

Automated Termination Analysis of JAVA BYTECODE by Term Rewriting

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Termination Analysis for TRSs

$$\begin{aligned}\mathcal{R} : \quad & \text{plus}(x, 0) \rightarrow x \\ & \text{plus}(x, s(y)) \rightarrow s(\text{plus}(x, y))\end{aligned}$$

\mathcal{R} is *terminating* iff there is no infinite evaluation $t_1 \rightarrow_{\mathcal{R}} t_2 \rightarrow_{\mathcal{R}} \dots$

Computation of “2 + 1”: $\text{plus}(s(s(0)), s(0)) \rightarrow_{\mathcal{R}} s(\text{plus}(s(s(0)), 0))$
 $\rightarrow_{\mathcal{R}} s(s(s(0)))$

- easier / more general than for programs
- suitable for automation
- **But:** halting problem is undecidable!
 \Rightarrow automated termination proofs do not always succeed

Termination Analysis for TRSs

$$\begin{aligned}\mathcal{P}ol(\text{plus}(0, y)) &> \mathcal{P}ol(y) \\ \mathcal{P}ol(\text{plus}(s(x), y)) &> \mathcal{P}ol(s(\text{plus}(x, y)))\end{aligned}$$

\mathcal{R} is *terminating* iff there is no infinite evaluation $t_1 \rightarrow_{\mathcal{R}} t_2 \rightarrow_{\mathcal{R}} \dots$

- **Goal:** Find order \succ such that $\ell \succ r$ for all rules $\ell \rightarrow r \in \mathcal{R}$
- **Polynomial Order:** $\ell \succ r$ iff $\mathcal{P}ol(\ell) > \mathcal{P}ol(r)$

$$\mathcal{P}ol(0) = 1$$

$$\mathcal{P}ol(s(t)) = 1 + \mathcal{P}ol(t)$$

$$\mathcal{P}ol(\text{plus}(t_1, t_2)) = 2 \mathcal{P}ol(t_1) + \mathcal{P}ol(t_2)$$

Termination Analysis for TRSs

$$\begin{aligned}2 + \mathcal{P}ol(y) &> \mathcal{P}ol(y) \\ 2(1 + \mathcal{P}ol(x)) + \mathcal{P}ol(y) &> 1 + 2\mathcal{P}ol(x) + \mathcal{P}ol(y)\end{aligned}$$

\mathcal{R} is *terminating* iff there is no infinite evaluation $t_1 \rightarrow_{\mathcal{R}} t_2 \rightarrow_{\mathcal{R}} \dots$

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- can be generated automatically by SAT solving (SAT '07)

Automated Termination Analysis for TRSs

- **Classical Techniques** (simplification orders)
 - Knuth–Bendix Order (*Knuth & Bendix, 70*)
 - Polynomial Order (*Lankford, 79*)
 - Lexicographic Path Order (*Kamin & Lévy, 80*)
 - Recursive Path Order (*Dershowitz, 82*)
- **Recent Techniques** (beyond simplification orders)
 - Transformation Order (*Bellegarde & Lescanne, 87*)
 - Semantic Labelling (*Zantema, 95*)
 - Dependency Pairs (*Arts & Giesl, 96*)
 - Monotonic Semantic Path Order (*Borralleras, Ferreira, Rubio, 00*)
 - Match-Bounds (*Geser, Hofbauer, Waldmann, 03*)
 - Matrix Order (*Endrullis, Hofbauer, Waldmann, Zantema, 06*)
- Active area of research: *International Workshop on Termination*

Integer Term Rewriting

Termination of Term Rewriting

- powerful for algorithms on user-defined data structures (automatic generation of orders to compare arbitrary terms)
- naive handling of pre-defined data structures (represent data objects by terms)

Representing integers

$$0 \equiv \text{pos}(0) \equiv \text{neg}(0)$$

$$1 \equiv \text{pos}(s(0))$$

$$-1 \equiv \text{neg}(s(0))$$

$$1000 \equiv \text{pos}(s(s(\dots s(0) \dots)))$$

Rules for pre-defined operations

$$\text{pos}(x) + \text{neg}(y) \rightarrow \text{minus}(x, y)$$

$$\text{neg}(x) + \text{pos}(y) \rightarrow \text{minus}(y, x)$$

$$\text{pos}(x) + \text{pos}(y) \rightarrow \text{pos}(\text{plus}(x, y))$$

$$\text{neg}(x) + \text{neg}(y) \rightarrow \text{neg}(\text{plus}(x, y))$$

$$\text{minus}(x, 0) \rightarrow \text{pos}(x)$$

$$\text{minus}(0, y) \rightarrow \text{neg}(y)$$

$$\text{minus}(s(x), s(y)) \rightarrow \text{minus}(x, y)$$

Integer Term Rewriting

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- naive handling of pre-defined data structures (represent data objects by terms)

Integer Term Rewriting (RTA '09)

- integrated pre-defined data structures like \mathbb{Z} into term rewriting
- adapted techniques to prove termination of integer TRSs
- for algorithms on integers: as powerful as direct techniques
- for user-defined data structures: as powerful as before

Integer Term Rewriting

- \mathcal{F}_{int} : pre-defined symbols

- $\mathbb{Z} = \{0, 1, -1, 2, -2, \dots\}$
- $\mathbb{B} = \{\text{true}, \text{false}\}$
- $+, -, *, /, \%$
- $>, \geq, <, \leq, ==, !=$
- $\neg, \wedge, \vee, \Rightarrow, \Leftrightarrow$

- ITRS \mathcal{R} : finite TRS

- no pre-defined symbols except \mathbb{Z} and \mathbb{B} in lhs
- lhs $\notin \mathbb{Z} \cup \mathbb{B}$
- **rewrite relation** defined w.r.t. $\mathcal{R} \cup \mathcal{PD}$ (innermost rewriting)

- \mathcal{PD} : pre-defined rules

$2 * 21 \rightarrow 42$ $42 \geq 23 \rightarrow \text{true}$
 $\text{true} \wedge \text{false} \rightarrow \text{false}$...

\Rightarrow pre-defined operations only evaluated if all arguments are from \mathbb{Z} or \mathbb{B}

Example ITRS computing $\sum_{i=y}^x i$

$\text{sum}(x, y) \rightarrow \text{sif}(x \geq y, x, y)$
 $\text{sif}(\text{true}, x, y) \rightarrow y + \text{sum}(x, y + 1)$
 $\text{sif}(\text{false}, x, y) \rightarrow 0$

Integer Term Rewriting

$$\begin{array}{llll} \underline{\text{sum}(1, 1)} & \rightarrow_{\mathcal{R}} & \text{sif}(\underline{1 \geq 1}, 1, 1) & \rightarrow_{\mathcal{R}} & \underline{\text{sif}(\text{true}, 1, 1)} \\ & \rightarrow_{\mathcal{R}} & 1 + \text{sum}(1, \underline{1 + 1}) & \rightarrow_{\mathcal{R}} & 1 + \underline{\text{sum}(1, 2)} \\ & \rightarrow_{\mathcal{R}} & 1 + \text{sif}(\underline{1 \geq 2}, 1, 2) & \rightarrow_{\mathcal{R}} & 1 + \underline{\text{sif}(\text{false}, 1, 2)} \\ & \rightarrow_{\mathcal{R}} & \underline{1 + 0} & \rightarrow_{\mathcal{R}} & 1 \end{array}$$

- **ITRS \mathcal{R}** : finite TRS
 - no pre-defined symbols except \mathbb{Z} and \mathbb{B} in lhs
 - lhs $\notin \mathbb{Z} \cup \mathbb{B}$
 - **rewrite relation** defined w.r.t. $\mathcal{R} \cup \mathcal{PD}$ (innermost rewriting)

Example ITRS computing $\sum_{i=y}^x i$

$$\begin{array}{ll} \text{sum}(x, y) & \rightarrow \text{sif}(x \geq y, x, y) \\ \text{sif}(\text{true}, x, y) & \rightarrow y + \text{sum}(x, y + 1) \\ \text{sif}(\text{false}, x, y) & \rightarrow 0 \end{array}$$

Automated Termination Tools for TRSs

- AProVE (*Aachen*)
- CARIBOO (*Nancy*)
- CiME (*Orsay*)
- Jambox (*Amsterdam*)
- Matchbox (*Leipzig*)
- MU-TERM (*Valencia*)
- MultumNonMulta (*Kassel*)
- TEPARLA (*Eindhoven*)
- Temptation (*Barcelona*)
- TORPA (*Eindhoven*)
- TPA (*Eindhoven*)
- TTT (*Innsbruck*)
- VMTL (*Vienna*)
- *Annual International Competition of Termination Tools*
- well-developed field
- active research
- powerful techniques & tools
- **But:**
What about application in practice?

Termination of Programming Languages

Functional Languages

- first-order languages with strict evaluation strategy
(*Walther, 94*), (*Giesl, 95*), (*Lee, Jones, Ben-Amram, 01*)
 - ensuring termination (e.g., by typing)
(*Telford & Turner, 00*), (*Xi, 02*), (*Abel, 04*), (*Barthe et al, 04*) etc.
 - outermost termination of untyped first-order rewriting
(*Fissore, Gnaedig, Kirchner, 02*), (*Endrullis & Hendriks, 09*),
(*Raffelsieper & Zantema, 09*), (*Thiemann, 09*)
 - automated technique for small HASKELL-like language
(*Panitz & Schmidt-Schauss, 97*)
-
- do **not** work on full existing languages
 - **no use of TRS-techniques** (stand-alone methods)

Termination of Programming Languages

Functional Languages

- using TRS-techniques for HASKELL is challenging
 - HASKELL has a **lazy evaluation strategy**.
For TRSs, one proves termination of *all* reductions.
 - HASKELL's equations are handled from **top to bottom**.
For TRSs, *any* rule may be used for rewriting.
 - HASKELL has **polymorphic types**.
TRSs are *untyped*.
 - In HASKELL-programs, often only **some** functions terminate.
TRS-methods try to prove termination of *all* terms.
 - HASKELL is a **higher-order language**.
Most automatic TRS-methods only handle *first-order* rewriting.

