

Dependency Triples for Improving Termination Analysis of Logic Programs with Cut^{*}

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Abstract. In very recent work, we introduced a non-termination preserving transformation from logic programs with cut to definite logic programs. While that approach allows us to prove termination of a large class of logic programs with cut automatically, in several cases the transformation results in a non-terminating definite logic program.

In this paper we extend the transformation such that logic programs with cut are no longer transformed into definite logic programs, but into dependency triple problems. By the implementation of our new method and extensive experiments, we empirically evaluate the practical benefit of our contributions.

1 Introduction

Automated termination analysis for logic programs has been widely studied, see, e.g., ([3–5, 13, 15, 16, 19]). Still, virtually all existing techniques only prove universal termination of *definite* logic programs, which do not use the *cut* “!”.³ But most realistic Prolog programs make use of the cut or related operators such as *negation as failure* (“\+”) or *if then else* (“ $\rightarrow \dots ; \dots$ ”), which can be expressed using cuts. In [18] we introduced a non-termination preserving automated transformation from logic programs with cut to definite logic programs. The transformation consists of two stages. The first stage is based on constructing a so-called *termination graph* from a given logic program with cut. The second stage is the generation of a definite logic program from this termination graph. In this paper, we improve the second stage of the transformation. Instead of generating a definite logic program from the termination graph, we now

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³ An exception is [12], which presents a transformation of “safely-typed” logic programs to term rewrite systems. However, the resulting rewrite systems are quite complex and since there is no implementation of [12], it is unclear whether they can indeed be handled by existing termination tools from term rewriting. Moreover, [12] does not allow programs with arbitrary cuts (e.g., it does not operate on programs like the one in Ex. 1).

generate a dependency triple problem. The goal is to improve the power of the approach, i.e., to succeed also in many cases where the transformation of [18] yields a non-terminating definite logic program.

Dependency triples were introduced in [14] and improved further to the so-called *dependency triple framework* in [17]. The idea was to adapt the successful dependency pair framework [2, 8–10] from term rewriting to (definite) logic programming. This resulted in a completely modular method for termination analysis of logic programs which even allowed to combine “direct” and “transformational” methods within the proof of one and the same program. The experiments in [17] showed that this leads to the most powerful approach for automated termination analysis of definite logic programs so far. Our aim is to benefit from this work by providing an immediate translation from logic programs with cut (resp. from their termination graphs) to dependency triple problems.

This paper is structured as follows. After a short section on preliminaries, we recapitulate the construction of termination graphs in Sect. 3 and we demonstrate their transformation into definite logic programs in Sect. 4. Then, in Sect. 5 we illustrate the idea of dependency triples and introduce a novel transformation of termination graphs into dependency triple problems. We show that this new transformation has significant practical advantages in Sect. 6 and, finally, conclude in Sect. 7.

2 Preliminaries

See e.g. [1] for the basics of logic programming. We distinguish between individual cuts to make their scope explicit. Thus, we use a signature Σ containing all predicate and function symbols as well as all labeled versions of the cut operator $\{!_m/0 \mid m \in \mathbb{N}\}$. For simplicity we just consider terms $\mathcal{T}(\Sigma, \mathcal{V})$ and no atoms, i.e., we do not distinguish between predicate and function symbols.⁴ A *query* is a sequence of terms from $\mathcal{T}(\Sigma, \mathcal{V})$. Let $\text{Goal}(\Sigma, \mathcal{V})$ be the set of all queries, where \square is the empty query. A *clause* is a pair $H \leftarrow B$ where the *head* H is from $\mathcal{T}(\Sigma, \mathcal{V})$ and the *body* B is a query. A *logic program* \mathcal{P} (possibly with cut) is a finite sequence of clauses. $\text{Slice}(\mathcal{P}, t)$ are all clauses for t ’s predicate, i.e., $\text{Slice}(\mathcal{P}, p(t_1, \dots, t_n)) = \{c \mid c = "p(s_1, \dots, s_n) \leftarrow B" \in \mathcal{P}\}$.

A substitution σ is a function $\mathcal{V} \rightarrow \mathcal{T}(\Sigma, \mathcal{V})$ and we often denote its application to a term t by $t\sigma$ instead of $\sigma(t)$. As usual, $\text{Dom}(\sigma) = \{X \mid X\sigma \neq X\}$ and $\text{Range}(\sigma) = \{X\sigma \mid X \in \text{Dom}(\sigma)\}$. The restriction of σ to $\mathcal{V}' \subseteq \mathcal{V}$ is $\sigma|_{\mathcal{V}'}(X) = \sigma(X)$ if $X \in \mathcal{V}'$, and $\sigma|_{\mathcal{V}'}(X) = X$ otherwise. A substitution σ is the *most general unifier* (mgu) of s and t iff $s\sigma = t\sigma$ and, whenever $s\gamma = t\gamma$ for some other unifier γ , there exists a δ such that $X\gamma = X\sigma\delta$ for all $X \in \mathcal{V}(s) \cup \mathcal{V}(t)$. If s and t have no mgu, we write $s \not\sim t$.

Let Q be a query A_1, \dots, A_m , let c be a clause $H \leftarrow B_1, \dots, B_k$. Then Q' is a *resolvent* of Q and c using θ (denoted $Q \vdash_{c,\theta} Q'$) if θ is the mgu of A_1 and H , and $Q' = (B_1, \dots, B_k, A_2, \dots, A_m)\theta$.

⁴ To ease the presentation, in the paper we exclude terms with cuts $!_m$ as proper subterms.

