    return m;
  }
}
```

Example: max

```
01: iconst_0      // load 0 to opstack
02: istore_1      // store 0 to var 1 (m)
03: aload_0       // load l to opstack
04: ifnull 16     // jump if l is null
05: aload_0       // load l to opstack
06: getfield v    // load l.v to opstack
07: iload_1       // load m to opstack
08: if_icmple 12  // jump if l.v <= m
09: aload_0       // load l to opstack
10: getfield v    // load l.v to opstack
11: istore_1      // store l.v into m
12: aload_0       // load l to opstack
13: getfield n    // load l.n to opstack
14: astore_0      // store l.n into l
15: goto 3
16: iload_1       // load m to opstack
17: ireturn       // return m
```

```
class List {
    int v;
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    static int max(List l) {
        int m = 0;
        while (l != null) {
            if (l.v > m) {
                m = l.v;
            }
            l = l.n;
        }
        return m;
    }
}
```


Abstract States of the JVM

```
01: iconst_0      // load 0 to opstack
02: istore_1     // store 0 to var 1 (m)
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```

01		l:o ₁		ε
o ₁ :List(v:i ₁ , n:o ₂)				

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① next program instruction

Abstract States of the JVM

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01		l:o ₁		ε
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- 1 next program instruction
- 2 values of program variables
(value of 1 is *reference* o₁)

Abstract States of the JVM

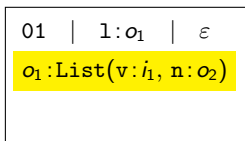
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```

01		1: o_1		ϵ
$o_1: \text{List}(v: i_1, n: o_2)$				

- 1 next program instruction
- 2 values of program variables
(value of 1 is *reference* o_1)
- 3 values on the operand stack

Abstract States of the JVM

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01: iconst_0      // load 0 to opstack
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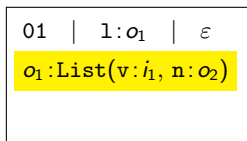


- 1 next program instruction
- 2 values of program variables
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information about the heap

Abstract States of the JVM

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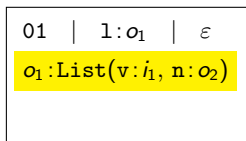
- 1 next program instruction
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information about the heap

- object at o_1 is List,
v-field has value i_1 , n-field has value o_2

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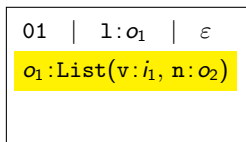
information about the heap

- object at o_1 is List,
v-field has value i_1 , n-field has value o_2
- o_1 and o_2 do not share or alias
and point to tree-shaped objects

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explicit sharing
information



- 1 next program instruction
- 2 values of program variables
(value of 1 is *reference* o_1)
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explicit sharing
information

01		l:o ₁		ε
o ₁ :List(v:i ₁ , n:o ₂)				

o₁ ↘ ↙ o₂

- 1 next program instruction
- 2 values of program variables
(value of 1 is *reference* o₁)
- 3 values on the operand
stack

information about the heap

- object at o₁ is List,
v-field has value i₁, n-field has value o₂
- o₁ and o₂ may share
and point to tree-shaped objects

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```

explicit sharing
information

01		l:o ₁		ε
o ₁ :List(v:i ₁ , n:o ₂)				
o ₁ = [?] o ₂				

- 1 next program instruction
- 2 values of program variables
(value of l is *reference* o₁)
- 3 values on the operand
stack

information about the heap

- object at o₁ is List,
v-field has value i₁, n-field has value o₂
- o₁ and o₂ may alias
and point to tree-shaped objects

Abstract States of the JVM

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explicit sharing
information

01		l:o ₁		ε
o ₁ :List(v:i ₁ , n:o ₂)				
o ₁ = [?] o ₂ , o ₁ !				

- 1 next program instruction
- 2 values of program variables
(value of 1 is *reference* o₁)
- 3 values on the operand
stack

information about the heap

- object at o₁ is List,
v-field has value i₁, n-field has value o₂
- o₁ and o₂ may alias
and o₁ may point to a non-tree-shaped object

```
01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
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```

A

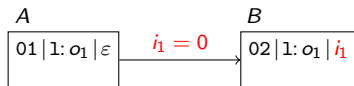
```
01 | l: o1 | ε
```

State A:

- o_1 is tree-shaped List or null

```
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}
```

```
01: iconst_0
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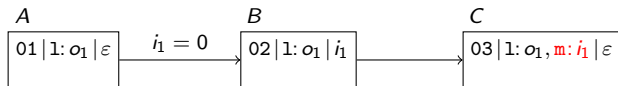


State B:

- load constant 0 on opstack
- *evaluation edge* from A to B
- labeled by condition $i_1 = 0$

```
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}
```

```
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```



State C:

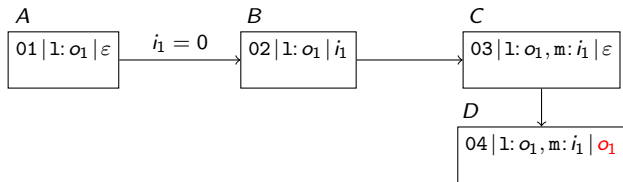
- store i_1 in program variable m

```
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}
```

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01: iconst_0
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```



State D:

- load o_1 on opstack

```

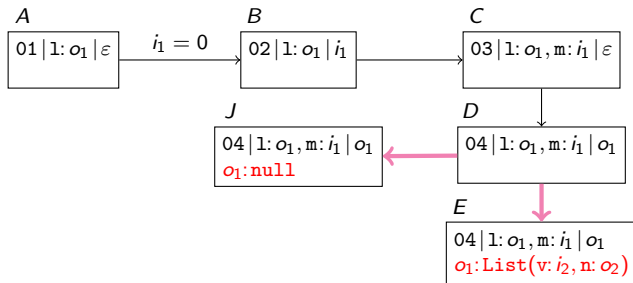
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    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

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```



States *E* and *J*:

- need to know whether o_1 is null
- *refine* information about heap
(refinement edges)

```

static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
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        l = l.n;
    }
    return m;
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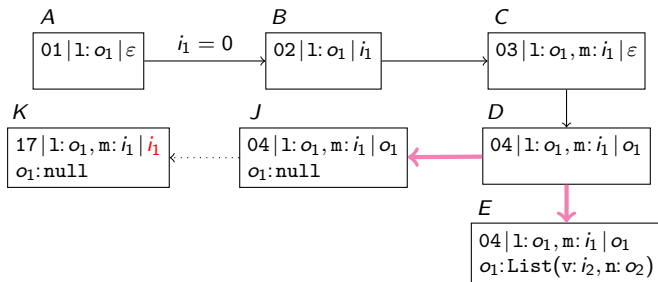
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```



```

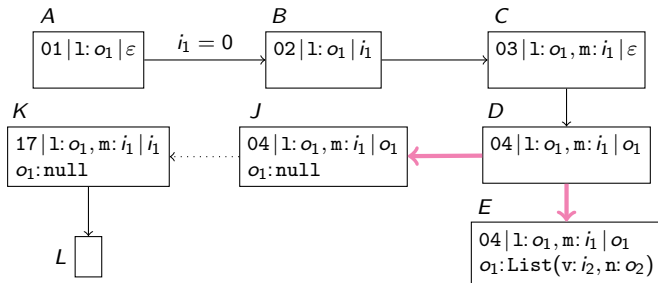
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



```

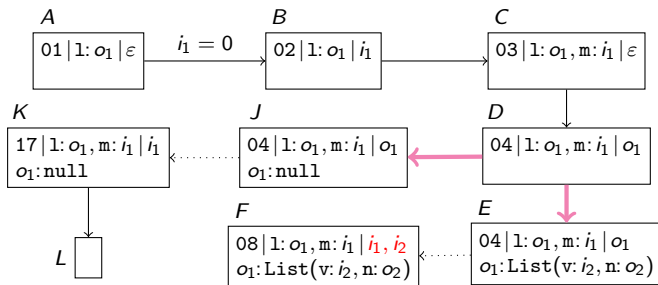
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



State F:

- load $l.v$ (i_2) and m (i_1) on opstack

```

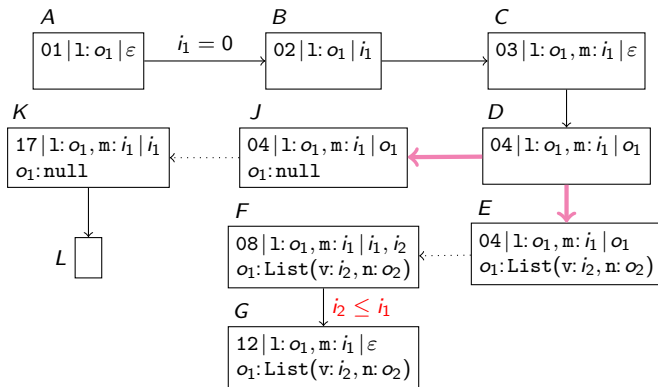
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



State G:

- goto 12 if $l.v \leq m$
- *evaluation edge* labeled by condition $i_2 \leq i_1$

```

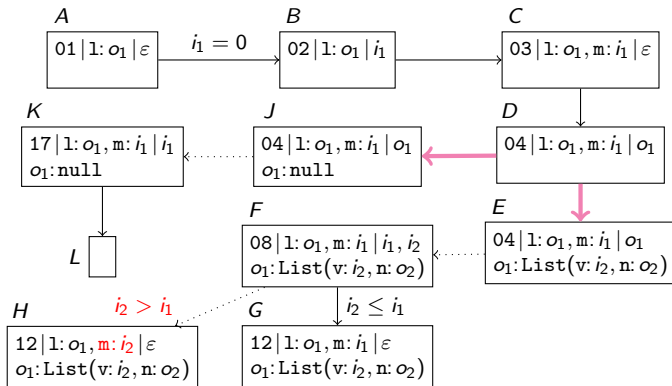
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



State H:

- labeled by condition $i_2 > i_1$
- store $l.v$ (i_2) in program variable m