Termination of Programming Languages

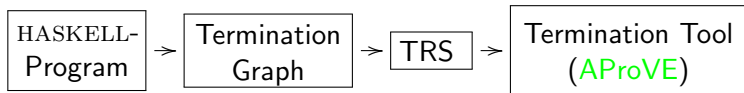
Functional Languages

- using TRS-techniques for `HASKELL` is challenging
- **New approach** (RTA '06),(TOPLAS '10)
 - **Frontend**
 - evaluate `HASKELL` a few steps \Rightarrow **termination graph**
termination graph captures evaluation strategy, types, etc.
 - transform **termination graph** \Rightarrow **TRS**
 - **Backend**
 - prove termination of the resulting TRS
(using existing techniques & tools)
- implemented in **AProVE**
 - accepts full **HASKELL 98** language
 - successfully evaluated with standard `HASKELL`-libraries
(succeeds on approx. 80 % of the functions in standard libraries)

Termination of Programming Languages

Functional Languages

- using TRS-techniques for `HASKELL` is challenging



- implemented in **AProVE**
 - accepts full `HASKELL 98` language
 - successfully evaluated with standard `HASKELL`-libraries (succeeds on approx. 80 % of the functions in standard libraries)

Termination of Programming Languages

Logic Languages

- well-developed field (*De Schreye & Decorte, 94*) etc.
- **direct approaches:** work directly on the logic program
 - cTI (*Mesnard et al*)
 - TerminWeb (*Codish et al*)
 - TermiLog (*Lindenstrauss et al*)
 - Polytool (*Nguyen, De Schreye, Giesl, Schneider-Kamp*)

TRS-techniques can be adapted to work *directly* on the LP

- **transformational approaches:** transform LP to TRS
 - TALP (*Ohlebusch et al*)
 - AProVE (*Giesl et al*)
- only for *definite* LP (without cut)
- not for real PROLOG

Termination of Programming Languages

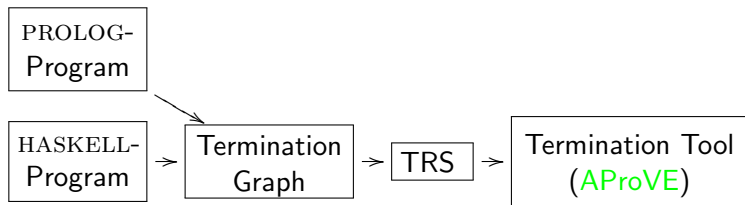
Logic Languages

- analyzing PROLOG is challenging due to cuts etc.
- **New approach** (ICLP '10)
 - **Frontend**
 - evaluate PROLOG a few steps \Rightarrow **termination graph**
termination graph captures evaluation strategy due to cuts etc.
 - transform **termination graph** \Rightarrow TRS
 - **Backend**
 - prove termination of the resulting TRS
(using existing techniques & tools)
- implemented in **AProVE**
 - successfully evaluated on PROLOG-collections with cuts
 - most powerful termination tool for PROLOG
(winner of the international *termination competition* for PROLOG)

Termination of Programming Languages

Logic Languages

- analyzing PROLOG is challenging due to cuts etc.



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Termination of Programming Languages

Imperative Languages

- Synthesis of Linear Ranking Functions
(Colon & Sipma, 01), (Podelski & Rybalchenko, 04)
 - **Terminator**: Termination Analysis by Abstraction & Model Checking
(Cook, Podelski, Rybalchenko et al., since 05)
 - **Julia** & **COSTA**: Termination Analysis of JAVA BYTECODE
(Spoto, Mesnard, Payet, 10),
(Albert, Arenas, Codish, Genaim, Puebla, Zanardini, 08)
 - ...
-
- used at Microsoft for verifying Windows device drivers
 - **no use of TRS-techniques** (stand-alone methods)

Termination of Programming Languages

Imperative Languages

- analyze JAVA BYTECODE (JBC) instead of JAVA
- using TRS-techniques for JBC is challenging
 - sharing and aliasing
 - side effects
 - cyclic data objects
 - object-orientation
 - ...

Termination of Programming Languages

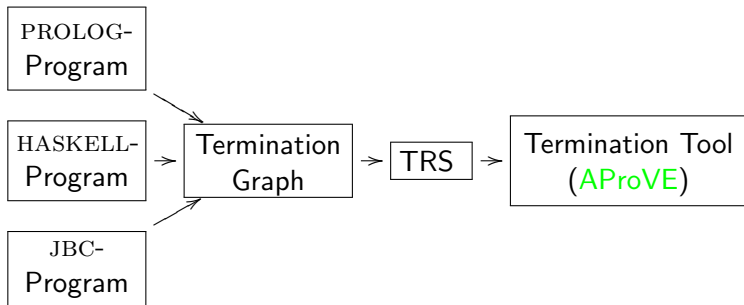
Imperative Languages

- using TRS-techniques for JBC is challenging
- **New approach** (RTA '10)
 - **Frontend**
 - evaluate JBC a few steps \Rightarrow **termination graph**
termination graph captures side effects, sharing, cyclic data objects etc.
 - transform **termination graph** \Rightarrow **TRS**
 - **Backend**
 - prove termination of the resulting TRS
(using existing techniques & tools)
- implemented in **AProVE**
 - successfully evaluated on JBC-collection
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Termination of Programming Languages

Imperative Languages

- using TRS-techniques for JBC is challenging



- implemented in **AProVE**
 - successfully evaluated on JBC-collection
 - most powerful termination tool for JBC
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Termination of Programming Languages

- **other techniques:**

abstract objects to **numbers**

- IntList-object representing [0, 1, 2] is abstracted to **length 3**

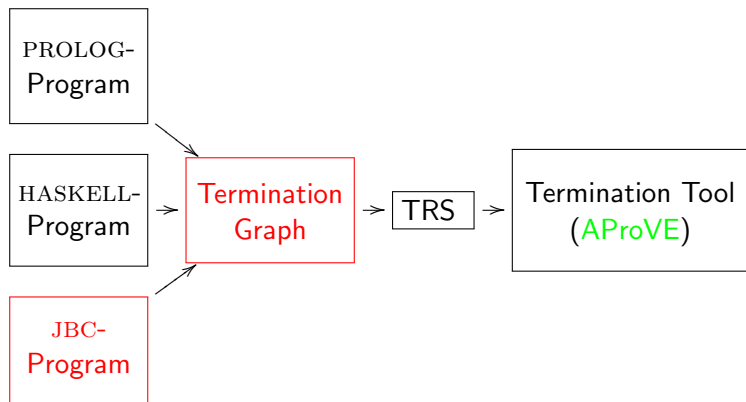
```
public class IntList {  
    int value;  
    IntList next;  
}
```

- **our technique:**

abstract objects to **terms**

- introduce function symbol for every class
- IntList-object representing [0, 1, 2] is abstracted to **term:** `IntList(0, IntList(1, IntList(2, null)))`
- TRS-techniques generate suitable orders to compare arbitrary terms
- particularly powerful on user-defined data types
- powerful on pre-defined data types by using **Integer TRSs**

From JBC to Termination Graphs



Example

```
00: aload_0      // load num to opstack
01: ifnull 8     // jump to line 8 if top
                // of opstack is null
04: aload_1      // load limit
05: ifnonnull 9  // jump if not null
08: return
09: aload_0      // load num
10: astore_2     // store into copy
11: aload_0      // load num
12: getfield val // load field val
15: aload_1      // load limit
16: getfield val // load field val
19: if_icmpge 35 // jump if
                // num.val >= limit.val
22: aload_2      // load copy
23: aload_2      // load copy
24: getfield val // load field val
27: iconst_1     // load constant 1
28: iadd         // add copy.val and 1
29: putfield val // store into copy.val
32: goto 11
35: return
```

```
public class Int {
    // only wrap a primitive int
    private int val;

    // count up to the value
    // in "limit"
    public static void count(
        Int num, Int limit) {

        if (num == null
            || limit == null) {
            return;
        }

        // introduce sharing
        Int copy = num;

        while (num.val < limit.val) {
            copy.val++;
        }
    }
}
```


Abstract States of the JVM

```
00: aload_0      // load num to opstack
01: ifnull 8     // jump to line 8 if top
                // of opstack is null
04: aload_1      // load limit
05: ifnonnull 9  // jump if not null
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```

$$\text{ifnull } 8 \mid n:o_1, l:o_2 \mid o_1$$
$$o_1 = \text{Int}(\text{val} = i_1) \quad i_1 = (-\infty, \infty)$$
$$o_2 = \text{Int}(?)$$

4 components

- 1 next program instruction
- 2 values of local variables
(value of num is *reference* o_1)
- 3 values on the operand stack
- 4 information about the heap
 - object at address o_2 is null or of type Int
 - object at o_1 has type Int, val-field has value i_1
 - i_1 is an arbitrary integer
 - no sharing

From JBC to Termination Graphs