A *derivation* of a program \mathcal{P} and Q is a possibly infinite sequence Q_0, Q_1, \dots of queries with $Q_0 = Q$ where for all i , we have $Q_i \vdash_{c_{i+1}, \theta_{i+1}} Q_{i+1}$ for some substitution θ_{i+1} and some fresh variant c_{i+1} of a clause from \mathcal{P} . For a derivation Q_0, \dots, Q_n as above, we also write $Q_0 \vdash_{\mathcal{P}, \theta_1, \dots, \theta_n}^n Q_n$ or $Q_0 \vdash_{\mathcal{P}}^n Q_n$, and we also write $Q_i \vdash_{\mathcal{P}} Q_{i+1}$ for $Q_i \vdash_{c_{i+1}, \theta_{i+1}} Q_{i+1}$. The query Q *terminates* for \mathcal{P} if all derivations of \mathcal{P} and Q are finite, i.e., if $\vdash_{\mathcal{P}}$ is terminating for Q . $\text{Answer}(\mathcal{P}, Q)$ is the set of all substitutions δ such that $Q \vdash_{\mathcal{P}, \delta}^n \square$ for some $n \in \mathbb{N}$.

Finally, to denote the term resulting from replacing all occurrences of a function symbol f in a term t by another function symbol g , we write $t[f/g]$.

3 Termination Graphs

To illustrate the concepts and the contributions of this paper, we use the following leading example. While this example has been designed for this purpose, as demonstrated in Sect. 6, our contributions also have a considerable effect for termination analysis of “general” logic programs with cut.

Example 1. The following clauses define a (simplified) variant of the logic program `Stroeder09/gies197.pl` from the Termination Problem Data Base [23] that is used in the annual international Termination Competition [22]. This example formulates a functional program from [7, 24] with nested recursion as a logic program. Here, the predicate `p` is used to compute the predecessor of a natural number while `eq` is used to unify two terms.

$$f(0, Y) \leftarrow !, eq(Y, 0). \quad (1)$$

$$f(X, Y) \leftarrow p(X, P), f(P, U), f(U, Y). \quad (2)$$

$$p(0, 0). \quad (3)$$

$$p(s(X), X). \quad (4)$$

$$eq(X, X). \quad (5)$$

Note that when ignoring cuts, this logic program is not terminating for the set of queries $\{f(t_1, t_2) \mid t_1 \text{ is ground}\}$. To see this, consider the following derivation: $f(0, A) \vdash p(0, P), f(P, U), f(U, A) \vdash_{\{P/0\}} f(0, U), f(U, A) \vdash eq(U, 0), f(U, A) \vdash_{\{U/0\}} f(0, A)$. Clearly, this leads to an infinite (looping) derivation.

Fig. 1 recapitulates the formulation of the operational semantics of logic programming with cut that we introduced in [18]. A formal proof on the correspondence of our inference rules to the semantics of the Prolog ISO standard [6] can be found in [20]. The formulation with our inference rules is particularly suitable for an extension to *classes* of queries in Fig. 2, and for synthesizing cut-free programs in Sect. 4 or dependency triples in Sect. 5. Our semantics is given by seven inference rules. They operate on *states* that do not just represent the current goal, but also the backtrack information that is needed to describe the effect of cuts. The backtrack information is given by a sequence of goals (separated by “|”) which are optionally labeled by the program clause i that has to be applied to the goal next and by a number m that determines how cuts will

$$\begin{array}{c}
\frac{\square \mid S}{S} \text{ (SUC)} \quad \frac{?_m \mid S}{S} \text{ (FAIL)} \quad \frac{!_m, Q \mid S \mid ?_m \mid S'}{Q \mid ?_m \mid S'} \text{ (CUT)} \quad \frac{\text{where } S \text{ contains no } ?_m}{!_m, Q \mid S} \text{ (CUT)} \quad \frac{\text{where } S \text{ contains no } ?_m}{Q} \text{ (CUT)} \\
\\
\frac{t, Q \mid S}{(t, Q)^{i_1}_m \mid \dots \mid (t, Q)^{i_k}_m \mid ?_m \mid S} \text{ (CASE)} \quad \text{where } t \text{ is neither a cut nor a variable, } m \text{ is greater than all previous marks, and } \text{Slice}(\mathcal{P}, t) = \{c_{i_1}, \dots, c_{i_k}\} \text{ with } i_1 < \dots < i_k \\
\\
\frac{(t, Q)^{i_m}_m \mid S}{B'_i \sigma, Q \sigma \mid S} \text{ (EVAL)} \quad \text{where } c_i = H_i \leftarrow B_i, \quad \frac{(t, Q)^{i_m}_m \mid S}{S} \text{ (BACKTRACK)} \quad \text{where } c_i = H_i \leftarrow B_i \\
B'_i = B_i[! / !_m].
\end{array}$$

Fig. 1. Operational Semantics by Concrete Inference Rules

be labeled when evaluating this goal later on. Moreover, our states also contain explicit *marks* $?_m$ to mark the end of the scope of a cut $!_m$.

For the query $f(0, A)$ in Ex. 1, we obtain the following derivation with the rules of Fig. 1: $f(0, A) \vdash_{\text{CASE}} f(0, A)_1^1 \mid f(0, A)_1^2 \mid ?_1 \vdash_{\text{EVAL}} !_1, \text{eq}(A, 0) \mid f(0, A)_1^2 \mid ?_1 \vdash_{\text{CUT}} \text{eq}(A, 0) \mid ?_1 \vdash_{\text{CASE}} \text{eq}(A, 0)_2^5 \mid ?_2 \mid ?_1 \vdash_{\text{EVAL}} \square \mid ?_2 \mid ?_1 \vdash_{\text{SUC}} ?_2 \mid ?_1 \vdash_{\text{FAIL}} ?_1 \vdash_{\text{FAIL}} \varepsilon$. Thus, when considering cuts, our logic program terminates for the query $f(0, A)$, and, indeed, it terminates for all queries from the set $\{f(t_1, t_2) \mid t_1 \text{ is ground}\}$. For further details on the intuition behind the inference rules, we refer to [18].