```

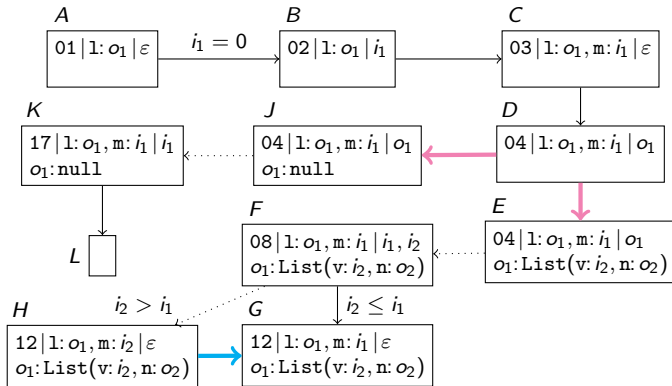
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



State H:

- labeled by condition $i_2 > i_1$
- store $l.v$ (i_2) in program variable m
- G more general than H (generalization edge)

```

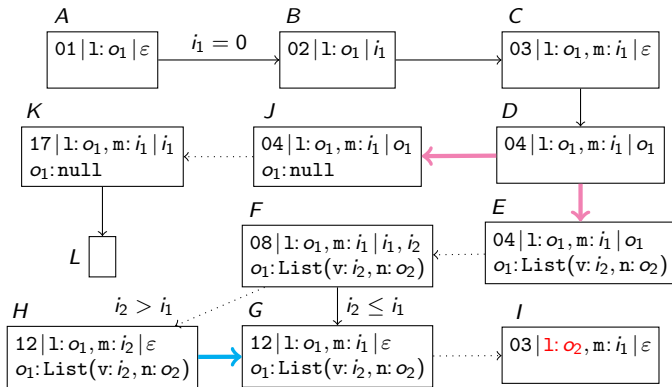
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



State I:

- store $l.n$ (o_2) in program variable 1
- goto Line 3

```

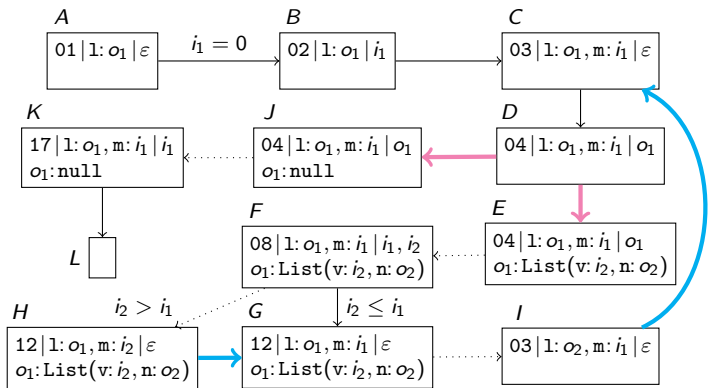
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

```

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



State I:

- store $l.n$ (o_2) in program variable 1
- goto Line 3
- I corresponds to C (generalization edge)

```

static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}

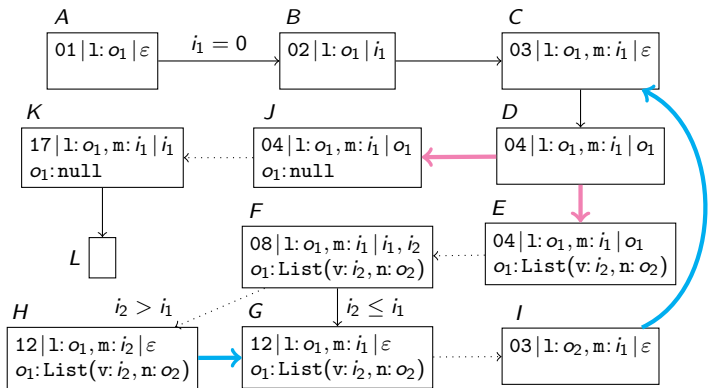
```



```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



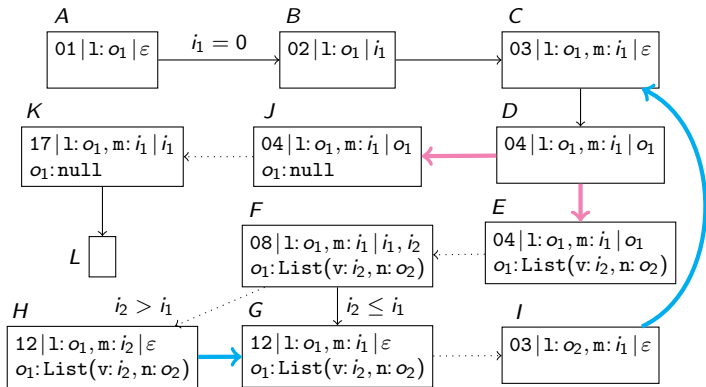
Symbolic Execution Graph

- expand nodes until all leaves correspond to program ends

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
12: aload_0
13: getfield n
14: astore_0
15: goto 3
16: iload_1
17: ireturn

```



Symbolic Execution Graph

- expand nodes until all leaves correspond to program ends
- by appropriate generalization steps, one always reaches a *finite* symbolic execution graph

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o

o_1		$l:o_1$		ε
$o_1:\text{List}(v:i_1, n:o_2)$				

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o

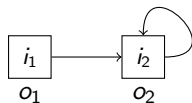
o_1		$l:o_1$		ε
o_1	:	$List(v:i_1, n:o_2)$		
o_2	:	$List(v:i_2, n:o_2)$		

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o

o_1		$l: o_1$		ε
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				

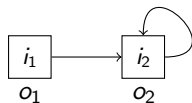


Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z}

01	$ $	$1: o_1$	$ $	ε
o_1	:	$List(v: i_1, n: o_2)$		
o_2	:	$List(v: i_2, n: o_2)$		

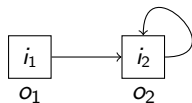


Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$

01	$ $	$1: o_1$	$ $	ε
o_1	:	$List(v: i_1, n: o_2)$		
o_2	:	$List(v: i_2, n: o_2)$		

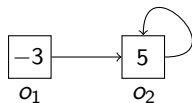


Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$

01		1: o_1		ϵ
o_1 :		List($v: i_1$, $n: o_2$)		
o_2 :		List($v: i_2$, $n: o_2$)		

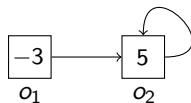


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- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

01		1: o_1		ϵ
o_1	:	List(v: i_1 , n: o_2)		
o_2	:	List(v: i_2 , n: o_2)		

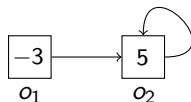


Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c
- every concrete Java evaluation can be *embedded*
into the symbolic execution graph

01		1: o_1		ϵ
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



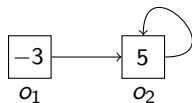
Complexity of Java Methods

Concrete State (c, τ)

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- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

Size of object at reference o in concrete state (c, τ)

01		1: o_1		ϵ
o_1	:	List	(v: i_1 , n: o_2)	
o_2	:	List	(v: i_2 , n: o_2)	



Complexity of Java Methods

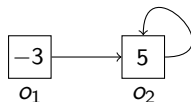
Concrete State (c, τ)

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- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

01		1: o_1		ϵ
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				

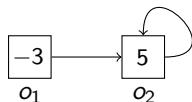


Complexity of Java Methods

Concrete State (c, τ)

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- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
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01		1: o_1		ϵ
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

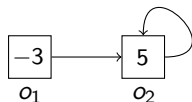
$$\|o_2\| = 1 + |5| = 6$$

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
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01		1: o_1		ϵ
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

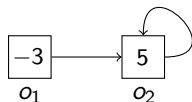
$$\begin{aligned}\|o_2\| &= 1 + |5| &&= 6 \\ \|o_1\| &= 2 + |-3| + |5| &&= 10\end{aligned}$$

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

01		1: o_1		ε
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

$$\begin{aligned}\|o_2\| &= 1 + |5| &&= 6 \\ \|o_1\| &= 2 + |-3| + |5| &&= 10\end{aligned}$$

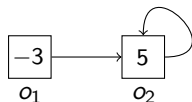
Complexity Bound b for abstract state s

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
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01		1: o_1		ε
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

$$\begin{aligned}\|o_2\| &= 1 + |5| = 6 \\ \|o_1\| &= 2 + |-3| + |5| = 10\end{aligned}$$

Complexity Bound b for abstract state s

b is arithmetic term with

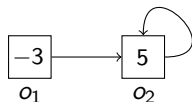
$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

01		1: o_1		ε
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

$$\begin{aligned}\|o_2\| &= 1 + |5| = 6 \\ \|o_1\| &= 2 + |-3| + |5| = 10\end{aligned}$$

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with

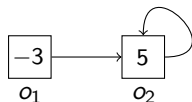
- $b \geq$ length of any Java evaluation
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Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

01		1: o_1		ε
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

$$\begin{aligned}\|o_2\| &= 1 + |5| &&= 6 \\ \|o_1\| &= 2 + |-3| + |5| &&= 10\end{aligned}$$

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

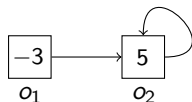
- $b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

Complexity of Java Methods

Concrete State (c, τ)

- state c with full information on symbolic references o
- τ maps symb. integers i to \mathbb{Z} : $\tau(i_1) = -3$, $\tau(i_2) = 5$
- abstract state s represents (c, τ)
if s is more general than c

01		1: o_1		ε
$o_1: \text{List}(v: i_1, n: o_2)$				
$o_2: \text{List}(v: i_2, n: o_2)$				



Size of object at reference o in concrete state (c, τ)

$\|o\|$ = number of objects reachable from o +
absolute values of integers in their fields

$$\begin{aligned}\|o_2\| &= 1 + |5| &&= 6 \\ \|o_1\| &= 2 + |-3| + |5| &&= 10\end{aligned}$$

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

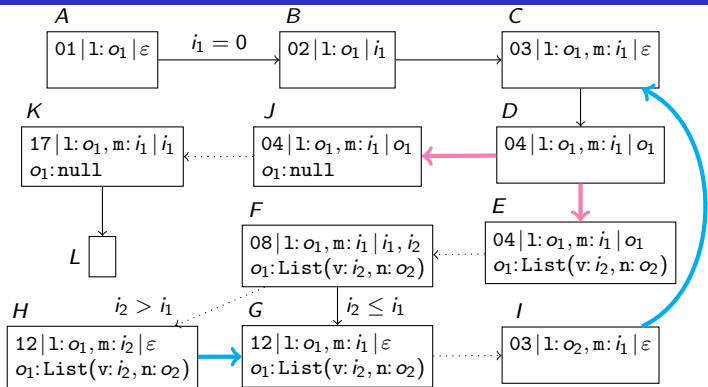
b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
05: aload_0
06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
...
```



Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation

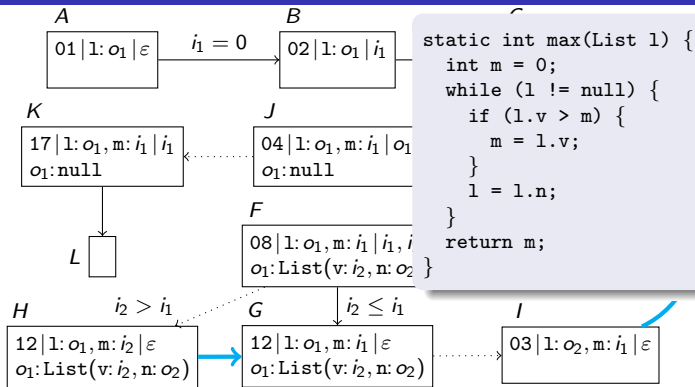
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```

01: iconst_0
02: istore_1
03: aload_0
04: ifnull 16
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06: getfield v
07: iload_1
08: if_icmple 12
09: aload_0
10: getfield v
11: istore_1
...
    
```



Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation

starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
01: iconst_0  
...
```

A

```
01 | l: o1 | ε
```

```
static int max(List l) {  
    int m = 0;  
    while (l != null) {  
        if (l.v > m) {  
            m = l.v;  
        }  
        l = l.n;  
    }  
    return m;  
}
```

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
01: iconst_0  
...
```

A

```
01 | l: o1 | ε
```

```
static int max(List l) {  
    int m = 0;  
    while (l != null) {  
        if (l.v > m) {  
            m = l.v;  
        }  
        l = l.n;  
    }  
    return m;  
}
```

$13 \cdot \|o_1\| + 6$ is **complexity bound** for State A

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

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starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
O1: iconst_0
    ...
```

A

```
O1 | l: o1 | ε
```

```
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}
```

$13 \cdot \|o_1\| + 6$ is **complexity bound** for State A

- for every concrete state at begin of method max

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
01: iconst_0  
    ...
```

A

```
01 | l: o1 | ε
```

```
static int max(List l) {  
    int m = 0;  
    while (l != null) {  
        if (l.v > m) {  
            m = l.v;  
        }  
        l = l.n;  
    }  
    return m;  
}
```

$13 \cdot \|o_1\| + 6$ is **complexity bound** for State A

- for every concrete state at begin of method max where o_1 is a List of size k ,

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
01: iconst_0  
    ...
```

A

```
01 | l: o1 | ε
```

```
static int max(List l) {  
    int m = 0;  
    while (l != null) {  
        if (l.v > m) {  
            m = l.v;  
        }  
        l = l.n;  
    }  
    return m;  
}
```

$13 \cdot \|o_1\| + 6$ is **complexity bound** for State A

- for every concrete state at begin of method max where o_1 is a List of size k , Java evaluation has at most length $13 \cdot k + 6$

Complexity Bound b for abstract state s

with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

Complexity of Java Methods

```
01: iconst_0
    ...
```

A

```
01 | l: o1 | ε
```

```
static int max(List l) {
    int m = 0;
    while (l != null) {
        if (l.v > m) {
            m = l.v;
        }
        l = l.n;
    }
    return m;
}
```

$13 \cdot \|o_1\| + 6$ is **complexity bound** for State A

- for every concrete state at begin of method max where o_1 is a List of size k , Java evaluation has at most length $13 \cdot k + 6$
- $13 \cdot \|l\| + 6$ is upper bound for runtime of max

Complexity Bound b for abstract state s

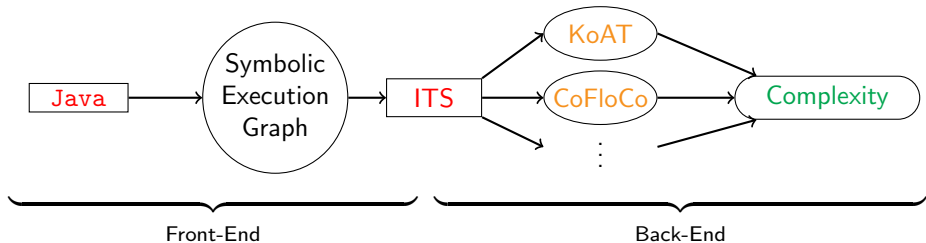
with symb. integers i_1, \dots, i_n and symb. references o_1, \dots, o_m

b is arithmetic term with variables $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$ such that:

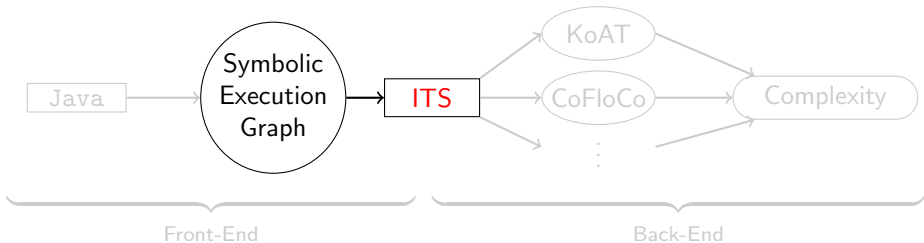
$b \geq$ length of any Java evaluation
starting with corresponding concrete state represented by s

for all instantiations of $i_1, \dots, i_n, \|o_1\|, \dots, \|o_m\|$

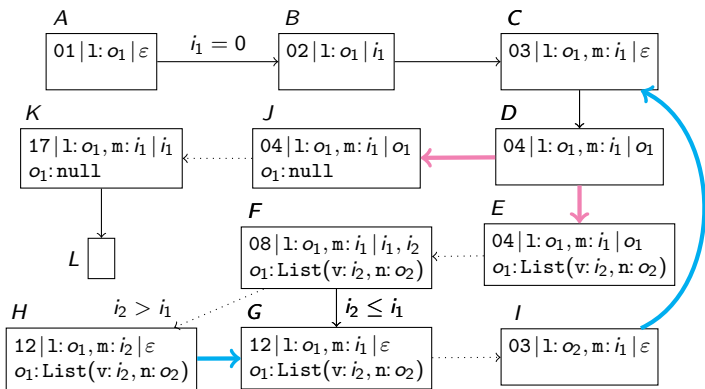
AProVE for Complexity Analysis of Java



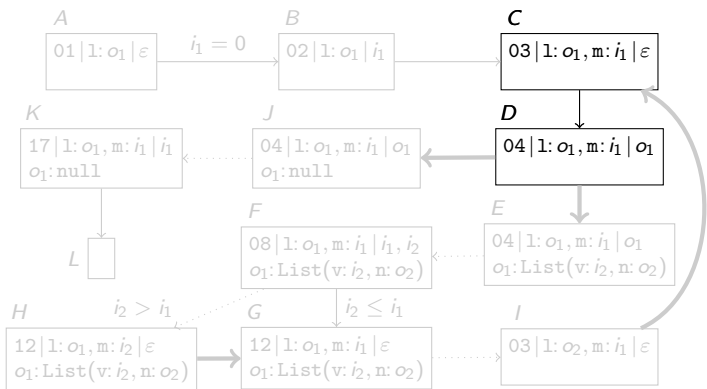
AProVE for Complexity Analysis of Java



Transform Evaluation Edges



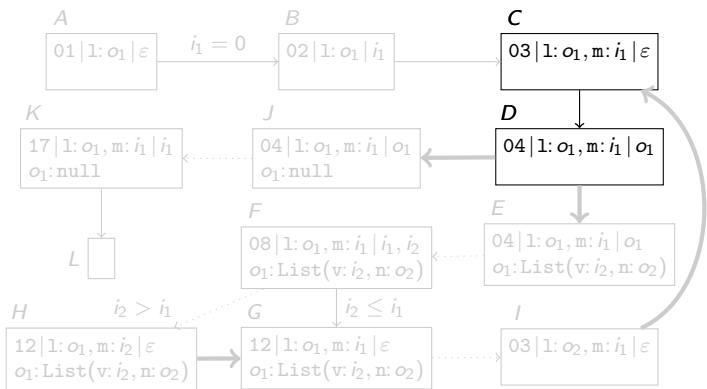
Transform Evaluation Edges



Transform Evaluation Edges

ITS over variables

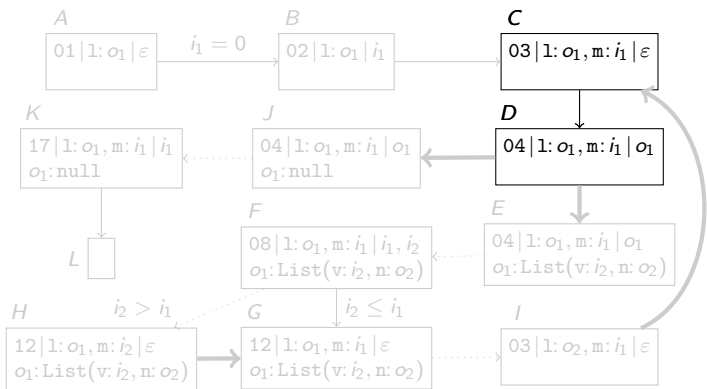
$i_1, \quad ||o_1||$



Transform Evaluation Edges

ITS over variables

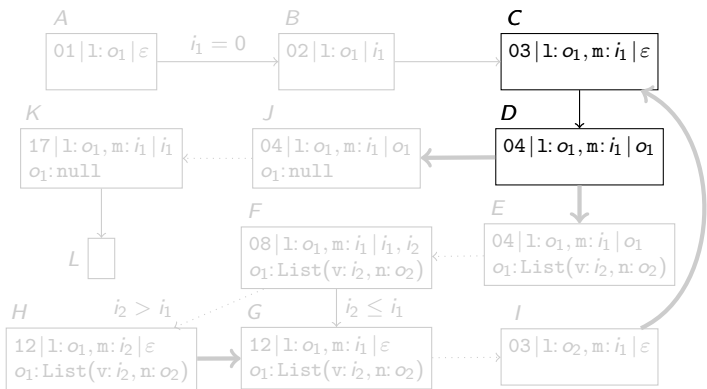
$i_1, \quad \|o_1\|$
 $i'_1, \quad \|o_1\|'$



Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i'_1, \quad \|o_1\|'$

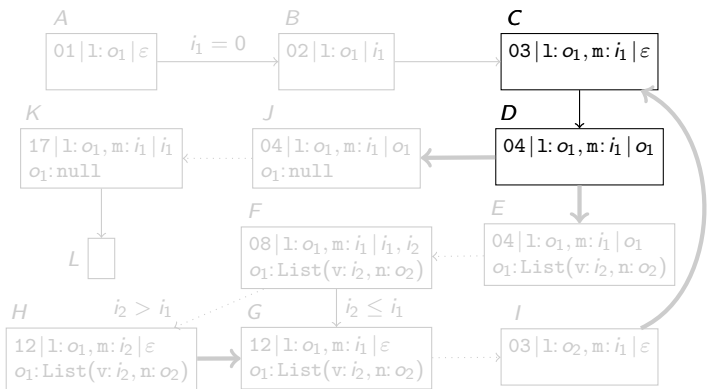


$C \rightarrow D$

Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i_1', \quad \|o_1\|'$



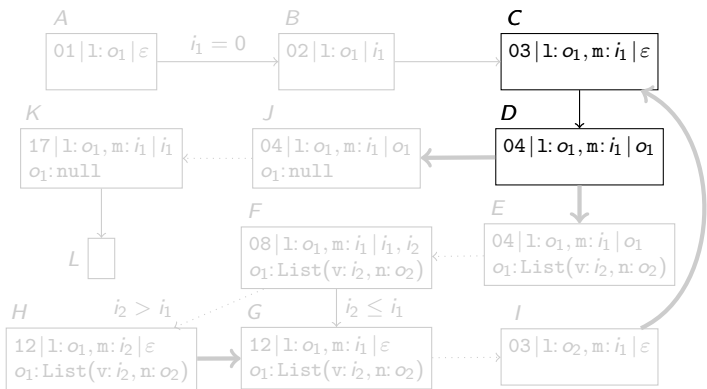
$C \xrightarrow{1} D$

weight: 1

Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i_1', \quad \|o_1\|'$



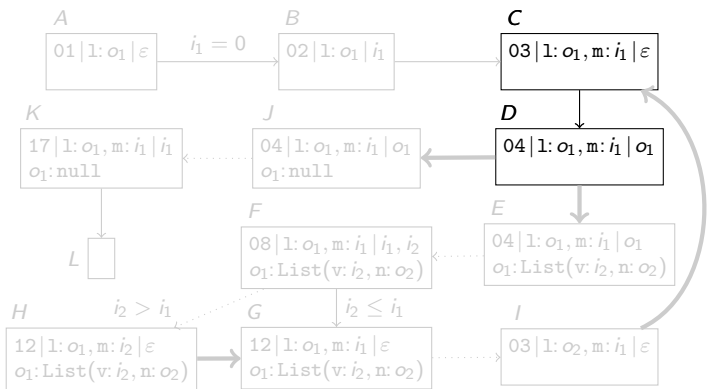
$C \xrightarrow{1} D$

weight: 1
 condition: $\|o\| = 0$ if $o: \text{null}$

Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i_1', \quad \|o_1\|'$



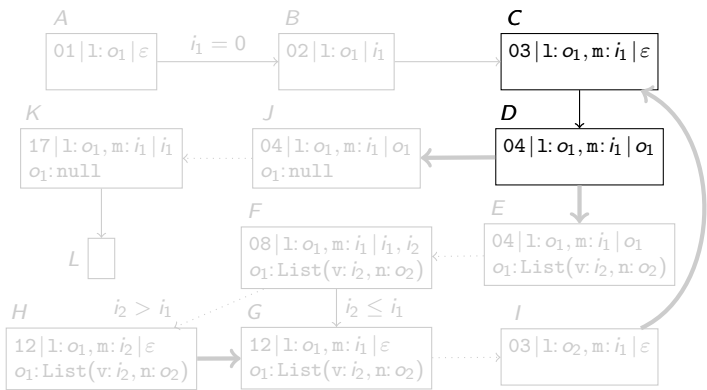
$C \xrightarrow{1} D$

weight: 1
 condition: $\|o\| = 0$ if $o: \text{null}$
 $\|o\| \geq 1$ if $o: \text{List}(\dots)$

Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i_1', \quad \|o_1\|'$



$C \xrightarrow{1} D$ if $\|o_1\| \geq 0$

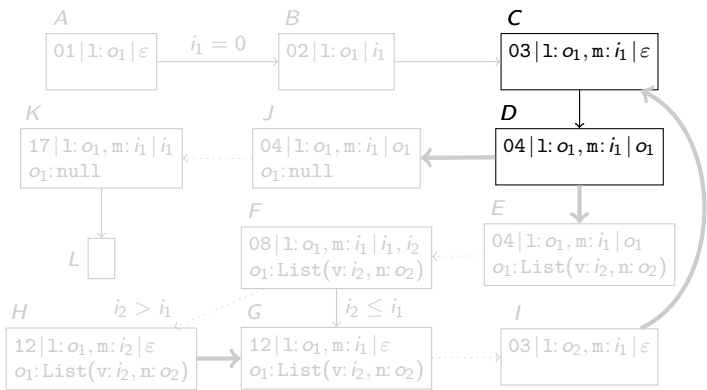
weight: 1

condition: $\|o\| = 0$ if $o: \text{null}$
 $\|o\| \geq 1$ if $o: \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise

Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i_1', \quad \|o_1\|'$



$C \xrightarrow{1} D$ if $\|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i_1' = i_1$

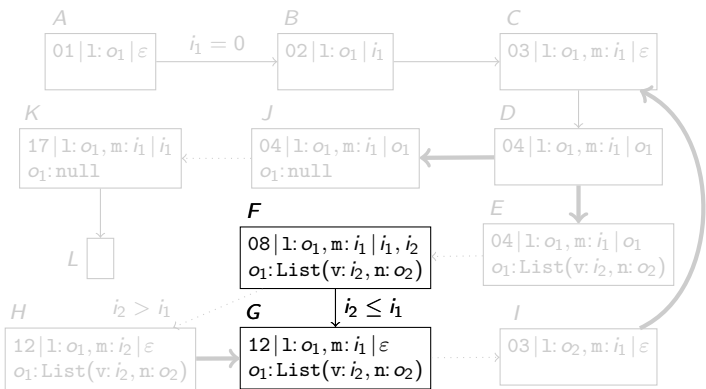
weight: 1

condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Evaluation Edges

ITS over variables

$i_1, \quad \|o_1\|$
 $i_1', \quad \|o_1\|'$



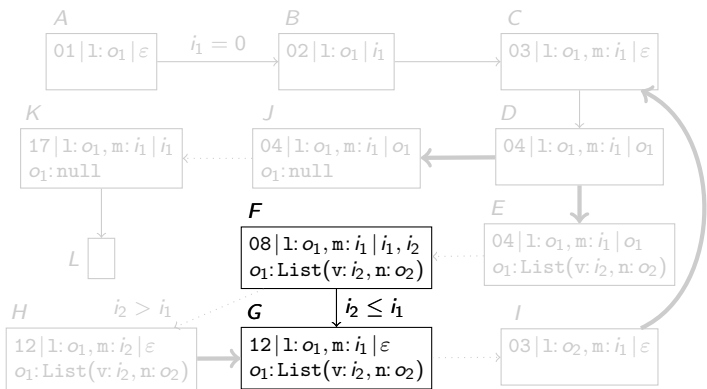
$C \xrightarrow{1} D$ if $\|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i_1' = i_1$

weight: 1
 condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Evaluation Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$$C \xrightarrow{1} D \quad \text{if} \quad \|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$$

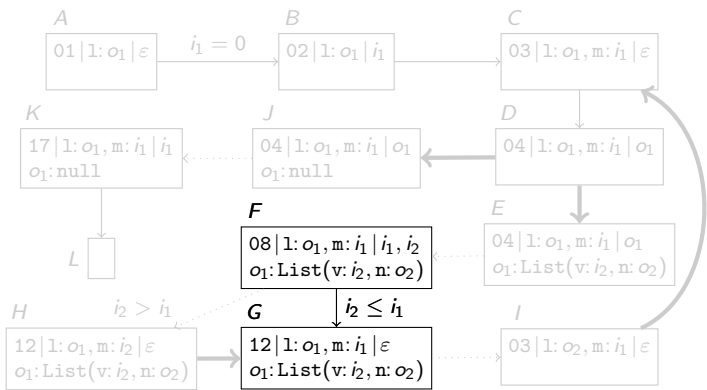
weight: 1

condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Evaluation Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$C \xrightarrow{1} D$ if $\|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$F \xrightarrow{1} G$