```
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01: ifnull 8
04: aload_1
    :
    :
19: if_icmpge 35
    :
    :
27: iconst_1
28: iadd
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35: return
```

$\text{aload}_0 \mid n: \sigma_1, l: \sigma_2 \mid \varepsilon$ $\sigma_1 = \text{Int}(?) \quad \sigma_2 = \text{Int}(?)$
--

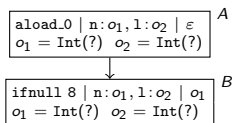
^A

State A:

- do all calls of count terminate?
- num and limit are arbitrary, but distinct Int-objects

From JBC to Termination Graphs

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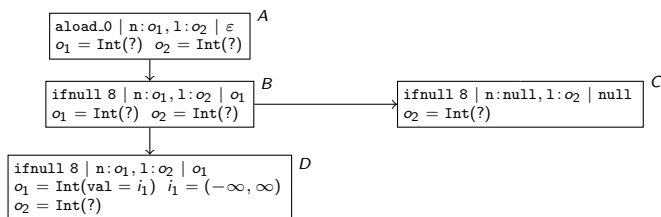


State B:

- “aload_0” loads value of num on operand stack
- A connected to B by *evaluation edge*

From JBC to Termination Graphs

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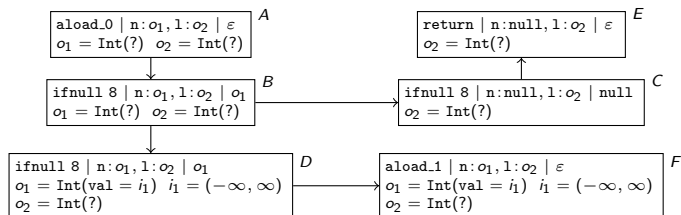


States C and D:

- “ifnull 8” needs to know whether o_1 is null
- *refine* information about heap (*refinement edges*)

From JBC to Termination Graphs

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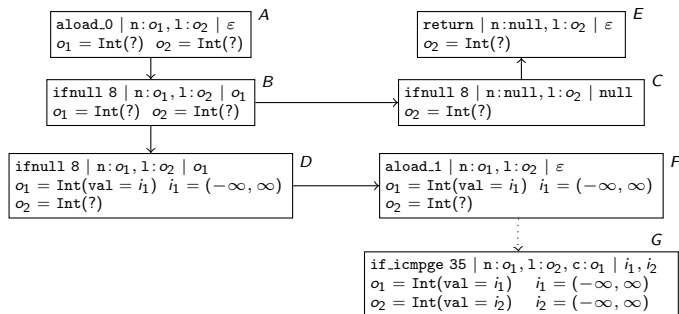


States *E* and *F*:

- evaluate “ifnull 8” in *C* and *D*
- *evaluation edges*

From JBC to Termination Graphs

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   :
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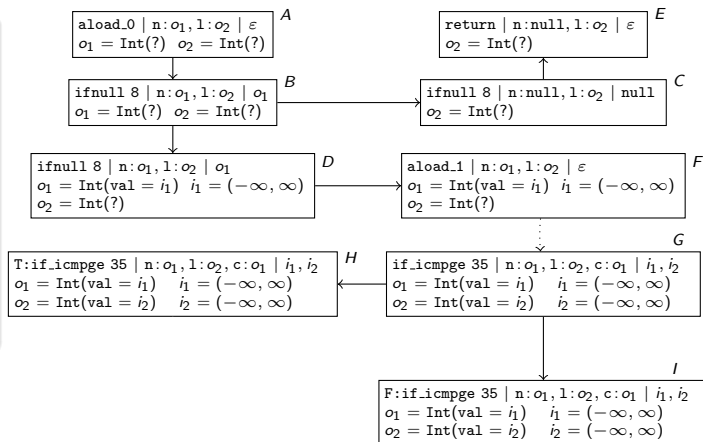


State G:

- in state *F*, check if `limit` is null analogously
- aliasing in *G*: `num` and `copy` point to the same address o_1
- `val`-fields of `num` and `limit` pushed on operand stack

From JBC to Termination Graphs

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   :
   :
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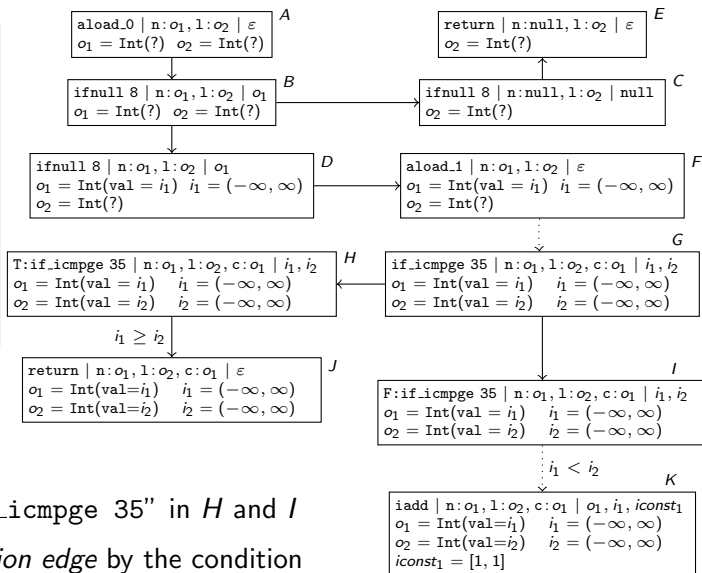


States H and I:

- “if_icmpge 35” needs to know whether $i_1 \geq i_2$
- *refine* information about heap (*refinement edges*)

From JBC to Termination Graphs

```
00: aload_0
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   :
   :
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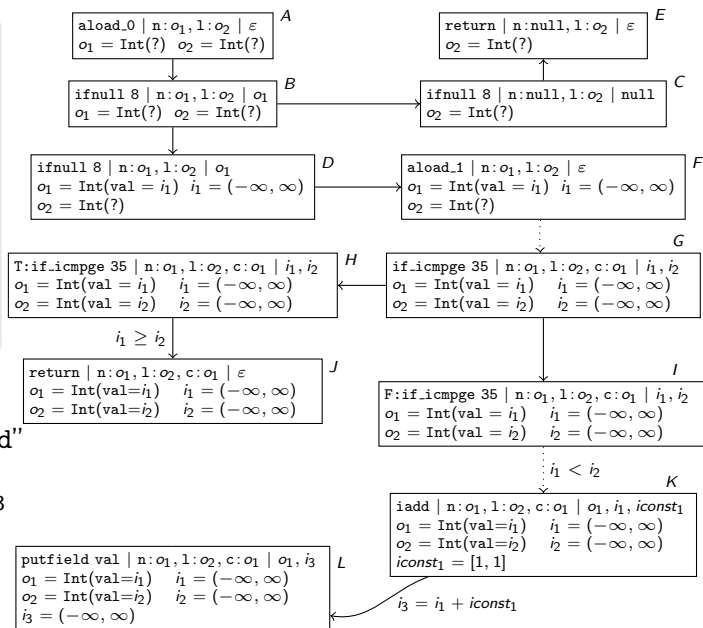


States J and K:

- evaluate “if_icmpge 35” in H and I
- label *evaluation edge* by the condition
- val-field of copy and integer variable with value 1 on operand stack

From JBC to Termination Graphs

```
00: aload_0
01: ifnull 8
04: aload_1
   :
   :
19: if_icmpge 35
   :
   :
27: iconst_1
28: iadd
29: putfield val
32: goto 11
35: return
```



State L:

- evaluate "iadd"
- new variable i_3
- label edge by connection

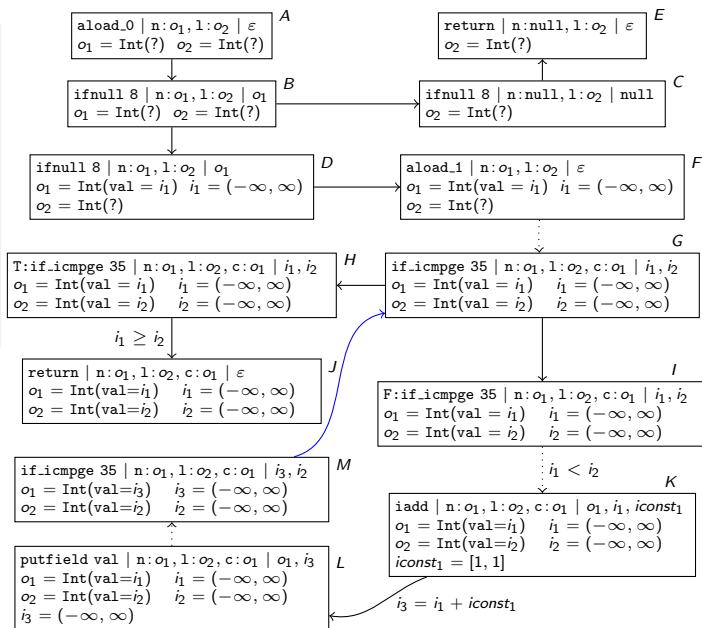
From JBC to Termination Graphs

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01: ifnull 8
04: aload_1
   :
   :
19: if_icmpge 35
   :
   :
27: iconst_1
28: iadd
29: putfield val
32: goto 11
35: return
    
```

State M:

- again reaches "if_icmpge"
- M* instance of *G*
- instantiation edge



From JBC to Termination Graphs

Termination Graphs

- expand nodes until all leaves correspond to program ends
- by appropriate generalization steps, one always reaches a *finite* termination graph
- state s_1 is *instance* of s_2 iff every concrete state described by s_1 is also described by s_2

Using Termination Graphs for Termination Proofs

- every JBC-computation of concrete states corresponds to a *computation path* in the termination graph
- termination graph is called *terminating* iff it has no infinite computation path

Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```

```
public class Tree {
    int value;
    Tree left;
    Tree right;
}

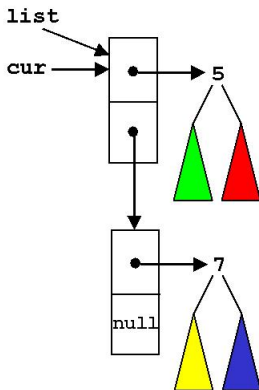
public class TreeList {
    Tree value;
    TreeList next;
}

public class IntList {
    int value;
    IntList next;
}
```

Example with User-Defined Data Type

```
public class Flatten {  
    public static IntList  
        flatten(TreeList list) {  
        TreeList cur = list;  
        IntList result = null;  
  
        while (cur != null) {  
            Tree tree = cur.value;  
            if (tree != null) {  
                IntList oldIntList = result;  
                result = new IntList();  
                result.value = tree.value;  
                result.next = oldIntList;  
                TreeList oldCur = cur;  
                cur = new TreeList();  
                cur.value = tree.left;  
                cur.next = oldCur;  
                oldCur.value = tree.right;  
            } else cur = cur.next;  
        }  
        return result;  
    }  
}
```

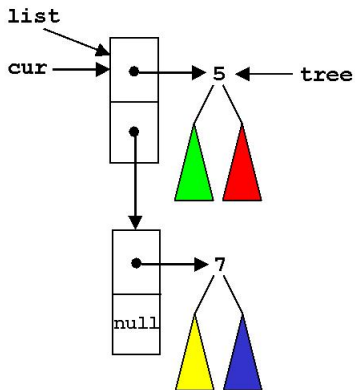
result: null



Example with User-Defined Data Type