To show termination for infinite sets of queries (e.g., $\{f(t_1, t_2) \mid t_1 \text{ is ground}\}$), we need to represent classes of queries by abstract states. To this end, in [18] we introduced *abstract terms* and a set \mathcal{A} of *abstract variables*, where each $T \in \mathcal{A}$ represents a fixed but arbitrary term. \mathcal{N} consists of all “ordinary” variables in logic programming. Then, as *abstract terms* we consider all terms from the set $\mathcal{T}(\Sigma, \mathcal{V})$ where $\mathcal{V} = \mathcal{N} \uplus \mathcal{A}$. *Concrete terms* are terms from $\mathcal{T}(\Sigma, \mathcal{N})$, i.e., terms containing no abstract variables. For any set $\mathcal{V}' \subseteq \mathcal{V}$, let $\mathcal{V}'(t)$ be the variables from \mathcal{V}' occurring in the term t . To determine by which terms an abstract variable may be instantiated, we add a knowledge base $KB = (\mathcal{G}, \mathcal{U})$ to each state, where $\mathcal{G} \subseteq \mathcal{A}$ and $\mathcal{U} \subseteq \mathcal{T}(\Sigma, \mathcal{V}) \times \mathcal{T}(\Sigma, \mathcal{V})$. Instantiations γ that respect KB may only instantiate the variables in \mathcal{G} by ground terms. And $(s, s') \in \mathcal{U}$ means that we are restricted to instantiations γ where $s\gamma \not\sim s'\gamma$, i.e., s and s' may not become unifiable when instantiating them with γ . We call a substitution γ that respects the information in KB a *concretization* w.r.t. KB .

Fig. 2 shows the abstract inference rules introduced in [18]. They work on classes of queries represented by abstract terms with a knowledge base. Except for BACKTRACK and EVAL, the adaption of the concrete inference rules to corresponding abstract inference rules is straightforward.

For BACKTRACK and EVAL we must consider that the set of queries represented by an abstract state may contain both queries where the concrete EVAL rule and where the concrete BACKTRACK rule is applicable. Thus, the abstract EVAL rule has two successors corresponding to these two cases. As abstract variables not known to represent ground terms may share variables, we have to replace all variables by fresh abstract variables in EVAL’s left successor state which corresponds to the application of the concrete EVAL rule. For the backtrack

$\frac{\square \mid S; KB}{S; KB} \text{ (SUC)}$	$\frac{?_m \mid S; KB}{S; KB} \text{ (FAIL)}$
$\frac{!_m, Q \mid S \mid ?_m \mid S'; KB}{Q \mid ?_m \mid S'; KB} \text{ (CUT) where } \begin{array}{c} S \\ \text{contains no} \\ ?_m \end{array}$	$\frac{!_m, Q \mid S; KB}{Q; KB} \text{ (CUT) where } \begin{array}{c} S \\ \text{contains no} \\ ?_m \end{array}$
$\frac{t, Q \mid S; KB}{(t, Q)^{i_1}_m \mid \dots \mid (t, Q)^{i_k}_m \mid ?_m \mid S; KB} \text{ (CASE)}$	<p>where t is neither a cut nor a variable, m is greater than all previous marks, and $\text{Slice}(\mathcal{P}, t) = \{c_{i_1}, \dots, c_{i_k}\}$ with $i_1 < \dots < i_k$</p>
$\frac{(t, Q)_m^i \mid S; KB}{S; KB} \text{ (BACKTRACK)}$	<p>where $c_i = H_i \leftarrow B_i$ and there is no concretization γ w.r.t. KB such that $t\gamma \sim H_i$.</p>
$\frac{(t, Q)^{i_m}_m \mid S; (\mathcal{G}, \mathcal{U})}{B'_i \sigma, Q\sigma \mid S\sigma _{\mathcal{G}}; (\mathcal{G}', \mathcal{U}\sigma _{\mathcal{G}})} \text{ (EVAL)}$	

where $c_i = H_i \leftarrow B_i$ and $mgu(t, H_i) = \sigma$. W.l.o.g., for all $X \in \mathcal{V}$, $\mathcal{V}(\sigma(X))$ only contains fresh abstract variables not occurring in t, Q, S, G , or \mathcal{U} . Moreover, $\mathcal{G}' = \mathcal{A}(\text{Range}(\sigma|_{\mathcal{G}}))$ and $B'_i = B_i[!/\mathbf{!m}_i]$.

$\frac{S; (\mathcal{G}, \mathcal{U})}{S'; (\mathcal{G}', \mathcal{U}')} \text{ (INSTANCE)}$ if there is a μ such that $S = S'\mu$, $\mu|_{\mathcal{N}}$ is a variable renaming, $\mathcal{V}(T\mu) \subseteq \mathcal{G}$ for all $T \in \mathcal{G}'$, and $\mathcal{U}'\mu \subseteq \mathcal{U}$.

$$\frac{S \mid S'; KB}{S; KB} \quad \frac{S' \mid KB}{S'; KB} \quad (\text{PARALLEL}) \quad \text{if } AC(S) \cap AM(S') = \emptyset$$

The *active cuts* $AC(S)$ are all m where $!_m$ is in S or $(t, q)^i_m$ is in S and c_i 's body has a cut. The *active marks* $AM(S)$ are all m where $S = S' \mid ?_m \mid S''$ and $S' \neq \varepsilon \neq S''$.

where μ replaces all (abstract and non-abstract) variables from $\mathcal{V} \setminus \mathcal{G}$ by fresh abstract variables and $\mathcal{G}' = \mathcal{G} \cup \text{ApproxGnd}(t, \mu)$.

Here, we assume that we have a *groundness analysis* function $\text{Ground}_{\mathcal{P}} : \Sigma \times 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$, see, e.g., [11]. Then we have $\text{ApproxGnd}(p(t_1, \dots, t_n), \mu) = \{\mathcal{A}(t_j \mu) \mid j \in \text{Ground}_{\mathcal{P}}(p, \{i \mid \mathcal{V}(t_i) \subseteq \mathcal{G}\})\}$.