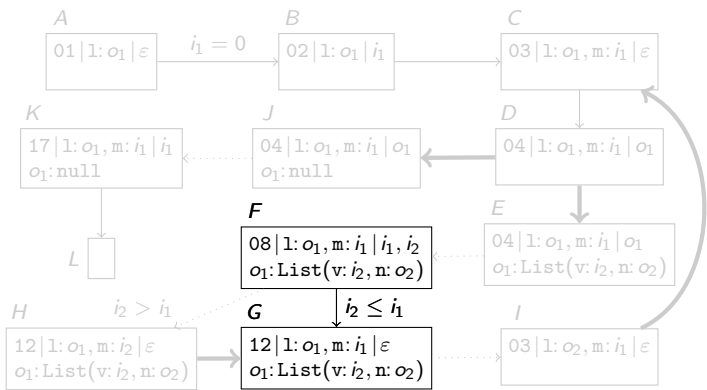
weight: 1

condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Evaluation Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$C \xrightarrow{1} D$ if $\|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$F \xrightarrow{1} G$ if $i_2 \leq i_1$

weight: 1

condition: $\|o\| = 0$ if $o : \text{null}$

$\|o\| \geq 1$ if $o : \text{List}(\dots)$

$\|o\| \geq 0$ otherwise

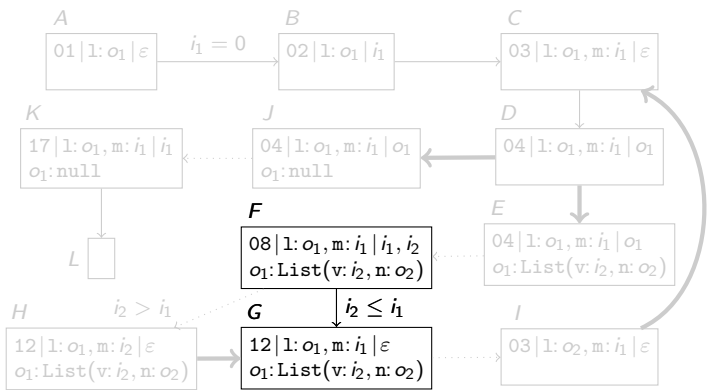
$x' = x$ for all variables x

condition of the edge in the symbolic execution graph

Transform Evaluation Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$C \xrightarrow{1} D$ if $\|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$F \xrightarrow{1} G$ if $i_2 \leq i_1 \wedge \|o_1\| \geq 1 \wedge \|o_2\| \geq 0$

weight: 1

condition: $\|o\| = 0$ if $o: \text{null}$

$\|o\| \geq 1$ if $o: \text{List}(\dots)$

$\|o\| \geq 0$ otherwise

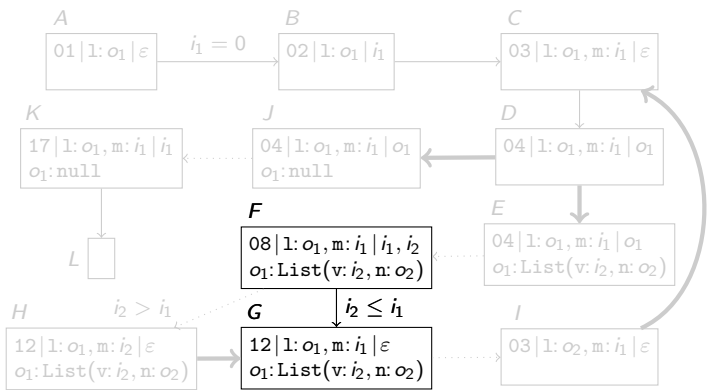
$x' = x$ for all variables x

condition of the edge in the symbolic execution graph

Transform Evaluation Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$C \xrightarrow{1} D$ if $\|o_1\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$F \xrightarrow{1} G$ if $i_2 \leq i_1 \wedge \|o_1\| \geq 1 \wedge \|o_2\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge \|o_2\|' = \|o_2\| \dots$

weight: 1

condition: $\|o\| = 0$ if $o: \text{null}$

$\|o\| \geq 1$ if $o: \text{List}(\dots)$

$\|o\| \geq 0$ otherwise

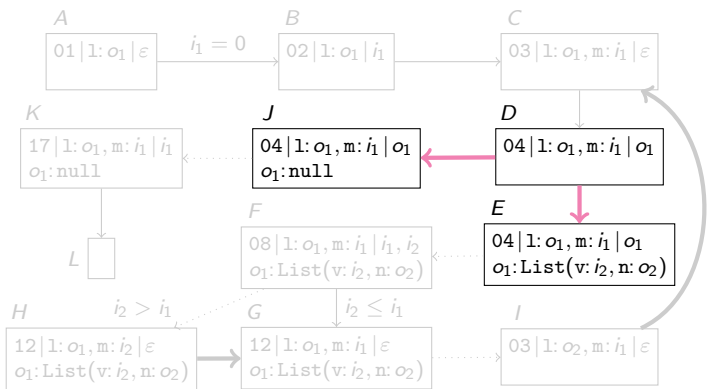
$x' = x$ for all variables x

condition of the edge in the symbolic execution graph

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$

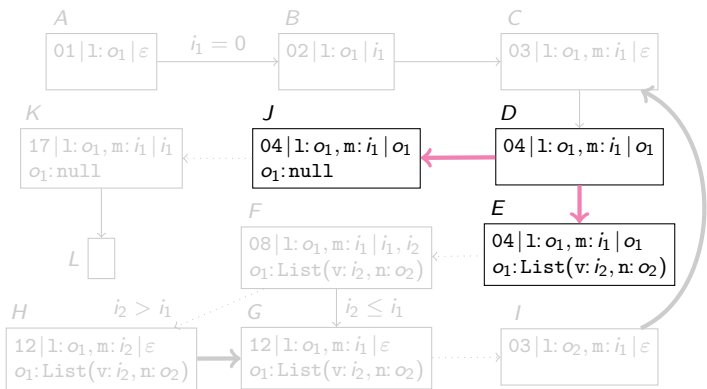


weight: 1
 condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1'\|, \|o_2'\|$



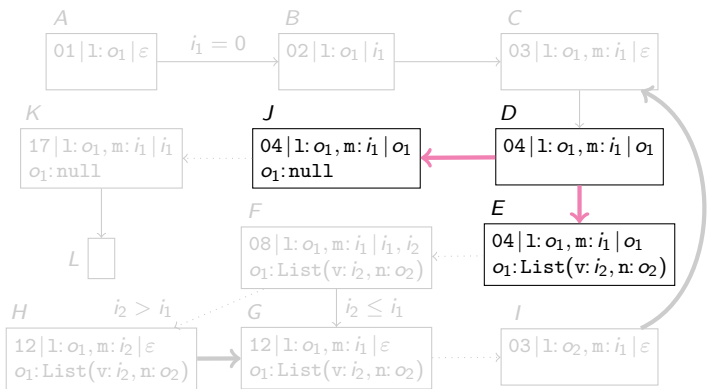
$D \xrightarrow{0} J$

weight: 0
 condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



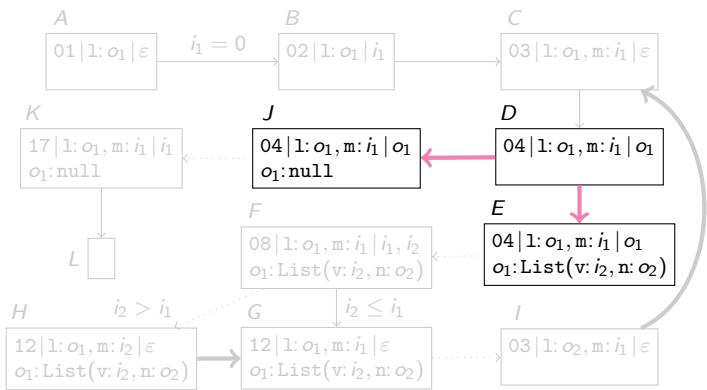
$D \xrightarrow{0} J$ if $\|o_1\| = 0$

weight: 0
 condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



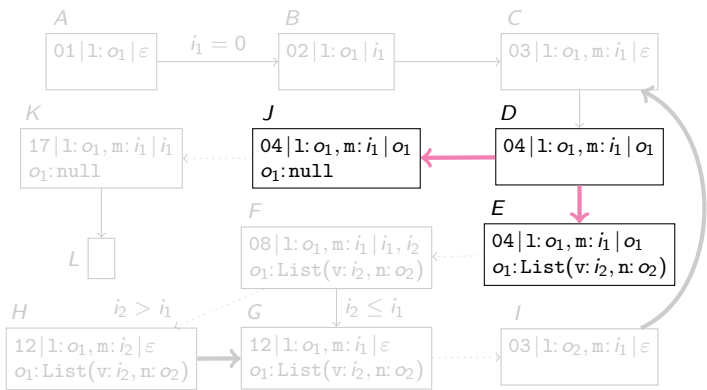
$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

weight: 0
 condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$

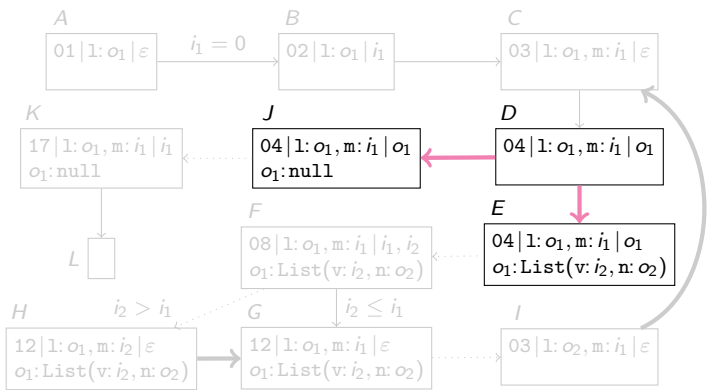
weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0$

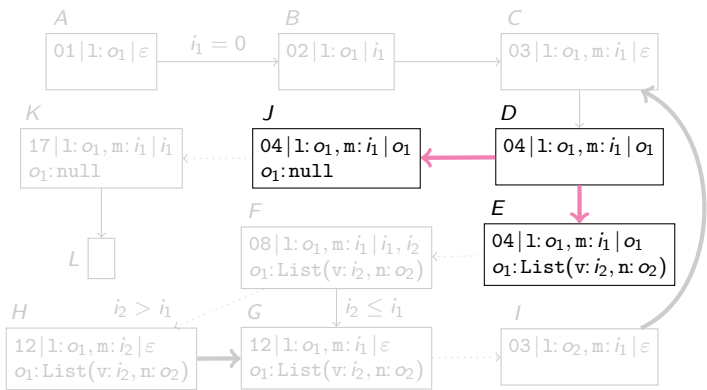
weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