```
public class Flatten {  
    public static IntList  
        flatten(TreeList list) {  
        TreeList cur = list;  
        IntList result = null;  
  
        while (cur != null) {  
            Tree tree = cur.value;  
            if (tree != null) {  
                IntList oldIntList = result;  
                result = new IntList();  
                result.value = tree.value;  
                result.next = oldIntList;  
                TreeList oldCur = cur;  
                cur = new TreeList();  
                cur.value = tree.left;  
                cur.next = oldCur;  
                oldCur.value = tree.right;  
            } else cur = cur.next;  
        }  
        return result;  
    }  
}
```

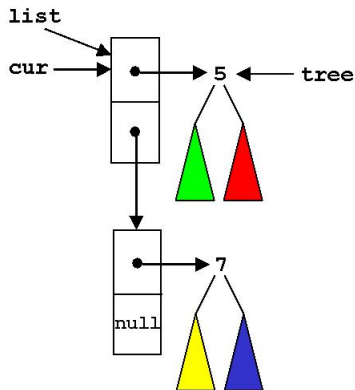
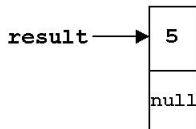
result: null



Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

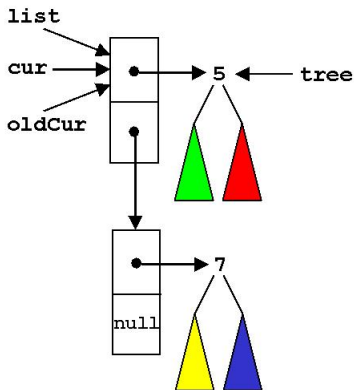
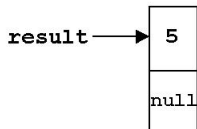
        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```



Example with User-Defined Data Type

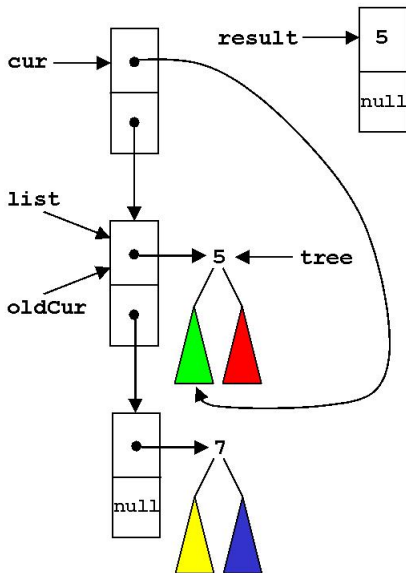
```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```



Example with User-Defined Data Type

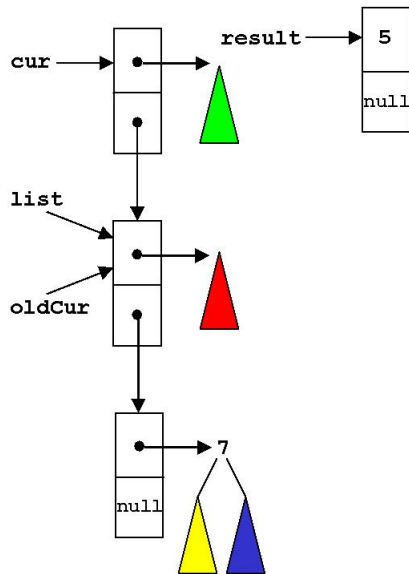
```
public class Flatten {  
    public static IntList  
        flatten(TreeList list) {  
        TreeList cur = list;  
        IntList result = null;  
  
        while (cur != null) {  
            Tree tree = cur.value;  
            if (tree != null) {  
                IntList oldIntList = result;  
                result = new IntList();  
                result.value = tree.value;  
                result.next = oldIntList;  
                TreeList oldCur = cur;  
                cur = new TreeList();  
                cur.value = tree.left;  
                cur.next = oldCur;  
                oldCur.value = tree.right;  
            } else cur = cur.next;  
        }  
        return result;  
    }  
}
```



Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```

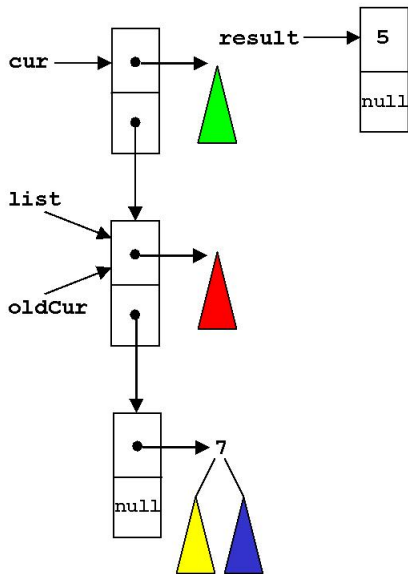


no termination by *path length*

Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```



`cur` and `list` can be *sharing*

Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```

General state at beginning of loop body

```
aload_1 | l:o1, c:o2, r:o3 | ε
o1 = TreeList(?) o2 = TreeList(?)
o3 = IntList(?)
o1 =? o2      o1 ∨∞ o2
```

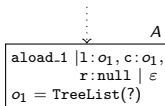
Annotations

- $o_1 =^? o_2$: o_1 and o_2 may be equal
- $o_1 \vee^\infty o_2$: o_1 and o_2 may join
 - $o \rightarrow o'$ iff object at address o has a field with value o'
 - $o_1 \vee^\infty o_2$: $o_1 \rightarrow^* o \leftarrow^+ o_2$ or $o_1 \rightarrow^+ o \leftarrow^* o_2$
- $o !$: o does not have to be a tree

Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```



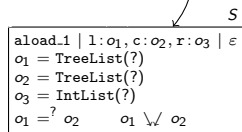
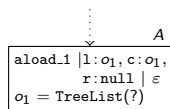
State A:

- reaches loop condition
“ $\text{cur} \neq \text{null}$ ”
for the first time
- list and cur (o_1) are equal

Example with User-Defined Data Type

```
public class Flatten {
  public static IntList
    flatten(TreeList list) {
    TreeList cur = list;
    IntList result = null;

    while (cur != null) {
      Tree tree = cur.value;
      if (tree != null) {
        IntList oldIntList = result;
        result = new IntList();
        result.value = tree.value;
        result.next = oldIntList;
        TreeList oldCur = cur;
        cur = new TreeList();
        cur.value = tree.left;
        cur.next = oldCur;
        oldCur.value = tree.right;
      } else cur = cur.next;
    }
    return result;
  }
}
```



State S:

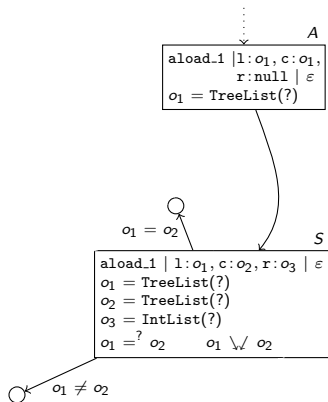
- generalize A to obtain finite termination graph
- list (o_1) and cur (o_2) may be equal and may join

Example with User-Defined Data Type

```
public class Flatten {
  public static IntList
    flatten(TreeList list) {
    TreeList cur = list;
    IntList result = null;

    while (cur != null) {
      Tree tree = cur.value;
      if (tree != null) {
        IntList oldIntList = result;
        result = new IntList();
        result.value = tree.value;
        result.next = oldIntList;
        TreeList oldCur = cur;
        cur = new TreeList();
        cur.value = tree.left;
        cur.next = oldCur;
        oldCur.value = tree.right;
      } else cur = cur.next;
    }
    return result;
  }
}
```

State S:

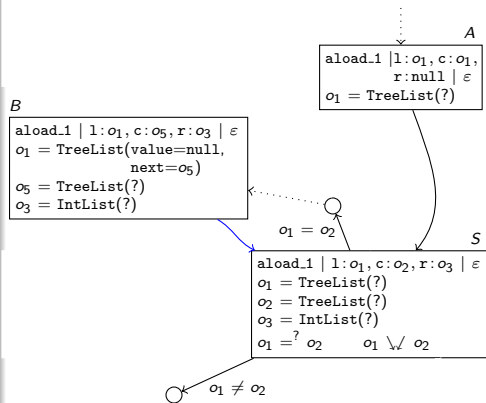


- *refinement* of annotation $o_1 = ? o_2$

Example with User-Defined Data Type

```
public class Flatten {
  public static IntList
    flatten(TreeList list) {
    TreeList cur = list;
    IntList result = null;

    while (cur != null) {
      Tree tree = cur.value;
      if (tree != null) {
        IntList oldIntList = result;
        result = new IntList();
        result.value = tree.value;
        result.next = oldIntList;
        TreeList oldCur = cur;
        cur = new TreeList();
        cur.value = tree.left;
        cur.next = oldCur;
        oldCur.value = tree.right;
      } else cur = cur.next;
    }
    return result;
  }
}
```



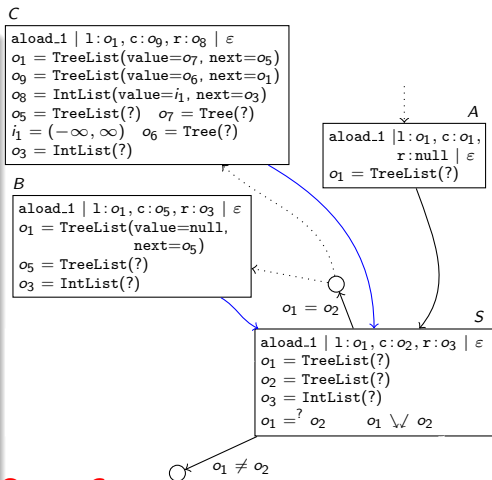
State B:

- reach loop condition if `tree == null`
- `list` \rightarrow^+ $\circ \leftarrow^*$ `cur`
- *B* is *instance* of *S*

Example with User-Defined Data Type

```
public class Flatten {
    public static IntList
        flatten(TreeList list) {
        TreeList cur = list;
        IntList result = null;

        while (cur != null) {
            Tree tree = cur.value;
            if (tree != null) {
                IntList oldIntList = result;
                result = new IntList();
                result.value = tree.value;
                result.next = oldIntList;
                TreeList oldCur = cur;
                cur = new TreeList();
                cur.value = tree.left;
                cur.next = oldCur;
                oldCur.value = tree.right;
            } else cur = cur.next;
        }
        return result;
    }
}
```