Fig. 2. Abstract Inference Rules

possibilities and the knowledge base, however, we may only use an answer substitution $\sigma|_{\mathcal{G}}$ restricted to abstract variables known to represent ground terms. The reason is that, due to backtracking, any substitutions of non-abstract variables may become canceled. For the abstract variables $T \in \mathcal{G}$, their instantiation with the answer substitution of the abstract EVAL rule corresponds to a case analysis over the shape of the ground terms that T is representing. Thus, in case of a successful unification we know that these terms must have a certain shape and we can keep this information also after backtracking. Fig. 3 shows how the rules of Fig. 2 can be applied to the initial state $f(T_1, T_2)$ with the knowledge base $(\{T_1\}, \emptyset)$, which represents the set of queries $\{f(t_1, t_2) \mid t_1 \text{ is ground}\}$. In Fig. 3 we applied the EVAL rule to Node B, for example. Its left successor corresponds to the case where T_1 represents the ground term 0 and, thus, the goal $f(T_1, T_2)$ unifies with the head of the first clause of the program. Here we can replace all occurrences of T_1 by 0, as (due to $T_1 \in \mathcal{G}$) 0 is the term represented by T_1 . In contrast, as $T_2 \notin \mathcal{G}$, the replacement of T_2 with the fresh variable T_3 is not performed in the second backtracking goal $f(0, T_2)_1^2$. The right successor of Node B corresponds to all cases where the unification with the head of Clause (1) fails.

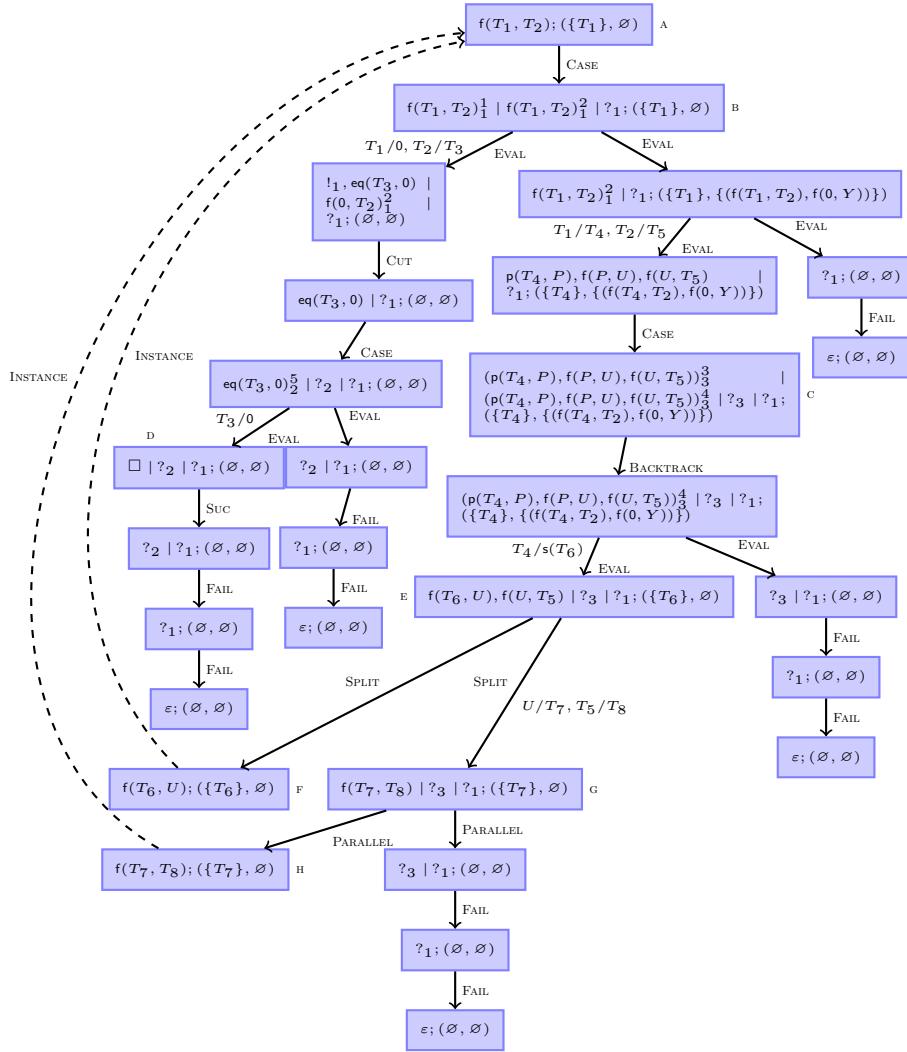


Fig. 3. Termination Graph for Ex. 1.

While the abstract EVAL rule is already sufficient for a sound simulation of all concrete derivations, the abstract BACKTRACK rule is virtually always needed for a successful termination analysis, since otherwise, the application of the abstract inference rules would almost always yield an infinite tree. To apply the abstract BACKTRACK rule to an abstract state, we have to ensure that this state does not represent any queries where the concrete EVAL rule would be applicable. In Fig. 3 we applied the BACKTRACK rule to Node C, for example. This is crucial for the success of the termination proof. As we know from the application of the EVAL rule to Node B, we are in the case where the first argument T_4 does not unify with 0. Hence, Clause (3) is not applicable to the first goal $p(T_4, P)$ and

thus, we can safely apply the BACKTRACK rule to C.

With the first seven rules, we would obtain infinite trees for non-terminating programs. Even for terminating programs we may obtain infinite trees as there is no bound on the size of the terms represented by abstract variables. For a finite analysis we have to refer back to already existing states. This is done by the INSTANCE rule. The intuition for this rule is that we can refer back to a state representing a superset of queries compared to the current state. This can be ensured by finding a matcher μ which matches the more general state to the more specific state. This rule can also be used to generalize states instead of referring back to existing states. This is needed in case of “repeatedly growing” terms which would otherwise never lead to a state where we can find an already existing instance. Considering our example graph in Fig. 3, we applied the INSTANCE rule to refer Node F back to Node A with the matching substitution $\{T_1/T_6, T_2/U\}$.