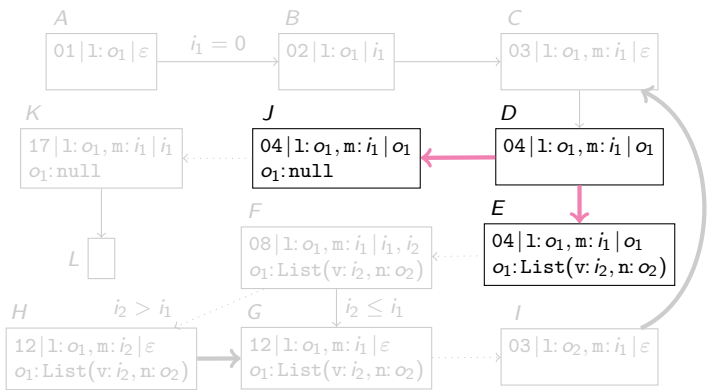
weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

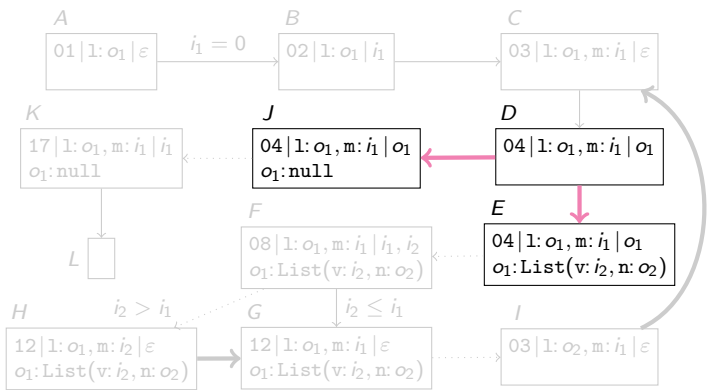
weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$ $\|o_1\| \geq \|o_2\|'$ if o_1 is refined and o_1 reaches o_2
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

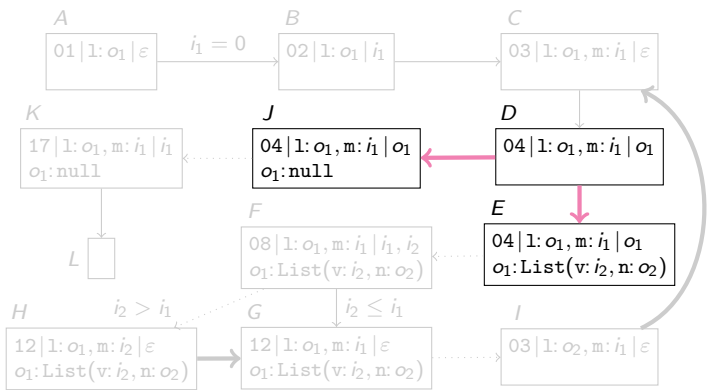
weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$ $\|o_1\| > \|o_2\|'$ if o_1 is refined and o_1 reaches o_2 and $o_1!$ not in refined state
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \dots \wedge \|o_1\| > \|o_2\|'$

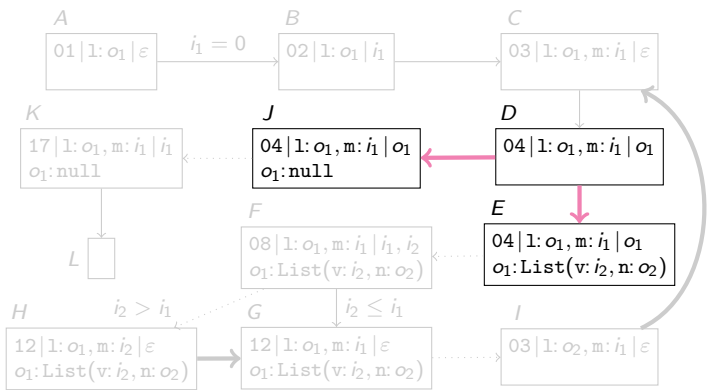
weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$ $\|o_1\| > \|o_2\|'$ if o_1 is refined and o_1 reaches o_2 and $o_1!$ not in refined state
 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
 $\|o\| \geq 0$ otherwise
 $x' = x$ for all variables x

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \dots \wedge \|o_1\| > \|o_2\|'$

weight: 0

condition: $\|o\| = 0$ if $o : \text{null}$ $\|o_1\| > \|o_2\|'$ if o_1 is refined and o_1 reaches o_2 and o_1 ! not in refined state

$\|o\| \geq 1$ if $o : \text{List}(\dots)$

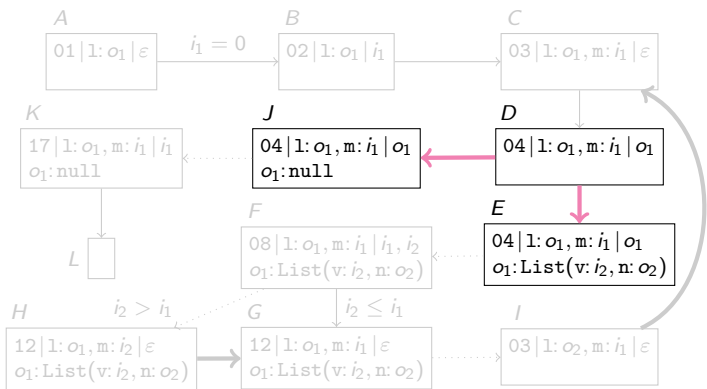
$\|o\| \geq 0$ otherwise

$x' = x$ for all variables x $\|o_1\| > |i'|$ if o_1 is refined and o_1 reaches i

Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \dots \wedge \|o_1\| > \|o_2\|'$

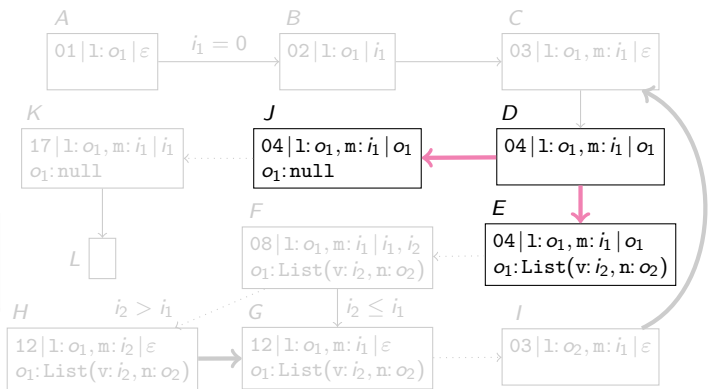
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 $\|o\| \geq 1$ if $o : \text{List}(\dots)$
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Transform Refinement Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
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$D \xrightarrow{0} J$ if $\|o_1\| = 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_1$

$D \xrightarrow{0} E$ if $\|o_1\| \geq 1 \wedge \|o_2\|' \geq 0 \wedge \dots \wedge \|o_1\| > \|o_2\|' \wedge \|o_1\| > i'_2 > -\|o_1\|$

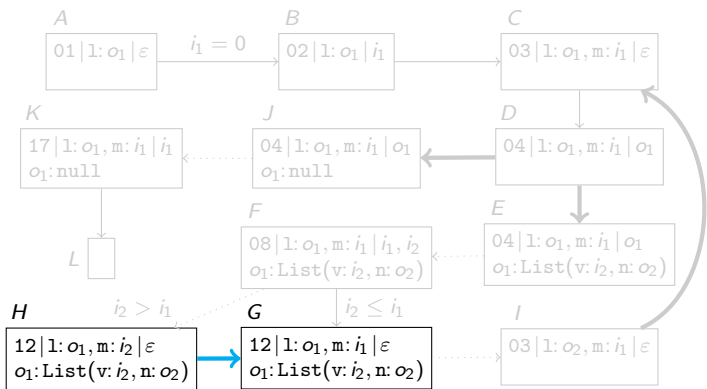
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Transform Generalization Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1'\|, \|o_2'\|$

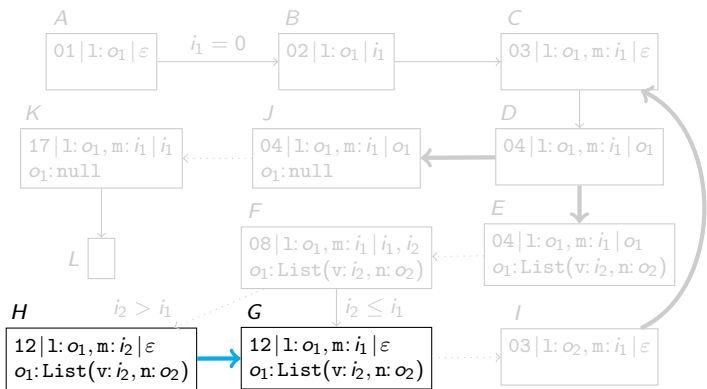


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Transform Generalization Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$H \xrightarrow{0} G$

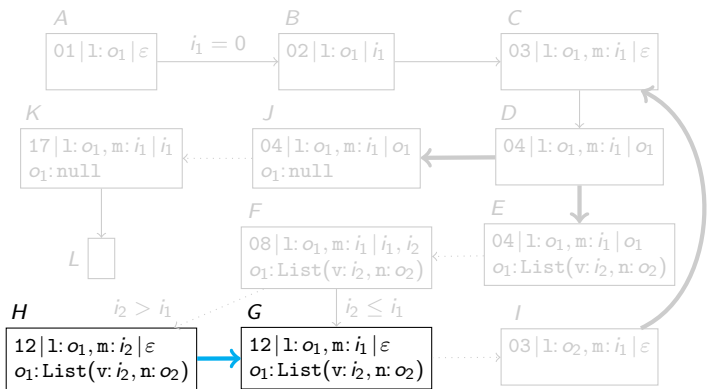
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ITS over variables

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$H \xrightarrow{0} G$ if $\|o_1\| \geq 1 \wedge \|o_2\| \geq 0$