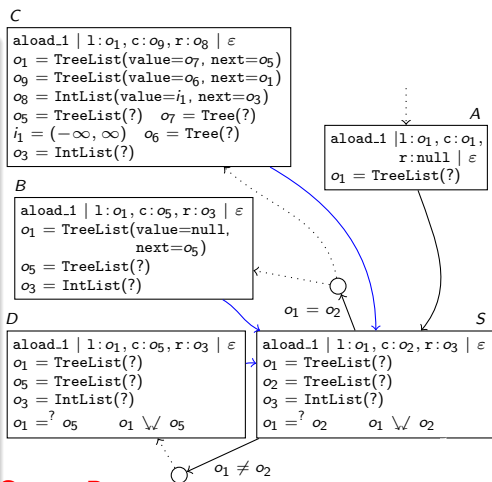
State C:

- $\text{Tree}(\text{value}=i_1, \text{left}=o_6, \text{right}=o_7)$
- $\text{list} \rightarrow^* \circ \leftarrow^+ \text{cur}$
- C is *instance* of S

Example with User-Defined Data Type

```
public class Flatten {
  public static IntList
    flatten(TreeList list) {
    TreeList cur = list;
    IntList result = null;

    while (cur != null) {
      Tree tree = cur.value;
      if (tree != null) {
        IntList oldIntList = result;
        result = new IntList();
        result.value = tree.value;
        result.next = oldIntList;
        TreeList oldCur = cur;
        cur = new TreeList();
        cur.value = tree.left;
        cur.next = oldCur;
        oldCur.value = tree.right;
      } else cur = cur.next;
    }
    return result;
  }
}
```



State D:

- $o_2 = \text{TreeList}(\text{value}=o_4, \text{next}=o_5)$
- $\text{tree}(o_4)$ is null
- D is *instance* of S

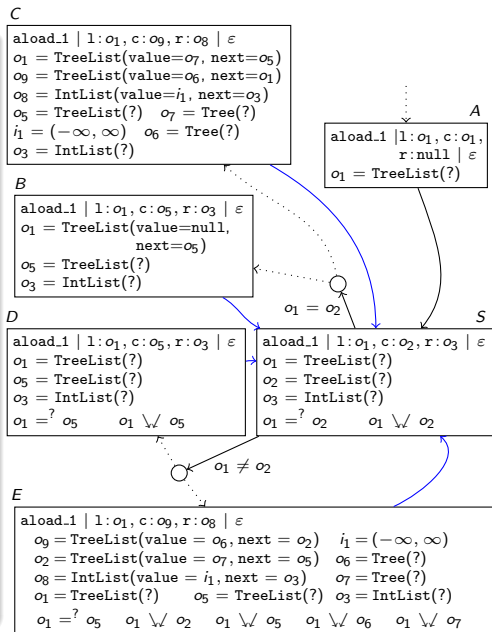
Example with User-Defined Data Type

```

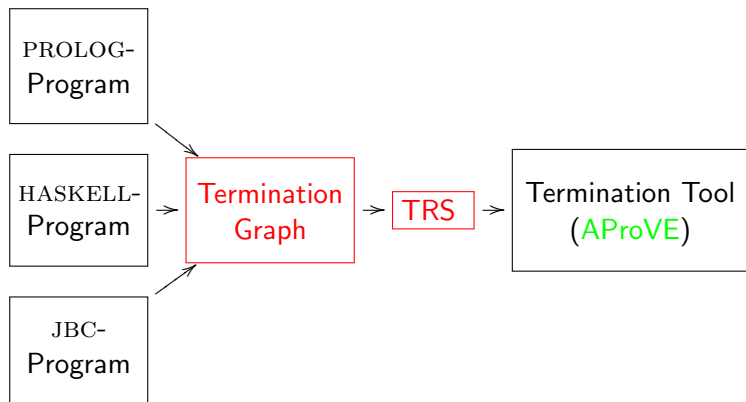
public class Flatten {
  public static IntList
    flatten(TreeList list) {
    TreeList cur = list;
    IntList result = null;

    while (cur != null) {
      Tree tree = cur.value;
      if (tree != null) {
        IntList oldIntList = result;
        result = new IntList();
        result.value = tree.value;
        result.next = oldIntList;
        TreeList oldCur = cur;
        cur = new TreeList();
        cur.value = tree.left;
        cur.next = oldCur;
        oldCur.value = tree.right;
      } else cur = cur.next;
    }
    return result;
  }
}

```



From Termination Graphs to TRSs



Transforming Objects to Terms

```
aload_1 | l:o1, c:o9, r:o8 | ε  
o9 = TreeList(value = o6, next = o2)   i1 = (-∞, ∞)  
o2 = TreeList(value = o7, next = o5)   o6 = Tree(?)  
o8 = IntList(value = i1, next = o3)   o7 = Tree(?)  
o1 = TreeList(?)   o5 = TreeList(?)   o3 = IntList(?)  
o1 =? o5   o1 ↘↙ o2   o1 ↘↙ o5   o1 ↘↙ o6   o1 ↘↙ o7
```

For every class C with n fields,
introduce function symbol C with n arguments

- term for o_1 : o_1

Transforming Objects to Terms

```
aload_1 | l:o1, c:o9, r:o8 | ε
o9 = TreeList(value = o6, next = o2)   i1 = (-∞, ∞)
o2 = TreeList(value = o7, next = o5)   o6 = Tree(?)
o8 = IntList(value = i1, next = o3)   o7 = Tree(?)
o1 = TreeList(?)   o5 = TreeList(?)   o3 = IntList(?)
o1 =? o5   o1 ↘ o2   o1 ↘ o5   o1 ↘ o6   o1 ↘ o7
```

For every class C with n fields,
introduce function symbol C with n arguments

- term for o_1 : o_1
- term for o_2 : $TL(o_7, o_5)$

Transforming Objects to Terms

```
aload_1 | l:o1, c:o9, r:o8 | ε  
o9 = TreeList(value = o6, next = o2)   i1 = (-∞, ∞)  
o2 = TreeList(value = o7, next = o5)   o6 = Tree(?)  
o8 = IntList(value = i1, next = o3)    o7 = Tree(?)  
o1 = TreeList(?)      o5 = TreeList(?)  o3 = IntList(?)  
o1 =? o5   o1 ↘ o2   o1 ↘ o5   o1 ↘ o6   o1 ↘ o7
```

For every class C with n fields,
introduce function symbol C with n arguments

- term for o_1 : o_1
- term for o_2 : $TL(o_7, o_5)$
- term for o_9 : $TL(o_6, TL(o_7, o_5))$

Transforming Objects to Terms

```
aload_1 | l:o1, c:o9, r:o8 | ε  
o9 = TreeList(value = o6, next = o2)   i1 = (-∞, ∞)  
o2 = TreeList(value = o7, next = o5)   o6 = Tree(?)  
o8 = IntList(value = i1, next = o3)   o7 = Tree(?)  
o1 = TreeList(?)   o5 = TreeList(?)   o3 = IntList(?)  
o1 =? o5   o1 ↘ o2   o1 ↘ o5   o1 ↘ o6   o1 ↘ o7
```

For every class C with n fields,
introduce function symbol C with n arguments

- term for o_1 : o_1
- term for o_2 : $TL(o_7, o_5)$
- term for o_9 : $TL(o_6, TL(o_7, o_5))$
- term for o_8 : $IL(i_1, o_3)$

Transforming Objects to Terms

Class Hierarchy

- for every class C with n fields, introduce function symbol C with $n + 1$ arguments
- first argument: part of the object corresponding to subclasses of C

```
public class A {  
    int a;  
}
```

```
A x = new A();  
x.a = 1;
```

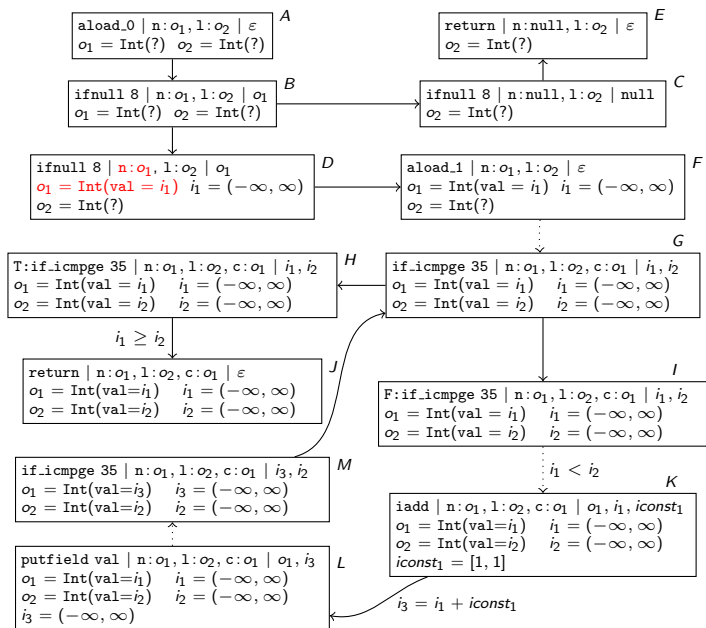
```
public class B extends A {  
    int b;  
}
```

```
B y = new B();  
y.a = 2;  
y.b = 3;
```

- term for x : $\text{jIO}(A(\text{eoc}, 1))$ (eoc for “end of class”)
- term for y : $\text{jIO}(A(B(\text{eoc}, 3), 2))$ (jIO for “java.lang.Object”)