Still, this is not enough to always obtain a finite termination graph. On the one hand, the evaluation of a program may yield growing backtracking sequences which never lead to a state with an already existing instance. On the other hand, the number of terms in a query may also grow and cause the same problem. For the first situation we need the PARALLEL rule which can separate the backtracking sequence into two states. The second problem is solved by the SPLIT rule which splits off the first term of a single query. Both rules may lose precision, but are often needed for a finite analysis. To reduce the loss of precision, we approximate the answer substitutions of evaluations for the first successors of SPLIT nodes using a groundness analysis. This analysis determines whether some variables will be instantiated by ground terms in every successful derivation of SPLIT’s left child. Then in the right child, these variables can be added to \mathcal{G} and all other variables are replaced by fresh abstract variables (this is necessary due to sharing). In Fig. 3 we applied the PARALLEL rule to Node G. In this way, we created its child H which is an instance of the earlier Node A. The SPLIT rule is used to separate the goal $f(T_6, U)$ from the remainder of the state in Node E. The resulting child F is again an instance of A. For E’s second child G, the groundness analysis found out that the variable U in the goal $f(U, T_5)$ must be instantiated with a ground term T_7 during the evaluation of node F. Therefore, T_7 is added to the set \mathcal{G} in Node G. This groundness information is important for the success of the termination proof. Otherwise, the state G would also represent non-terminating queries and hence, the termination proof would fail.

Using these rules, for Ex. 1 we obtain the termination graph depicted in Fig. 3. A *termination graph* is a finite graph where no rule is applicable to its leaves and where there is no cycle which only uses the INSTANCE rule. Note that by applying an adequate strategy, we can obtain a termination graph for *any* logic program automatically [18, Thm. 2]. To ease presentation, in the graph of Fig. 3, we always removed those abstract variables from the knowledge base that do not occur in any goal of the respective state. A termination graph without leaves that start with variables is called *proper*. (Our termination proof fails if the graph contains leaves starting with abstract variables, since they stand for any possible query.) Again, we refer to [18] for further details and explanations.

4 Transformation into Definite Logic Programs

We now explain the second stage of the transformation from [18], i.e., the transformation of termination graphs into definite logic programs. For more details and formal definitions see [18].

Termination graphs have the property that each derivation of the original program corresponds to a path through the termination graph. Thus, infinite derivations of the original program correspond to an infinite traversal of cycles in the graph. The basic idea of the transformation is to generate (cut-free) clauses for each cycle in the graph. Then termination of the (definite) logic program consisting of these clauses implies termination of the original program. To simulate the traversal of cycles, we generate clauses for paths starting at the child of an INSTANCE or SPLIT node or at the root node and ending in a SUC or INSTANCE node or in a left child of an INSTANCE or SPLIT node while not traversing other INSTANCE nodes or left children of INSTANCE or SPLIT nodes. The formal definition of paths for which we generate clauses is given below. Here, for a termination graph G , let $\text{INSTANCE}(G)$ denote all nodes of G to which the rule INSTANCE has been applied (i.e., F and H). The sets $\text{SPLIT}(G)$ and $\text{SUC}(G)$ are defined analogously. For any node n , let $\text{Succ}(i, n)$ denote the i -th child of n .

Definition 2 (Clause Path [18]). A path $\pi = n_1 \dots n_k$ in G is a clause path iff $k > 1$ and

- $n_1 \in \text{Succ}(1, \text{INSTANCE}(G) \cup \text{SPLIT}(G))$ or n_1 is the root of G ,
- $n_k \in \text{SUC}(G) \cup \text{INSTANCE}(G) \cup \text{Succ}(1, \text{INSTANCE}(G) \cup \text{SPLIT}(G))$,
- for all $1 \leq j < k$, we have $n_j \notin \text{INSTANCE}(G)$,⁵ and
- for all $1 < j < k$, we have $n_j \notin \text{Succ}(1, \text{INSTANCE}(G) \cup \text{SPLIT}(G))$.

In the graph of Fig. 3 we find two clause paths ending in INSTANCE nodes. One path is from the root node A to the INSTANCE node F and one from the root node A to the INSTANCE node H. We introduce a fresh predicate symbol p_n for each node n , where these predicates have all distinct variables occurring in the node n as arguments.

For an INSTANCE node, however, we use the same predicate as for its child while applying the matching substitution used for the instantiation. Hence, for the nodes A, F, H in Fig. 3, we obtain the terms $p_A(T_1, T_2)$, $p_A(T_6, U)$, and $p_A(T_7, T_8)$. To generate clauses for every clause path, we have to consider the substitutions along the paths and successively apply them to the heads of the new clauses. Thus, for the clause path from A to F, we obtain the clause $p_A(s(T_6), T_5) \leftarrow p_A(T_6, U)$.

However, for cycles traversing right children of SPLIT nodes, the newly generated clause should contain an additional intermediate body atom. This is due to the fact that the derivation along such a path is only possible if the goal corresponding to the left child of the respective SPLIT node is successfully evaluated

⁵ Note that $n_k \in \text{Succ}(1, \text{INSTANCE}(G))$ is possible although $n_{k-1} \notin \text{INSTANCE}(G)$, since n_k may have more than one parent node in G .

first. Hence, we obtain the clause $p_A(s(T_6), T_8) \leftarrow p_A(T_6, T_7), p_A(T_7, T_8)$ for the path from A to H. To capture the evaluation of left children of SPLIT nodes, we also generate clauses corresponding to evaluations of left SPLIT children, i.e., paths in the graph from such nodes to SUC nodes, possibly traversing cycles first. Thus, the path from A to the only SUC node D is also a clause path. To transform it into a new clause, we have to apply the substitutions between the respective SPLIT node and the end of the path. For SUC nodes, we do not introduce new predicates. Hence, we obtain the fact $p_A(0, 0)$ for the path from A to D. Thus, the resulting definite logic program for the termination graph from Fig. 3 is the following. Note that here, T_5, T_6, T_7, T_8 are considered as normal variables.

$$p_A(0, 0). \quad (6)$$

$$p_A(s(T_6), T_5) \leftarrow p_A(T_6, U). \quad (7)$$

$$p_A(s(T_6), T_8) \leftarrow p_A(T_6, T_7), p_A(T_7, T_8). \quad (8)$$

Below we give the formal definition for the clauses and queries generated for clause paths. To ease the presentation, we assume that for any path π , we do not traverse a BACKTRACK, FAIL, or SUC node or the right successor of an EVAL node after traversing the left successor of an EVAL node. The more general case can be found in [18] and also in the extended definitions and proofs in [21].