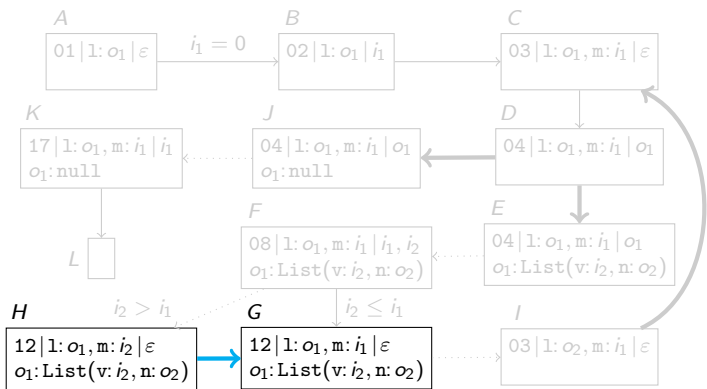
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weight: 0

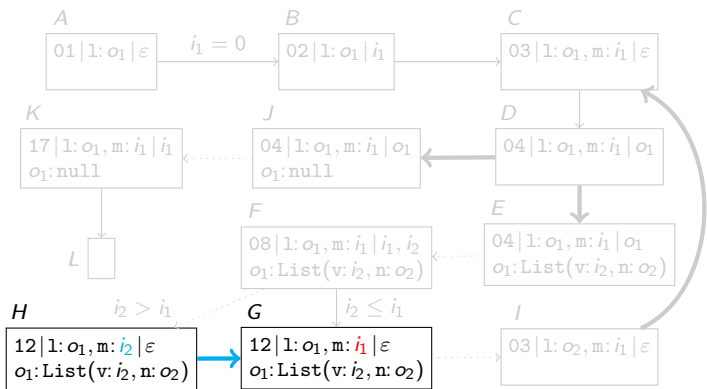
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$x' = y$ if x in general state corresponds to y in special state

Transform Generalization Edges

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weight: 0

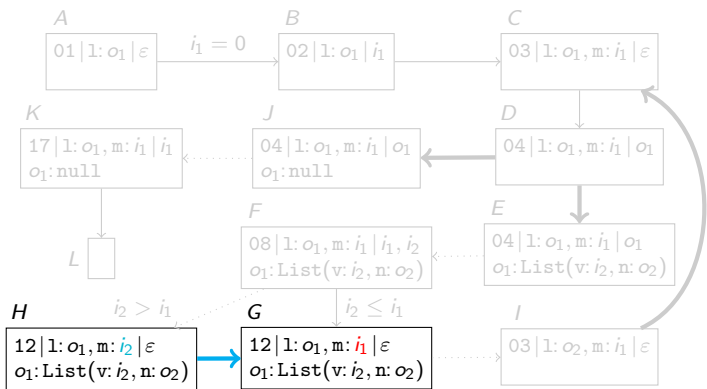
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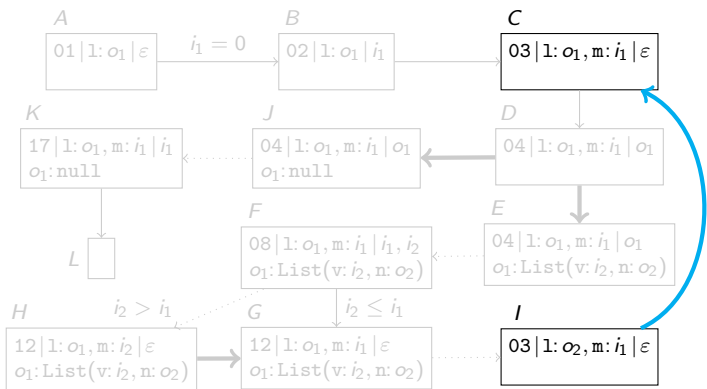
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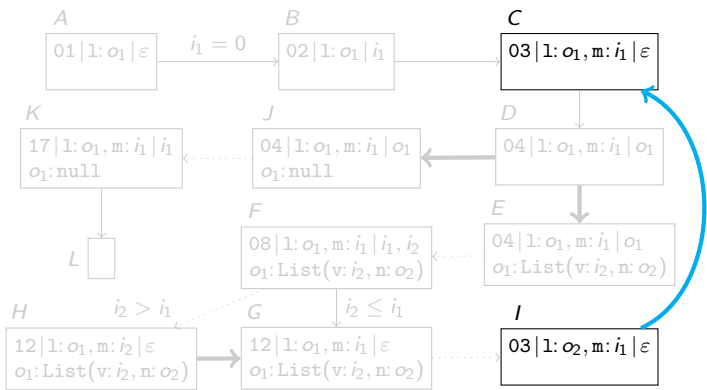
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$I \xrightarrow{0} C$

weight: 0

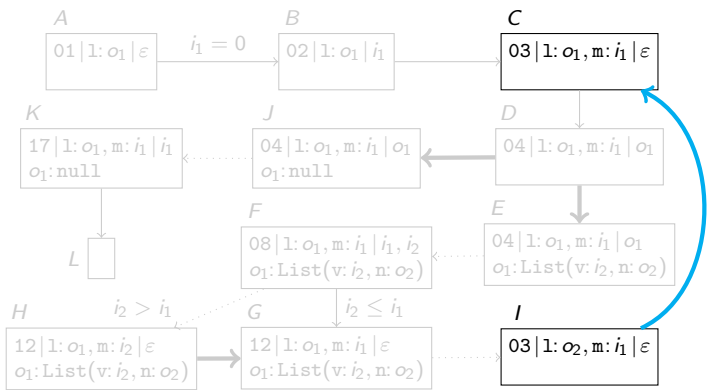
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Transform Generalization Edges

ITS over variables

$i_1, i_2, \|o_1\|, \|o_2\|$
 $i'_1, i'_2, \|o_1\|', \|o_2\|'$



$H \xrightarrow{0} G$ **if** $\|o_1\| \geq 1 \wedge \|o_2\| \geq 0 \wedge \|o_1\|' = \|o_1\| \wedge i'_1 = i_2 \wedge \dots$

$I \xrightarrow{0} C$ **if** $\|o_2\| \geq 0$

weight: 0

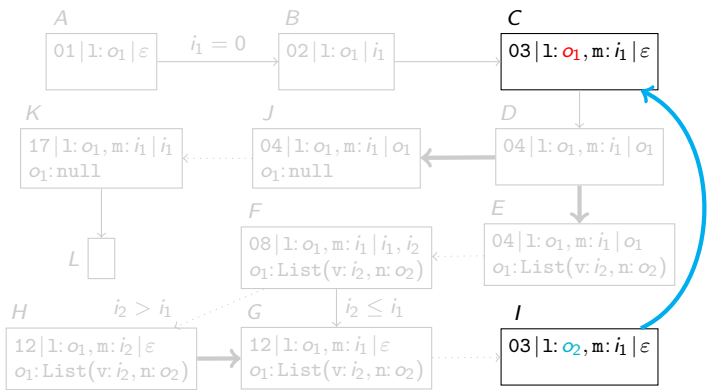
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Transform Generalization Edges

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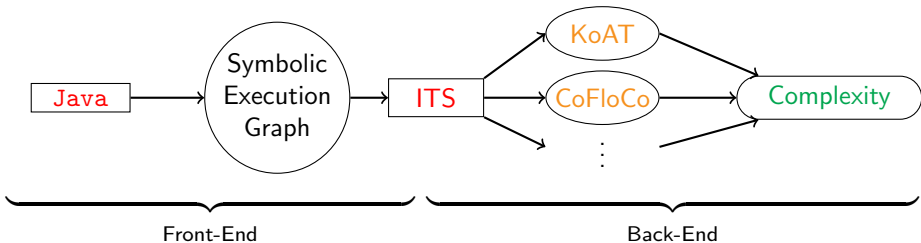
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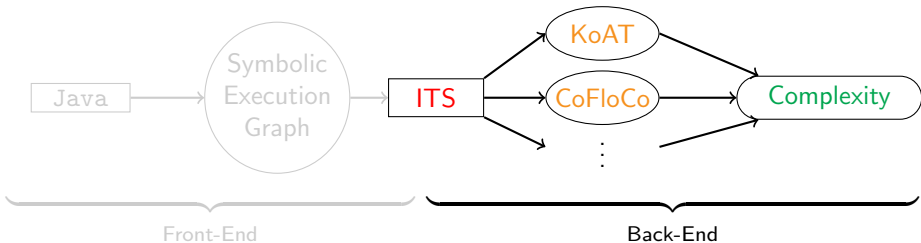
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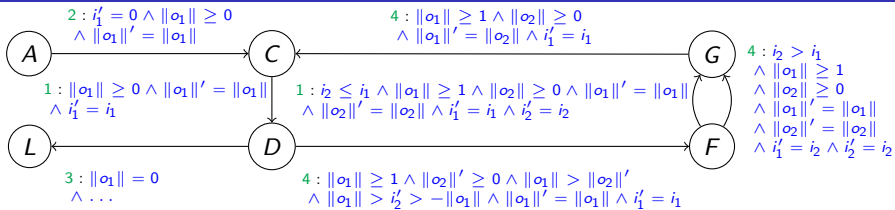
AProVE for Complexity Analysis of Java



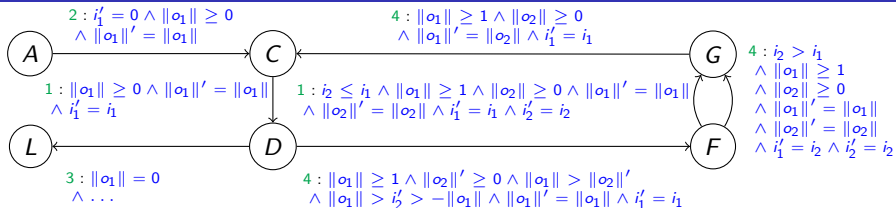
AProVE for Complexity Analysis of Java



Complexity of Integer Transition Systems



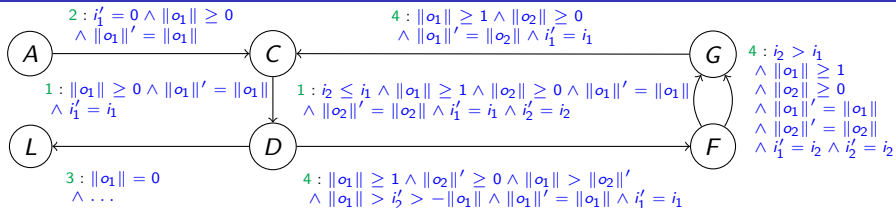
Complexity of Integer Transition Systems