Transforming States to Tuples of Terms

Transforming D $\text{jIO}(\text{Int}(\text{eoc}, i_1))$

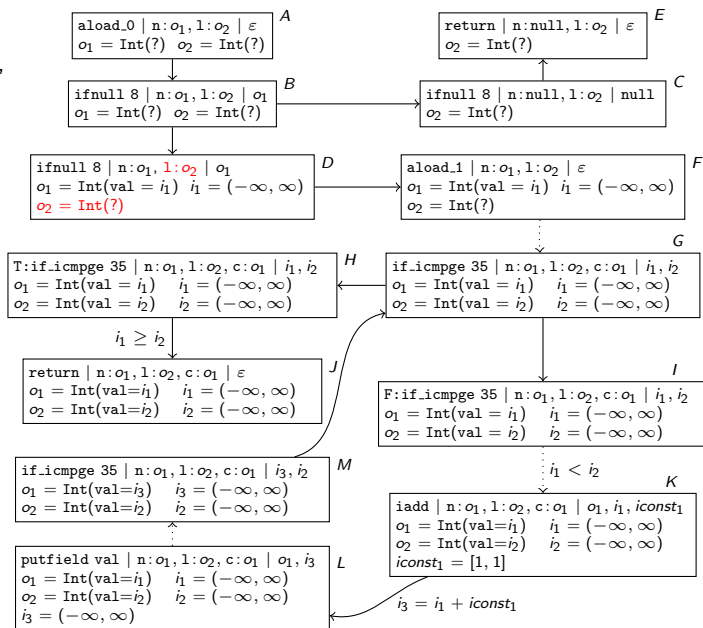


Transforming States to Tuples of Terms

Transforming D

$\text{jIO}(\text{Int}(\text{eoc}, i_1)),$

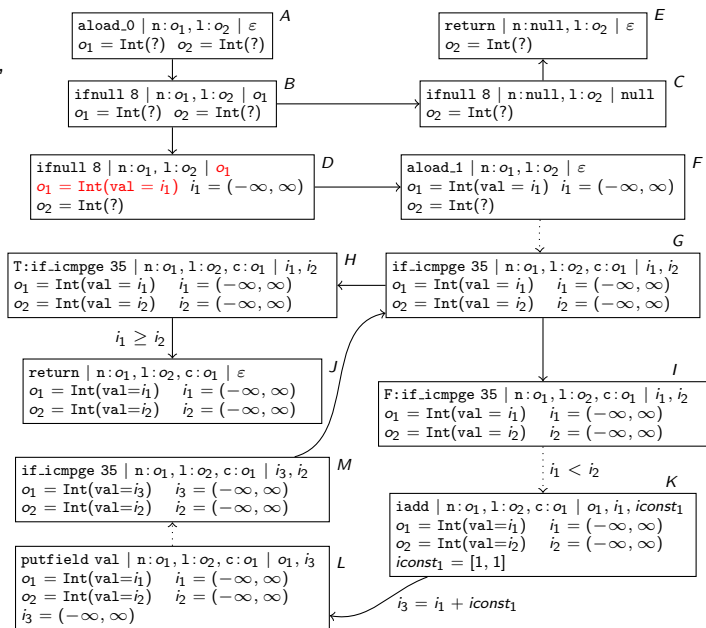
o_2



Transforming States to Tuples of Terms

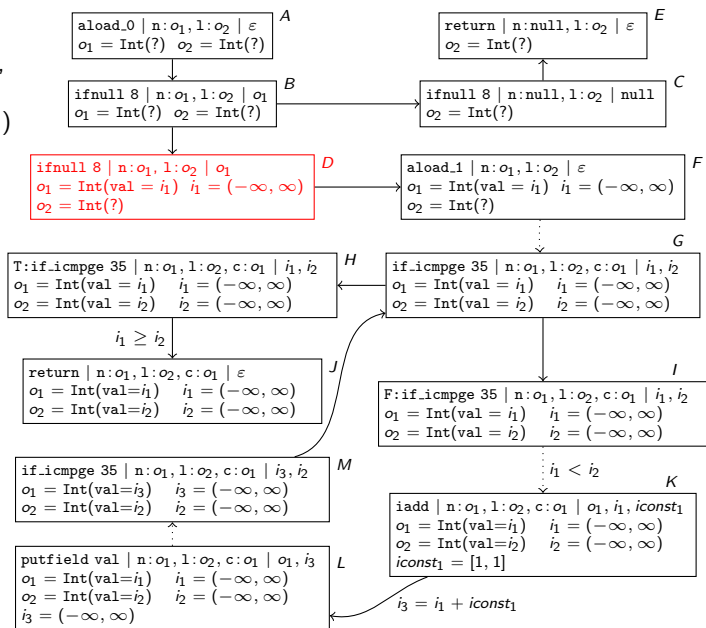
Transforming D

$jIO(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $jIO(\text{Int}(\text{eoc}, i_1))$



Transforming States to Tuples of Terms

Transforming D
 $f_D(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$



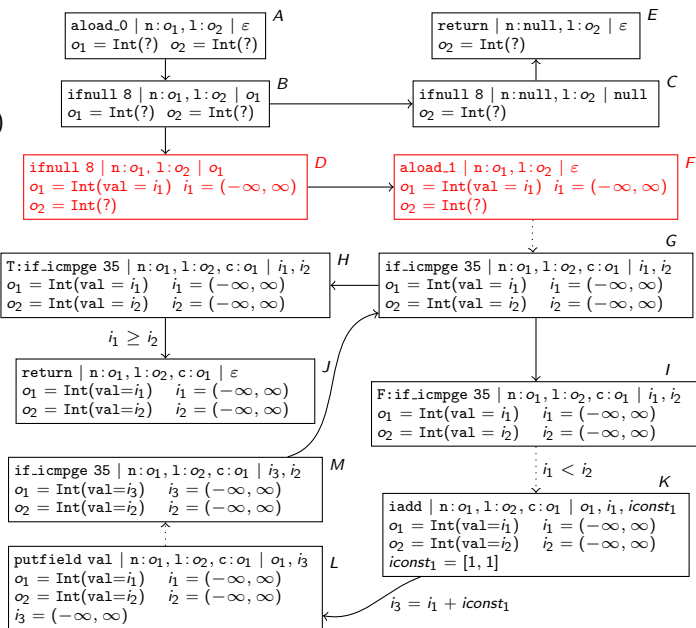
Transforming States to Tuples of Terms

Transforming D

$f_D(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$

Transforming F

$f_F(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2)$



Transforming Edges to Rewrite Rules

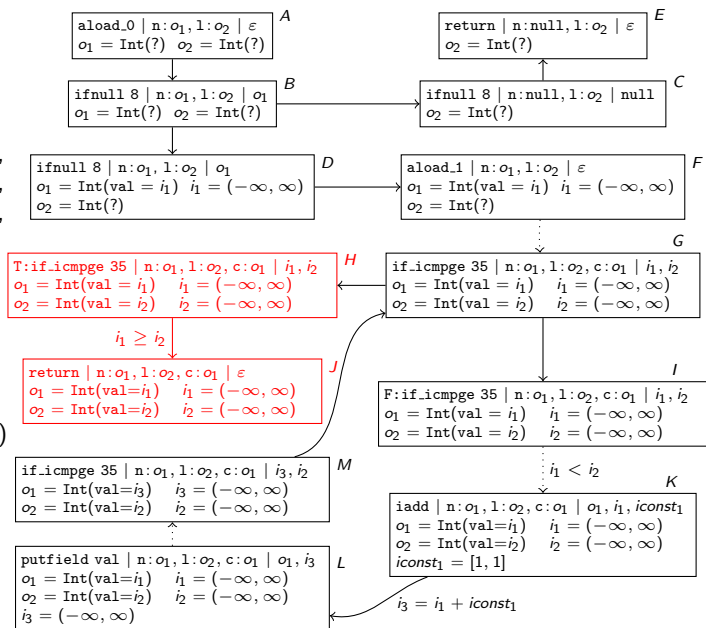
Transforming Evaluation Edges with Conditions

$f_H(jIO(Int(eoc, i_1)),$
 $jIO(Int(eoc, i_2)),$
 $jIO(Int(eoc, i_1)),$
 $i_1,$
 $i_2)$

→

$f_J(jIO(Int(eoc, i_1)),$
 $jIO(Int(eoc, i_2)),$
 $jIO(Int(eoc, i_1)))$

$| i_1 \geq i_2$



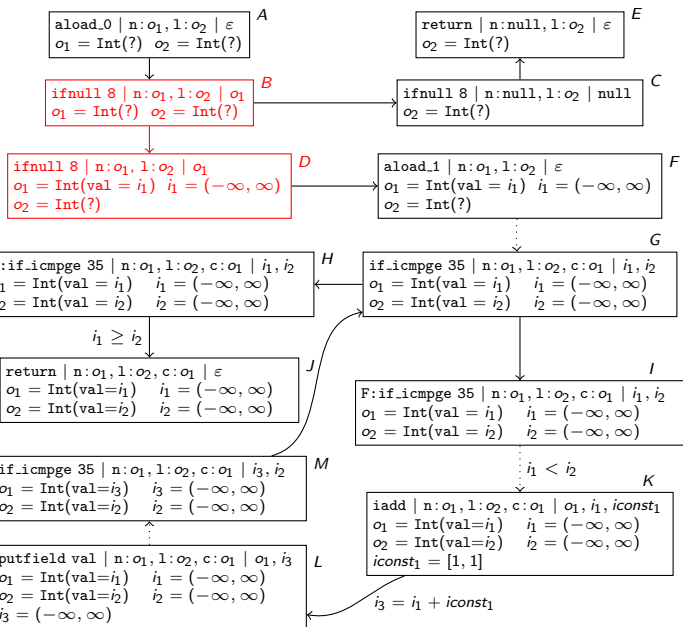
Transforming Edges to Rewrite Rules

Transforming Refinement Edges

$f_B(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$

→

$f_D(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$



Transforming Edges to Rewrite Rules

Merging Rewrite Rules

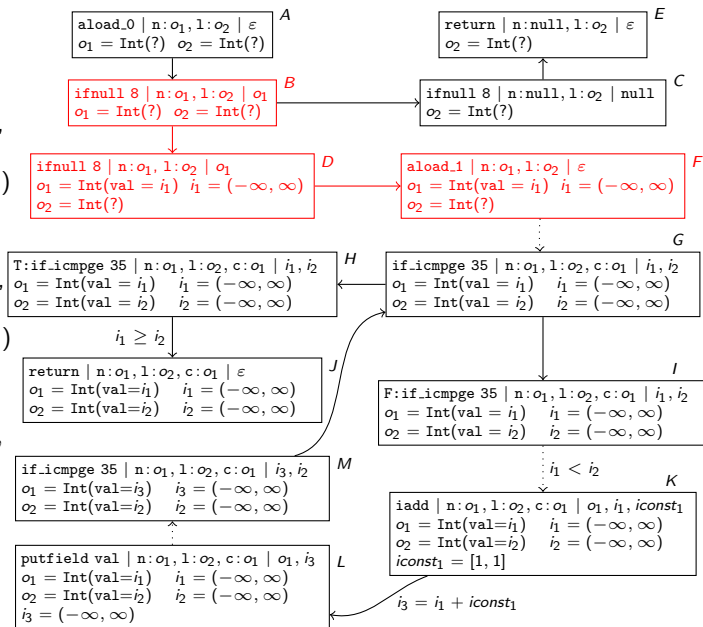
$f_B(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$