Definition 3 (Logic Programs from Termination Graph [18]). Let G be a termination graph whose root n is $(f(T_1, \dots, T_m), (\{T_{i_1}, \dots, T_{i_k}\}, \emptyset))$. We define $\mathcal{P}_G = \bigcup_{\pi \text{ clause path in } G} \text{Clause}(\pi)$ and $\mathcal{Q}_G = \{p_n(t_1, \dots, t_m) \mid t_{i_1}, \dots, t_{i_k} \text{ are ground}\}$. For a path $\pi = n_1 \dots n_k$, let $\text{Clause}(\pi) = \text{Ren}(n_1)\sigma_\pi \leftarrow I_\pi, \text{Ren}(n_k)$. For $n \in \text{SUC}(G)$, $\text{Ren}(n)$ is \square and for $n \in \text{INSTANCE}(G)$, it is $\text{Ren}(\text{Succ}(1, n))\mu$ where μ is the substitution associated with the INSTANCE node n . Otherwise, $\text{Ren}(n)$ is $p_n(\mathcal{V}(n))$ where $\mathcal{V}(S; KB) = \mathcal{V}(S)$.

Finally, σ_π and I_π are defined as follows. Here for a path $\pi = n_1 \dots n_j$, the substitutions μ and σ are the labels on the outgoing edge of $n_{j-1} \in \text{SPLIT}(G)$ and $n_{j-1} \in \text{EVAL}(G)$, respectively.

$$\sigma_{n_1 \dots n_j} = \begin{cases} id & \text{if } j = 1 \\ \sigma_{n_1 \dots n_{j-1}} \mu & \text{if } n_{j-1} \in \text{SPLIT}(G), n_j = \text{Succ}(2, n_{j-1}) \\ \sigma_{n_1 \dots n_{j-1}} \sigma & \text{if } n_{j-1} \in \text{EVAL}(G), n_j = \text{Succ}(1, n_{j-1}) \\ \sigma_{n_1 \dots n_{j-1}} & \text{otherwise} \end{cases}$$

$$I_{n_j \dots n_k} = \begin{cases} \square & \text{if } j = k \\ \text{Ren}(\text{Succ}(1, n_j))\sigma_{n_j \dots n_k}, I_{n_{j+1} \dots n_k} & \text{if } n_j \in \text{SPLIT}(G), n_{j+1} = \text{Succ}(2, n_j) \\ I_{n_{j+1} \dots n_k} & \text{otherwise} \end{cases}$$

Unfortunately, in our example, the generated program (6)-(8) is not (universally) terminating for all queries of the form $p_A(t_1, t_2)$ where t_1 is a ground term. To see this, consider the query $p_A(s(s(0)), Z)$. We obtain the following derivation.

$$p_A(s(s(0)), Z) \vdash_{(8)} p_A(s(0), T_7), p_A(T_7, Z) \vdash_{(7)} p_A(0, U), p_A(T_7, Z) \vdash_{(6)} p_A(T_7, Z)$$

The last goal has infinitely many successful derivations. The reason why the transformation fails is that in the generated logic program, we cannot distinguish between the evaluation of intermediate goals and the traversal of cycles of the termination graph, since we only have one evaluation mechanism. We often encounter such problems when the original program has clauses whose body contains at least two atoms $q_1(\dots), q_2(\dots)$, where both predicates q_1 and q_2 have recursive clauses and where the call of q_2 depends on the result of q_1 . This is a very natural situation occurring in many practical programs (cf. our experiments in Sect. 6). It is also the case in our example for the second clause (2) (here we have the special case where both q_1 and q_2 are equal).

5 Transformation into Dependency Triple Problems

To solve the problem illustrated in the last section, we modify the second stage of the transformation to construct dependency triple problems [17] instead of definite logic programs. The advantage of dependency triple problems is that they support two different kinds of evaluation which suit our needs to handle the evaluation of intermediate goals and the traversal of cycles differently.

The basic structure in the dependency triple framework is very similar to a clause in logic programming. Indeed, a *dependency triple* (DT) [14] is just a clause $H \leftarrow I, B$ where H and B are atoms and I is a sequence of atoms. Intuitively, such a DT states that a call that is an instance of H can be followed by a call that is an instance of B if the corresponding instance of I can be proven.

Here, a “derivation” is defined in terms of a chain. Let \mathcal{D} be a set of DTs, \mathcal{P} be the program under consideration, and \mathcal{Q} be the class of queries to be analyzed.⁶ A (possibly infinite) sequence $(H_0 \leftarrow I_0, B_0), (H_1 \leftarrow I_1, B_1), \dots$ of variants from \mathcal{D} is a $(\mathcal{D}, \mathcal{Q}, \mathcal{P})$ -chain iff there are substitutions θ_i, σ_i and an $A \in \mathcal{Q}$ such that $\theta_0 = \text{mgu}(A, H_0)$ and for all i , we have $\sigma_i \in \text{Answer}(\mathcal{P}, I_i \theta_i)$ and $\theta_{i+1} = \text{mgu}(B_i \theta_i \sigma_i, H_{i+1})$. Such a tuple $(\mathcal{D}, \mathcal{Q}, \mathcal{P})$ is called a *dependency triple problem* and it is *terminating* iff there is no infinite $(\mathcal{D}, \mathcal{Q}, \mathcal{P})$ -chain.

As an example, consider the DT problem $(\mathcal{D}, \mathcal{Q}, \mathcal{P})$ with $\mathcal{D} = \{d_1\}$ where $d_1 = p(s(X), Y) \leftarrow \text{eq}(X, Z), p(Z, Y)$, $\mathcal{Q} = \{p(t_1, t_2) \mid t_1 \text{ is ground}\}$, and $\mathcal{P} = \{\text{eq}(X, X)\}$. Now, “ $d_1 d_1$ ” is a $(\mathcal{D}, \mathcal{Q}, \mathcal{P})$ chain. To see this, assume that $A = p(s(s(0)), 0)$. Then $\theta_0 = \{X/s(0), Y/0\}$, $\sigma_0 = \{Z/s(0)\}$, and $\theta_1 = \{X/0, Y/0\}$.