Complexity Bound b for location s :

$$b \geq \text{cost of any ITS evaluation starting with } s$$

Complexity of Integer Transition Systems

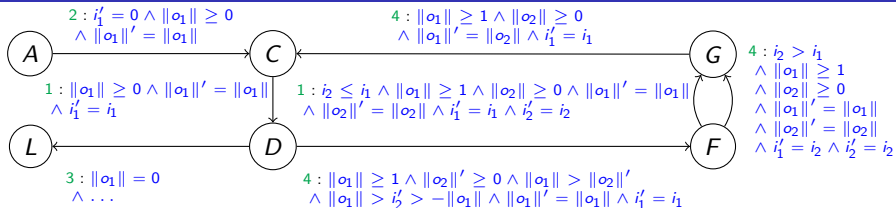


Complexity Bound b for location s :

$$b \geq \text{cost of any ITS evaluation starting with } s$$

for all instantiations of variables in b and s

Complexity of Integer Transition Systems



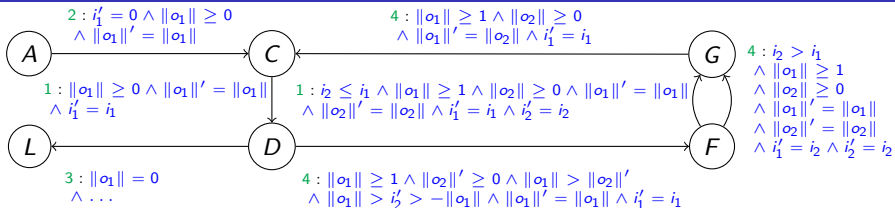
$13 \cdot \|o_1\| + 6$ is complexity bound for Location A

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Complexity of Integer Transition Systems



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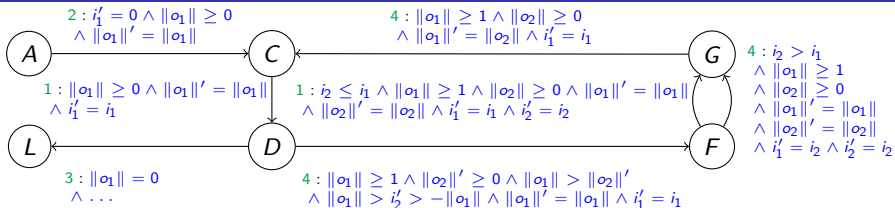
- linear bound determined by KoAT, CoFloCo, ...

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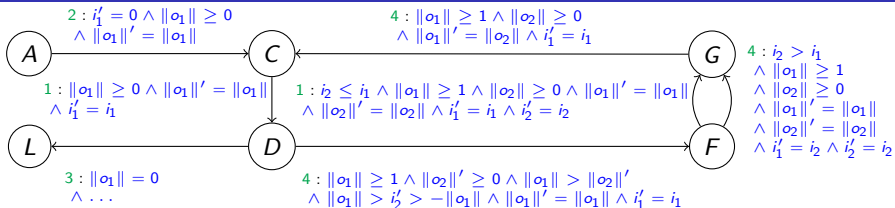
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Soundness Theorem

Complexity bound for location s in ITS is also complexity bound for state s in symbolic execution graph

Complexity of Integer Transition Systems



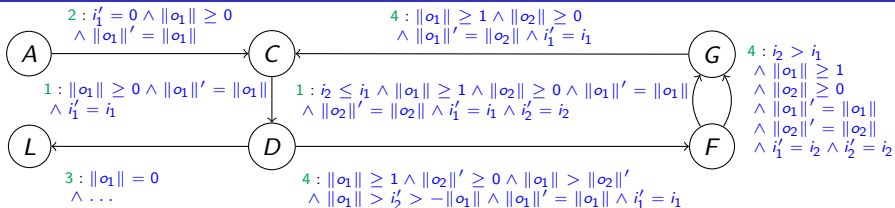
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Complexity of Integer Transition Systems



$13 \cdot \|o_1\| + 6$ is **complexity bound** for State A

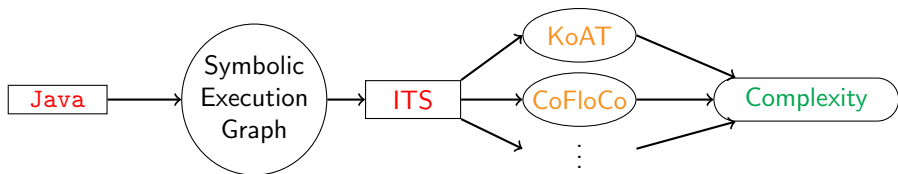
- linear bound determined by KoAT, CoFloCo, ...
- $13 \cdot \|l\| + 6$ is upper bound for runtime of max

```
static int max(List l) {  
    int m = 0;  
    while (l != null) {  
        if (l.v > m) {  
            m = l.v;  
        }  
        l = l.n;  
    }  
    return m;  
}
```

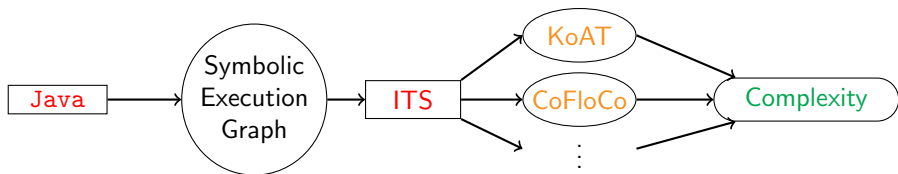
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AProVE for Complexity Analysis of Java

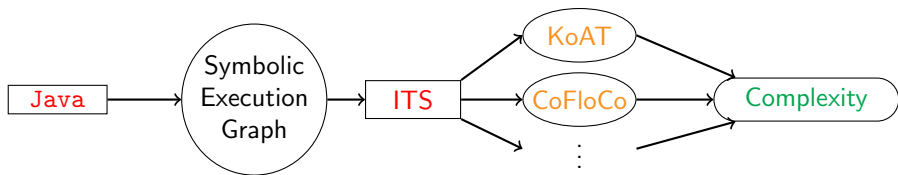


AProVE for Complexity Analysis of Java



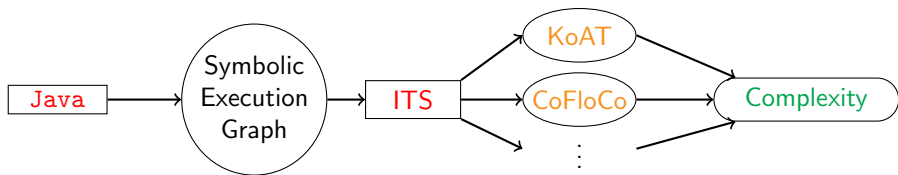
- runtime complexity analysis for heap-manipulating Java programs

AProVE for Complexity Analysis of Java



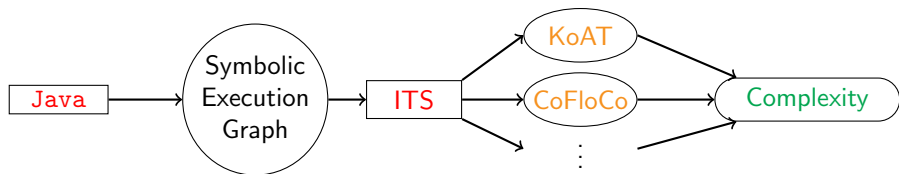
- runtime complexity analysis for heap-manipulating Java programs
- adaption for space or size analysis

AProVE for Complexity Analysis of Java



- runtime complexity analysis for heap-manipulating Java programs
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AProVE for Complexity Analysis of Java

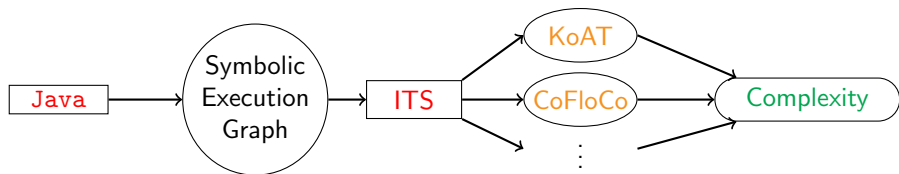


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Experiments on 211 programs from the TPDB

	$\mathcal{O}(1)$	$\mathcal{O}(\log n)$	$\mathcal{O}(n)$	$\mathcal{O}(n \cdot \log n)$	$\mathcal{O}(n^2)$	$\mathcal{O}(n^3)$	$\mathcal{O}(n^{>3})$?	Success
AProVE	28	0	102	0	13	2	4	62	71 %
COSTA	10	4	45	3	5	0	1	143	32 %

AProVE for Complexity Analysis of Java



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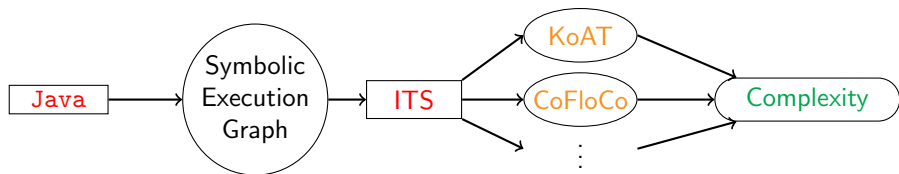
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AProVE: *size* considers path length and values

COSTA: *size* considers path length only

AProVE for Complexity Analysis of Java



- runtime complexity analysis for heap-manipulating Java programs
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STAC Project “Complexity Analysis-Based Guaranteed Execution”

- DARPA program “Space/Time Analysis for Cybersecurity”
- joint project with Draper Inc. and University of Innsbruck
- AProVE and KoAT crucial to detect/prove absence of vulnerabilities for Java programs