→

$f_D(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$

→

$f_F(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2)$



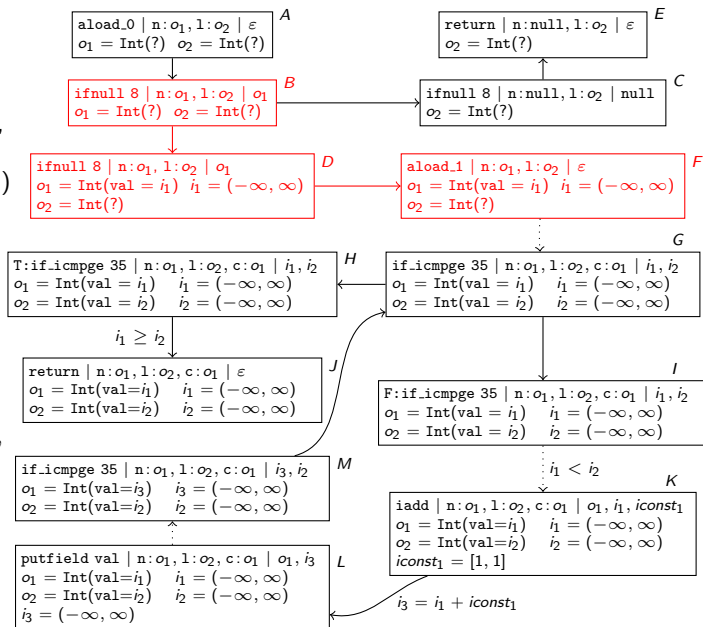
Transforming Edges to Rewrite Rules

Merging Rewrite Rules

$f_B(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2,$
 $\text{jIO}(\text{Int}(\text{eoc}, i_1)))$

→

$f_F(\text{jIO}(\text{Int}(\text{eoc}, i_1)),$
 $o_2)$



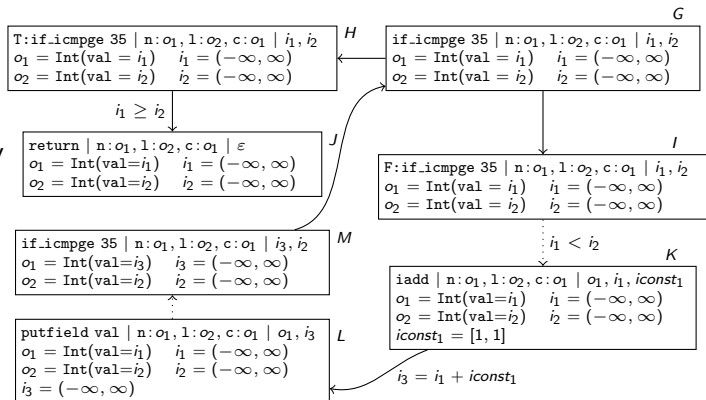
Transforming Edges to Rewrite Rules

TRS for count

$$f_G(\text{jIO}(\text{Int}(\text{eoc}, i_1)), \text{jIO}(\text{Int}(\text{eoc}, i_2)), \text{jIO}(\text{Int}(\text{eoc}, i_1)), i_1, i_2) \rightarrow f_G(\text{jIO}(\text{Int}(\text{eoc}, i_1 + 1)), \text{jIO}(\text{Int}(\text{eoc}, i_2)), \text{jIO}(\text{Int}(\text{eoc}, i_1 + 1)), i_1 + 1, i_2) \mid i_1 < i_2$$

TRS is
"natural"

termination easy
to prove
automatically



From Termination Graphs to TRSs

TRS for count

$$\begin{array}{l} f_G(\text{jIO}(\text{Int}(\text{eoc}, i_1)), \quad \text{jIO}(\text{Int}(\text{eoc}, i_2)), \quad \text{jIO}(\text{Int}(\text{eoc}, i_1)), \quad i_1, \quad i_2) \rightarrow \\ f_G(\text{jIO}(\text{Int}(\text{eoc}, i_1 + 1)), \quad \text{jIO}(\text{Int}(\text{eoc}, i_2)), \quad \text{jIO}(\text{Int}(\text{eoc}, i_1 + 1)), \quad i_1 + 1, \quad i_2) \quad | \quad i_1 < i_2 \end{array}$$

- every JBC-computation of concrete states corresponds to a *computation path* in the termination graph
- termination graph is called *terminating* iff it has no infinite computation path
- every computation path corresponds to rewrite sequence in TRS

Theorem

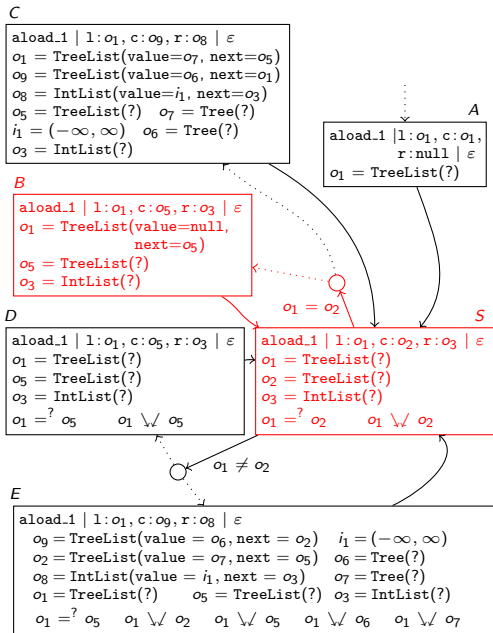
TRS corresponding to termination graph is terminating \Rightarrow

termination graph is terminating \Rightarrow

JBC-program terminating for all states represented in termination graph

From Termination Graphs to TRSs

$f_S(\text{TL}(\text{null}, o_5), \text{TL}(\text{null}, o_5), o_3) \rightarrow$
 $f_S(\text{TL}(\text{null}, o_5), o_5, o_3)$



From Termination Graphs to TRSs

$f_S(\text{TL}(\text{null}, o_5), \text{TL}(\text{null}, o_5), o_3) \rightarrow$
 $f_S(\text{TL}(\text{null}, o_5), o_5, o_3)$

$f_S(\dots, \text{TL}(\text{T}(i_1, o_6, o_7), o_5), o_3) \rightarrow$
 $f_S(\dots, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$

C

$\text{aload}_1 \mid l: o_1, c: o_9, r: o_8 \mid \varepsilon$
 $o_1 = \text{TreeList}(\text{value}=o_7, \text{next}=o_5)$
 $o_9 = \text{TreeList}(\text{value}=o_6, \text{next}=o_1)$
 $o_8 = \text{IntList}(\text{value}=i_1, \text{next}=o_3)$
 $o_5 = \text{TreeList}(\?) \quad o_7 = \text{Tree}(\?)$
 $i_1 = (-\infty, \infty) \quad o_6 = \text{Tree}(\?)$
 $o_3 = \text{IntList}(\?)$

B

$\text{aload}_1 \mid l: o_1, c: o_5, r: o_3 \mid \varepsilon$
 $o_1 = \text{TreeList}(\text{value}=\text{null}, \text{next}=o_5)$
 $o_5 = \text{TreeList}(\?)$
 $o_3 = \text{IntList}(\?)$

D

$\text{aload}_1 \mid l: o_1, c: o_5, r: o_3 \mid \varepsilon$
 $o_1 = \text{TreeList}(\?)$
 $o_5 = \text{TreeList}(\?)$
 $o_3 = \text{IntList}(\?)$
 $o_1 = ? \quad o_5 \quad o_1 \vee\! \vee \quad o_5$

$o_1 = o_2$

S

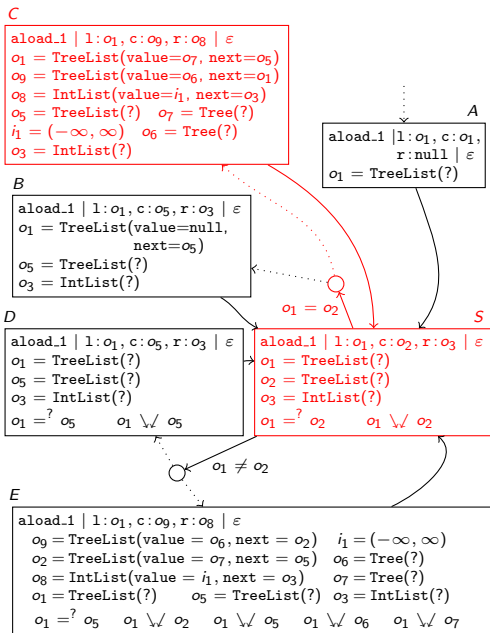
$\text{aload}_1 \mid l: o_1, c: o_2, r: o_3 \mid \varepsilon$
 $o_1 = \text{TreeList}(\?)$
 $o_2 = \text{TreeList}(\?)$
 $o_3 = \text{IntList}(\?)$
 $o_1 = ? \quad o_2 \quad o_1 \vee\! \vee \quad o_2$

E

$\text{aload}_1 \mid l: o_1, c: o_9, r: o_8 \mid \varepsilon$
 $o_9 = \text{TreeList}(\text{value} = o_6, \text{next} = o_2) \quad i_1 = (-\infty, \infty)$
 $o_2 = \text{TreeList}(\text{value} = o_7, \text{next} = o_5) \quad o_6 = \text{Tree}(\?)$
 $o_8 = \text{IntList}(\text{value} = i_1, \text{next} = o_3) \quad o_7 = \text{Tree}(\?)$
 $o_1 = \text{TreeList}(\?) \quad o_5 = \text{TreeList}(\?) \quad o_3 = \text{IntList}(\?)$
 $o_1 = ? \quad o_5 \quad o_1 \vee\! \vee \quad o_2 \quad o_1 \vee\! \vee \quad o_5 \quad o_1 \vee\! \vee \quad o_6 \quad o_1 \vee\! \vee \quad o_7$