In this section we show how to synthesize a DT problem from a termination graph built for a logic program with cut such that termination of the DT problem implies termination of the original program w.r.t. the set of queries for which the termination graph was constructed. This approach is far more powerful than first constructing the cut-free logic program as in Sect. 4 and then transforming it into a DT problem. Indeed, the latter approach would fail for our leading example (as the cut-free program (6)-(8) is not terminating), whereas the termination proof succeeds when generating DT problems directly from the termination graph.

Like in the transformation into definite logic programs from [18], we have to prove that there is no derivation of the original program which corresponds to a

⁶ For simplicity, we use a set of initial queries instead of a general call set as in [17].

path traversing the cycles in the termination graph infinitely often.

To this end, we build a set \mathcal{D} of DTs for paths in the graph corresponding to cycles and a set \mathcal{P} of program clauses for paths corresponding to the evaluation of intermediate goals. For the component \mathcal{Q} of the resulting DT problem, we use a set of queries based on the root node.

We now illustrate how to use this idea to prove termination of Ex. 1 by building a DT problem for the termination graph from Fig. 3. We again represent each node by a fresh predicate symbol with the different variables occurring in the node as arguments. However, as before, for an INSTANCE node we take the predicate symbol of its child instead where we apply the matching substitution used for the respective instantiation and we do not introduce any predicates for SUC nodes. But in contrast to Sect. 4 and [18], we use different predicates for DTs and program clauses. In this way, we can distinguish between atoms used to represent the traversal of cycles and atoms used as intermediate goals.

To this end, instead of clause paths we now define *triple paths* (that are used to build the component \mathcal{D} of the resulting DT problem) and *program paths* (that are used for the component \mathcal{P} of the DT problem). Triple paths lead from the root node or the successor of an INSTANCE node to the beginning of a cycle, i.e., to an INSTANCE node or the successor of an INSTANCE node where we do not traverse other INSTANCE nodes or their children. Compared to the clause paths of Def. 2, triple paths do not start or stop in left successors of SPLIT nodes, but in contrast they may traverse them. Since finite computations are irrelevant for building infinite chains, triple paths do not stop in SUC nodes either.

Thus, we have two triple paths from the root node A to the INSTANCE nodes F and H. We also have to consider intermediate goals, but this time we use a predicate symbol p_A for the intermediate goal and a different predicate symbol q_A for the DTs. Hence, we obtain $q_A(s(T_6), T_5) \leftarrow q_A(T_6, U)$ and $q_A(s(T_6), T_8) \leftarrow p_A(T_6, T_7), q_A(T_7, T_8)$.

Concerning the evaluation for left successors of SPLIT nodes, we build program clauses for the component \mathcal{P} of the DT problem. The clauses result from *program paths* in the termination graph. These are paths starting in a left successor of a SPLIT node and ending in a SUC node. However, in addition to the condition that we do not traverse INSTANCE nodes or their successors, such a path may also not traverse another left successor of a SPLIT node as we are only interested in completely successful evaluations. Thus, the right successor of a SPLIT node must be reached. As the evaluation for left successors of SPLIT nodes may also traverse cycles before it reaches a fact, we also have to consider paths starting in the left successor of a SPLIT node or the successor of an INSTANCE node and ending in an INSTANCE node, a successor of an INSTANCE node, or a SUC node. Compared to the clause paths of Def. 2, the only difference is that program paths do not stop in left successors of SPLIT nodes. Hence, we have two program paths from the root node A to the only SUC node D and to the INSTANCE node H. We also have to consider intermediate goals for the constructed clauses. Thus, we result in the fact $p_A(0, 0)$ and the clause $p_A(s(T_6), T_8) \leftarrow p_A(T_6, T_7), p_A(T_7, T_8)$.

So we obtain the DT problem $(\mathcal{D}_G, \mathcal{Q}_G, \mathcal{P}_G)$ for the termination graph G from Fig. 3 where \mathcal{D}_G contains the DTs

$$\begin{aligned} q_A(s(T_6), T_5) &\leftarrow q_A(T_6, U). \\ q_A(s(T_6), T_8) &\leftarrow p_A(T_6, T_7), q_A(T_7, T_8). \end{aligned}$$

and \mathcal{P}_G consists of the following clauses.

$$\begin{aligned} p_A(0, 0). \\ p_A(s(T_6), T_8) &\leftarrow p_A(T_6, T_7), p_A(T_7, T_8). \end{aligned}$$

Hence, there are three differences compared to the program (6)-(8) we obtain following Def. 3: (i) we do not obtain the fact $q_A(0, 0)$ for the dependency triples; (ii) we do not obtain the clause $p_A(s(T_6), T_5) \leftarrow p_A(T_6, U)$; and (iii) we use p_A instead of q_A in the intermediate goal of the second dependency triple. The latter two differences are essential for success on this example as a ground “input” for p_A on the first argument guarantees a ground “output” on the second argument. Note that this is not the case for the program according to Def. 3.

In our example, \mathcal{Q}_G contains all queries $q_A(t_1, t_2)$ where t_1 is ground. Then this DT problem is easily shown to be terminating by our automated termination prover AProVE (or virtually any other tool for termination analysis of definite logic programs by proving termination of $\mathcal{D}_G \cup \mathcal{P}_G$ for the set of queries \mathcal{Q}_G).

Now we formally define how to obtain a DT problem from a termination graph. To this end, we first need the notions of triple and program paths to characterize those paths in the termination graph from which we generate the DTs and clauses for the DT problem.

Definition 4 (Triple Path, Program Path). *A path $\pi = n_1 \dots n_k$ in G is a triple path iff $k > 1$ and the following conditions are satisfied:*

- $n_1 \in \text{Succ}(1, \text{INSTANCE}(G))$ or n_1 is the root of G ,
- $n_k \in \text{INSTANCE}(G) \cup \text{Succ}(1, \text{INSTANCE}(G))$,
- for all $1 \leq j < k$, we have $n_j \notin \text{INSTANCE}(G)$, and
- for all $1 < j < k$, we have $n_j \notin \text{Succ}(1, \text{INSTANCE}(G))$.