A

$\text{aload}_1 \mid l: o_1, c: o_1, r: \text{null} \mid \varepsilon$
 $o_1 = \text{TreeList}(\?)$

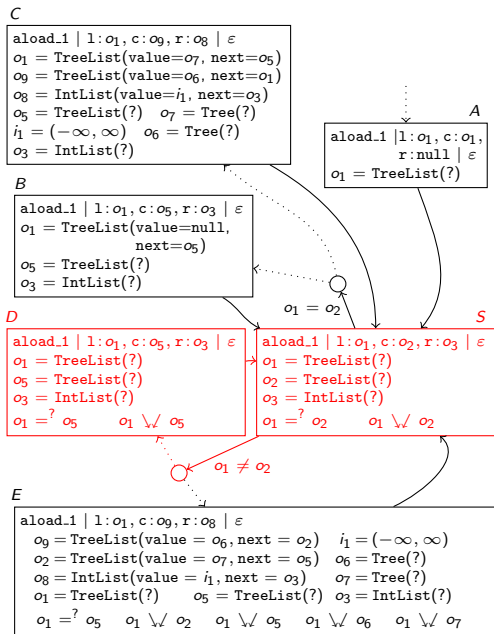


From Termination Graphs to TRSs

$f_S(\text{TL}(\text{null}, o_5), \text{TL}(\text{null}, o_5), o_3) \rightarrow$
 $f_S(\text{TL}(\text{null}, o_5), o_5, o_3)$

$f_S(\dots, \text{TL}(T(i_1, o_6, o_7), o_5), o_3) \rightarrow$
 $f_S(\dots, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$

$f_S(o_1, \text{TL}(\text{null}, o_5), o_3) \rightarrow$
 $f_S(o_1, o_5, o_3)$



From Termination Graphs to TRSs

$$f_S(\text{TL}(\text{null}, o_5), \text{TL}(\text{null}, o_5), o_3) \rightarrow$$

$$f_S(\text{TL}(\text{null}, o_5), o_5, o_3)$$

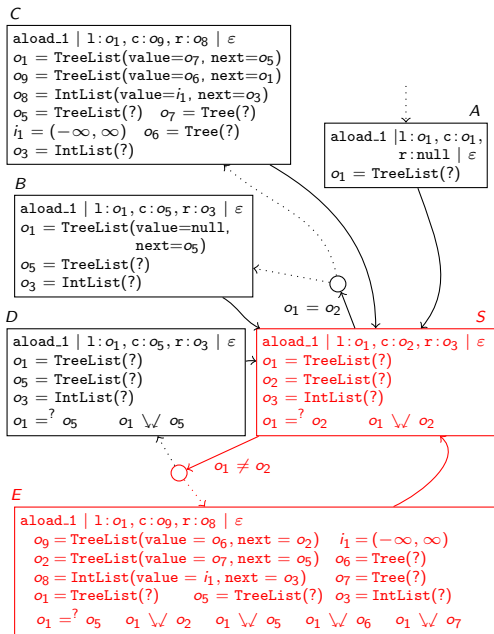
$$f_S(\dots, \text{TL}(T(i_1, o_6, o_7), o_5), o_3) \rightarrow$$

$$f_S(\dots, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$$

$$f_S(o_1, \text{TL}(\text{null}, o_5), o_3) \rightarrow$$

$$f_S(o_1, o_5, o_3)$$

$$f_S(o_1, \text{TL}(T(i_1, o_6, o_7), o_5), o_3) \rightarrow$$

$$f_S(o'_1, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$$


From Termination Graphs to TRSs

$$f_S(\text{TL}(\text{null}, o_5), \text{TL}(\text{null}, o_5), o_3) \rightarrow$$

$$f_S(\text{TL}(\text{null}, o_5), o_5, o_3)$$

$$f_S(\dots, \text{TL}(T(i_1, o_6, o_7), o_5), o_3) \rightarrow$$

$$f_S(\dots, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$$

$$f_S(o_1, \text{TL}(\text{null}, o_5), o_3) \rightarrow$$

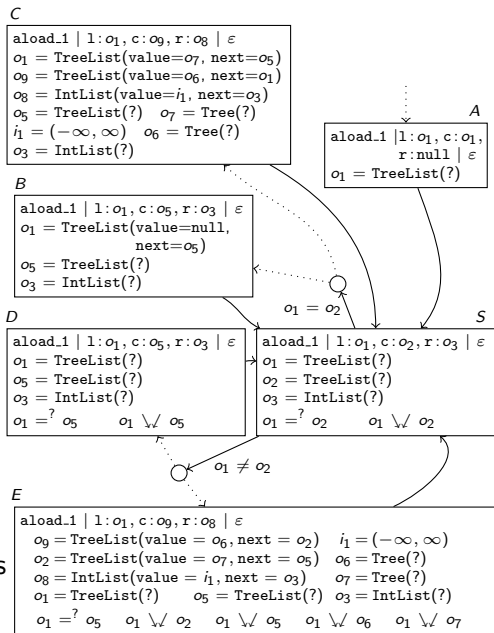
$$f_S(o_1, o_5, o_3)$$

$$f_S(o_1, \text{TL}(T(i_1, o_6, o_7), o_5), o_3) \rightarrow$$

$$f_S(o'_1, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$$

Rewrite Rules & Annotations

- when writing to a field of o_2 with $o_1 \Downarrow o_2$:
 o_1 on lhs, fresh variable o'_1 on rhs
- cyclic objects: fresh variable on rhs



From Termination Graphs to TRSs

$f_S(\text{TL}(\text{null}, o_5), \text{TL}(\text{null}, o_5), o_3) \rightarrow$

$f_S(\text{TL}(\text{null}, o_5), o_5, o_3)$

$f_S(\dots, \text{TL}(T(i_1, o_6, o_7), o_5), o_3) \rightarrow$

$f_S(\dots, \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(i_1, o_3))$

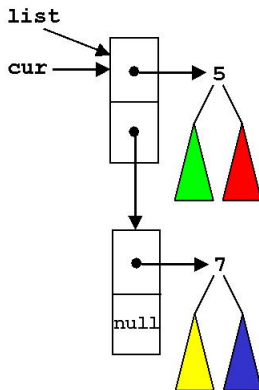
$f_S(o_1, \text{TL}(\text{null}, o_5), o_3) \rightarrow$

$f_S(o_1, o_5, o_3)$

$f_S(o_1, \text{TL}(T(5, o_6, o_7), o_5), \text{null}) \rightarrow$

$f_S(o_1', \text{TL}(o_6, \text{TL}(o_7, o_5)), \text{IL}(5, \text{null}))$

result: null



TRS is "*natural*"

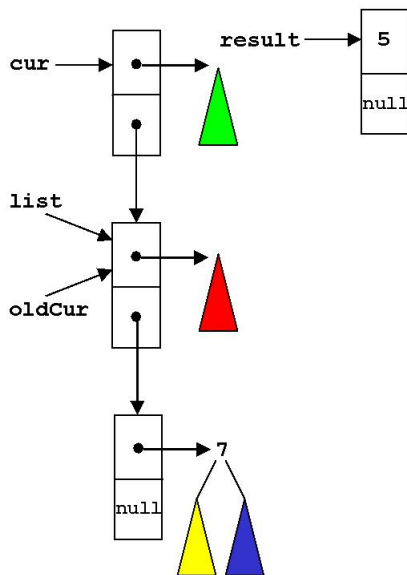
From Termination Graphs to TRSs

$$f_S(\text{TL}(\text{null}, \sigma_5), \text{TL}(\text{null}, \sigma_5), \sigma_3) \rightarrow$$
$$f_S(\text{TL}(\text{null}, \sigma_5), \sigma_5, \sigma_3)$$
$$f_S(\dots, \text{TL}(T(i_1, \sigma_6, \sigma_7), \sigma_5), \sigma_3) \rightarrow$$
$$f_S(\dots, \text{TL}(\sigma_6, \text{TL}(\sigma_7, \sigma_5)), \text{IL}(i_1, \sigma_3))$$
$$f_S(\sigma_1, \text{TL}(\text{null}, \sigma_5), \sigma_3) \rightarrow$$
$$f_S(\sigma_1, \sigma_5, \sigma_3)$$
$$f_S(\sigma_1, \text{TL}(T(5, \sigma_6, \sigma_7), \sigma_5), \text{null}) \rightarrow$$
$$f_S(\sigma'_1, \text{TL}(\sigma_6, \text{TL}(\sigma_7, \sigma_5)), \text{IL}(5, \text{null}))$$

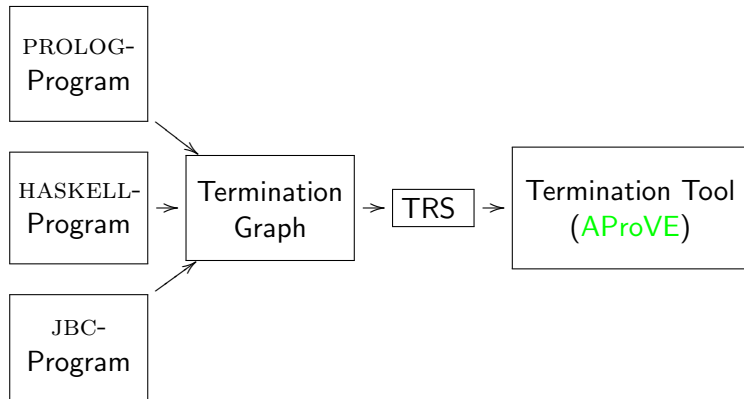
TRS is “*natural*”

termination easy

to prove automatically



Automated Termination Analysis of JAVA BYTECODE by Term Rewriting



Automated Termination Analysis of JAVA BYTECODE by Term Rewriting

- implemented in AProVE and evaluated on collection of 125 JBC-programs (*Termination Problem Data Base*)

	Success	Failure	Timeout	Runtime
AProVE	102	6	17	15.0
Julia	91	34	0	2.7
COSTA	72	52	1	4.5

- AProVE winner of the *International Termination Competition* for JBC, HASKELL, PROLOG, term rewriting
- <http://aprove.informatik.rwth-aachen.de>
- termination of “real” languages can be analyzed automatically, term rewriting is a suitable approach