A path $\pi = n_1 \dots n_k$ in G is a program path iff $k > 1$ and the following conditions are satisfied:

- $n_1 \in \text{Succ}(1, \text{INSTANCE}(G) \cup \text{SPLIT}(G))$,
- $n_k \in \text{SUC}(G) \cup \text{INSTANCE}(G) \cup \text{Succ}(1, \text{INSTANCE}(G))$,
- for all $1 \leq j < k$, we have $n_j \notin \text{INSTANCE}(G)$,
- for all $1 < j < k$, we have $n_j \notin \text{Succ}(1, \text{INSTANCE}(G))$, and
- for all $1 < j \leq k$, we have $n_j \notin \text{Succ}(1, \text{SPLIT}(G))$.

Now, we define the DT problem $(\mathcal{D}_G, \mathcal{Q}_G, \mathcal{P}_G)$ for a termination graph G . The set \mathcal{D}_G contains clauses for all triple paths, the queries \mathcal{Q}_G contain all instances represented by the root node, and \mathcal{P}_G contains clauses for all program paths.

Definition 5 (DT Problem from Termination Graph). *Let G be a termination graph whose root is $(f(T_1, \dots, T_m), (\{T_{i_1}, \dots, T_{i_k}\}, \emptyset))$. The DT problem*

$(\mathcal{D}_G, \mathcal{Q}_G, \mathcal{P}_G)$ is defined by $\mathcal{D}_G = \bigcup_{\pi \text{ triple path in } G} \text{Triple}(\pi)$, $\mathcal{Q}_G = \{\mathbf{q}_n(t_1, \dots, t_m) \mid t_{i_1}, \dots, t_{i_k} \text{ are ground}\}$ where \mathbf{q}_n is the fresh predicate chosen for the root node by Ren_t , and $\mathcal{P}_G = \bigcup_{\pi \text{ program path in } G} \text{Clause}(\pi)$.

For a path $\pi = n_1 \dots n_k$, we define $\text{Clause}(\pi) = \text{Ren}(n_1)\sigma_\pi \leftarrow I_\pi, \text{Ren}(n_k)$ and $\text{Triple}(\pi) = \text{Ren}_t(n_1)\sigma_\pi \leftarrow I_\pi, \text{Ren}_t(n_k)$. Here, Ren and Ren_t are defined as in Def. 3 but Ren_t uses \mathbf{q}_n instead of \mathbf{p}_n for any node n .

We now state the central theorem of this paper where we prove that termination of the resulting DT problem implies termination of the original logic program with cut for the set of queries represented by the root state of the termination graph. For the proof we refer to [21].

Theorem 6 (Correctness). *If G is a proper termination graph for a logic program \mathcal{P} such that $(\mathcal{D}_G, \mathcal{Q}_G, \mathcal{P}_G)$ is terminating, then all concrete states represented by G 's root node have only finite derivations w.r.t. the inference rules of Fig. 1.*

6 Implementation and Experiments

We implemented the new transformation in our fully automated termination prover AProVE and tested it on all 402 examples for logic programs from the Termination Problem Data Base (TPDB) [23] used for the annual international Termination Competition [22]. We compared the implementation of the new transformation (AProVE DT) with the implementation of the previous transformation into definite logic programs from [18] (AProVE Cut), and with a direct transformation into term rewrite systems ignoring cuts (AProVE Direct) from [16]. We ran the different versions of AProVE on a 2.67 GHz Intel Core i7 and, as in the international Termination Competition, we used a timeout of 60 seconds for each example. For all versions we give the number of examples which could be proved terminating (denoted “Successes”), the number of examples where termination could not be shown (“Failures”), the number of examples for which the timeout of 60 seconds was reached (“Timeouts”), and the total runtime (“Total”) in seconds. For those examples where termination could be proved, we indicate how many of them contain cuts. For the details of this empirical evaluation and to run the three versions of AProVE on arbitrary examples via a web interface, we refer to <http://aprove.informatik.rwth-aachen.de/eval/cutTriples/>.

	AProVE Direct	AProVE Cut	AProVE DT
Successes	243	259	315
– with cut	10	78	82
– without cut	233	181	233
Failures	144	129	77
Timeouts	15	14	10
Total	2485.7	3288.0	2311.6

Table 1. Experimental results on the Termination Problem Data Base

As shown in Table 1, the new transformation significantly increases the number of examples that can be proved terminating. In particular, we obtain 56 additional proofs of termination compared to the technique of [18]. And indeed, for all examples where AProVE Cut succeeds, AProVE DT succeeds, too. Note that while [18] is very successful on examples with cut, its performance is significantly worse than that of AProVE Direct on the other examples of the TPDB.

While we conjecture that our new improved transformation is *always* more powerful than the transformation from [18], a formal proof of this conjecture is not straightforward. The reason is that the clause paths of [18] differ from the triple and program paths in our new transformation. Hence we cannot compare the transformed problems directly.

In addition to being more powerful, the new version using dependency triples is also more efficient than any of the two other versions, resulting in fewer time-outs and a total runtime that is less than the one of the direct version and only 70% of the version corresponding to [18]. However, AProVE DT sometimes spends more time on failing examples, as the new transformation may result in DT problems where the termination proof fails later than for the logic programs resulting from [18].

7 Conclusion

We have shown that the termination graphs introduced by [18] can be used to obtain a transformation from logic programs with cut to dependency triple problems. Our experiments show that this new approach is both considerably more powerful and more efficient than a translation to definite logic programs as in [18]. As the dependency triple framework allows a modular and flexible combination of arbitrary termination techniques from logic programming and even term rewriting, the new transformation to dependency triples can be used as a frontend to any termination tool for logic programs (by taking the union of \mathcal{D}_G and \mathcal{P}_G in the resulting DT problem $(\mathcal{D}_G, \mathcal{Q}_G, \mathcal{P}_G)$) or term rewriting (by using the transformation of [17]).

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